

# Homotopy Quantum Field Theories

***Alexis Virelizier***  
*(University of Lille)*

**Topological Quantum Field Theory Seminar**  
Técnico Lisboa - September 11, 2020

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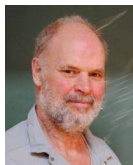
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*Dedicated to the memory of Vaughan Jones*

# Homotopy quantum field theories (HQFTs)

**Idea:** TQFTs for manifolds endowed with maps to a fixed target topological space  $X$  (with base point  $*$ )

The category  $X\text{-Cob}_n$  is a symmetric monoidal category

- an **object** is a pair  $(\Sigma, f)$ 
  - |  $\Sigma$  closed oriented pointed  $(n-1)$ -manifold
  - |  $f: (\Sigma, \Sigma_\bullet) \rightarrow (X, *)$  pointed map
- a **morphism**  $f: (\Sigma_1, f_1) \rightarrow (\Sigma_2, f_2)$  is equiv. class of  $(M, f)$ 
  - |  $M$  an oriented  $n$ -cobordism  $\Sigma_1 \rightarrow \Sigma_2$
  - |  $h$  an homotopy class  $M \rightarrow X$  with  $h|_{\Sigma_i} = f_i$

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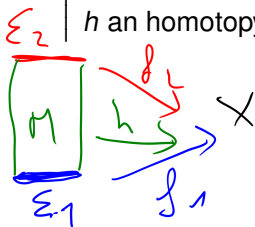
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$(M, f) \sim (M', f')$  if  $\exists$  o.p. diffeo  $\phi: M \rightarrow M'$  such that  $h'\phi = h$
- $\circ =$  gluing       $\otimes = \amalg$        $\mathbb{1} = (\emptyset, \emptyset \rightarrow X)$



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# Homotopy quantum field theories (HQFTs)

A  $n$ -dim HQFT with target  $X$  is a symmetric monoidal functor

$$\tau: X\text{-Cob}_n \rightarrow \text{Vect}_{\mathbb{k}}$$

Data:

- $\mathbb{k}$ -vector spaces  $\tau\left(\text{Cob}_n(\Sigma, f) \rightarrow X\right)$
- $\mathbb{k}$ -linear maps  $\tau\left(\text{Cob}_n(\partial_- M, h_-) \rightarrow \text{Cob}_n(\partial_+ M, h_+) \rightarrow X\right) : \tau(\partial_- M, h_-) \rightarrow \tau(\partial_+ M, h_+)$
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- $X = \{\text{pt}\} \rightsquigarrow$  TQFT
- $M$  closed oriented  $n$ -manifold,  $h \in [M, X]$   
 $\tau(M, h) \in \text{End}_{\mathbb{k}}(\tau(\emptyset)) \simeq \mathbb{k}$  is a numerical invariant of  $h$
- $\tau(\Sigma, f)$  is finite-dimensional and  $\tau(\Sigma, f)^* \simeq \tau(-\Sigma, f)$
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# HQFTs of dimension 1

There are bijective correspondences between:

- 1 1-dimensional HQFTs with target  $X$
- 2 finite-dimensional representations of  $\pi_1(X)$
- 3 finite-dimensional flat vector bundles over  $X$

**Rk:** HQFTs may be seen as higher-dimensional generalizations of finite-dimensional flat vector bundles

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$$\begin{array}{ccc} \begin{array}{c} + \quad + \\ \bullet \quad \bullet \\ \xrightarrow{\quad} \end{array} & \xrightarrow{\tau} & e_h: V \rightarrow V \\ \downarrow h & \Leftrightarrow & h \in \pi_1(X) \end{array}$$

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# Cohomological HQFTs

$\theta \in H^n(X, \mathbb{k}^*) \rightsquigarrow n\text{-dim HQFT } \tau^\theta \text{ with target } X$

$\tau^\theta$  is characterized by :

