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# 1/8-BPS couplings in effective string theory

Axel Kleinschmidt (Albert Einstein Institute, Potsdam)



Workshop on black holes:  
BPS, BMS and integrability, Lisbon (online), 7 Sep 2020

Joint work with Guillaume Bossard and Boris Pioline

[[arXiv:2001.05562](https://arxiv.org/abs/2001.05562), *SciPost Phys.* 8 (2020) 054]



# String theory effective action

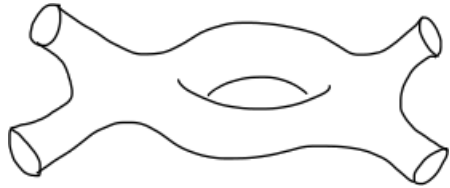
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Type II string theory on  $T^{d-1}$

↓  $\alpha' \rightarrow 0$

Max. supergravity in  $D = 11 - d$ , global  $E_d(\mathbb{R})$  symmetry  
as complete low energy effective action (EFT)

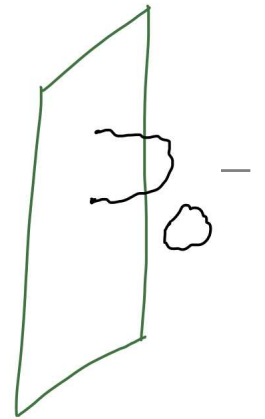
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perturbative

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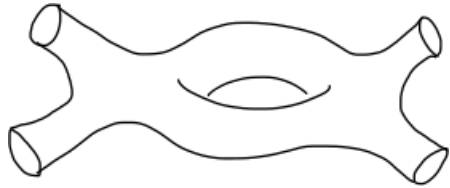
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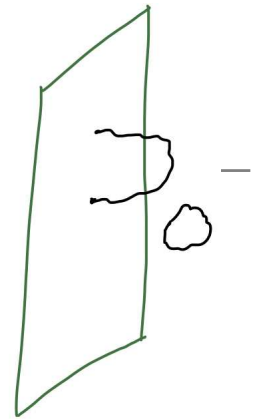
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Also: higher-derivative corrections to SUGRA

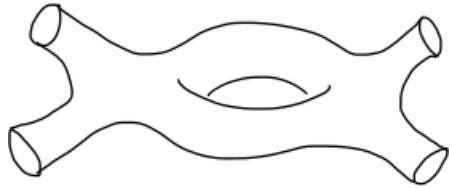
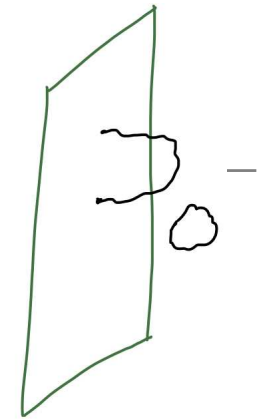
$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SUGRA}} + (\alpha')^3 f(\Phi) \sqrt{-g} R^4 + \dots$$

$$\alpha' = \ell_s^2$$

$$\Phi \in E_d / K(E_d)$$

$$(\text{Riemann})^4$$

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Breaks SUGRA  $E_d(\mathbb{R})$  to string U-duality  $E_d(\mathbb{Z})$

# String theory effective action (II)

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designed to capture string interactions up to given  $\alpha'$  order

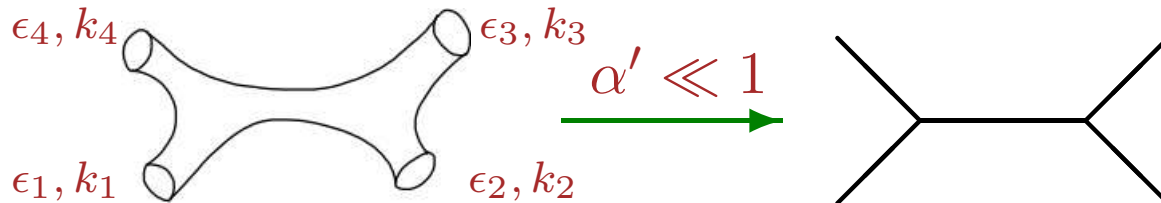
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E.g. four gravitons at tree level



