

# Introduction to enumerative geometry via derived geometry

Marco Robalo - Jussieu

# Plan

- 1 Derived geometry
- 2 Donaldson-Thomas invariants
- 3 Results

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- 3 Results

What is derived geometry?

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**The Toën-Apollonius Example**

# Algebraic Geometry

**The Apollonius Problem:** How many circles simultaneously tangent to three fixed circles?

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# Algebraic Geometry

What happens when the radius go to zero?



# Algebraic Geometry

Example

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Example

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## Example

Always 2 (complex points) except when they collide!

What happens at the collision?

## Example

Use **algebra** to track the collision.

Before collision:

$$(Y = X^2) \cap (Y = 1)$$




$$\mathbb{C}[X, Y]/(Y - X^2) \otimes_{\mathbb{C}[X, Y]} \mathbb{C}[X, Y]/(Y - 1) \simeq \mathbb{C}[X]/(X^2 - 1) = \mathbb{C}[X]/(X - 1) \times \mathbb{C}[X]/(X + 1) = \underbrace{\mathbb{C} \times \mathbb{C}}_{2 \text{ rings}}$$

## Example


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At collision:

$$(Y = X^2) \cap (Y = 0)$$


$$\mathbb{C}[X, Y]/(Y - X^2) \otimes_{\mathbb{C}[X, Y]} \mathbb{C}[X, Y]/(Y - 0) \simeq \mathbb{C}[X]/(X^2) = \underbrace{\mathbb{C} \oplus \mathbb{C} \cdot \epsilon_X}_2$$

**Conclusion:** The second became an algebraic infinitesimal

## Algebraic Geometry

A circle is determined by three parameters (center  $(a, b)$ ,  $r$ ).

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**Apollonius:** Fix three circles  $C_1, C_2, C_3$ . Understand the intersection

$$Z_{C_1} \cap Z_{C_2} \cap Z_{C_3} \quad \text{inside } \mathbb{P}^3$$



# Algebraic Geometry

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$$Z_{C_1} \cap^{\text{Sch}} Z_{C_2} \cap^{\text{Sch}} Z_{C_3} = \text{Spec}(\mathbb{C}[\epsilon_x, \epsilon_y, \epsilon_z])$$

# Algebraic Geometry

The **Toën-Appolonius** case: what if we collapse all to one point?

# Algebraic Geometry

Infinitely many tangent circles!

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How did 8 became infinitely many?

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Infinitely many tangent circles!

How did 8 became infinitely many?

Answer

*Redundancies!*



Interlude I: Serre's formula (1957)

## Example

Intersect the axis in 4-dimensions  $R = \mathbb{C}[x, y, z, w]$ , with the diagonal

$$\text{Axis} := \begin{cases} xz = 0 \\ xw = 0 \\ yz = 0 \\ yw = 0 \end{cases}$$

$$\text{Diag} := \begin{cases} x - z = 0 \\ y - w = 0 \end{cases}$$

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$$R/(xz, xw, yz, yw) \otimes_R R/(x - z, y - w) \simeq \mathbb{C}[x, y]/(x^2, xy, y^2)$$

$$= \underbrace{\mathbb{C} \oplus \mathbb{C} \cdot \epsilon_x \oplus \mathbb{C} \cdot \epsilon_y}_{3 \neq 2} \quad \text{too many!}$$

Example

**Problem:**

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**Problem:** room for redundancies!

$$f := xw - yz = w(x - z) - z(y - w)$$

vanishes for two reasons.

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**Correct counting:**

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**Correct counting:**  $\underbrace{\mathbb{C} \oplus \mathbb{C} \cdot \epsilon_x \oplus \mathbb{C} \cdot \epsilon_y - \mathbb{C} \cdot [f]}_{3-1=2}$

## Example

$$\underbrace{R/I_{Axis} \otimes_R R/I_{Diag}}_0$$

Solves the system  $= \mathbb{C} + \mathbb{C} \cdot \epsilon_x + \mathbb{C} \cdot \epsilon_y$

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Counts redundancies

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## Example

Redundancies between redundancies

2

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Counts redundancies

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$$\underbrace{R/I_{\text{Axis}} \otimes_R R/I_{\text{Diag}}}_{\text{Solves the system}} = \mathbb{C} + \mathbb{C} \cdot \epsilon_x + \mathbb{C} \cdot \epsilon_y$$

