

# PROBING THE EVH LIMIT OF SUPERSYMMETRIC ADS BLACK HOLES

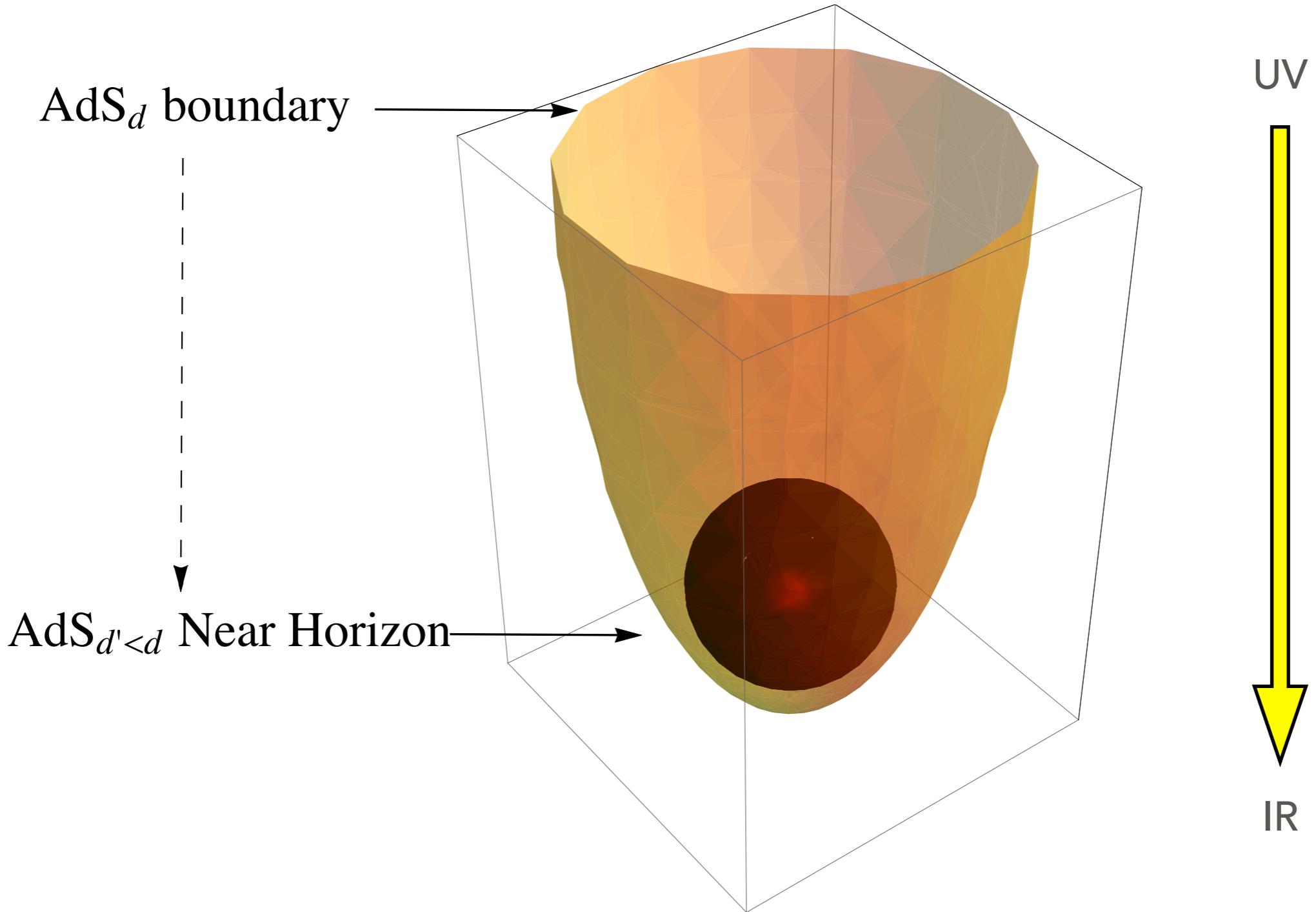


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Workshop on Black Holes: BPS, BMS, and Integrability  
11 September 2020

