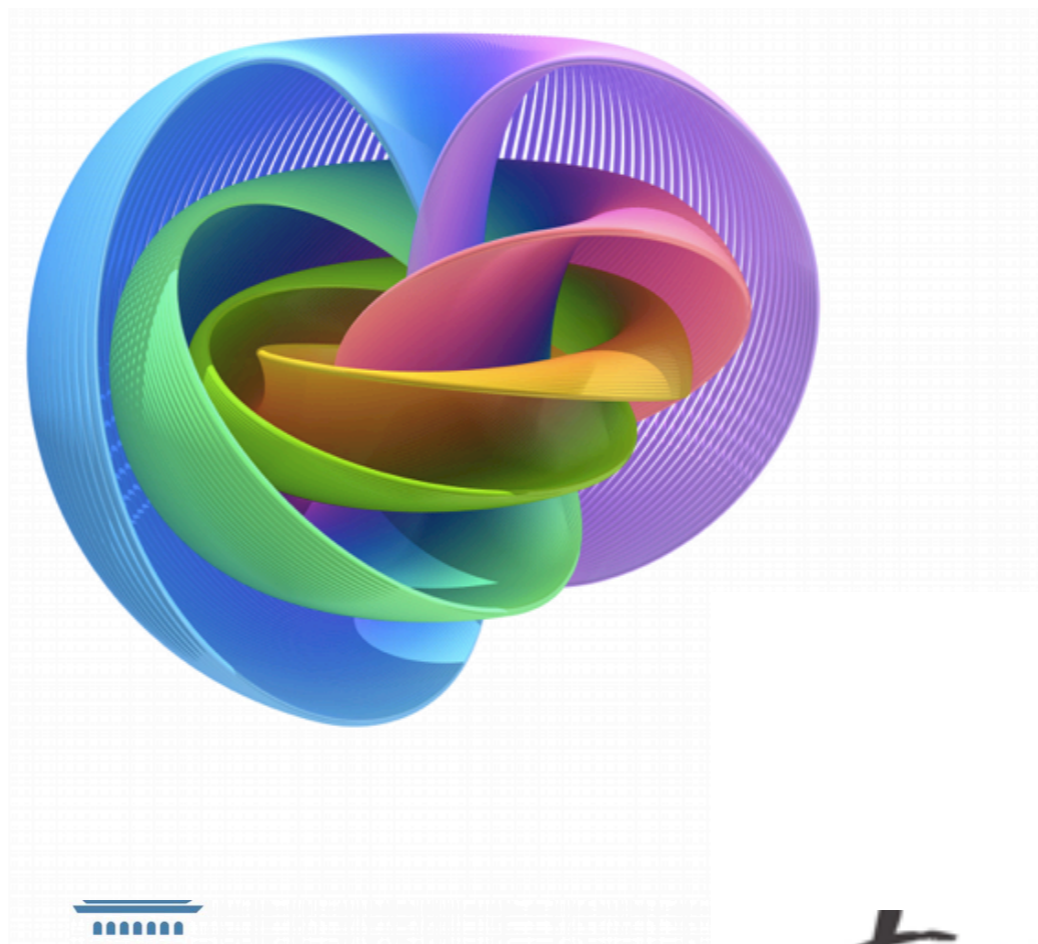


Bulk-edge dualities in topological matter

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QM³ - ITS - LISBOA

BULK-EDGE DUALITIES



**Den Festkörper hat Gott geschaffen,
die Oberfläche der Teufel**

BULK-EDGE DUALITIES

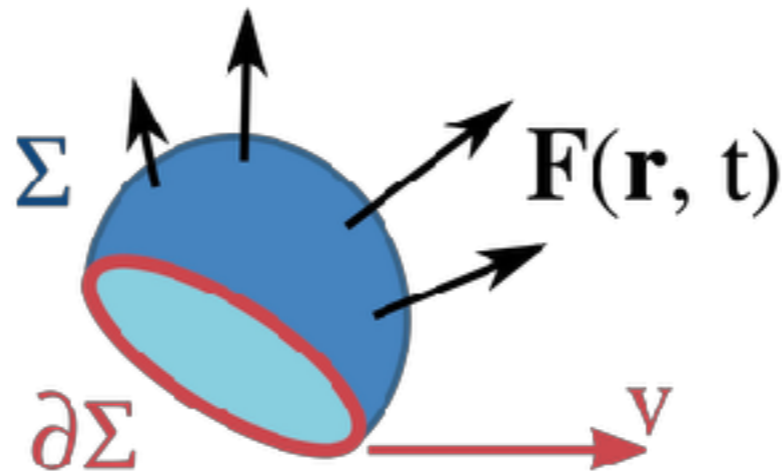


God created the volumes, the Devil the boundaries

BULK-EDGE DUALITIES

Gauss-Ostrogradski theorem

$$\iint_{\Sigma} \vec{F} \cdot d\vec{\sigma} = \iiint_V \vec{\nabla} \cdot \vec{F}$$