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Redundancies between redundancies

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$$\frac{I_{Diag} \cap I_{Axis}}{I_{Diag} \cdot I_{Axis}} \simeq \mathbb{C}[f]$$

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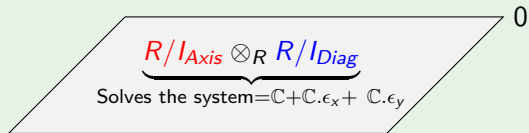
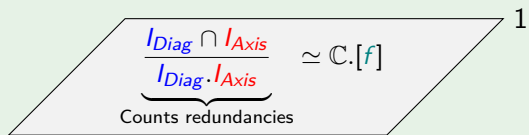
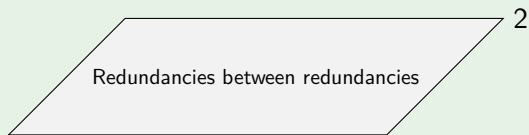
0  
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Solves the system =  $\mathbb{C} + \mathbb{C} \cdot \epsilon_x + \mathbb{C} \cdot \epsilon_y$

Chain complex  
derived intersection

$$R/I_{Diag} \otimes_R^{\mathbb{L}} R/I_{Axis}$$

## Example



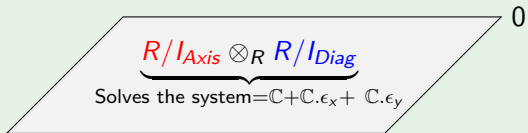
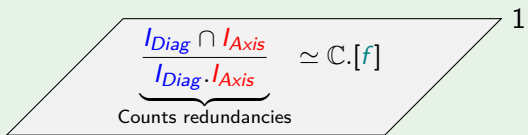
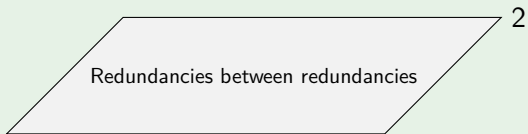
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$H_0$



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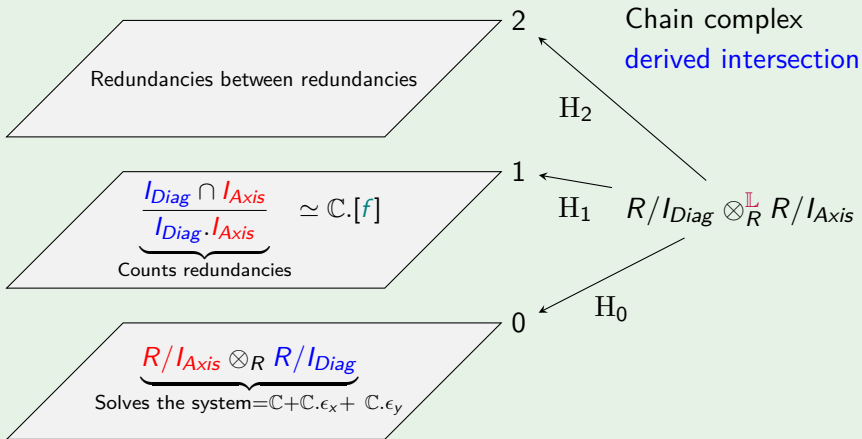


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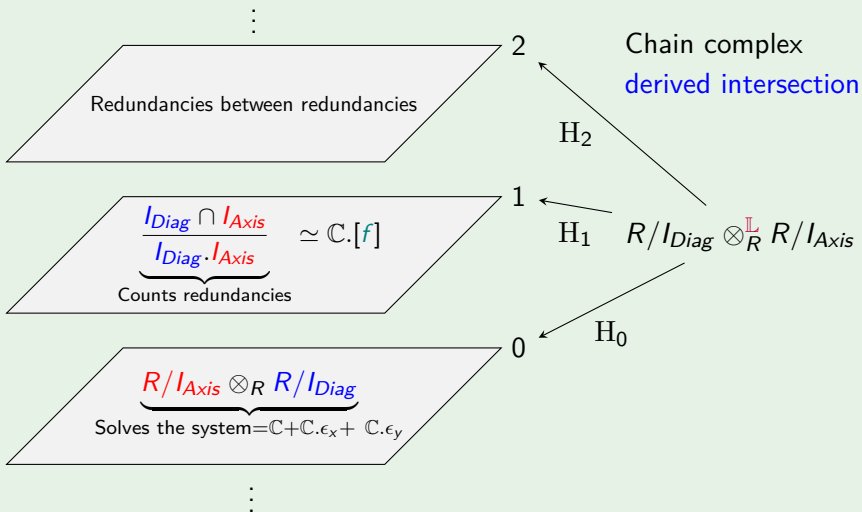
$$H_1 \quad R/I_{Diag} \otimes_R^{\mathbb{L}} R/I_{Axis}$$