# MOTIVATION

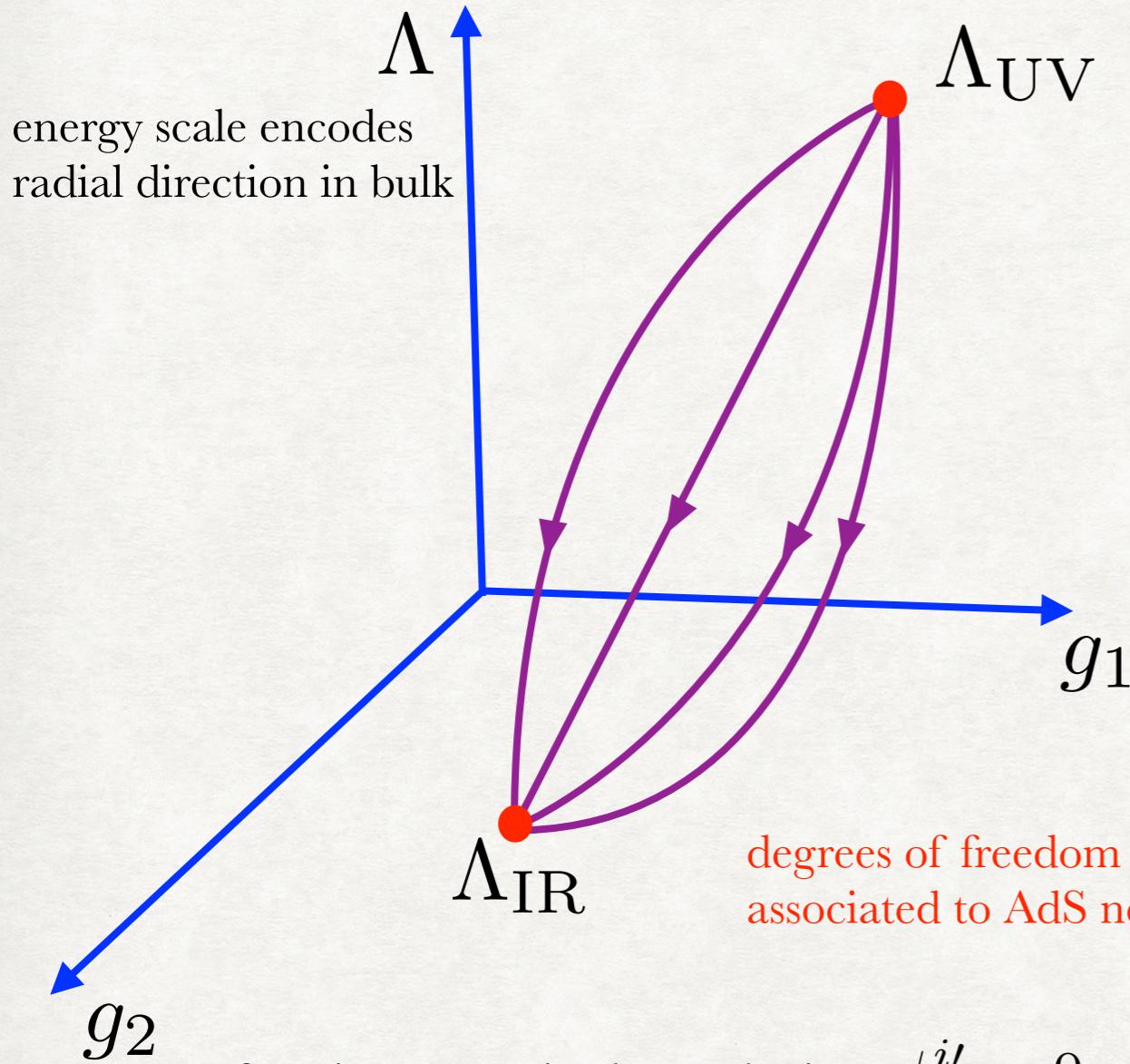


- Black hole entropy captured by both asymptotic and near-horizon CFTs

## EXAMPLE

- Non-extremal, two R-charged black hole in  $\text{AdS}_5 \times S^5$
- Near horizon is  $\text{AdS}_3 \times S^3 \times T^4$
- Focusing limit gives BTZ
- Entropy is  $S = \int dS_{\text{BTZ}} = S_{\text{two-charge}}$
- Naïve state counting in  $\text{CFT}_4$  in terms of extremal operators plus defects recovers entropy (quasi-precise in single R-charge case)
- Open question: How do the states connect?

# ATTRACTOR MECHANISM



degrees of freedom correspond to  $\text{CFT}_d$   
associated to AdS asymptopia

renormalization integrates out short distance physics;  
c-function consequently flows downhill

scalar fields  $\phi^i$  in bulk dual to operators  
sourced by coupling constants on boundary

degrees of freedom correspond to  $\text{CFT}_n$   
associated to AdS near horizon region

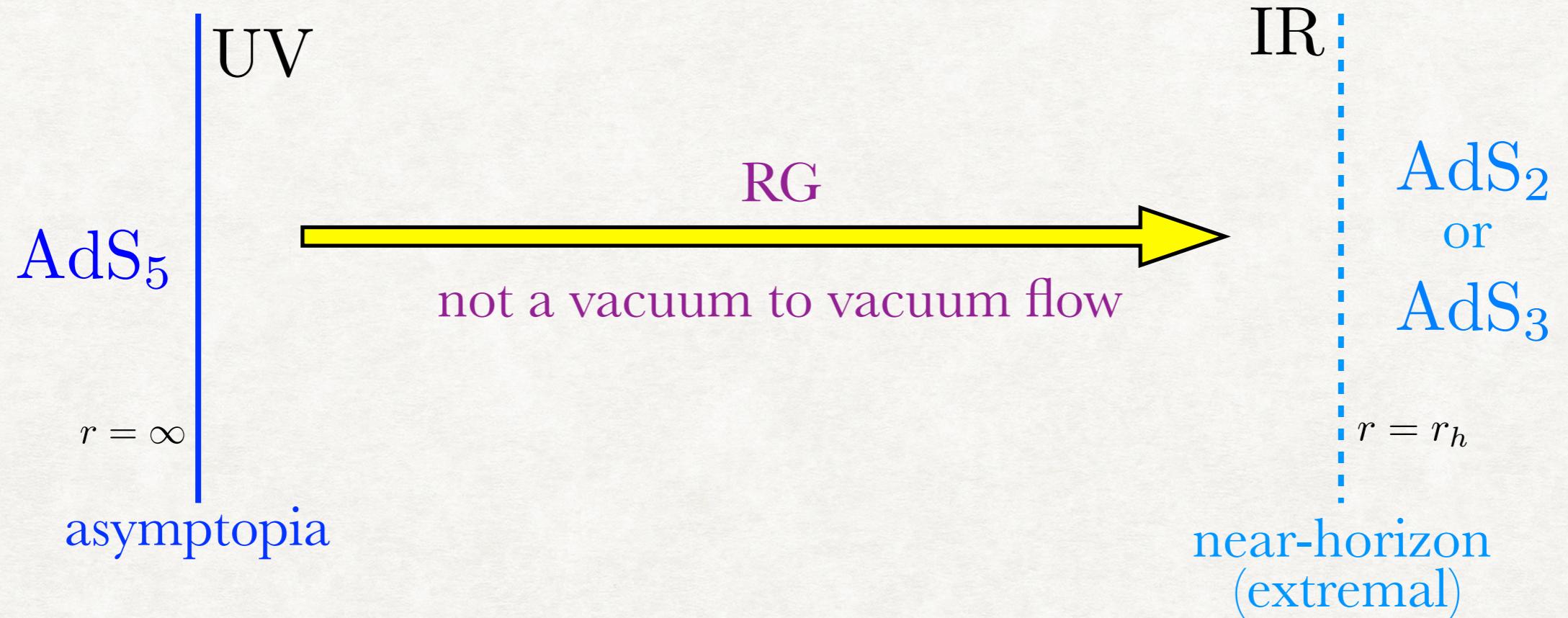
c-function extremized at endpoints,  $\phi^{i'} = 0$  as well

monotonically non-increasing flow

c-function not unique; non-uniqueness related to scheme independence/bulk diffeomorphism invariance

embed story in  $\mathcal{N} = 2$  supergravity for extremal black holes, use attractor mechanism to construct c-function

# ATTRACTOR MECHANISM



- Gravitational analogue for extremal black hole in  $\mathcal{N} = 2$  supergravity
- Due to attractor mechanism  $\phi^{i\prime}(r) = G^{ij} \partial_j \Upsilon$
- Proposal:  $c = \lambda + \kappa \Upsilon$
- Gradient flow plus null energy condition

# QUESTIONS

- How do degrees of freedom of the UV CFT map to degrees of freedom in the IR CFT?
- If there is a microstate picture, can we follow the ensemble of states describing a black hole along RG trajectory?
- Extremal black holes have  $\text{AdS}_2$  or  $\text{AdS}_3$  in near horizon
- For black holes in  $\text{AdS}_5$  we go from  $\text{CFT}_4$  (finite number of generators) to  $\text{CFT}_1$  or  $\text{CFT}_2$  (infinite number of generators)
- How does  $\text{AdS}_3/\text{CFT}_2$  appear in  $\text{AdS}_5/\text{CFT}_4$ ?
- Generalize to non-extremal case