- $M$  closed oriented  $n$ -manifold,  $h \in [M, X]$

$$\tau^\theta(M, h) = \langle h^*(\theta), [M] \rangle \in \mathbb{k}$$

where  $[M] \in H_n(M, \mathbb{Z})$  is the fundamental class of  $M$

- $\Sigma$  closed oriented  $(n-1)$ -manifold,  $f: \Sigma \rightarrow X$

$$\tau^\theta(\Sigma, f) \text{ is one-dimensional}$$

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# The case of aspherical targets

From now, assume that  $X$  is aspherical (i.e.,  $\pi_i(X) = 0$  for  $i \geq 2$ )

$\rightsquigarrow$   $X$  is a  $K(G, 1)$ -space with  $G = \pi_1(X)$

(Turaev, 2000)

2-dim HQFTs with target  $X \Leftrightarrow G$ -graded Frobenius algebras

(Sozer, 2019)

Classification of 2-dim extended HQFTs with target  $X$

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From now, assume that  $X$  is aspherical (i.e.,  $\pi_i(X) = 0$  for  $i \geq 2$ )

$\rightsquigarrow$   $X$  is a  $K(G, 1)$ -space with  $G = \pi_1(X)$

(Turaev, 2000)

2-dim HQFTs with target  $X \Leftrightarrow G$ -graded Frobenius algebras

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triangulation  +  $\mathcal{C}$  spherical fusion category  $\rightsquigarrow$   $\text{TV}_{\mathcal{C}}$

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  - ▷  $X \in \mathcal{C}_g$  and  $Y \in \mathcal{C}_h$  with  $g \neq h \Rightarrow \text{Hom}_{\mathcal{C}}(X, Y) = 0$
- $\mathcal{C}$  is semisimple
- each  $\mathcal{C}_g$  has finitely many simple objects

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**Example:**  $G\text{-vect}_{\mathbb{k}}^{\theta}$  with  $\theta \in H^3(G, \mathbb{k}^*)$



# Spherical fusion graded categories

$\mathcal{C}$  = spherical fusion  $G$ -graded category:

- $\mathcal{C}$  is  $\mathbb{k}$ -linear monoidal
- each object  $X$  has a 2-sided dual  $X^*$  (+ sphericity condition)
- $\mathcal{C}$  has a  $G$ -grading  $\mathcal{C} = \bigoplus_{g \in G} \mathcal{C}_g$  :
  - ▷  $X \in \mathcal{C}_g$  and  $Y \in \mathcal{C}_h \Rightarrow X \otimes Y \in \mathcal{C}_{gh}$
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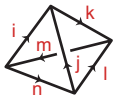
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# HQFT decomposition of Turaev-Viro TQFT

Let  $C$  spherical fusion category  $\rightsquigarrow \text{TV}_C$

$\Gamma = \text{graduator}$  of  $C$  (= largest group making  $C$  faithfully graded)

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$$\text{TV}_C(\Sigma) = \bigoplus_{f \in [\Sigma, B\Gamma]} \text{HTV}_C(\Sigma, f) \quad \text{and} \quad \text{TV}_C(M) = \sum_{h \in [M, B\Gamma]} \text{HTV}_C(M, h)$$

**Example:**  $\theta \in H^3(G, \mathbb{k}^*) \rightsquigarrow \left| \begin{array}{l} G\text{-vect}_{\mathbb{k}}^{\theta} \text{ spherical fusion category} \\ \text{whose graduator is } G \end{array} \right.$

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$\Gamma = \mathbf{gr}$  of  $\mathcal{C}$  (= largest group making  $\mathcal{C}$  faithfully graded)

$\mathcal{C}$  spherical fusion  $\Gamma$ -graded category  $\rightsquigarrow \text{HTV}_{\mathcal{C}}$

$$\text{TV}_{\mathcal{C}}(\Sigma) = \bigoplus_{f \in [\Sigma, B\Gamma]} \text{HTV}_{\mathcal{C}}(\Sigma, f) \quad \text{and} \quad \text{TV}_{\mathcal{C}}(M) = \sum_{h \in [M, B\Gamma]} \text{HTV}_{\mathcal{C}}(M, h)$$