Cauchy's theorem

Green's theorem

Stokes' theorem

BULK-EDGE DUALITIES

Casimir Force

Vacuum EM **bulk** Fluctuations



$$V(r) = \frac{\alpha}{d^7}$$



Relativistic van der Waals
boundary forces

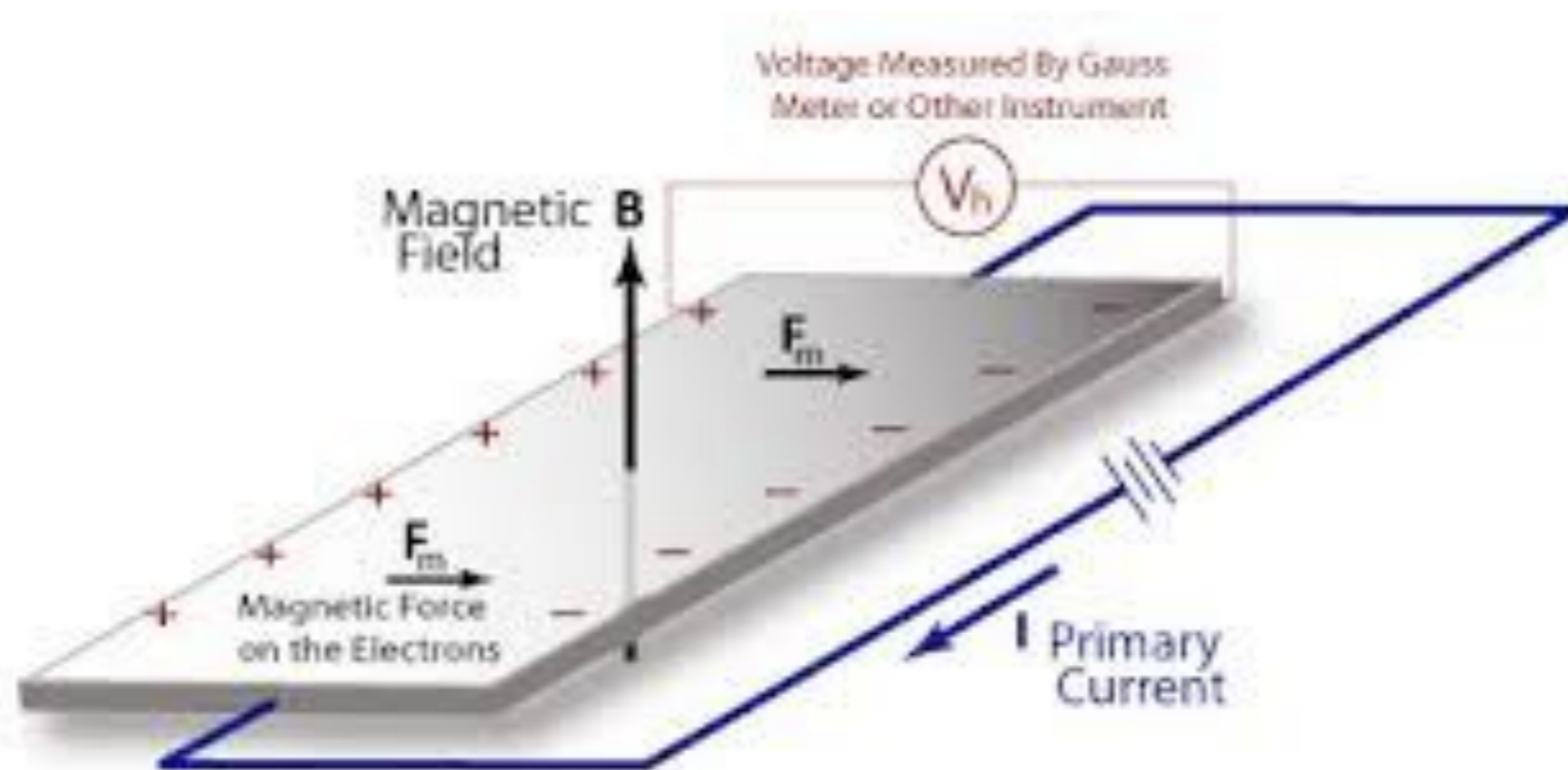
BULK-EDGE DUALITIES TOPOLOGICAL MATTER

Quantum Hall Effect [1980]

Topological Insulators [2005]

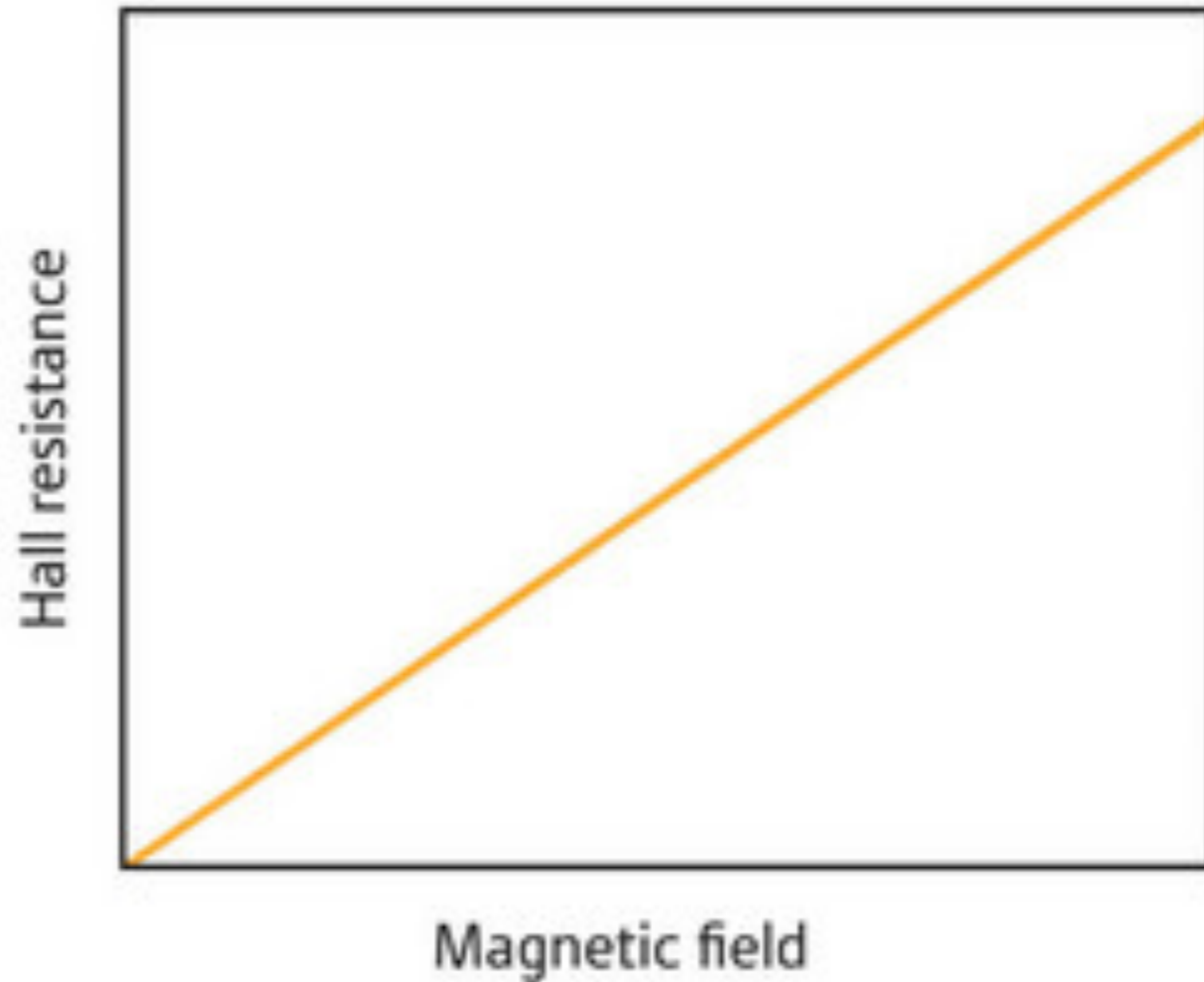
Weyl Semimetals [2015]