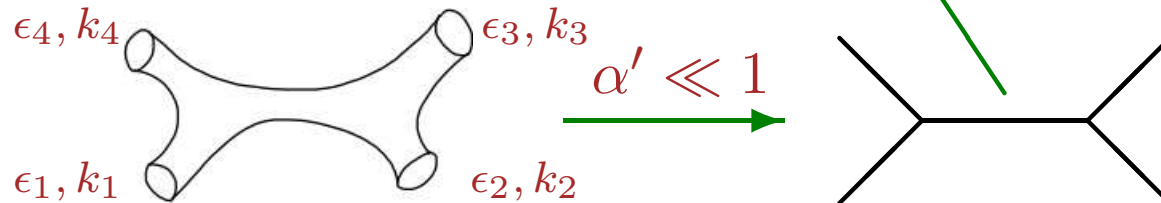
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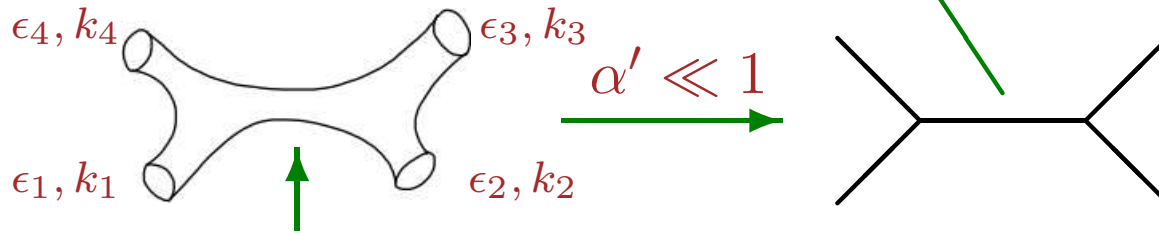
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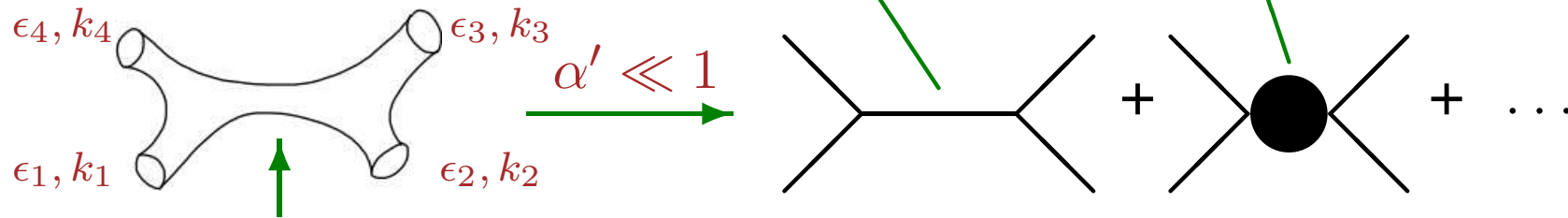
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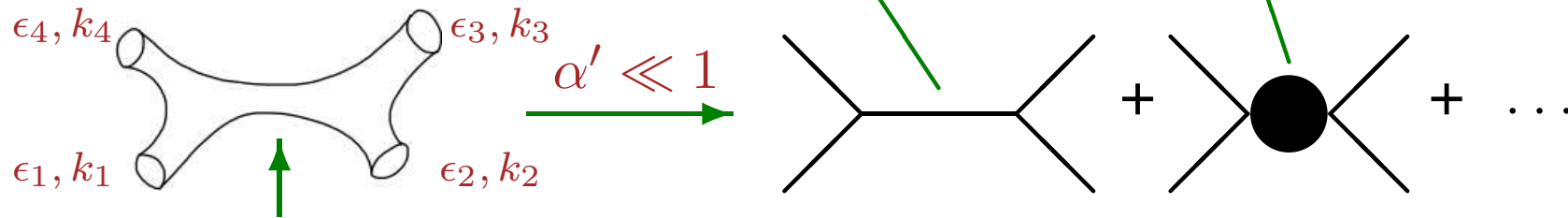
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Information about loop order (genus) and torus background in  $f(\Phi)$ .

⇒ Determining these is hard and aim of the talk

# String theory effective action (III)

---

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SUGRA}} + (\alpha')^3 f(\Phi) \sqrt{-g} R^4 + \dots$$

Determine  $f(\Phi)$

1. directly: Compute (pert.) string amplitudes to given order in  $\alpha'$
2. indirectly: Use symmetry arguments and auxiliary systems. Particularly feasible when given coupling is BPS-protected

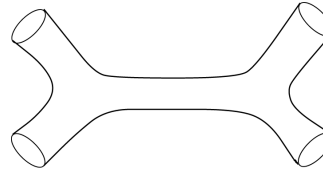
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Tree level in  $D = 10$

$$\mathcal{A}(s, t, u) = g_s^{-2} \frac{4}{stu} \frac{\Gamma(1 - \alpha' s) \Gamma(1 - \alpha' t) \Gamma(1 - \alpha' u)}{\Gamma(1 + \alpha' s) \Gamma(1 + \alpha' t) \Gamma(1 + \alpha' u)} \mathcal{R}^4$$