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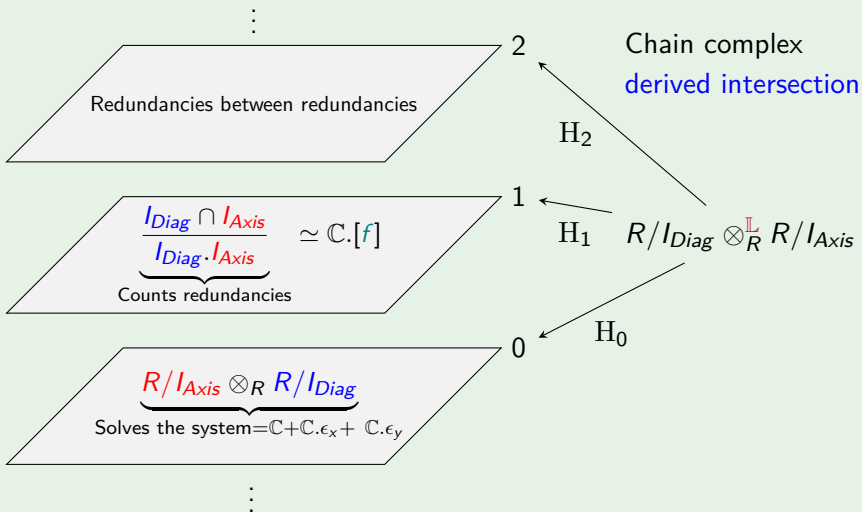
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Serre's corrected excess = + dim. of even floors - dim. of odd floors

**In Serre's computation:**  $R/I_{Diag} \otimes_R^{\mathbb{L}} R/I_{Axis}$  is seen as a linear object.  
Lacks direct geometric interpretation.

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End of Interlude I

Interlude II: [Derived Geometry](#) (2000)

What is derived geometry?



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Intersections in **dSch** automatically account for Serre's formula.

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Intersection of two points  $x = a$  and  $x = 0$  in a line.

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The **derived intersection**  $\{x = 0\} \cap^{\mathbb{L}} \{x = 0\}$  corrects the excess by discounting the repetition

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## Example

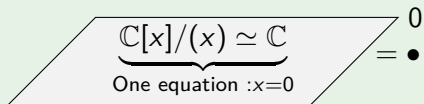
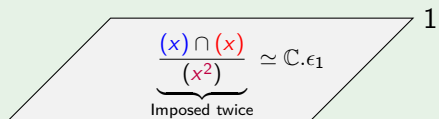
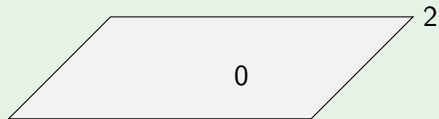
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$$\frac{\underbrace{(x) \cap (x)}_{(x^2)} \simeq \mathbb{C} \cdot \epsilon_1}{\text{Imposed twice}} \quad 1$$

$$\frac{\underbrace{\mathbb{C}[x]/(x)}_{\text{One equation : } x=0} \simeq \mathbb{C}}{=} \bullet \quad 0$$