Hall effect

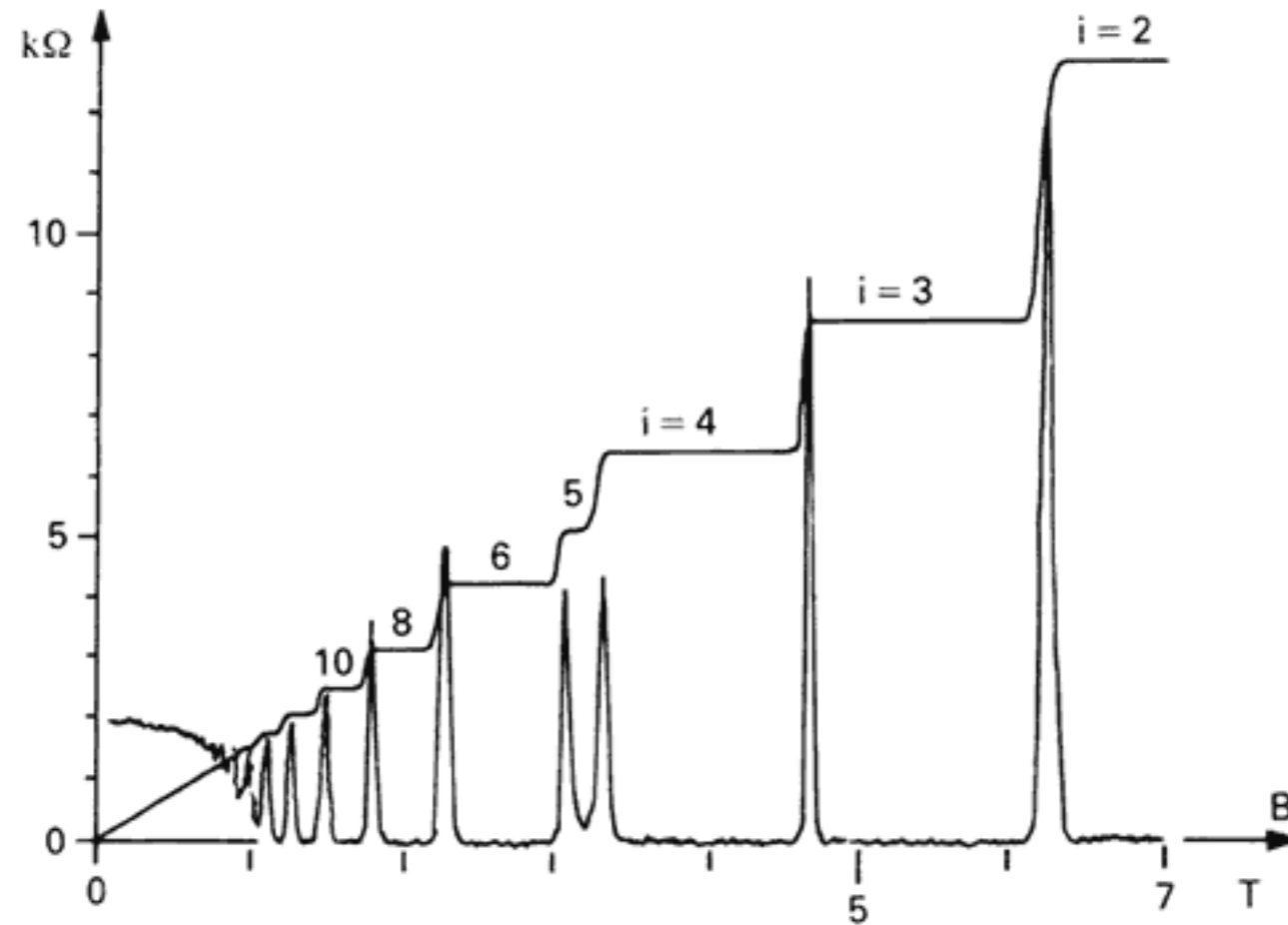


Magnetoresistance: Hall effect

Classical Hall effect



Integer Quantum Hall effect



[von Klitzing]

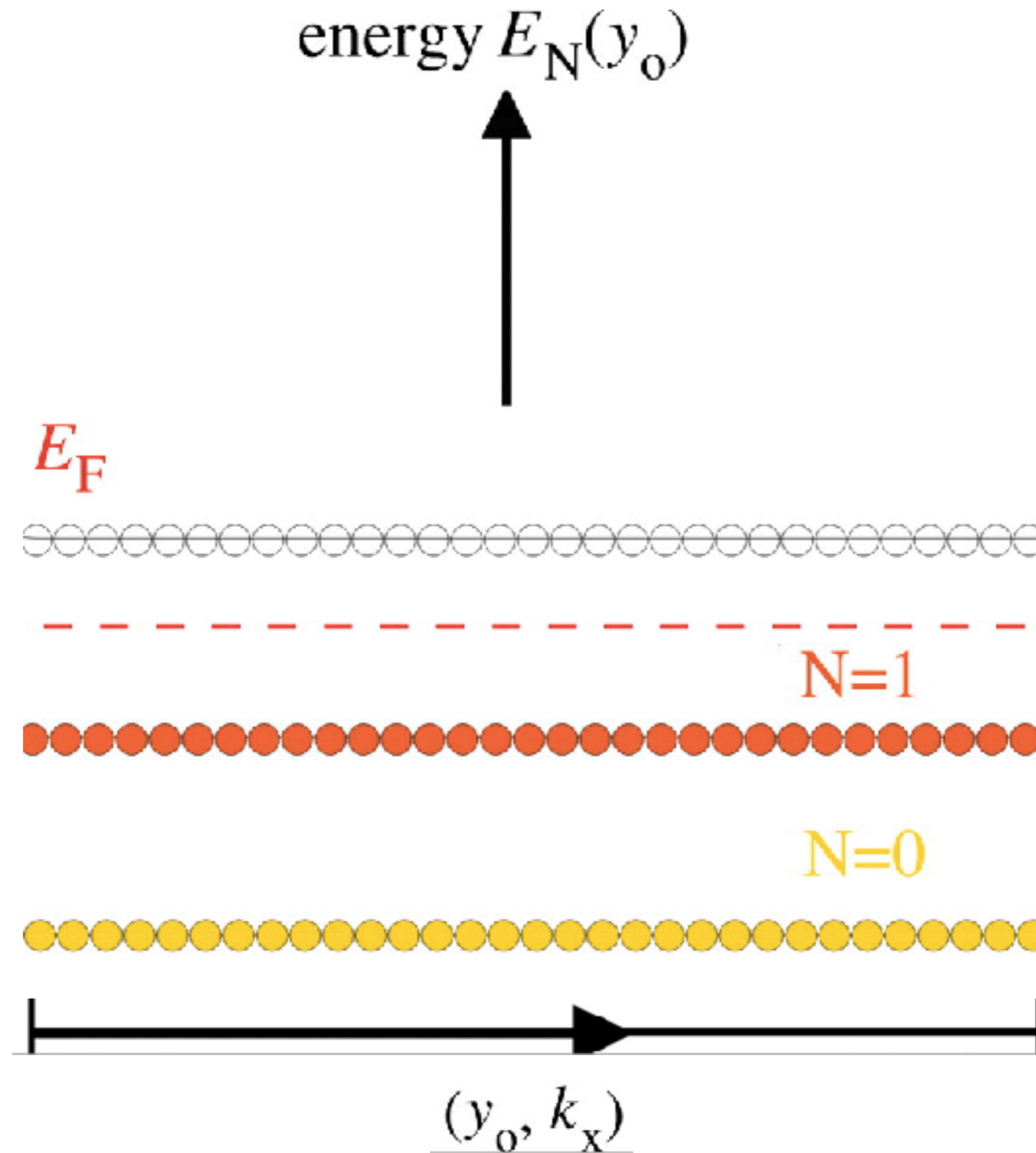
Quantum Hall effect

$$\mathbb{H} = -\frac{1}{2m} \left[\partial_2^2 + (\partial_1 + ieBx_2)^2 \right]$$

$$E_n = \frac{eB}{2m} \left(n + \frac{1}{2} \right)$$

$$\psi_{n,k_1}(x) = e^{ik_1x_1} H_n(eBx_2) e^{-eBx_2^2/2}$$

Integer Quantum Hall effect



Quantum Hall effect and Fiber bundles

Floquet-Bloch

$$L^2(\mathbb{R}^2) = \bigoplus_{\lambda \in \hat{\mathbb{T}}^2} L^2(\mathbb{T}^2)$$

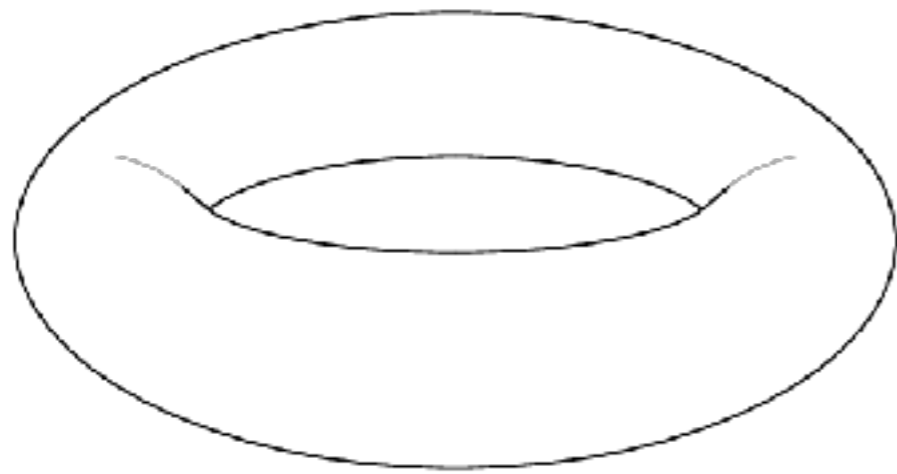
The space of states acquires a bundle structure