# OUTLINE

- Motivation
- EVH black holes
- Index counting
- Origin of IR CFT in UV CFT
- Prospectus

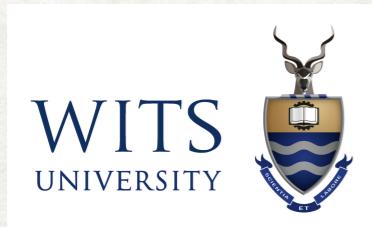
# PUNCHLINES

- Techniques for analyzing  $\frac{1}{16}$ -BPS black holes in  $\text{AdS}_5$  extended to study EVH/CFT<sub>2</sub>
- Entropy calculation for EVH and near EVH in fast rotating limit
- Legendre transform of superconformal index, which gives black hole entropy, is equivalent to derivation of Cardy formula
- Shows how IR CFT is realized in UV CFT
- Hints of similar stories in higher dimensions

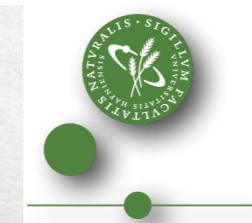
# COLLABORATORS



**Kevin Goldstein**



**Yang Lei**



**Sam van Leuven**



**Wei Li**



based on 1910.14293, 2009.nnnnn

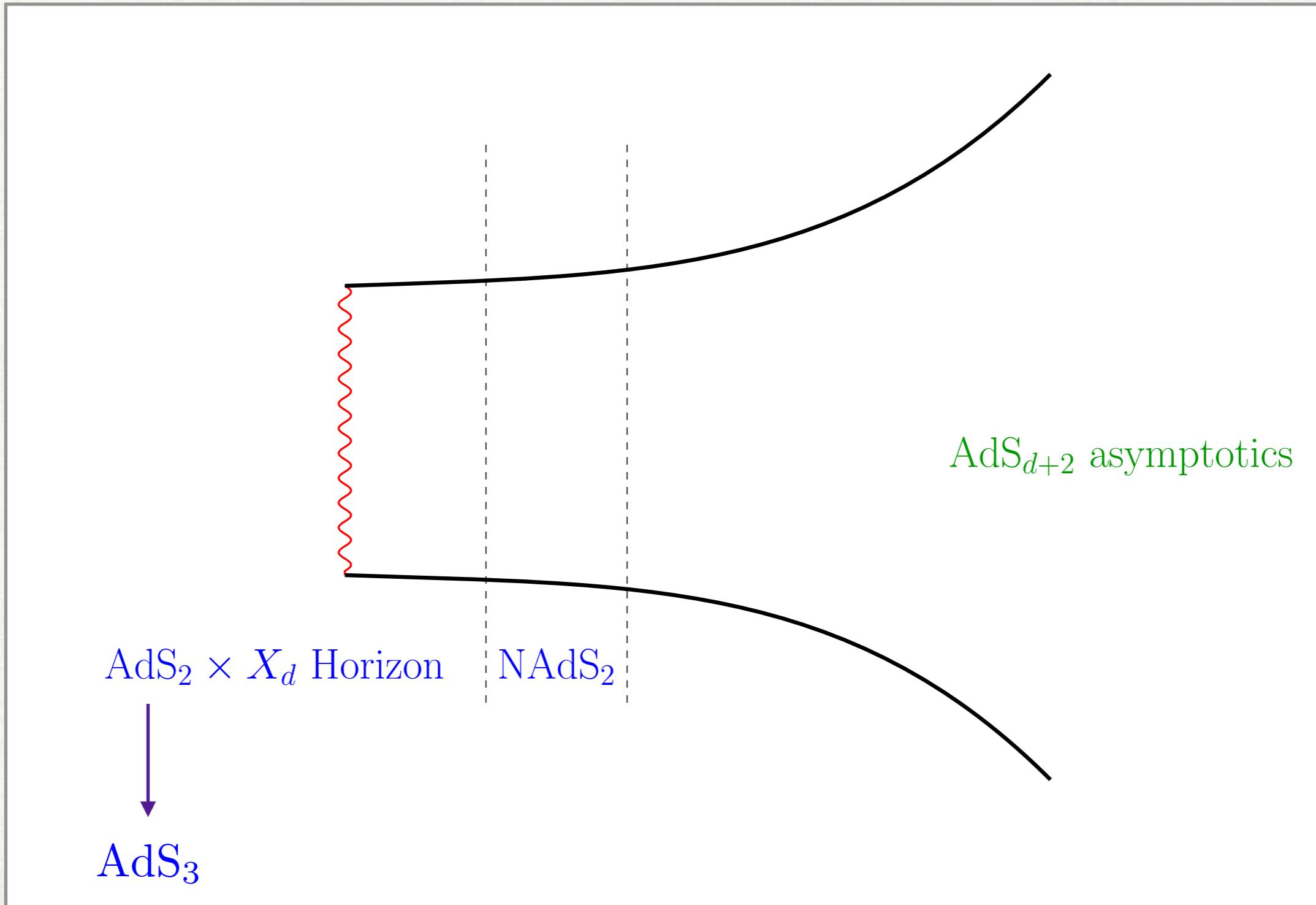
# WHY STRING THEORY?

- The Schwarzschild black hole is first non-trivial solution of GR

$$ds^2 = - \left(1 - \frac{2G_N M}{r}\right) dt^2 + \left(1 - \frac{2G_N M}{r}\right)^{-1} dr^2 + r^2 d\Omega_2^2$$

- The Schwarzschild radius is  $r_h = 2G_N M$
- The size of a black hole grows with the gravitational coupling
- Most gravitating systems become smaller as interaction strength increases
- This is a test only black holes (and black hole microstates) in string theory pass (*cf.*, Horowitz–Polchinski correspondence principle)

# EXTREMAL BLACK HOLES



# DYNAMICS IN $\text{AdS}_2$

- Deviate from pure  $\text{AdS}_2$  by breaking conformal symmetry
  - NAdS/SYK, random matrices, etc.
- Make UV CFT defined on non-compact space;
  - Spectrum is continuous; non-trivial dynamics at low energies
  - AdS/CMT
- Extremal vanishing horizon (EVH) limit
  - Decrease gap:  $c \rightarrow \infty$ ,  $\delta\Delta_{\text{UV}} \sim c^{-1}$
  - Entropy:  $S = \frac{A}{4G_N} \sim cA$
  - To keep this finite:  $A \rightarrow 0$

# EVH BLACK HOLES

- Low temperature expansion of black hole entropy

$$S(T, Q) = S_0(Q) + S_1(Q)T + \dots$$

- If  $S \sim c_k T^k$ , then CFT <sub>$k+1$</sub>  in IR pointing to AdS <sub>$k+2$</sub>  near horizon
- AdS<sub>3</sub> in case  $k = 1$
- In limit  $S \rightarrow 0$ ,  $T \rightarrow 0$ ,  $\frac{S}{T} = \text{finite}$
- Effective CFT<sub>2</sub> gives dynamics of EVH black hole
- EVH limit of this type for AdS<sub>4</sub> and AdS<sub>5</sub> black holes