**Example:**  $\theta \in H^3(G, \mathbb{k}^*) \rightsquigarrow \left| \begin{array}{l} G\text{-vect}_{\mathbb{k}}^{\theta} \text{ spherical fusion category} \\ \text{whose graduator is } G \end{array} \right.$

$$\text{TV}_{G\text{-vect}_{\mathbb{k}}^{\theta}}(M) = \sum_{h \in [M, BG]} \text{HTV}_{G\text{-vect}_{\mathbb{k}}^{\theta}}(M, h) = \sum_{h \in [M, BG]} \tau^{\theta}(M, h)$$

$$\rightsquigarrow \text{DW}_{G, \theta}(M) = \sum_{h: \pi_1(M) \rightarrow G} \langle h^*(\theta), [M] \rangle$$

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# HQFT decomposition of Turaev-Viro TQFT

Let  $C$  spherical fusion category  $\rightsquigarrow \text{TV}_C$

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$C$  spherical fusion  $\Gamma$ -graded category  $\rightsquigarrow \text{HTV}_C$

$$\text{TV}_C(\Sigma) = \bigoplus_{f \in [\Sigma, B\Gamma]} \text{HTV}_C(\Sigma, f) \quad \text{and} \quad \text{TV}_C(M) = \sum_{h \in [M, B\Gamma]} \text{HTV}_C(M, h)$$

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# 3-dimensional HQFTs with target $X = K(G, 1)$

presentation of  $M^3$  + algebraic data  $\rightsquigarrow$  3-dim HQFT

- **Turaev-V. (2012)**

triangulation  +  $\mathcal{C}$  spherical fusion  $G$ -graded category  $\rightsquigarrow$   $\text{HTV}_{\mathcal{C}}$

- **Turaev-V. (2014)**

surgery  +  $\mathcal{B}$  modular fusion  $G$ -graded category  $\rightsquigarrow$   $\text{HRT}_{\mathcal{B}}$

- **Gelaki-Naidu-Nikshych (2009):**

$G$ -center  $\mathcal{Z}_G(\mathcal{C})$  modular fusion  $G$ -graded  $\rightsquigarrow$   $\text{HRT}_{\mathcal{Z}_G(\mathcal{C})}$

Theorem (Turaev-V., 2019)

$\text{HTV}_{\mathcal{C}}$  and  $\text{HRT}_{\mathcal{Z}_G(\mathcal{C})}$  are isomorphic HQFTs

# Modular fusion graded categories

$\mathcal{B}$  = modular fusion  $G$ -graded category:

- $\mathcal{B} = \bigoplus_{g \in G} \mathcal{B}_g$  is spherical fusion  $G$ -graded
- $\mathcal{B}$  has an action  $\varphi: \underline{G} \rightarrow \text{Aut}_{\otimes}(\mathcal{B})$  such that  $\varphi_g(\mathcal{B}_h) \subset \mathcal{B}_{ghg^{-1}}$
- $\mathcal{B}$  has a  $G$ -braiding: for  $X \in \mathcal{B}_g$  and  $Y \in \mathcal{B}_h$ ,  
$$\tau_{X,Y}: X \otimes Y \rightarrow \varphi_g(Y) \otimes X$$
- the  $S$ -matrix of fusion category  $\mathcal{B}_1$  is invertible

$\rightsquigarrow$

Invariant  $I_{\mathcal{B}}$  of  $\mathcal{B}$ -colored framed oriented  $G$ -links in  $S^3$

$$(L, f: \pi_1(L) \rightarrow G)$$

whose longitudes are sent to 1 by  $f$

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# Surgical HQFT with target $X = K(G, 1)$

$\mathcal{B} = \bigoplus_{g \in G} \mathcal{B}_g$  modular fusion  $G$ -graded category