$$L^2(\mathbb{R}^2) \left(\hat{\mathbb{T}}^2, L^2(\mathbb{T}^2) \right)$$

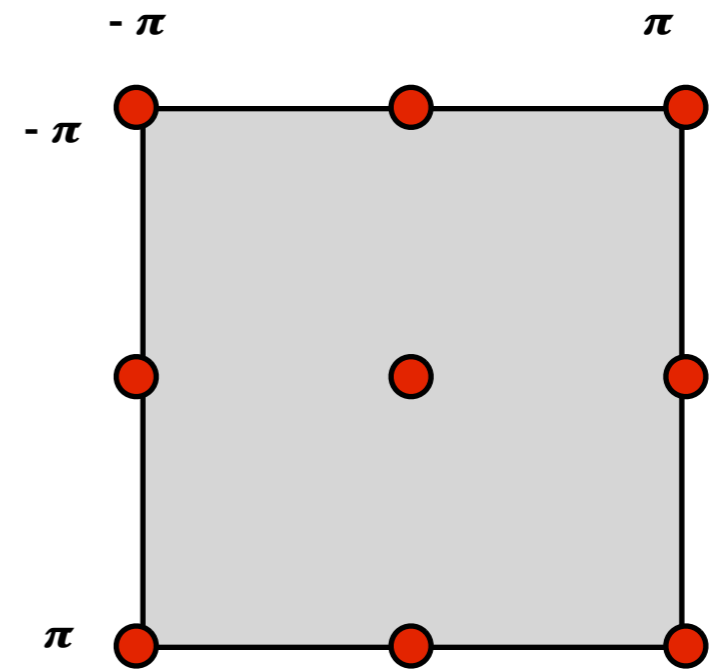
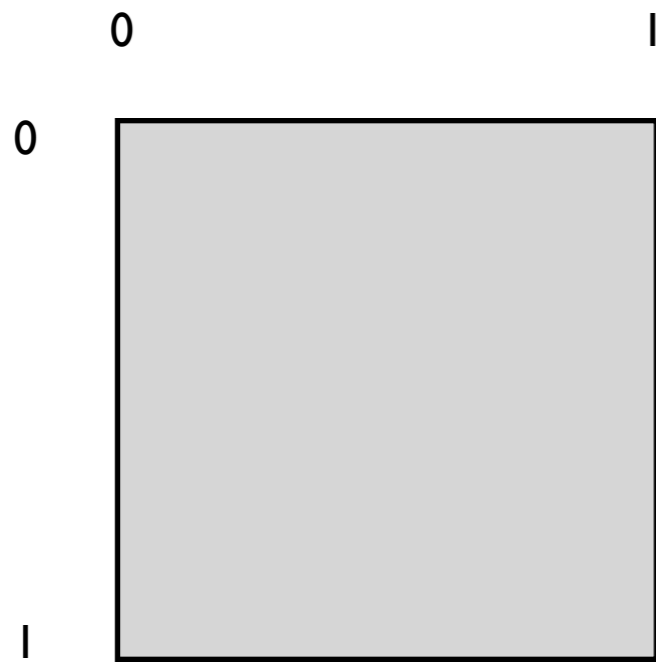
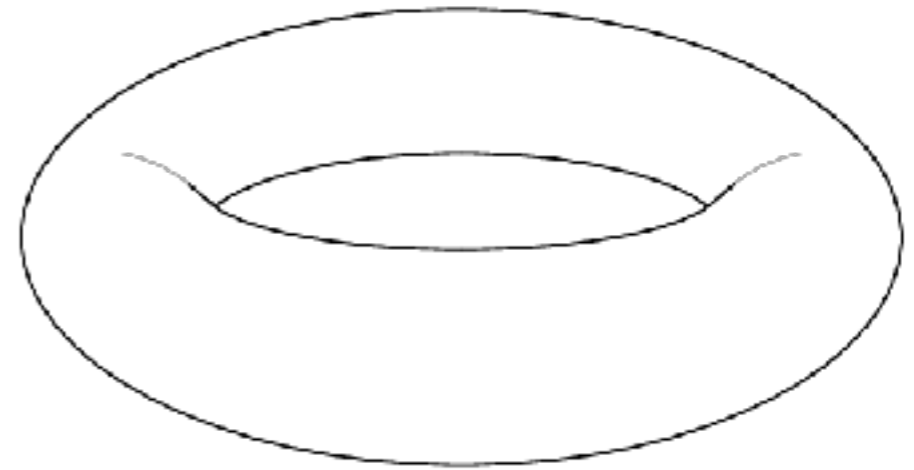
Spectral Floquet-Bloch theorem

$$\mathbb{H}_{\mathbb{R}^2} = \bigoplus_{\lambda \in \hat{\mathbb{T}}^2} \mathbb{H}_{\mathbb{T}^2}^\lambda$$

Real Space

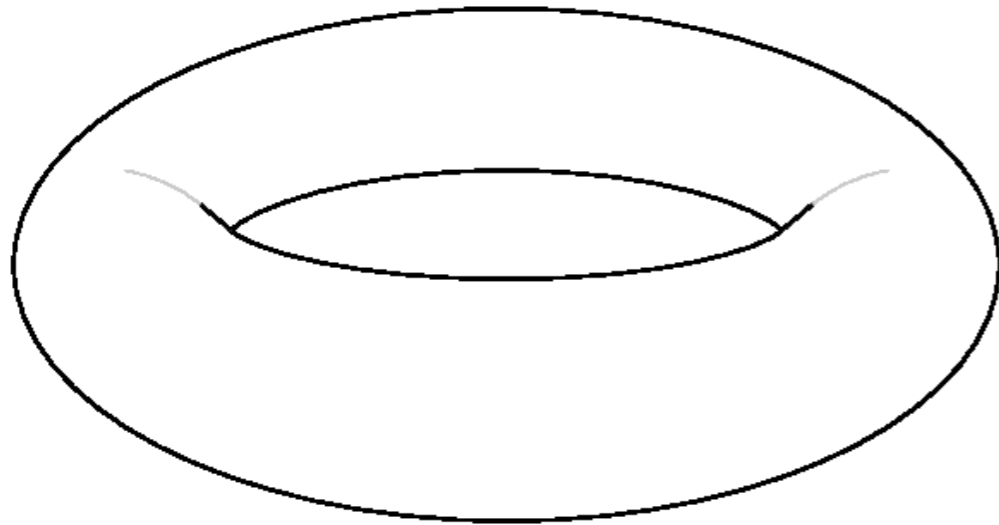


Brillouin Zone



Kramers points

Hall Effect in 2D Torus \mathbb{T}



$$\frac{e}{2\pi} \int_T \mathbf{F} = k \in \mathbb{Z} \quad k = eB/2\pi$$

$$\psi(\phi_1 + 2\pi, \phi_2) = e^{i\frac{k}{2}\phi_2} \psi(\phi_1, \phi_2)$$

$$\psi(\phi_1, \phi_2 + 2\pi) = e^{-i\frac{k}{2}\phi_1} \psi(\phi_1, \phi_2)$$

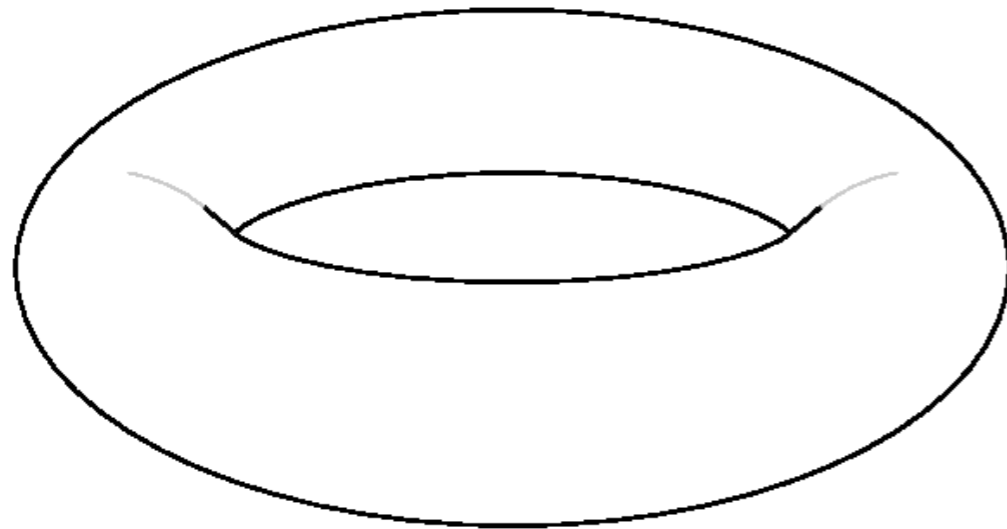
In complex coordinates:

$$\mathbb{H} = \frac{1}{2m} \left[\left(\partial_1 + i\frac{B}{2}(\phi_2 + \epsilon_2) \right)^2 + \left(\partial_2 + i\frac{B}{2}(-\phi_1 - \epsilon_1) \right)^2 \right]$$

Energy levels (degeneracy: $|k|$) [Landau]

$$E_n = \frac{2\pi|k|}{m} \left(n + \frac{1}{2} \right)$$

Hall Effect in 2D Torus \mathbb{T}



$$\frac{e}{2\pi} \int_T \mathbf{F} = k \in \mathbb{Z} \quad k = eB/2\pi$$

Ground State Eigenfunctions (degeneracy: $|k|$) :
Holomorphic sections of $E(T^2, \mathbb{C})$

$$\psi_0^l(\epsilon, \phi) = \frac{e^{i \frac{k}{4\pi} (\phi_1 + 2\epsilon_1) \phi_2}}{(8\pi^4 k)^{\frac{1}{4}}} \sum_{m=-\infty}^{\infty} e^{im \left(\phi_1 + \epsilon_1 + 2\pi \frac{l}{k} \right) - \frac{1}{4\pi k} (2\pi m + k\phi_2 + k\epsilon_2)^2}$$

$$l = 0, 1, 2, \dots, |k| - 1.$$

Hall effect and Dirac operator

$$\mathcal{D}_A = i\sigma_1(\partial_1 + ieA_1) + i\sigma_2(\partial_2 + ieA_2)$$

$$\mathcal{D}_A^2 = -(\partial_1 + ieA_1)^2 - (\partial_2 + ieA_2)^2 + e\sigma_3 B$$

$$H = -D_A^2 = \mathcal{D}_A^2 - eB\sigma_3$$

$$E_n = eB(2n + 1) \quad (n = 1, 2, \dots) \quad 2\nu \text{ degeneracy}$$

$$E_0 = eB \quad \nu \text{ degeneracy}$$

Index Theorem:

$$\nu = \frac{1}{2\pi} \int_{T^2} F = 2\pi B$$

TKKN and the Hidden topology

The states with energies below the Fermi level define a vector bundle over the Brillouin zone torus.

$$E \left(\hat{\mathbb{T}}^2, \mathbb{C}^N \right)$$

In this bundle there are gauge fields defined by the Berry phases of the different states

$$\mathcal{A}_{j n}^{l, l'}(\epsilon) = -i \int_{\mathbb{T}^2} \psi_n^{l*} \partial_{\epsilon_j} \psi_n^{l'}$$

$$\mathcal{F}_n^{l, l'}(\epsilon) = \partial_{\epsilon_1} \mathcal{A}_{2 n}^{l, l'} - \partial_{\epsilon_2} \mathcal{A}_{1 n}^{l, l'} + [\mathcal{A}_{1 n}, \mathcal{A}_{2 n}]^{l, l'}$$

TKKN and the Bloch bundle

First Chern class of Bloch bundle

$$C_1 = -\frac{i}{4\pi} \sum_{n=0}^{\nu} \sum_{l=0}^{|k|-1} \int_{\hat{\mathbb{T}}^2} \mathcal{F}_n^{l,l} = \nu$$

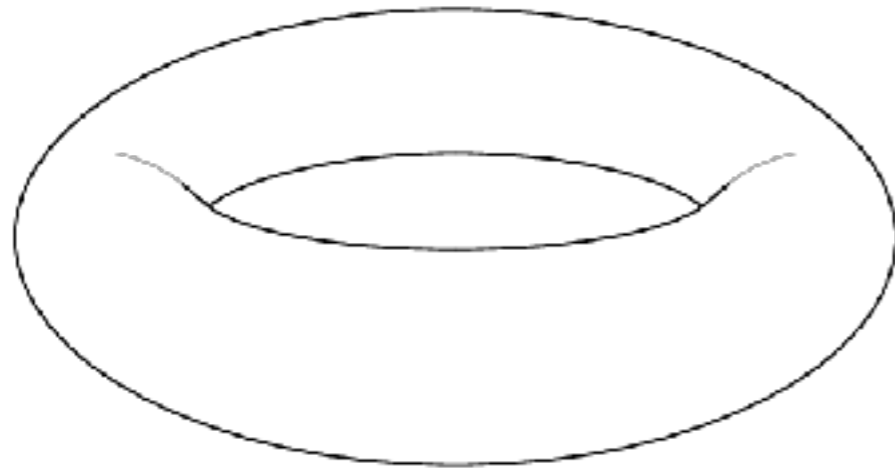
TKKN formula:

$$\sigma_{xy} = \frac{e^2}{2\pi} \nu$$

Quantization of Hall conductivity

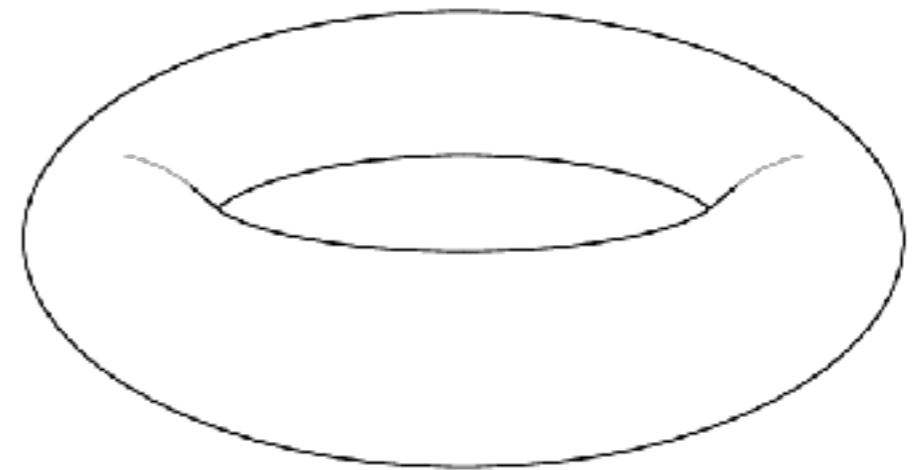
Fourier-Mukai transform

Real Space



$U(N)$ gauge field A_μ
with $c_1(A)=k$

Brillouin Zone

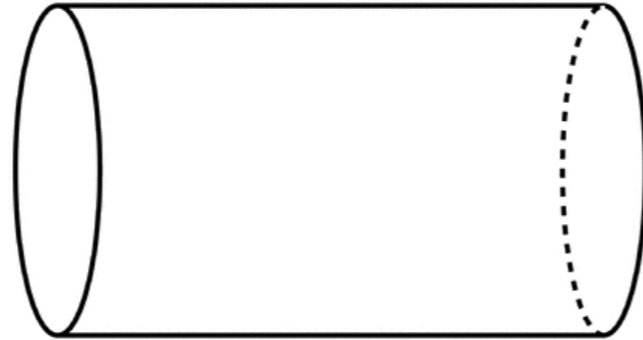


$U(k)$ gauge field A_μ
with $c_1(A)=N$

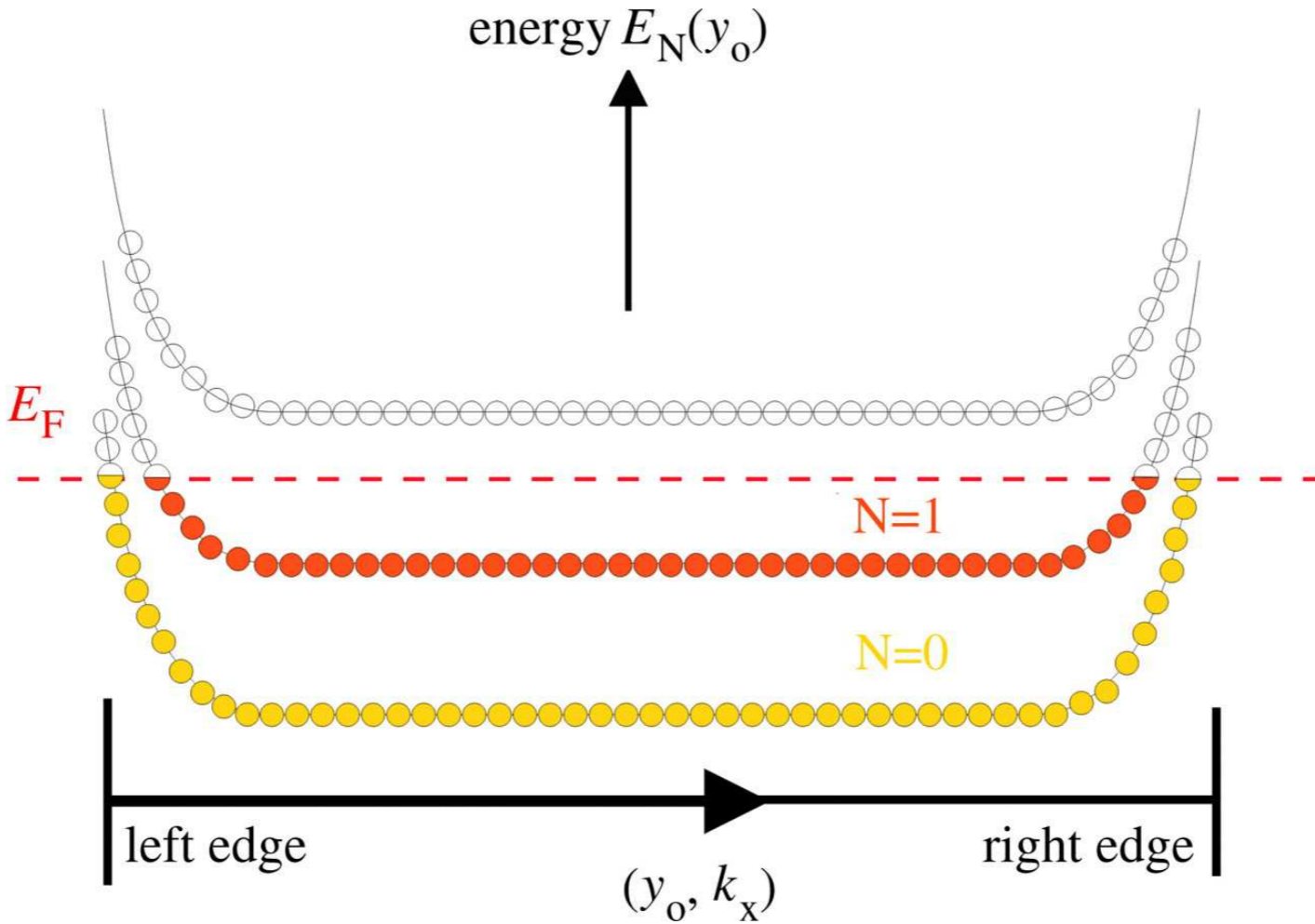
Nahm transform

[M.A., Nature Phys.]