# STATIC ADS<sub>5</sub> BLACK HOLE

$$ds_{10}^2 = \sqrt{\Delta} ds_5^2 + \frac{1}{\sqrt{\Delta}} \left( \sum_{i=1}^3 H_i (d\mu_i^2 + \mu_i^2 [d\phi_i + a_i dt]^2) \right)$$

$$ds_5^2 = -\frac{f}{H_1 H_2 H_3} dt^2 + \frac{dr^2}{f} + r^2 d\Omega_3^2$$

$$H_i = 1 + \frac{q_i}{r^2}, \quad f = 1 - \frac{\mu}{r^2} + r^2 H_1 H_2 H_3$$

$$\Delta = H_1 H_2 H_3 \left[ \frac{\mu_1^2}{H_1} + \frac{\mu_2^2}{H_2} + \frac{\mu_3^2}{H_3} \right]$$

$$ds_{S^5}^2 = \sum_{i=1}^3 (d\mu_i^2 + \mu_i^2 d\phi_i^2), \quad \mu_1^2 + \mu_2^2 + \mu_3^2 = 1$$

# CHARGES

- Compute Komar integral

$$\tilde{q}_i = \sqrt{q_i(\mu + q_i)} , \quad M = \frac{N^2}{2} \left( \frac{3}{2}\mu + q_1 + q_2 + q_3 + \frac{3}{8} \right)$$

- Extremal without being supersymmetric
  - *cf.* attractor mechanism
  - $\mu$  measures deviation from extremality
  - $\mu_c$  critical value below which there is a timelike singularity
- Work with Reissner–Nordström AdS<sub>5</sub> and rotating AdS<sub>5</sub> black holes
- Take particular scaling limits

# ROTATION

- Have some understanding of 4d field theory in supersymmetric case
- Static supersymmetric black holes have singularities (*e.g.*, superstars)  
Myers, Tafjord
- So we include rotation
- Generically, two angular momenta and three R-charges

Gutowski, Reall  
Cvetic, Gibbons, Lu, Pope  
Chong, Cvetic, Lu, Pope  
Kunduri, Lucetti, Reall  
Wu

- Beyond  $\text{AdS}_5$

- ▶ The known  $\text{AdS}_4$  rotating solutions have equal pair charges  $Q_1 = Q_3, Q_2 = Q_4$ .
- ▶  $\text{AdS}_6$  black holes only have two rotations and one R-charge.
- ▶  $\text{AdS}_7$  black holes have three rotations and two R-charges generically. The known solutions are either equal charge or equal angular momentum.

# METRIC

- Metric is complicated!

$$\begin{aligned}
ds_5^2 &= H^{-\frac{4}{3}} \left[ -\frac{X}{\rho^2} (dt - a \sin^2 \theta \frac{d\phi}{\Xi_a} - b \cos^2 \theta \frac{d\psi}{\Xi_b})^2 + \frac{C}{\rho^2} \left( \frac{ab}{f_3} dt - \frac{b}{f_2} \sin^2 \theta \frac{d\phi}{\Xi_a} - \frac{a}{f_1} \cos^2 \theta \frac{d\psi}{\Xi_b} \right)^2 \right. \\
&\quad \left. + \frac{Z \sin^2 \theta}{\rho^2} \left( \frac{a}{f_3} dt - \frac{1}{f_2} \frac{d\phi}{\Xi_a} \right)^2 + \frac{W \cos^2 \theta}{\rho^2} \left( \frac{b}{f_3} dt - \frac{1}{f_1} \frac{d\psi}{\Xi_b} \right)^2 \right] + H^{\frac{2}{3}} \left[ \frac{\rho^2}{X} dr^2 + \frac{\rho^2}{\Delta_\theta} d\theta^2 \right], \\
H &= \frac{\tilde{\rho}^2}{\rho^2}, \quad \rho^2 = r^2 + a^2 \cos^2 \theta + b^2 \sin^2 \theta, \quad \tilde{\rho}^2 = \rho^2 + q, \\
f_1 &= a^2 + r^2, \quad f_2 = b^2 + r^2, \quad f_3 = (a^2 + r^2)(b^2 + r^2) + qr^2, \\
\Delta_\theta &= 1 - a^2 \cos^2 \theta - b^2 \sin^2 \theta, \quad X = \frac{(a^2 + r^2)(b^2 + r^2)}{r^2} - 2m + (a^2 + r^2 + q)(b^2 + r^2 + q), \\
C &= f_1 f_2 (X + 2m - \frac{q^2}{\rho^2}), \quad \Xi_a = 1 - a^2, \quad \Xi_b = 1 - b^2, \\
Z &= -b^2 C + \frac{f_2 f_3}{r^2} [f_3 - r^2(a^2 - b^2)(a^2 + r^2 + q) \cos^2 \theta], \\
W &= -a^2 C + \frac{f_1 f_3}{r^2} [f_3 + r^2(a^2 - b^2)(b^2 + r^2 + q) \sin^2 \theta].
\end{aligned}$$

Chong, Cvetic, Lu, Pope

- Non-BPS black holes has four parameters:  $a$  ,  $b$  ,  $m$  ,  $q$
- BPS solution has five parameters + constraint

# BPS LIMIT

- Supersymmetry condition

$$E = J_a + J_b + Q_1 + Q_2 + Q_3$$

- Singularity free geometry when horizon is fixed size

$$r_+^2 = \frac{ab}{1+a+b} , \quad q = \frac{(a+b)(1+a)(1+b)}{1+a+b}$$

- Chemical potentials generically complex

# PARTITION FUNCTION

- $Z = \sum e^{-\beta E + \beta \Omega Q} = \sum e^{-\beta(E-Q) - \beta(1-\Omega)Q}$   
 $\longrightarrow \lim_{\beta \rightarrow \infty} \sum_{E=Q} e^{-\beta(1-\Omega)Q}$
- Using conjugate variables

$$\Delta_I = \lim_{\beta \rightarrow \infty} \beta(1 - \Phi_I) , \quad \omega_i = \lim_{\beta \rightarrow \infty} \beta(1 - \Omega_i)$$

$$\text{BPS : } \Delta_1 + \Delta_2 + \Delta_3 - \omega_a - \omega_b = 2\pi i$$

# EVH LIMIT

- Start with non-extremal two charge superstar
- Take  $q_1 = 0$ ,  $\mu = \mu_c = q_2 q_3$