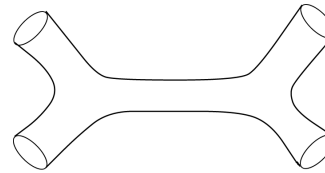
[ Green  
Schwarz ]

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[Green Schwarz]

Mandelstam variables

string coupling: tree level

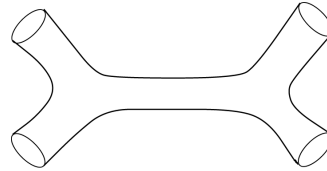
absorbs polarisation tensors

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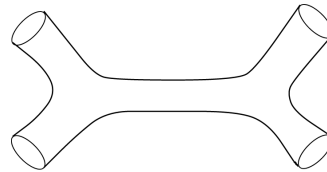
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Effective action (Einstein frame)

$$\sqrt{-g}^{-1} \mathcal{L} \sim R + (\alpha')^3 2\zeta(3) g_s^{-3/2} R^4 + (\alpha')^5 \zeta(5) g_s^{-5/2} \nabla^4 R^4 + \dots$$

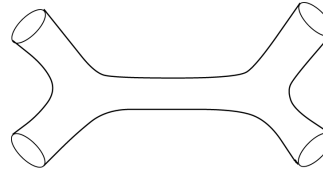


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only part of coefficient functions! Tree level...

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# Higher derivative corrections

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More precisely in  $D = 11 - d$  dimensions  $E_d/K(E_d)$  scalar fields

$$e^{-1} \mathcal{L} = \ell^{2-D} \left[ R - \frac{1}{2} G_{IJ}(\Phi) \partial \Phi^I \partial \Phi^J + \dots \right]$$

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$$+ \ell^{8-D} \left[ \mathcal{E}_{(0,0)}^D(\Phi) R^4 + \dots \right] + \ell^{12-D} \left[ \mathcal{E}_{(1,0)}^D(\Phi) \nabla^4 R^4 + \dots \right]$$
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- Moduli  $\Phi = (g_s, \dots)$ : couplings and shape of  $T^{d-1}$  etc.
- Analytic part  $\mathcal{E}_{(p,q)}^D(\Phi)$  must expand for small coupling etc. to match perturbative results, e.g.

$$\mathcal{E}_{(0,0)}^{10B}(\Phi) = 2\zeta(3) g_s^{-3/2} + \dots$$

IIB:  $\Phi = c_{(0)} + i g_s^{-1}$

direct determination

# Indirect determination

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Coefficient fn.s  $\mathcal{E}_{(p,q)}^D(\Phi)(s^2 + t^2 + u^2)^p(s^3 + t^3 + u^3)^q 4^{-2p-3q}$

- satisfy  $\mathcal{E}_{(p,q)}^D(\gamma\Phi k) = \mathcal{E}_{(p,q)}^D(\Phi)$  for  $\gamma \in E_d(\mathbb{Z})$ ,  $k \in K(E_d)$

$E_d(\mathbb{Z})$  U-duality invariance includes S-duality  $g_s \rightarrow g_s^{-1}$

$\Rightarrow \mathcal{E}_{(p,q)}$  contains all perturbative and non-perturbative

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$\frac{1}{2}$ -BPS

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- satisfy strong PDEs in  $\Phi$
- only selected string theory states contribute

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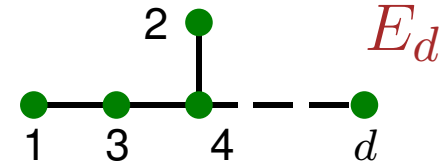
$\implies$  **Exceptional field theory loops**

section constraint  $\Leftrightarrow$   $\frac{1}{2}$ -BPS-constraint

# Exceptional field theory

de Wit, Nicolai; Hull; Waldram et al.;  
Berman, Perry; Hohm, Samtleben; West; ...