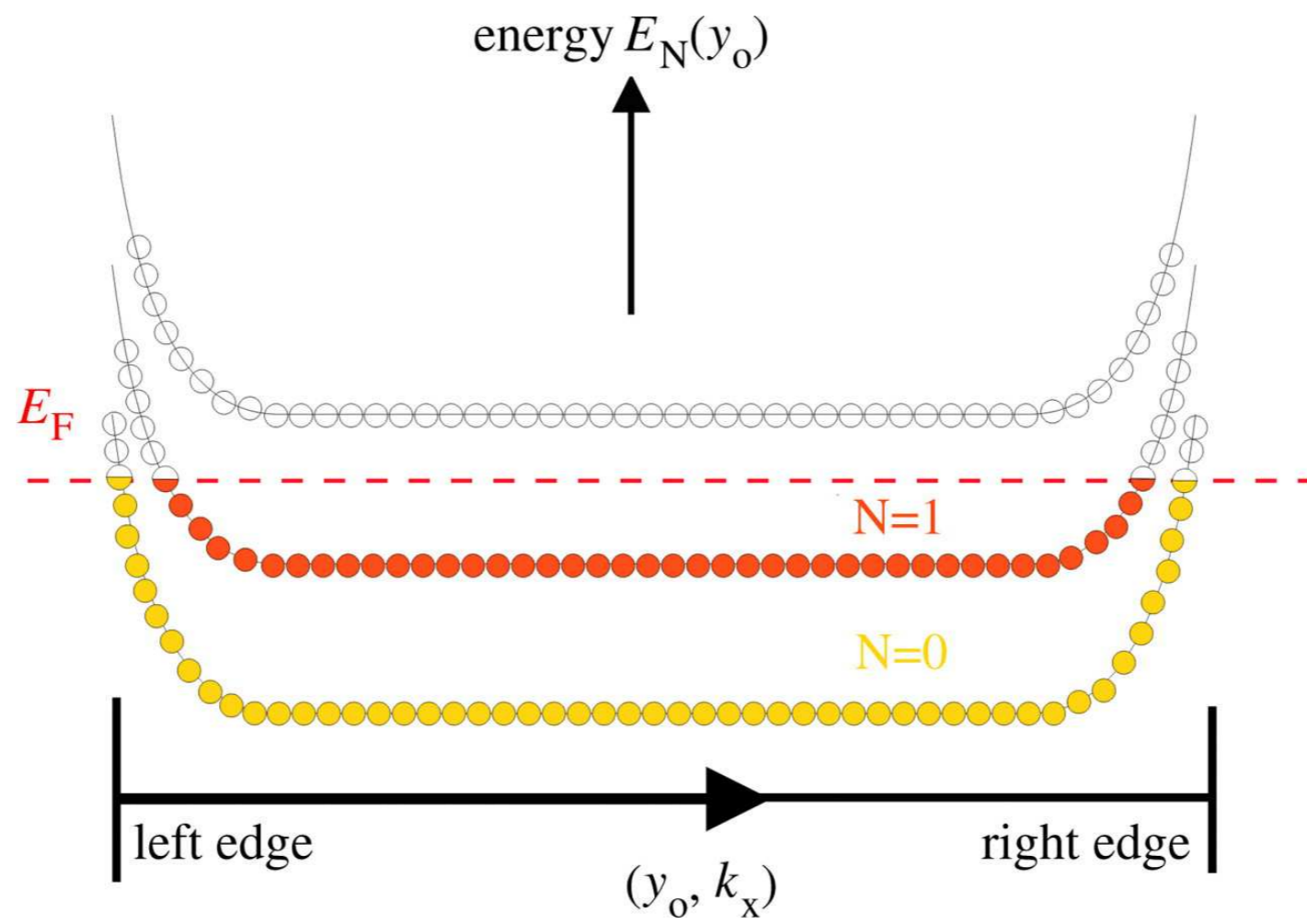
Hall effect with boundaries



Finite size effects



Finite size effects



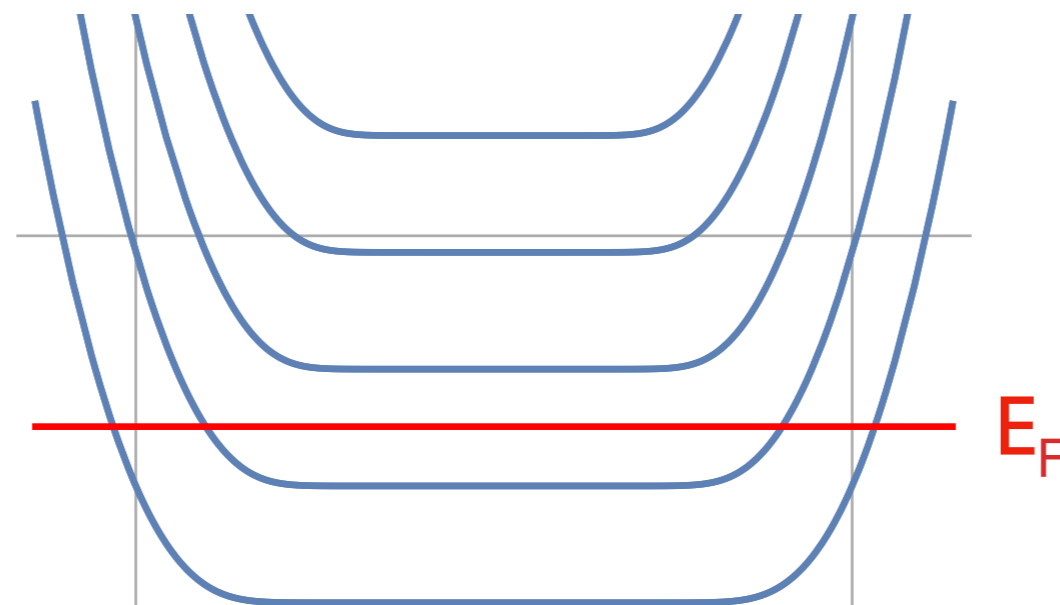
$$\sigma_{xy} = \frac{e^2}{2\pi} \nu$$

[Halperin, Laughlin]

Finite size Hall effects

$$\mathcal{D}_A^2 = -(\partial_1 + ieA_1)^2 - (\partial_2 + ieA_2)^2 + e\sigma_3 B$$

Chiral Boundary conditions: Dirichlet

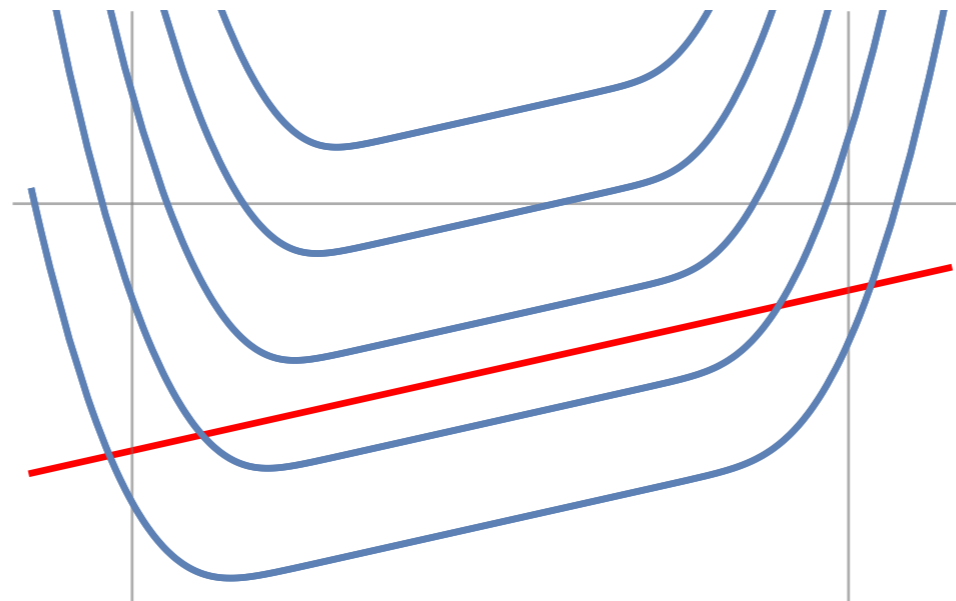


Non-chiral Edge states

[M.A.-Balachandran-Pérez-Pardo, JHEP]

External Electric Field E

$$\not{D}_A^2 + eEx_1 = -(\partial_1 + ieA_1)^2 - (\partial_2 + ieA_2)^2 + e\sigma_3 B + eEx_1$$

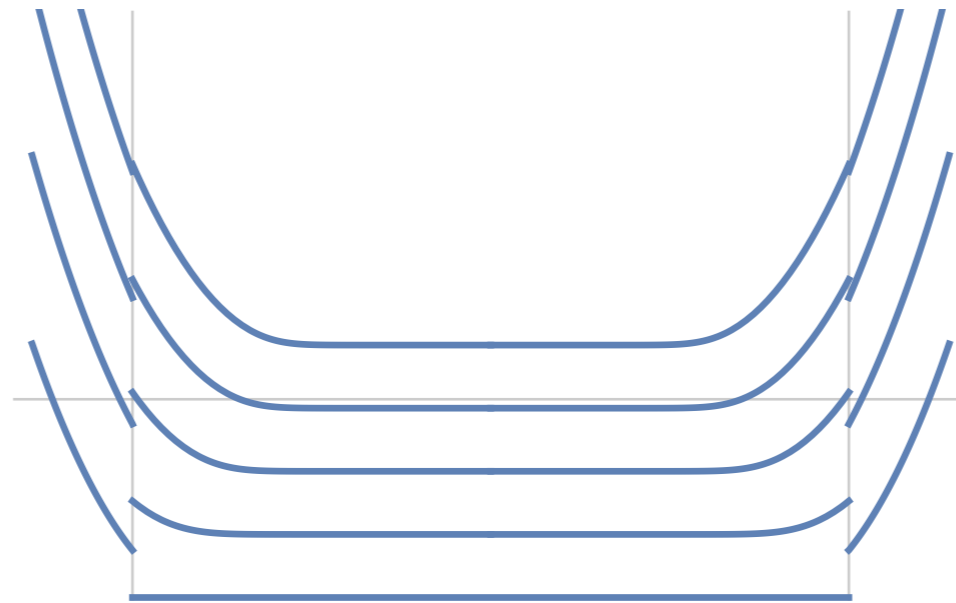


$$\sigma_{xy} = \frac{I_\varphi}{2\pi E} = \sum_{n=0}^{\nu} \int_{\epsilon_n^-}^{\epsilon_n^+} d\epsilon_1 \frac{\partial_{\epsilon_1} E_n}{2\pi E} = \frac{1}{2\pi E} \sum_{n=0}^{\nu} \frac{E_n(\epsilon_n^+) - E_n(\epsilon_n^-)}{2\pi E} = \frac{e^2}{2\pi} \nu$$

[M.A., Class. Quant. Phys.]