- We get

$$ds^2 = \epsilon \left[ R^2 (ds_{\text{AdS}}^2 + d\Omega_3^2) + \frac{1}{R^2} ds_{\mathcal{M}_4}^2 \right], \quad R^2 = \hat{q}_2 \hat{q}_3$$

$$ds_{\text{AdS}}^2 = -(\rho^2 - \gamma^2) dt^2 + \frac{d\rho^2}{\rho^2 - \gamma^2} + \rho^2 d\phi_1^2, \quad \gamma^2 = \frac{\mu - \mu_c}{\mu_c}$$

- The  $\epsilon \sim \ell_s^2$  and  $L^4 = 4\pi g_s N \ell_s^4$  is fixed
- Like generalized BMN limit:

$$i\partial_\tau = i\partial_t + i \sum_a \partial_{\phi_a} = E - Q_2 - Q_3 = \frac{N^2 \epsilon^2 \hat{u}}{4} \sim N^2 \epsilon^2 = N$$

# EVH LIMIT

- Near horizon limit:  $r = \epsilon\rho$  ,  $\epsilon \rightarrow 0$
- Entropy vanishes:  $a \sim \epsilon^\alpha$  ,  $b \sim \epsilon^\beta$
- Singularity free:  $\alpha + \beta = 2$
- Choose  $\alpha = 0$  ,  $\beta = 2$
- Now:  $S \sim T \sim \epsilon$
- EVH/BPS condition puts  $b = 0$
- Sending  $\epsilon \rightarrow 0$  :  $ds_{10}^2 = h(\theta) \left[ -\frac{\mathbf{V}}{a^2 + q} \epsilon^2 \rho^2 dt^2 + \frac{a^2 + q}{\mathbf{V}} \frac{d\rho^2}{\rho^2} + \frac{a^2 + q}{a^2} \rho^2 \epsilon^2 d\psi^2 \right] + ds_{\mathcal{M}_7}^2$
- AdS<sub>3</sub> decouples from  $\mathcal{M}_7$

# NEAR EVH LIMIT

- Instead of  $b = 0$  for EVH, leave  $b = \lambda\epsilon^2$
- The effect is to replace  $\text{AdS}_3$  in decoupled IR with (pinching extremal) BTZ
- Metric: 
$$ds^2 = -\frac{(\rho^2 - \rho_0^2)^2}{L_3^2 \rho^2} d\tau^2 + \frac{L_3^2 \rho^2 d\rho^2}{(\rho^2 - \rho_0^2)^2} + \rho^2 \left( d\chi - \frac{\rho_0^2}{L_3^2 \rho^2} d\tau \right)^2$$
- Central charge:  $c = \frac{3L_3}{2G_3}\epsilon = 3\sqrt{2} \frac{a^2}{1-a^2} N^2 \epsilon$
- Entropy:  $S = \frac{2\pi\epsilon\rho_0}{4G_3} = \frac{\pi a}{1-a} \sqrt{\frac{\lambda a}{1+a}} N^2 \epsilon$
- In effect, we have double scaling limit:  $\epsilon \rightarrow 0$  ,  $N \rightarrow \infty$  ,  $N^2\epsilon = \text{fixed}$

# SCALINGS

- In EVH limit:  $\frac{1}{2}N^2 J_a = Q_1^2$

– dual operator  $\sim$  Fermi surface

Berkooz, Narayan, Zaitsev

- In the near EVH limit:  $J_a, Q_1 = Q_2 \sim N^2$  while  $J_b, Q_3 \sim \epsilon^2 N^2$

$$\omega_a = -\frac{\pi i(1-a)}{1+a}, \quad \omega_b = \frac{\pi}{\sqrt{\lambda}\epsilon} \sqrt{\frac{a}{1+a}}, \quad \Delta_{1,2} = \Delta = \frac{\pi i a}{1+a}$$

Chiral Virasoro generator:

$$L_0 = \partial_\tau = \frac{1}{\sqrt{2}\epsilon}(E - J_a - Q_1 - Q_2) = \frac{1}{\sqrt{2}\epsilon}(J_b + Q_3) = \frac{1}{2\sqrt{2}} \frac{a}{1-a} \lambda \epsilon N^2$$

 we will see how this arises in CFT<sub>4</sub>

# UV TO IR

- UV BTZ:  $ds^2 = -(r^2 - r_+^2)dt^2 + \frac{dr^2}{r^2 - r_+^2} + r^2 d\phi^2$
- EVH limit:  $r = \epsilon\rho$  ,  $r_+ = \epsilon\rho_+$  ,  $\tau = \epsilon t$  ,  $\tilde{\phi} = \epsilon\phi$
- IR BTZ:  $ds^2 = -(\rho^2 - \rho_+^2)d\tau^2 + \frac{d\rho^2}{\rho^2 - \rho_+^2} + \rho^2 d\tilde{\phi}^2$   
 $\tilde{\phi} \sim \tilde{\phi} + 2\pi\epsilon$
- Entropy:  $S = \frac{2\pi r_+}{4G_3} = 2\pi \sqrt{\frac{c}{6} \left( L_0 - \frac{c}{24} \right)} \sim \epsilon$
- Pinching orbifold

# UV TO IR

- To have finite dynamics, we should scale central charge  $c \rightarrow \frac{c}{\epsilon}$
- This requires  $L_0 - \frac{c}{24} = \frac{c}{24} \left( \frac{r_+ + r_-}{\ell_3} \right)^2 \rightarrow \epsilon^{\cancel{2}} \left( L_0 - \frac{c}{24} \right)$
- $S = \frac{2\pi r_+}{4G_3} = \text{fixed}$
- We have  $\text{CFT}_{\text{IR}} = \text{Sym}^{\frac{1}{\epsilon}}(\text{CFT}_{\text{UV}})$
- Central charges:  $c_{\text{UV}} = \frac{3L_3}{2G_3} \sim N \rightarrow \infty$ ,  $c_{\text{phys}} = \frac{3L_3}{2G_3}\epsilon \sim \epsilon N = \text{fixed}$
- Virasoro:  $\ell_n = \epsilon L_{\frac{n}{\epsilon}} = \frac{1}{N} L_{nN}$

# SUPERCONFORMAL INDEX

- The partition function of  $\mathcal{N} = 4$  SYM is

$$Z(\beta, \Delta_I, \omega_i) = \text{Tr}_{\mathcal{H}} \left[ e^{-\sum_{I=1}^3 \Delta_I Q_I} e^{-\omega_a J_a} e^{-\omega_b J_b} e^{-\beta(E - \sum_I Q_I - J_a - J_b)} \right]$$