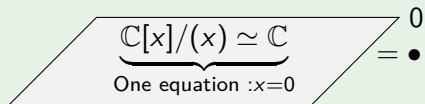
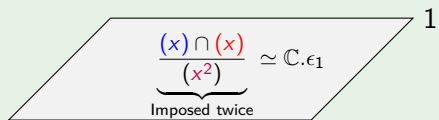
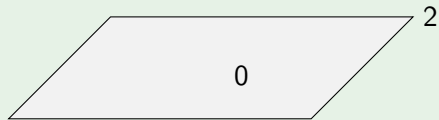
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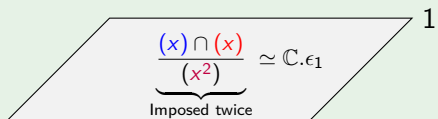
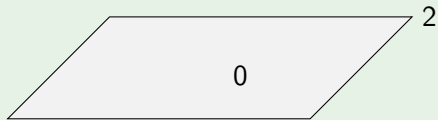


$$\mathbb{C} \otimes_{\mathbb{C}[x]}^{\mathbb{L}} \mathbb{C} \simeq \mathbb{C}[\epsilon_1], \quad |\epsilon_1| = 0, \quad \epsilon_1^2 = 0$$

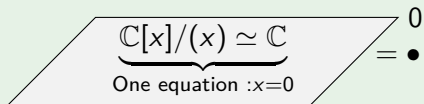
$$1 - 1 = 0$$

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$$1 - 1 = 0$$

This is the basic block of derived geometry - a point with a redundancy.

End of the Interludes.



Back to the circles.

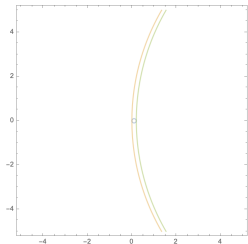
# Algebraic Geometry

## What is derived geometry?

Collapsed all circles  $C_1$ ,  $C_2$  and  $C_3$  to a point  $\rightsquigarrow$  jumped from 8 tangent circles to the whole plane of possibilities.

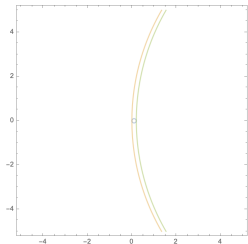
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**In fact:** two infinite planes of possibilities!



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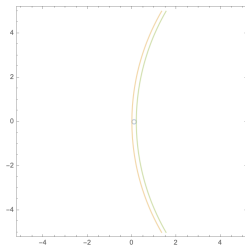
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How to retrace 8?

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How to retrace 8?

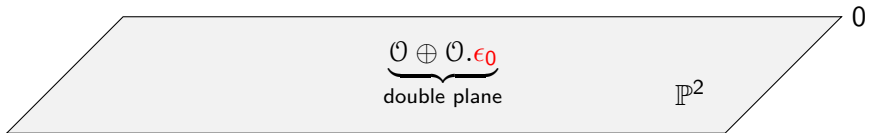
Answer

*The **Toën-Appolonius derived intersection***

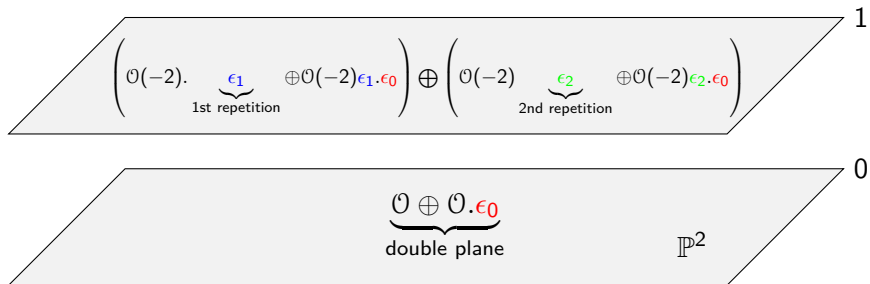
$$\mathbf{X} = Z_C \cap^{\text{dSch}} Z_C \cap^{\text{dSch}} Z_C$$

*is a derived projective plane. The derived structure subtracts the double infinity and retraces the 8 circles algebraically.*

**x**



X





**X**

$$\mathcal{O}(-4) \cdot \epsilon_1 \cdot \epsilon_2 \oplus \mathcal{O}(-4) \cdot \epsilon_0 \cdot \epsilon_1 \cdot \epsilon_2$$

$$\left( \mathcal{O}(-2) \cdot \underbrace{\epsilon_1}_{\text{1st repetition}} \oplus \mathcal{O}(-2) \epsilon_1 \cdot \epsilon_0 \right) \oplus \left( \mathcal{O}(-2) \cdot \underbrace{\epsilon_2}_{\text{2nd repetition}} \oplus \mathcal{O}(-2) \epsilon_2 \cdot \epsilon_0 \right)$$

$$\underbrace{\mathcal{O} \oplus \mathcal{O} \cdot \epsilon_0}_{\text{double plane}}$$