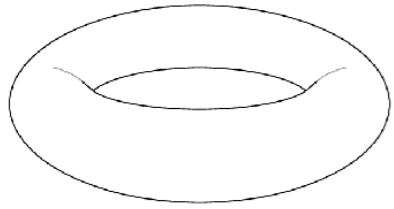
Finite size Hall effects

Atiyah-Patodi-Singer Boundary conditions



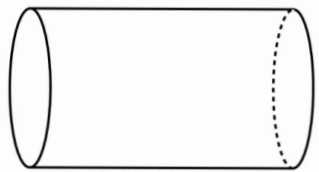
$$\sigma_{xy} \neq \frac{e^2}{2\pi} \nu$$

Atiyah-Patodi-Singer theorem



$$\nu_+ - \nu_- = \frac{1}{2\pi} \int_{T^2} F \in \mathbb{Z}$$

Edge - Bulk



$$\nu_+ - \nu_- = \frac{1}{2\pi} \int_C F - \frac{1}{2} \eta(A)$$

$$\eta(A) = 2 \int_{\partial C} A - 2 \text{Int} \left[\int_{\partial C} A \right]$$

spectral asymmetry

[M.A., J.L. Lopez]

Edge states and Bulk-Edge correspondence

Chern-class on the cylinder C_1 is not any more an integer but

$$[C_1] = \nu_+ - \nu_- = \nu$$

is an integer quantum number

Edge states are chiral, due to the TR violation introduced by the magnetic field

Can edge states survive without magnetic field?

Yes adding SPIN and having spin-orbit couplings

TOPOLOGICAL INSULATORS

TOPOLOGICAL INSULATORS

Kane-Mele Hamiltonian on a torus

$$H_{\text{KM}} = -i\sigma_1(\partial_{\varphi_1} + i\epsilon_1) - i\sigma_2(\partial_{\varphi_2} + i\epsilon_2) + m\sigma_3\tau_3$$

Time reversal symmetry

$$\mathbf{T} = i(\sigma_2 \otimes \tau_2)\mathcal{K},$$

$$[\mathbf{T}, \mathbb{H}] = 0$$

$$\mathbf{T}^2 = -\mathbb{I} \otimes \mathbb{I}$$

$$\mathbf{T}\epsilon_1 \rightarrow -\epsilon_1$$

TOPOLOGICAL INSULATORS

Kramers theorem:

All energy levels are double-degenerate

$$\mathbf{T}\psi = \lambda\psi, \quad \mathbf{T}^2\psi = |\lambda|^2\psi = -\psi$$

Fu-Kane \mathbb{Z}_2 bulk topological invariant

Truncating the Hilbert space to filled states
energy levels $-E_m < E < E_m$

$$\Theta_{mn} = \langle \psi_m | \mathbf{T} | \psi_n \rangle$$

$$(-1)^\nu = \frac{Pf(\Theta)}{\det \Theta} = \pm 1$$

TOPOLOGICAL INSULATORS

Kane-Mele Hamiltonian on a cylinder

$$H_{\text{KM}} = -i\sigma_1(\partial_\varphi + i\epsilon) - i\sigma_2\partial_x + m\sigma_3\tau_3$$

Special chiral boundary conditions

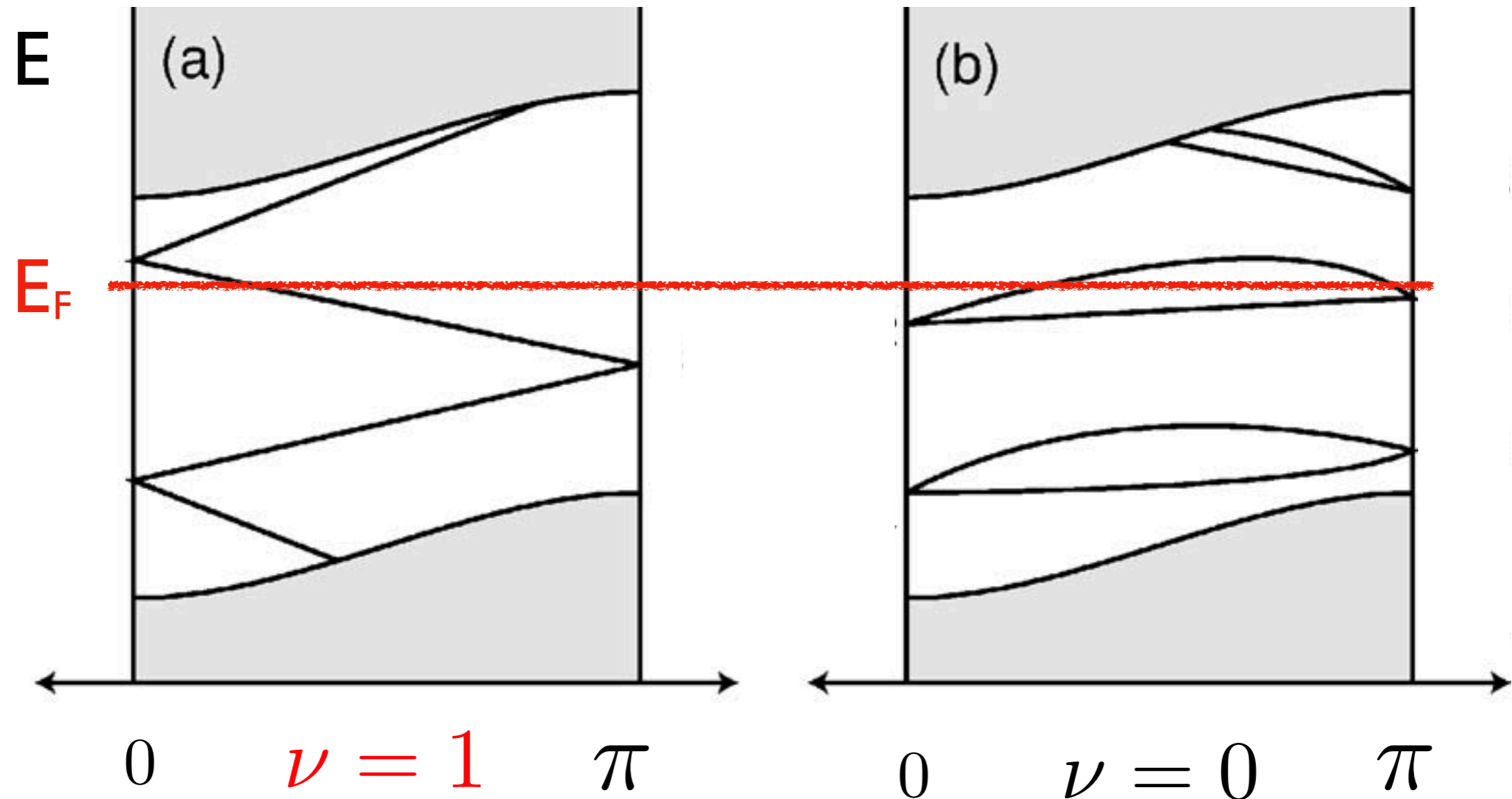
$$\Psi(\varphi, \pm L) = \pm i\sigma_2 e^{\theta\sigma_3\tau_3} \sigma_3\tau_3 \Psi(\varphi, \pm L)$$

$$\Psi(\varphi, x) = \Psi(\varphi + 2\pi, x)$$

preserve time reversal invariance

TOPOLOGICAL INSULATORS

Edge states \mathbb{Z}_2 index



Topological insulator

Normal insulator

TOPOLOGICAL INSULATORS

Momentum-spin locking

Bulk-edge correspondence

$$\nu_B = \nu_b$$

For any boundary condition except

$$\theta = \pm\infty$$

No edge states in that case

CONCLUSIONS

- Bulk-edge correspondence is robust in topological materials but depends on boundary conditions
- The correspondence breaks down with APS boundary conditions in the IQHE
- Bulk-edge dualities in topological matter go beyond APS and holography
- In topological insulators some boundary conditions suppress edge states and break the bulk-edge correspondence