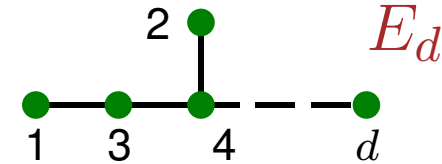
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(continuous!) 'geometric'. Combine  
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$$\underline{\mathcal{M}^D} \times \underline{\mathcal{M}^{d(\alpha_d)}}$$

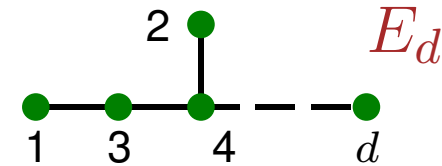
external space(-time)

internal space,  $d(\alpha_d) = \dim \mathbf{R}_{\alpha_d}$   
 $E_d$  hst. weight rep. on node  $\alpha_d$

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 external space(-time)      internal space,  $d(\alpha_d) = \dim \mathbf{R}_{\alpha_d}$   
 $E_d$  hst. weight rep. on node  $\alpha_d$

Assume toroidal internal space  $\Rightarrow$  quantised momenta

$\mathbf{R}_{\alpha_d}$  decomposes under 'gravity line'  $GL(d, \mathbb{R}) \subset E_d(\mathbb{R})$

$$\Gamma_M = (n_m, n^{m_1 m_2}, n^{n_1 \dots n_5}, \dots) \in \mathbb{Z}^{d(\alpha_d)}$$

KK momenta

M2 wrappings

Interpretation as charges of (BPS)-states

# Section constraint

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ExFT has **section constraint** for closure of gauge algebra

$$\Gamma_M \times \Gamma_N \Big|_{\mathbf{R}_{\alpha_1}} = 0$$

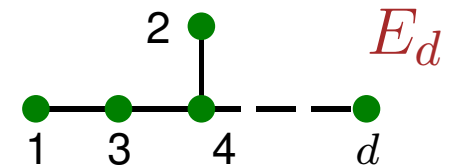


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$E_d$	$\mathbf{R}_{\alpha_d}$	$\mathbf{R}_{\alpha_1}$
$SO(5, 5)$	16	10
$E_6$	27	$\overline{27}$
$E_7$	56	133
$E_8$	248	$3875 \oplus 1$

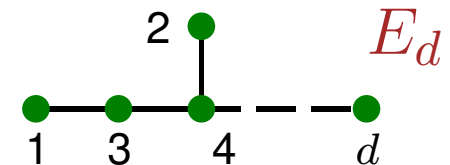


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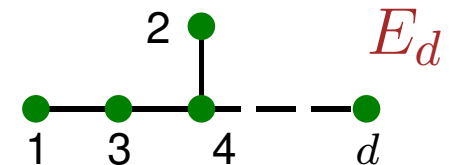
One solution: only KK momenta  $n_k \neq 0$  (M-theory solution)

# Section constraint

ExFT has **section constraint** for closure of gauge algebra

$$\Gamma_M \times \Gamma_N \Big|_{\mathbf{R}_{\alpha_1}} = 0 \quad \left\{ \begin{array}{l} n^{m_1 m_2} n_{m_2} = 0 \\ 3n^{[m_1 m_2} n^{m_3 m_4]} = n^{m_1 m_2 m_3 m_4 k} n_k \\ \dots \end{array} \right.$$

$E_d$	$\mathbf{R}_{\alpha_d}$	$\mathbf{R}_{\alpha_1}$
$SO(5, 5)$	16	10
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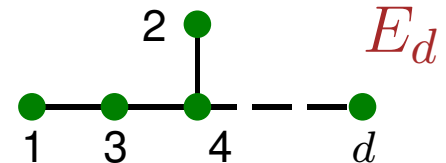
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Use this set-up to compute amplitudes

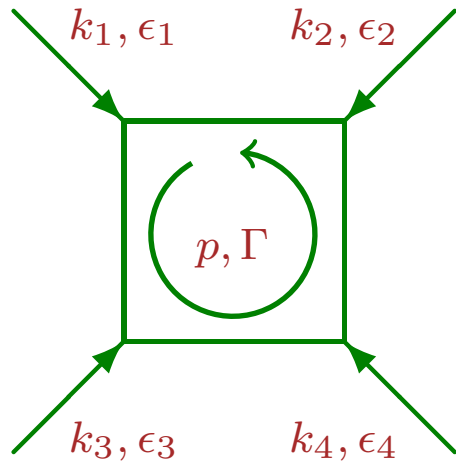
# ExFT amplitudes

Bossard  
AK

Four-graviton one-loop amplitude reduces to scalar box

Bern  
et al.