$\mathbb{P}^2$

**X**

$$\mathcal{O}(-4). \epsilon_1. \epsilon_2 \oplus \mathcal{O}(-4). \epsilon_0. \epsilon_1. \epsilon_2 \quad 2$$

$$\left( \mathcal{O}(-2). \underbrace{\epsilon_1}_{\text{1st repetition}} \oplus \mathcal{O}(-2). \epsilon_1. \epsilon_0 \right) \oplus \left( \mathcal{O}(-2). \underbrace{\epsilon_2}_{\text{2nd repetition}} \oplus \mathcal{O}(-2). \epsilon_2. \epsilon_0 \right) \quad 1$$

$$\underbrace{\mathcal{O} \oplus \mathcal{O}. \epsilon_0}_{\text{double plane}} \quad \mathbb{P}^2 \quad 0$$

(Kontsevich 95, Fontanine-Kapranov 2009, Khan 2019)

$$\underbrace{[\mathbf{X}]} := \text{Ch}[2\mathcal{O} - 4\mathcal{O}(-2) + 2\mathcal{O}(-4)] \cap [\mathbb{P}^2] = 8.[pt] \in H_0(\mathbb{P}^2)$$

**Derived Fundamental Class**

# What is derived geometry?

## The Toën-Appolonijs Example

$\mathbf{X} = Z_C \cap^{\text{dSch}} Z_C \cap^{\text{dSch}} Z_C$  is a derived projective plane:

- of virtual dimension zero, which means it behaves like a point
- the fundamental class indicates the point has multiplicity 8.

# What is derived geometry?

## The Toën-Appoloniis Example

$\mathbf{X} = Z_C \cap^{\mathbf{dSch}} Z_C \cap^{\mathbf{dSch}} Z_C$  is a derived projective plane:

- of virtual dimension zero, which means it behaves like a point
- the fundamental class indicates the point has multiplicity 8.

**Upshot:** The derived structure corrects the counting.

# Plan

1 Derived geometry

2 Donaldson-Thomas invariants

3 Results

# From Physics to Enumerative Geometry

**Motivation:** String theory unifies general relativity and quantum mechanics at a cost:

$$\text{Spacetime} = \mathbb{R}^{3+1} \times \underbrace{Y}_{\text{Extra dimensions}}$$

(Candelas-Horowitz-Strominger-Witten 85):

- $Y$  is an algebraic variety of complex dimension 3;
- Calabi-Yau, ie,  $\omega_Y \simeq \mathcal{O}_Y$ ;
- Example: the Fermat quintic  $Y = \{x_1^5 + x_2^5 + x_3^5 + x_4^5 + x_5^5 = 0\} \subseteq \mathbb{P}_{\mathbb{C}}^4$

## Principle

*Physics in 4-dim = geometry of  $Y$ .*

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Path integrals for strings = Count algebraic curves in  $Y$ .



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- **Gromov-Witten** approach (94): Include successive histories

$\overline{\mathcal{M}}_{g,n}(Y, d)$  = moduli space of stable maps of genus  $g$ , degree  $d$  and  $n$  marked points.

- **Donaldson-Thomas** approach (2000):  
Replace curves by their functions (ideal sheaves  $I_C$ )

$\mathcal{M}Coh^\tau(Y)$  = moduli space of coherent sheaves with stability  $\tau$

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$$N_{\odot} = \sum_{\substack{d_A + d_B = \odot \\ d_A \geq 1; d_B \geq 1}} N_{d_A} \cdot N_{d_B} \cdot d_A^2 \cdot d_B \left( d_B \binom{3\odot - 4}{3d_A - 2} - d_A \binom{3\odot - 4}{3d_A - 1} \right)$$

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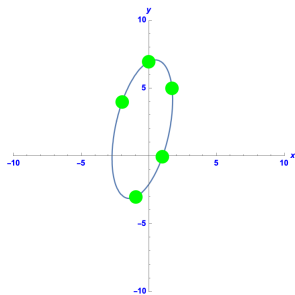
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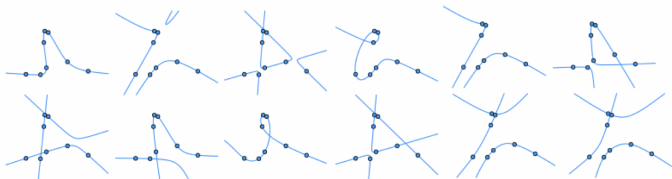
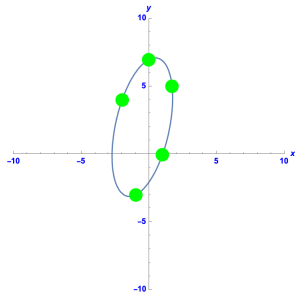
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**Warning:** Shifted forms cannot exist when the moduli is smooth!