- Restrict this to hypersurface  $\Delta_1 + \Delta_2 + \Delta_3 - \omega_a - \omega_b = 2\pi i$
- The partition function localizes to  $\frac{1}{16}$ -BPS states
- This is the most supersymmetric black hole with a finite horizon area
- Take  $\beta \rightarrow \infty$  limit, impose chemical potential constraint to get

$$\begin{aligned} Z &= \text{Tr} \left[ (-1)^F e^{-\omega_a(J_a+Q_3)-\omega_b(J_b+Q_3)-(\Delta_1+\Delta_2)(Q-Q_3)-(\Delta_1-\Delta_2)f} \right] \\ &= \text{Tr} \left[ (-1)^F p^{J_a+Q_3} q^{J_b+Q_3} t^{Q-Q_3} a^f \right] \quad Q, f = \frac{1}{2}(Q_1 \pm Q_2) \end{aligned}$$

$\mathcal{N} = 1$  superconformal index

Cabo-Bizet, Cassini, Martelli, Murthy  
Choi, Kim, Kim, Nahmgoong  
Benini, Milan

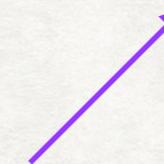
# N=4 SYM

- The theory has 6 scalars, 4 Weyl fermions, vector gauge field plus descendants from acting with covariant derivatives
- Single letter partition function in BPS limit  $E = \sum_I Q_I + J_a + J_b$

$$\begin{aligned}
 I_{\text{st}}(p, q, t, a) &= \text{Tr}_{\text{BPS, st}} \left[ (-1)^F p^{J_a + Q_3} q^{J_b + Q_3} t^{R - Q_3} a^f \right] \\
 &= \frac{1}{1-p} \left( \sqrt{ta} + \sqrt{\frac{t}{a}} - p - t \right) \\
 &\quad + \frac{1}{1-p} \frac{q}{1-q} \left( \sqrt{ta} + \sqrt{\frac{t}{a}} - t + \frac{p}{t} + p - 1 - \frac{p}{\sqrt{ta}} - p \sqrt{\frac{a}{t}} \right)
 \end{aligned}$$

- Equivalent to restricting to  $SU(1, 2|3)$  subsector

$\frac{1}{16}$ -BPS states



# BPS OPERATORS IN $N=4$ SYM

$S^1 \times S^3$  dual to global coordinates

Name in 0707.1621	$SO(4)[J_a, J_b]$	Name in 0510251	$Q$	$Q_3$	$E_0$	$E$
$Z$	$[0, 0]$	$Z$	$\frac{1}{2}$	0	1	1
$X$	$[0, 0]$	$X$	$\frac{1}{2}$	0	1	1
$W$	$[0, 0]$	$Y$	0	1	1	1
$F_+$	$[1, 1]$	$F_{++}$	0	0	2	2
$\chi_1$	$[\frac{1}{2}, -\frac{1}{2}]$	$\psi_{0,+}^{},+,+++$	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{3}{2}$	$\frac{3}{2}$
$\chi_2$	$[-\frac{1}{2}, \frac{1}{2}]$	$\psi_{0,-}^{},-,+++$	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{3}{2}$	$\frac{3}{2}$
$\bar{\chi}_3$	$[\frac{1}{2}, \frac{1}{2}]$	$\psi_{+,0}^{},-,---$	0	$\frac{1}{2}$	$\frac{3}{2}$	$\frac{3}{2}$
$\bar{\chi}_5$	$[\frac{1}{2}, \frac{1}{2}]$	$\psi_{+,0}^{},+--$	0	$\frac{1}{2}$	$\frac{3}{2}$	$\frac{3}{2}$
$\bar{\chi}_7$	$[\frac{1}{2}, \frac{1}{2}]$	$\psi_{+,0}^{},++-$	$\frac{1}{2}$	$-\frac{1}{2}$	$\frac{3}{2}$	$\frac{3}{2}$
$d_1$	$[1, 0]$	$\partial_{++}$	0	0	1	1
$d_2$	$[0, 1]$	$\partial_{+-}$	0	0	1	1

red / blue —  $SU(1, 1|2)$  subsector ( $\frac{1}{8}$ -BPS states)

blue —  $SU(1, 1)$  fermionic subsector

satisfy  $E = E_0 = \sum_I Q_I + J_a + J_b$   
 $J_{a,b} = J_1 \pm J_2$

# PLETHYSTICS

- Consider function  $f(z) = \sum_{n=0}^{\infty} a_n z^n$
- Plethystic exponential  $\text{PE}[f(x)] = \exp \left( \sum_{n=1}^{\infty} \frac{1}{n} (f(x^n) - f(0)) \right) = \prod_{n=1}^{\infty} \frac{1}{(1-x^n)^{a_n}}$
- Tool for counting GIOs
- Gives Hilbert series

$$g^{N_f=N_c}(t) = \frac{1-t^{2N_f}}{(1-t^2)^{N_f^2} (1-t^{N_f})^2}$$

**1 CONSTRAINT  
OF WEIGHT  $2N_f$**

**$N_f^2$  MESONS OF WEIGHT 2**

**2 BARYONS OF WEIGHT  $N_f$**

# PLETHYSTICS

- Single particle partition function  $z(x)$  sums over oscillators

- Single trace states with  $k$  oscillators  $Z_k \sim \frac{z(x)^k}{k}$

- Partition function of single trace states is

$$Z_{\text{st}} = \sum_k Z_k = - \sum_{q=1}^{\infty} \frac{\varphi(q)}{q} \ln(1 - z(x^q))$$

- Multitrace partition function is

$$\log Z = \sum_{n=1}^{\infty} \frac{1}{n} Z_{\text{st}}(x^n)$$

# MULTILETTER PARTITION FUNCTION

- Applying plethystic program, we get full partition function

$$Z = \frac{1}{N!} \int \prod_{i=1}^N \frac{d\alpha_i}{2\pi} \prod_{i < j} \left( 2 \sin \frac{\alpha_{ij}}{2} \right)^2 \\ \times \exp \left[ \sum_{n=1}^{\infty} \frac{1}{n} \left( 1 - \frac{\prod_{I=1}^3 2 \sinh \frac{n\Delta_I}{2}}{2 \sinh \frac{n\omega_a}{2} 2 \sinh \frac{n\omega_b}{2}} \right) \sum_{i,j=1}^N e^{in\alpha_{ij}} \right]$$