(avoid full Feynman rules)



contains polarisations

$$= \mathcal{R}^4 A^{1\text{-loop}}(k_1, k_2, k_3, k_4)$$

with

$$A^{1\text{-loop}}(k_1, k_2, k_3, k_4) = \kappa^2 \int \frac{d^{11-d}p}{(2\pi)^{11-d}} \sum_{\substack{\Gamma \in \mathbb{Z}^{d(\alpha_d)} \\ \Gamma \times \Gamma = 0}} \frac{1}{((p-k_1)^2 + \ell^{-2} |Z(\Gamma)|^2)}$$

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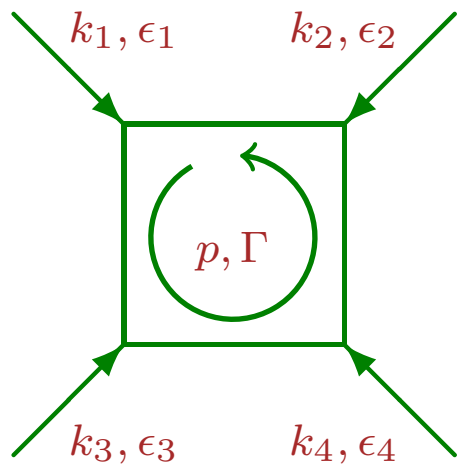
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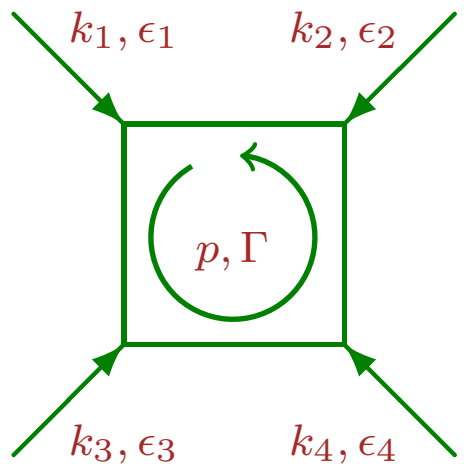


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'BPS-enhanced' SUGRA

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$E_d/K(E_d)$  moduli  $\Phi$ -dependent BPS mass



# One-loop in ExFT

Treat loop integral over  $d^{11-d}p$  with usual Schwinger and Feynman techniques:

$$A^{1\text{-loop}}(k_1, k_2, k_3, k_4) = 4\pi\ell^{9-d} \sum_{\substack{\Gamma \in \mathbb{Z}^{d(\alpha_d)} \\ \Gamma \times \Gamma = 0}} \int_0^\infty \frac{dv}{v^{\frac{d-1}{2}}} \int_0^1 dx_1 \int_0^{x_1} dx_2 \int_0^{x_2} dx_3 \\ \times \exp \left[ \frac{\pi}{v} \left( (1-x_1)(x_2-x_3)s + x_3(x_1-x_2)t - \ell^{-2}|Z|^2 \right) \right] + \text{perms.}$$

Low energy from expanding in Mandelstam variables

$$s = -(k_1 + k_2)^2, \quad t = -(k_1 + k_4)^2, \quad u = -(k_1 + k_3)^2.$$

$\Gamma = 0$  term corresponds to SUGRA in  $D = 11 - d$ ; usual log threshold contribution  $\Rightarrow$  remove for analytic eff. action



# Low energy correction terms

---

For lowest two orders

$$A^{1\text{-loop}}(s, t, u) = 4\pi\ell^6 \left( \xi(d-3)E_{\alpha_d, \frac{d-3}{2}} + \frac{\pi^2\ell^4(s^2 + t^2 + u^2)}{720} \xi(d+1)E_{\alpha_d, \frac{d+1}{2}} + \dots \right)$$

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↖  $\nabla^4 R^4$  correction

Notation

- $\xi(s) = \pi^{-s/2} \Gamma(s/2) \zeta(s)$  [completed Riemann zeta]
- $E_{\alpha_d, s} = \frac{1}{2\zeta(2s)} \sum_{\substack{\Gamma \neq 0 \\ \Gamma \times \Gamma = 0}} |Z(\Gamma)|^{-2s}$  [Eisenstein series]

Restricted lattice sum rewritable as single U-duality orbit! E.g. of KK momentum states.