# Donaldson-Thomas and symplectic geometry

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$$U = \mathbb{C}^2 \quad f = x^2 y^2$$
$$\begin{array}{ccc} \mathbb{D}\text{Crit}(f) & \longrightarrow & U \\ \downarrow & \cap & \downarrow df = 2xy^2 dx + 2yx^2 dy \\ U & \xrightarrow{0} & T^*U \end{array}$$

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Symmetry of the Hessian  $\Rightarrow \mathbb{T} \simeq \mathbb{T}^*[-1]$   $(-1)$ -shifted symplectic form

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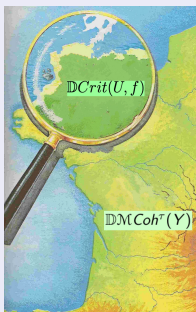
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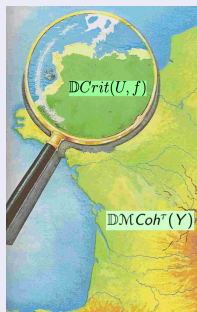
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Local geometry of  $\mathbb{D}\mathcal{M}\text{Coh}^\tau(Y) =$  local geometry of the singularities of  $f$ .

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Example ( $U = \mathbb{A}^2$ ,  $f = x^2 + y^2$ )

$$\mu(f) = \dim_{\mathbb{C}} \mathbb{C}[x, y] / (2x, 2y) = 1$$



## Donaldson-Thomas and invariants of singularities

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are stalks of a **sheaf**  $\mathbf{P}_{U,f}$  supported on critical points, with monodromy.

## Result 2 - Matrix Factorizations

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Definition (Eisenbud-Orlov)

$$MF(U, f) := D_{\text{Coh}}^b(f^{-1}(0)) \underbrace{\quad / \quad}_{\text{dg-quotient}} \text{Perf}(f^{-1}(0))$$



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## Example

Knörrer periodicity

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# Plan

- 1 Derived geometry
- 2 Donaldson-Thomas invariants
- 3 Results**

# Categorical Donaldson-Thomas Invariants

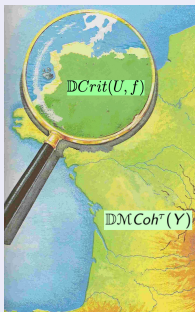
Theorem (Brav-Bussi-Joyce (Darboux Lemma) 2013)

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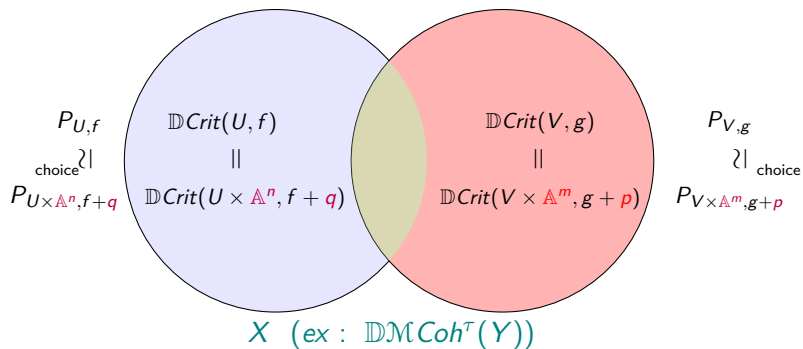


