

Traces and higher structures

Christoph Schweigert

Mathematics Department
Hamburg University

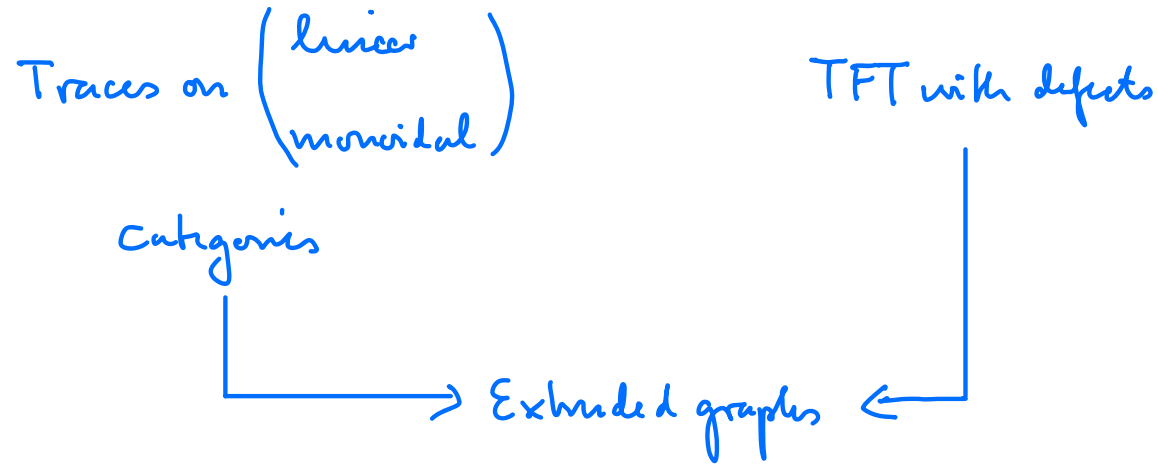
Based on work with
Julian Farnsteiner, Jürgen Fuchs, Gregor Schaumann and Lukas Woike

May 22, 2024

"lisbon", 22.5.2024

Traces and higher structures

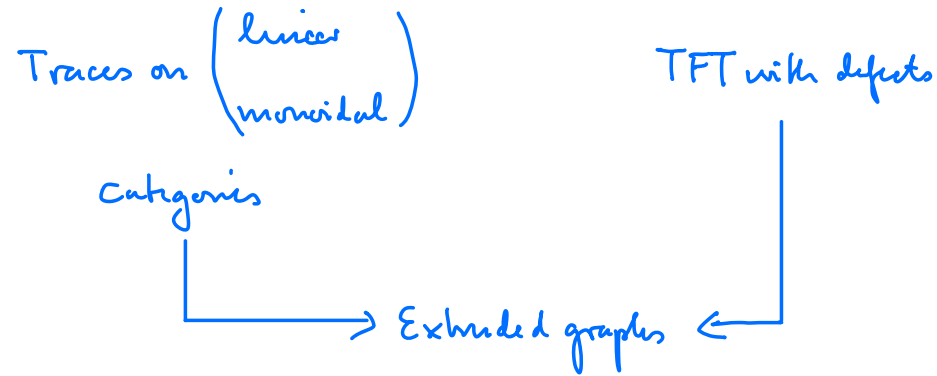
Overview :



Guiding question : Categorical dimensions for traces ?

Traces and higher structures

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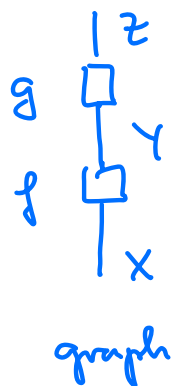
Guiding question : Categorical dimensions for traces ?

Summary :

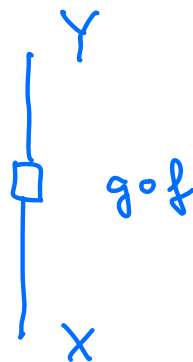
1. Graphical calculus
2. Traces and Nakayama functors
3. State-sum models
4. Extended graphs and their graphical calculus

1. Graphical calculus

1.1 Categories and graphs (one-dim)

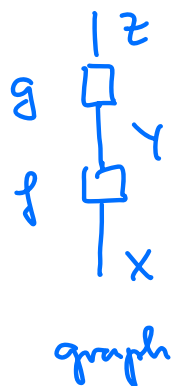


local move
(composition)