# Interpretation

---

- The 1-loop ExFT result for the  $\frac{1}{2}$ -BPS coupling  $R^4$

$$\mathcal{E}_{(0,0)}^D = 4\pi\xi(d-3)E_{\alpha_d, \frac{d-3}{2}} \quad \checkmark$$

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- The 1-loop ExFT result

$$\mathcal{E}_{(1,0)}^D = \frac{4\pi^3}{45}\xi(d+1)E_{\alpha_d, \frac{d+1}{2}} \quad (\times)$$

for the  $\frac{1}{4}$ -BPS coupling  $\nabla^4 R^4$  diverges for some  $d$  and requires renormalisation. Difficulty not fully surprising:

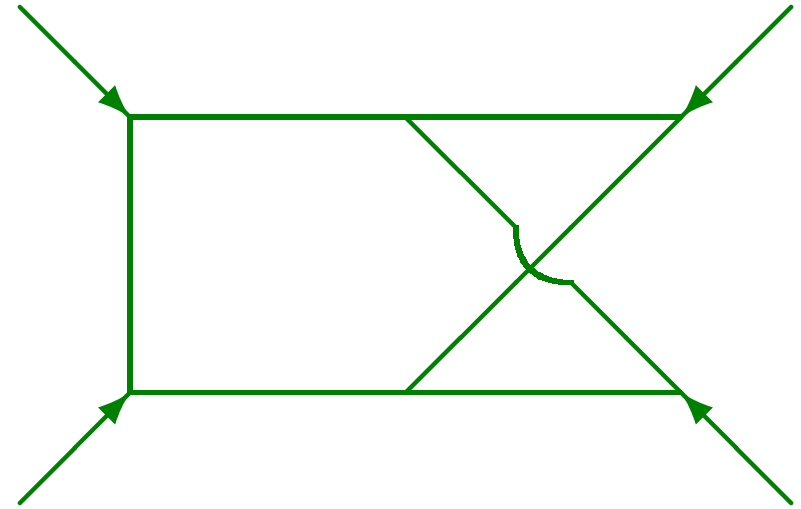
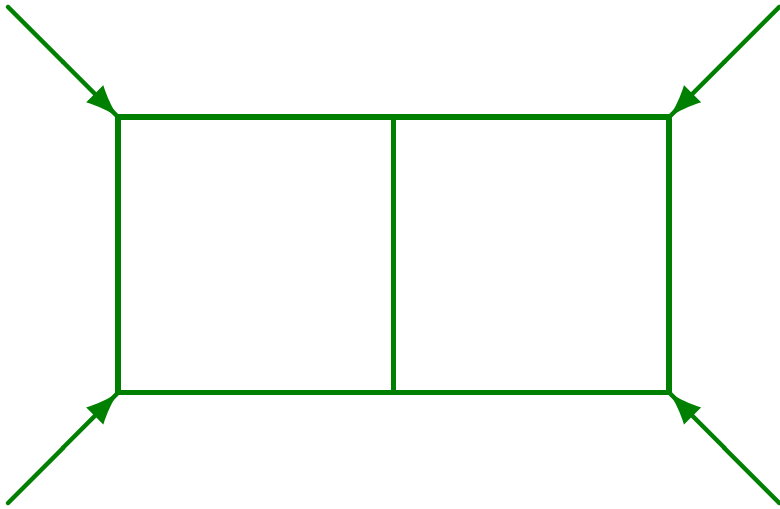
- ExFT 1-loop calculation only contained  $\frac{1}{2}$ -BPS states
- Should have 2-loop  $\frac{1}{2}$ -BPS contribution

# Two loops in EFT (I)

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[Bern et al.]: combination of planar and non-planar scalar diagram at  $L = 2$

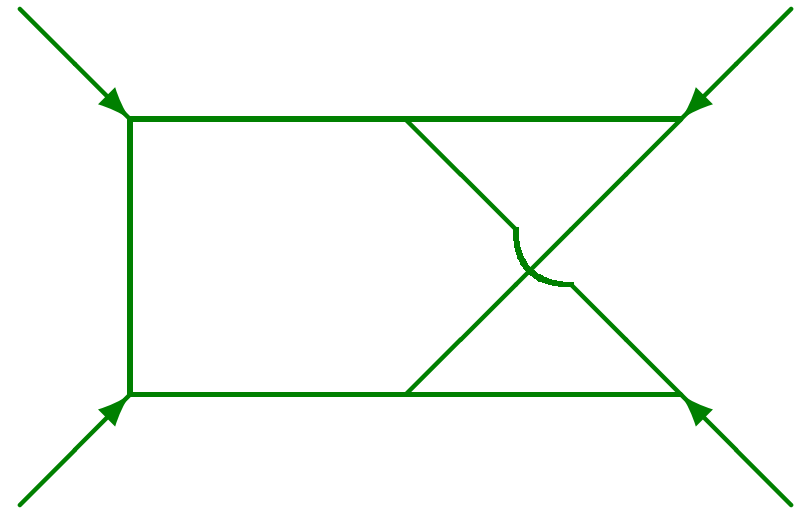
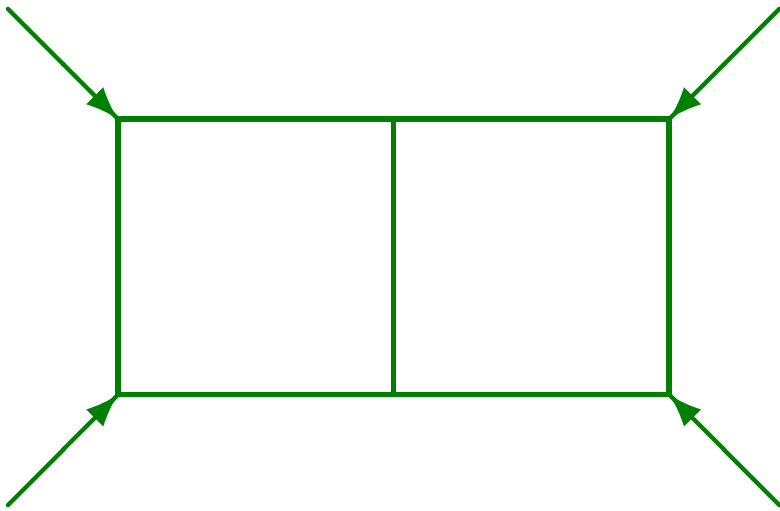