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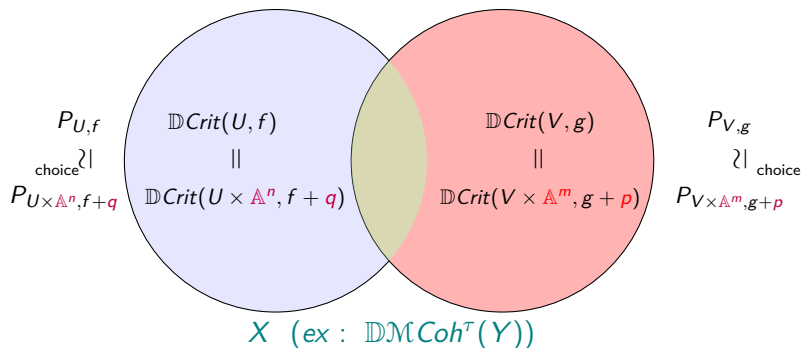
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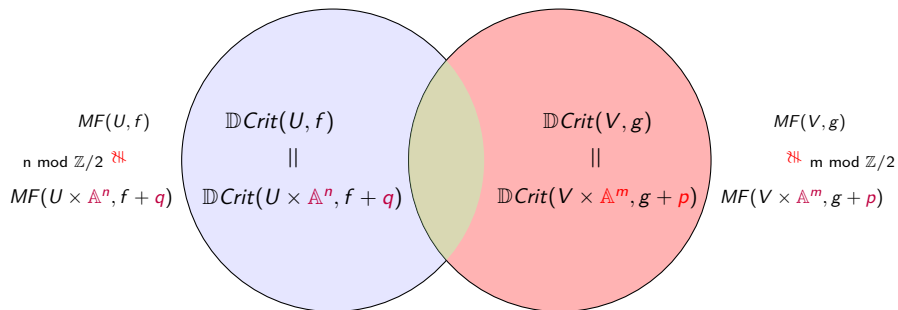
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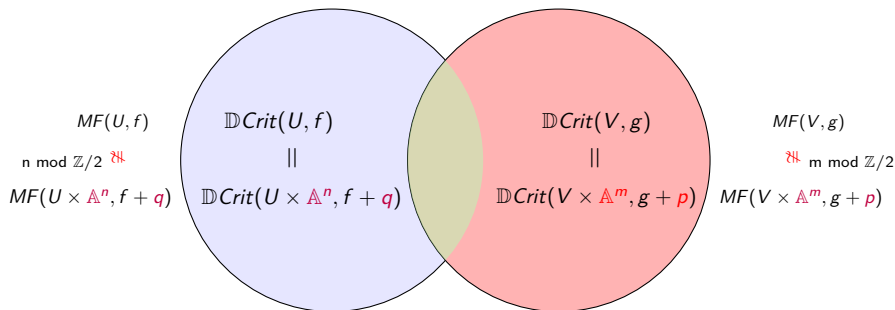
## Theorem (Brav-Bussi-Dupont-Joyce-Szendroi 2015)

Assume there exists a square root of the canonical bundle of  $\mathbb{D}M\text{Coh}^\tau(Y)$ .  
 Then the local ambiguities can be solved and the  $P_{U,f}$  glued .

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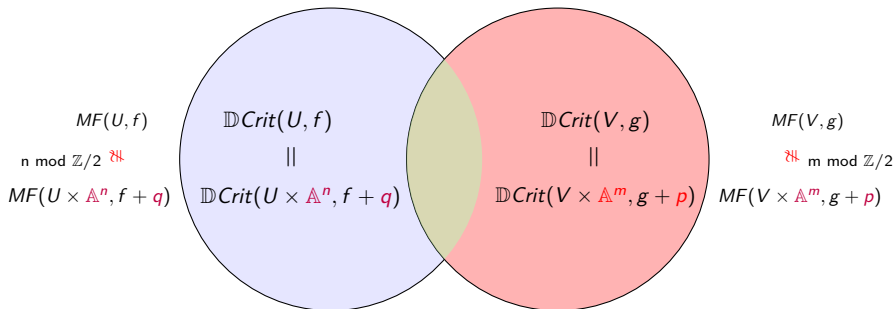


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**Theorem** (Hennion-Holstein-R., 2024)

The gluing is possible.

The orientation corresponds to the trivialization of three obstruction classes:  $\alpha \in H^1(X, \mathbb{Z}/2)$ ,  $\beta_{\text{Joyce}} \in H^2(X, \mathbb{Z}/2)$ ,  $\gamma \in H^3(X, \mathbb{Z}/2)$

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Theorem (Hennion-Holstein-R., 2024)

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Thank you for your time.