$\alpha_i$  = weights of  $SU(N)$

$\alpha_{ij} = \alpha_i - \alpha_j$  = roots of  $SU(N)$

- Valid in free field limit

# LARGE-N LIMIT

- Large- $N$  limit of  $\log Z$ 
  - Kinney, Maldacena, Minwalla, Raju leading order result is  $\mathcal{O}(1)$  free field result cannot capture black hole entropy
  - Hosseini, Hritsov, Zaffaroni supersymmetric black hole entropy is Legendre transform of  $\mathcal{O}(N^2)$  quantity interpreted as supersymmetric Casimir energy  $\log Z = \frac{N^2}{2} \frac{\Delta_1 \Delta_2 \Delta_3}{\omega_a \omega_b}$
  - Cabo-Bizet, Cassani, Martelli, Murthy show the difference between partition function and superconformal index is supersymmetric Casimir energy; calculation where bulk dual is minimal 5d supergravity
  - Choi, Kim, Kim, Nahmgoong and Benini, Milan show using matrix model integral and Bethe ansatz that if chemical potential is complex, the superconformal index can have a leading  $N^2$  term

# LARGE-N LIMIT

- Large- $N$  limit of  $\log Z$ 
  - **Kim, Kim, Song** generalize to  $\mathcal{N} = 1$  with putative  $\text{AdS}_5 \times Y^{p,p}$  dual matrix model integral in Cardy limit
  - **Lezcano, Pando Zayas** perform  $\mathcal{N} = 1$  microstate counting with Bethe ansatz
  - **Murthy talk:** more recent developments on this theme

# ZEITGEIST

- Illustrate the spirit of the calculation using matrix model methods
- Work in Cardy limit  $\omega_a, \omega_b \ll 1$
- First,  $\prod_{I=1}^3 \sinh \frac{n\Delta_I}{2} e^{i\alpha_{ab}} \sim \sum_{s_I=\pm 1} e^{n(\frac{s\cdot\Delta}{2} + i\alpha_{ab})}$ ,  $\sinh \frac{n\omega_i}{2} \sim \frac{n\omega_i}{2}$
- Then,

$$\begin{aligned}
 Z &= \frac{1}{N!} \int \prod_{i=1}^N \frac{d\alpha_i}{2\pi} \prod_{i < j} \left( 2 \sin \frac{\alpha_{ij}}{2} \right)^2 \\
 &\quad \times \exp \left[ \sum_{n=1}^{\infty} \frac{1}{n} \left( 1 - \frac{\prod_{I=1}^3 2 \sinh \frac{n\Delta_I}{2}}{2 \sinh \frac{n\omega_a}{2} 2 \sinh \frac{n\omega_b}{2}} \right) \sum_{i,j=1}^N e^{in\alpha_{ij}} \right] \\
 &\sim \frac{1}{N!} \int \prod_{a=1}^N \frac{d\alpha_a}{2\pi} \exp \left[ -\frac{1}{\omega_a \omega_b} \sum_s \sum_{a \neq b} \left( \text{Li}_3(e^{\frac{s\cdot\Delta}{2} + i\alpha_{ab}}) - \text{Li}_3(e^{-\frac{s\cdot\Delta}{2} - i\alpha_{ab}}) \right) \right]
 \end{aligned}$$

$$\text{Li}_3(x) = \sum_{n=1}^{\infty} \frac{x^n}{n^3}$$

# ZEITGEIST

- Apply math tricks such as:

$$\text{Li}_3(-e^x) - \text{Li}_3(-e^{-x}) = -\frac{x^3}{6} - \frac{\pi^2 x}{6}, \quad -\pi < \text{Im}(x) < \pi$$

- Approximate  $\alpha_a$  integrals by saddle point method
- Derivative of  $\alpha_a$  zero if  $\alpha_1 = \dots = \alpha_N$
- Dominance of this saddle is an assumption
- Find:  $\log Z \sim \frac{N^2}{6\omega_a\omega_b} \sum_s \left[ \left( \frac{s \cdot \Delta}{2} \right)^3 + \pi^2 \left( \frac{s \cdot \Delta}{2} \right) \right] = \frac{N^2 \Delta_1 \Delta_2 \Delta_3}{2\omega_a\omega_b}$
- Relation  $\Delta_1 + \Delta_2 + \Delta_3 - \omega_a - \omega_b = 2\pi i$  used to simplify expression

# ENTROPY

- We have:  $S = \frac{N^2}{2} \frac{\Delta_1 \Delta_2 \Delta_3}{\omega_a \omega_b} + \sum \Delta_I Q_I + \omega_a J_a + \omega_b J_b$
- Evaluate its saddle point  $\frac{\partial S}{\partial \Delta_I} = \frac{\partial S}{\partial \omega_i} = 0$
- Entropy becomes

$$S = 2\pi \sqrt{Q_1 Q_2 + Q_2 Q_3 + Q_3 Q_1 - \frac{N^2}{2} (J_a + J_b)}$$

- Generically find complex entropy

- Demand  $\text{Im}(S) = 0$

- New constraint:

$$Q_1 Q_2 Q_3 + \frac{N^2}{2} J_a J_b = \left( \frac{N^2}{2} + Q_1 + Q_2 + Q_3 \right) \left( Q_1 Q_2 + Q_2 Q_3 + Q_3 Q_1 - \frac{N^2}{2} (J_a + J_b) \right)$$

# EVH LIMIT

- In the EVH limit,  $Q_3 = J_b = 0$
- Black hole is  $\frac{1}{8}$ -BPS so entropy vanishes

- Index is

$$Z = \text{Tr} \left[ (-1)^F e^{-\Delta_1(Q_1+J_b) - \Delta_2(Q_2+J_b) - \Delta_3(Q_3+J_b) - \omega_a(J_a - J_b)} \right]$$

- This is so called Macdonald index where  $\Delta_3 \rightarrow \infty$ ,  $\Delta_3 - \omega_b = \text{finite}$
- Leading term in partition function is  $\log Z = \frac{N^2}{2} \frac{\Delta_1 \Delta_2}{\omega_a}$
- Saddle point evaluation of entropy gives

$$S = \frac{N^2}{2} \frac{\Delta_1 \Delta_2}{\omega_a} + \omega_a J_a + \Delta_1 Q_1 + \Delta_2 Q_2 + \Lambda(\Delta_1 + \Delta_2 - \omega_a - 2\pi i)$$

- Evaluates to  $S = 0$ ; same chemical potentials as in gravity calculation

# NEAR EVH LIMIT

- We have  $\Delta_1 = \Delta_2 = \Delta$  (i.e.,  $Q_1 = Q_2$ ,  $J_a \sim N^2$ ;  $Q_3, J_b \sim \epsilon^2 N^2$ )
- Degeneracy is

$$d = \int d\Delta d\omega_a d\omega_b \exp \left( \frac{N^2}{2} \frac{\Delta^2 \Delta_3}{\omega_a \omega_b} + \omega_a (J_a + Q_3) + \omega_b (J_b + Q_3) + 2\Delta(Q - Q_3) + 2\pi i Q_3 \right)$$

- Saddle point evaluation of  $\Delta$ ,  $\omega_a$  integrals
- Get

$$d = \int d\omega_b \exp \left[ \frac{N^2}{2} \frac{\widehat{\Delta}^2}{\omega_b} \left( \frac{2\widehat{\Delta}}{\widehat{\omega}_a} - 1 \right) + 2\pi i Q_3 \right] e^{\omega_b (J_b + Q_3)}$$