After a few pages of calculation

$$A^{2\text{-loop}}(s, t, u) \sim \ell^6 \sum_{\substack{\Gamma_1, \Gamma_2 \\ \Gamma_i \times \Gamma_j = 0}} \int_0^\infty \frac{d^3 \Omega}{(\det \Omega)^{\frac{7-d}{2}}} e^{-\Omega^{ij} \langle Z(\Gamma_i) | Z(\Gamma_j) \rangle} \\ \times \left[ \frac{\ell^4 (s^2 + t^2 + u^2)}{6} + \frac{\ell^6 (s^3 + t^3 + u^3)}{72} \Phi_{(0,1)}(\Omega) + \dots \right]$$

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 & \nabla^4 R^4 \text{ correction} \quad \nabla^6 R^4 \text{ (later)} \\
 & \times \left[ \frac{\ell^4 (s^2 + t^2 + u^2)}{6} + \frac{\ell^6 (s^3 + t^3 + u^3)}{72} \Phi_{(0,1)}(\Omega) + \dots \right]
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# Two loops in ExFT (II)

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Focus first on  $\nabla^4 R^4$  contribution. Need to understand

$$\sum_{\substack{\Gamma_1, \Gamma_2 \\ \Gamma_i \times \Gamma_j = 0}} \int_0^\infty \frac{d^3 \Omega}{(\det \Omega)^{\frac{7-d}{2}}} e^{-\Omega^{ij} \langle Z(\Gamma_i) | Z(\Gamma_j) \rangle}$$

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Sum is restricted to pairs of charges  $\Gamma_1, \Gamma_2$  satisfying

$$\Gamma_i \times \Gamma_j |_{\mathbf{R}_{\alpha_1}} = 0$$

Solutions can be parametrised by suitable parabolic decompositions, organised into orbits **[Bossard, AK]**.

# Two loops in ExFT (III)

---

Putting everything together (non-deg. orbit)

$$A^{2\text{-loop}, \nabla^4 R^4}(s, t, u) = 8\pi\ell^{10} \xi(d-4)\xi(d-5) E_{\alpha_{d-1}, \frac{d-4}{2}}$$

- This gives the correct function and coefficient for  $3 \leq d \leq 8$  with the right coefficient. Case  $d = 5$  ( $D = 6$ ) trickier due to IR divergences.
- Other orbits subdominant at low energies except  $d = 5$ .
- Certain doubling of  $\frac{1}{2}$ -BPS contributions from one loop and two loops. Need to discuss renormalisation and  $\frac{1}{4}$ -BPS states!

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Both approaches lead to the same result:

Fully correct  $\frac{1}{4}$ -BPS coupling  $\mathcal{E}_{(1,0)}^D$  for  $\nabla^4 R^4$  ✓

# $\frac{1}{8}$ -BPS coupling $\nabla^6 R^4$ function $\mathcal{E}_{(0,1)}^D$

---

[String answer in  $D=10$  [Green, Vanhove], conj. in  $D=8, 9$  [Green, Russo, Vanhove] [Basu] and in  $D=6, 7$  [Pioline]]

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[D'Hoker, Green; Pioline]

- satisfies the correct inhomogeneous PDE ✓

$$\left( \Delta_{E_d/K(E_d)} - \frac{6(D-6)(14-D)}{D-2} \right) \mathcal{E}_{(0,1)}^D \simeq - \left( \mathcal{E}_{(0,0)}^D \right)^2$$