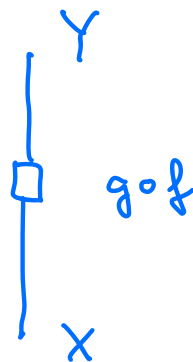


1. Graphical calculus

1.1 Categories and graphs (one-dim)



local move
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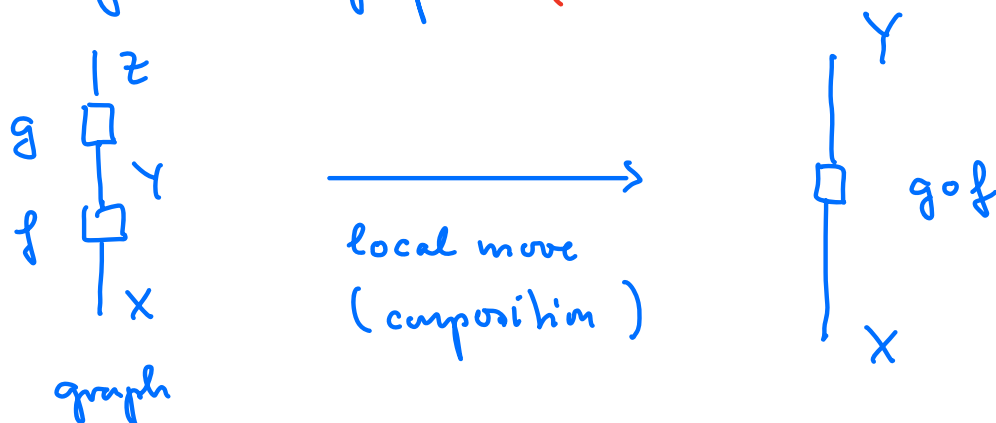


Two aspects

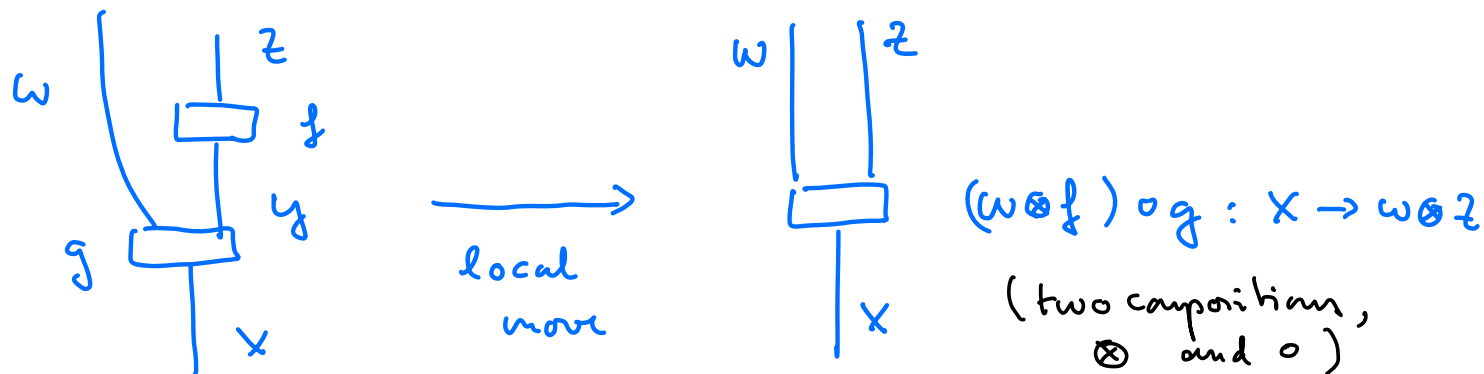
- geometric move
- composition map on morphisms

1. Graphical calculus

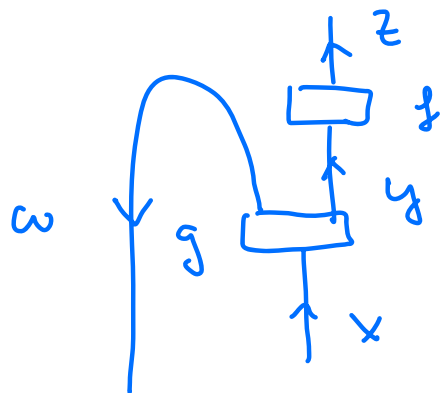
1.1 Categories and graphs (one-dim)



1.2 Monoidal categories (two-dim)



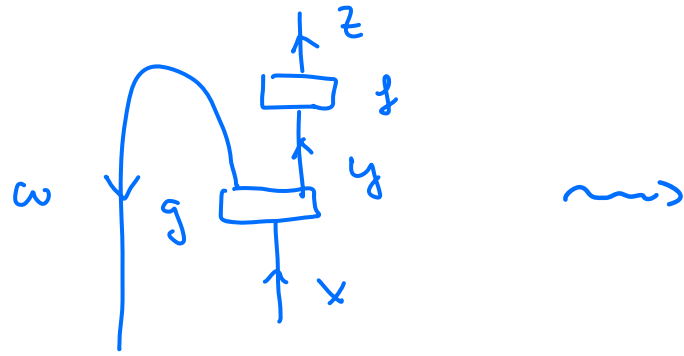
1.3 Rigid monoidal categories : left and right duals