$M$  closed oriented 3-manifold,  $h \in [M, X]$

Present  $M$  by surgery along a framed link  $L = L_1 \cup \dots \cup L_n$

Let  $f: \pi_1(L) \rightarrow G$  induced by  $S^3 \setminus L \hookrightarrow M$  and  $h$

Let  $g_i \in G$  be the color of a point in each component  $L_i$  of a diagram of  $L$



Any  $\underline{V} = (V_1, \dots, V_n) \in \mathcal{B}_{g_1} \times \dots \times \mathcal{B}_{g_n}$  makes  $(L, f)$   $\mathcal{B}$ -colored

$$\text{HRT}_{\mathcal{B}}(M, h) = \sum_{\underline{V}, V_i \text{ simple}} \left( \prod_{i=1}^n \dim_q(V_i) \right) I_{\mathcal{B}}(L, f, \underline{V})$$

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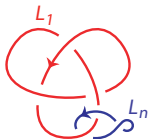
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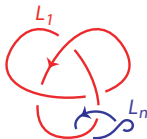
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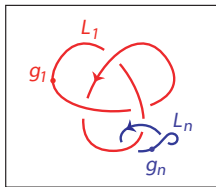
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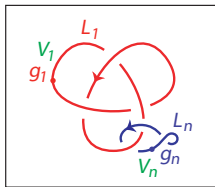
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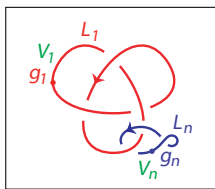
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$$\text{HRT}_{\mathcal{B}}(M, h) = \sum_{\underline{V}, V_i \text{ simple}} \left( \prod_{i=1}^n \dim_q(V_i) \right) \mathcal{I}_{\mathcal{B}}(L, f, \underline{V})$$



# Surgical HQFT with target $X = K(G, 1)$

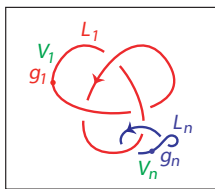
$\mathcal{B} = \bigoplus_{g \in G} \mathcal{B}_g$  modular fusion  $G$ -graded category

$M$  closed oriented 3-manifold,  $h \in [M, X]$

Present  $M$  by surgery along a framed link  $L = L_1 \cup \dots \cup L_n$

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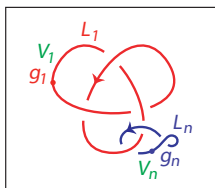
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# 3-dimensional HQFTs with target $X = K(G, 1)$

presentation of  $M^3$  + algebraic data  $\rightsquigarrow$  3-dim HQFT

- **Turaev-V. (2012)**

triangulation  +  $\mathcal{C}$  spherical fusion  $G$ -graded category  $\rightsquigarrow$   $\text{HTV}_{\mathcal{C}}$

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surgery  +  $\mathcal{B}$  modular fusion  $G$ -graded category  $\rightsquigarrow$   $\text{HRT}_{\mathcal{B}}$

- **Gelaki-Naidu-Nikshych (2009):**

$G$ -center  $\mathcal{Z}_G(\mathcal{C})$  modular fusion  $G$ -graded  $\rightsquigarrow$   $\text{HRT}_{\mathcal{Z}_G(\mathcal{C})}$

**Theorem (Turaev-V., 2019)**

$\text{HTV}_{\mathcal{C}}$  and  $\text{HRT}_{\mathcal{Z}_G(\mathcal{C})}$  are isomorphic HQFTs

# The graded center

$\mathcal{C}$  monoidal category,  $\mathcal{D}$  monoidal subcategory of  $\mathcal{C}$

The **center of  $\mathcal{C}$  relative to  $\mathcal{D}$**  is the monoidal category  $\mathcal{Z}(\mathcal{C}, \mathcal{D})$ :

- objects of  $\mathcal{Z}(\mathcal{C}, \mathcal{D})$ :

$$X \in \mathcal{C} \quad \text{such that} \quad X \otimes Y = Y \otimes X \quad \forall Y \in \mathcal{D}$$

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Theorem (Gelaki-Naidu-Nikshych, 2009)

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