[Green, Russo, Vanhove] [Pioline], tensorial generalisation [Bossard, Verschinin]

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Putting together provides concrete candidate  $\mathcal{E}_{(0,1)}^D$  for  $D \geq 4$

# $\frac{1}{8}$ -BPS coupling $\nabla^6 R^4$ function $\mathcal{E}_{(0,1)}^D$ (II)

To check candidate

$$\mathcal{E}_{(0,1)}^{D,\text{ExFT}} = \frac{2\pi^{5-d}}{9} \sum'_{\substack{\Gamma_i \in \mathbb{Z}^{2d(\alpha_d)} \\ \Gamma_i \times \Gamma_j = 0}} \int_{\mathbb{R}_+^3} \frac{d^3\Omega}{(\det \Omega)^{\frac{7-d}{2}}} \left( L_1 + L_2 + L_3 - 5 \frac{L_1 L_2 L_3}{\det \Omega} \right) e^{-\Omega^{ij} g(\Gamma_i, \Gamma_j)}$$

have to look at perturbative expansions in moduli space

$\Phi \in E_d/K(E_d)$ , e.g.  $g_s \ll 1$

- Decompose the  $\Gamma$ -sum under  $SO(d-1, d-1)$ , e.g. for  $E_7$

$$\mathbf{56} = \mathbf{12}^{(1)} \oplus \mathbf{32}^{(0)} \oplus \mathbf{12}^{(-1)}$$

$$\Gamma_i \quad q_i \quad \chi_i \quad N_i$$

Sec constr.  $\Gamma_i \times \Gamma_j = 0$ :  $(q_i, q_j) = 0$ ,  $q_{(i}^a \gamma_a \chi_{j)} = 0, \dots$

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- 'Populate'  $\Gamma$ -sum layer by layer and carry out sums and integrals (requires analytic continuation)

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Many hours of work later: [ Bossard  
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Produces exactly the expected perturbative terms! ✓  
also need to include homogeneous pieces

$$\mathcal{E}_{(0,1)}^D = g_s^{\frac{2D-28}{D-2}} \left[ \frac{2}{3} \zeta(3)^2 g_s^{-2} + \mathcal{E}_{(0,1)}^{D,1\text{-loop}} + g_s^2 \mathcal{E}_{(0,1)}^{D,2\text{-loop}} + g_s^4 \mathcal{E}_{(0,1)}^{D,3\text{-loop}} + O(e^{-1/g_s}) \right]$$

$\mathcal{E}_{(p,q)}^{D,h\text{-loop}}$  is perturbative string computation on genus- $h$  surface, only T-duality invariant and automorphic form on  $SO(d, d)/SO(d) \times SO(d)$  ( $\Rightarrow$  Narain theta lift)

E.g.

$$\mathcal{E}_{(0,1)}^{D,1\text{-loop}} = \frac{4\pi\zeta(3)}{3} \xi(d-3) E_{\frac{d-3}{2}\Lambda_1}^{D_{d-1}} + \frac{8\pi^4}{567} \xi(d+3) E_{\frac{d+3}{2}\Lambda_1}^{D_{d-1}}$$

Requires renormalisation, also including  $\frac{1}{4}$ -BPS states

# $\frac{1}{8}$ -BPS coupling $\nabla^6 R^4$ function $\mathcal{E}_{(0,1)}^D$ (IV)

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Other results in [Bossard, AK  
Pioline] on  $\mathcal{E}_{(0,1)}^D$

- verified correct divergence structure and  $\log g_s$  terms
- checked decompactification limit  $D \rightarrow D + 1$ , i.e.  
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- calculated non-perturbative effects in some cases (non-trivial Fourier coefficients). E.g.  $\frac{1}{8}$ -BPS stack of 1D5+ 1D1+ 1D1+ND1 on  $T^6$  gives index generated by

$$-\frac{\theta_4(2\tau)}{\eta(4\tau)^6} = \sum_{n \geq -1} \tilde{c}(n) q^n \quad (\text{inherited from Kawazumi–Zhang})$$

✓ 4D black hole counting [Maldacena, Moore  
Strominger] [Shih  
Strominger, Yin] [Pioline]

# Summary

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- Direct derivation of old (indirect) results and new results for  $\nabla^6 R^4$  coupling  $\mathcal{E}_{(0,1)}^D$  in  $D \geq 4$
- Instances of BPS states counting
- ExFT and its quantum loops powerful tool to compute U-duality invariant terms in string effective action
- Useful tools for dealing with section constraint
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Thank you for your attention!