## NEAR EVH LIMIT

- Saddle point evaluation requires:  $\epsilon N^2 \gg 1$  or  $a \rightarrow 1$
- Either way, we find  $S = \frac{\pi a}{1-a} \sqrt{\frac{\lambda a}{1+a}} \epsilon N^2$
- This reproduces gravity answer for near EVH entropy
- Also, near EVH limit of  $\frac{1}{16}$ -BPS solution in  $\epsilon$ -expansion

# CARDY FORMULA

- Partition function enjoys  $Z(\beta) = Z\left(\frac{4\pi^2}{\beta}\right)$
  - Low temperature expansion gives  $Z(\beta) \approx \exp\left(\frac{\pi^2 c}{6\beta}\right)$
  - Saddle point evaluation of inverse Laplace transform yields
- $$d \approx \int d\beta \exp\left[\frac{\pi^2 c}{6\beta} + \beta\left(L_0 - \frac{c}{24}\right)\right]$$
- In near EVH limit:  $c = 3\sqrt{2}\frac{a^2}{1-a^2}\epsilon N^2$ ,  $L_0 - \frac{c}{24} = \frac{1}{2\sqrt{2}}\frac{a}{1-a}\lambda\epsilon N^2$
  - Saddle at  $\hat{\beta} = \pi\sqrt{\frac{c}{6(L_0 - \frac{c}{24})}} = \pi\sqrt{\frac{2}{\lambda}\frac{a}{1+a}}$

# CONNECTION TO CARDY

- Can write degeneracy equation as

$$\begin{aligned} d &= \int d\omega_b \exp \left[ \frac{N^2}{2} \frac{\pi^2 a^2}{1-a^2} \frac{1}{\omega_b} + \frac{N^2 \epsilon^2}{2} \frac{\lambda a}{1-a} \omega_b + \mathcal{O}(\epsilon) \right] \\ &= \int d\omega_b \exp \left[ \frac{c\pi^2}{6\sqrt{2}} \frac{1}{\epsilon \omega_b} + \sqrt{2}\epsilon \left( L_0 - \frac{c}{24} \right) \omega_b + \mathcal{O}(\epsilon) \right] \end{aligned}$$

- Compare to

$$d \approx \int d\beta \exp \left[ \frac{\pi^2 c}{6\beta} + \beta \left( L_0 - \frac{c}{24} \right) \right]$$

- We have  $\tilde{\omega}_b = \sqrt{2}\epsilon \omega_b \sim \beta$

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from relation between time coordinates of AdS<sub>3</sub> & AdS<sub>5</sub>

like factor of  $\frac{3}{4}$  in thermal free energy; i.e., strong coupling effect?

# CONICAL DEFICIT

- $d = \int d\omega_b \exp \left[ \frac{N^2}{2} \frac{\pi^2 a^2}{1-a^2} \frac{1}{\omega_b} + \frac{N^2 \epsilon^2}{2} \frac{\lambda a}{1-a} \omega_b + \mathcal{O}(\epsilon) \right]$
- Two terms in integrand  $\tilde{c} = 3 \frac{a^2}{1-a^2} N^2$ ,  $\tilde{L}_0 - \frac{\tilde{c}}{24} = \frac{1}{2} \frac{a}{1-a} \lambda \epsilon^2 N^2$
- In near EVH limit  $\tilde{L}_0 - \frac{\tilde{c}}{24} = 0$
- Conical deficit  $\sim \epsilon^{-1}$
- Rescale:  $c = \epsilon \tilde{c}$ ,  $L_0 - \frac{c}{24} = \frac{1}{\epsilon} \left( \tilde{L}_0 - \frac{\tilde{c}}{24} \right)$
- Cardy formula invariant, but fractionated spectrum

de Boer, Sheikh-Jabbari, Simón

# LONG STRINGS

- Suppose we have strings of size  $R$
- If they form a long string, length is  $NR$
- Energy gap goes from  $\frac{1}{R} \rightarrow \frac{1}{NR}$
- Lot of low energy states in spectrum
- Long string spectrum/fractionation in IR 2d CFT

# OPERATOR DUAL

- In  $SU(1, 1)$ , we have  $\chi_1$  ,  $\bar{\chi}_7$  ,  $\partial_{++}$
- Satisfy  $\frac{N^2}{2} J_a = Q_1^2$  BPS condition
- Write  $\text{Sym} \left[ \prod_{a,b=1}^{N^2} \prod_{j=0}^{\frac{K}{2}-1} \psi_j^a \prod_{m=\frac{K}{2}}^{K-1} \bar{\psi}_m^b \right]$  with  $\psi_k = \partial_{++}^k \chi_1$  ,  $\bar{\psi}_k = \partial_{++}^k \bar{\chi}_7$
- These satisfy  $J_b = Q_3 = 0$  for EVH black hole
- In  $a \rightarrow 1$  limit, this gives explicit description of EVH CFT<sub>2</sub>

Berkooz, Narayan, Zait

- In free limit we have  $SU(N)_N \oplus SU(N)_N$  chiral WZW model
- Thermodynamics of near horizon BTZ as IR limit of thermodynamics of AdS<sub>5</sub>
- Near EVH story is more complicated

Johnstone, Sheikh-Jabbari, Simón, Yavartanoo

## OTHER DIMENSIONS

- $\text{AdS}_4$ : EVH BPS limit has naked singularity
- $\text{AdS}_6$ : can have  $S \sim T^2$  EVH so  $\text{AdS}_4$  near horizon
- $\text{AdS}_7$ : with equal charges,  $S \sim T^3$  EVH so  $\text{AdS}_5$  near horizon
- Details in paper

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# SUMMARY

- Techniques for analyzing  $\frac{1}{16}$ -BPS black holes in AdS<sub>5</sub> extended to study EVH/CFT<sub>2</sub>
- Entropy calculation for EVH and near EVH in fast rotating limit
- Legendre transform of superconformal index, which gives black hole entropy, is equivalent to derivation of Cardy formula
- Shows how IR CFT is realized in UV CFT
- Hints of similar stories in higher dimensions

# OPEN QUESTIONS

- More precise statement of origin of infinite dimensional conformal symmetry in subsectors of  $\mathcal{N} = 4$  SYM
- Entropy matches, but how we do this in a microcanonical picture sending states to states
- Mechanism for fractionation in 4d CFT, especially in near EVH case
- In generic setting, the near horizon  $\text{AdS}_d \times X$  mixes  $\text{AdS}_5$ ,  $S^5$  coordinates
- Cardy & Cardy–Verlinde

OBRIGADO!