\rightsquigarrow

$$f \circ (\omega_w \otimes y) \circ (\omega^v \otimes g) : \omega^v \otimes x \rightarrow z$$

1.3 Rigid monoidal categories : left and right duals



$$f \circ (\omega_w \otimes y) \circ (\omega^v \otimes g) : \omega^v \otimes X \rightarrow Z$$

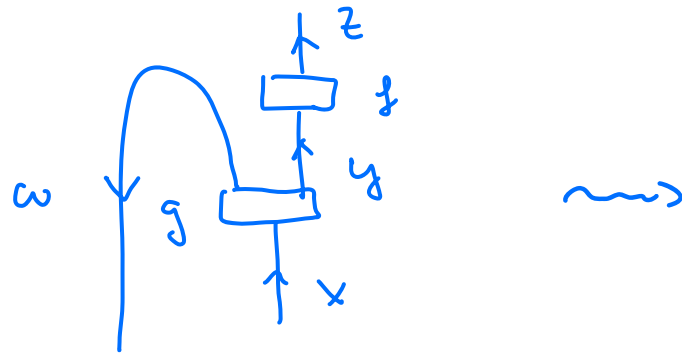
1.4 Pivotal monoidal categories $\omega : \text{id} \xrightarrow{\sim} ?^{uv}$

$$\omega \bullet \square f = \text{tr}^e(f)$$

$$\text{tr}^v(f) = f \square \bullet \omega$$

two traces

1.3 Rigid monoidal categories : left and right duals



$$f \circ (\omega_w \otimes y) \circ (\omega^v \otimes g) : \omega^v \otimes x \rightarrow z$$

1.4 Pivotal monoidal categories $\omega : id \xrightarrow{\sim} ?^{uv}$

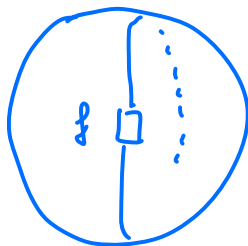
$$\omega \bullet \square f = \text{tr}^e(f)$$

$$\text{tr}^v(f) = f \square \bullet \omega$$

two traces

1.5 (Trace) spherical : Both traces coincide

→ evaluation on sphere



Are traces best considered in 2d setting?

2. Traces and the Nakayama functor

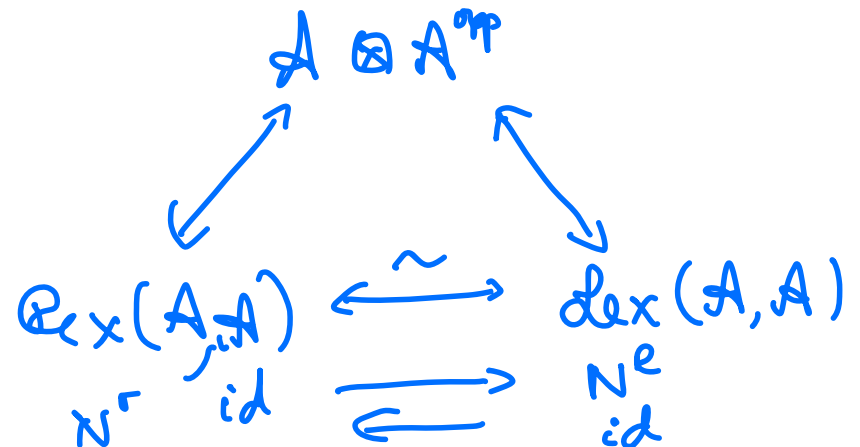
(In linear algebra, taking traces w/o tensor product. Basis used.)

Now, let \mathcal{A} be a finite linear category

2.1 Nakayama functor

$$N_{\mathcal{A}}^r : \mathcal{A} \rightarrow \mathcal{A}$$

$$N_{\mathcal{A}}^r(-) = \int^{a \in \mathcal{A}} \text{Hom}(-, a)^* \otimes a \quad \in \text{Pex}(\mathcal{A}, \mathcal{A})$$



2.1 Nakayama functor

$$N_A^r : A \rightarrow A$$

$$N_A^r(-) = \int^{a \in A} \text{Hom}(-, a)^* \otimes a \in \text{Pex}(A, A)$$

Remarks

- $A = A\text{-mod}$ f.d., A f.d. k -algebra

Right exact \rightarrow Eilenberg-Watts theorem implies

$$N_A^r(-) = N^r(A) \otimes_A -$$

- $$N_A^r(A) = \int^{y \in A\text{-mod}} \text{Hom}_A(A, y)^* \otimes y = \int^{y \in A\text{-mod}} y^* \otimes y = A^*$$

Peter-Weyl \uparrow

2.2. Thm [FSS] [Main thm on Nakayama functor]

A, B finite, $F \in \text{dex}(A, B)$, F^{la} left exact and F^{lla} left exact.

Then

$$N_B^e \circ F \cong F^{lla} \circ N_A^e$$

coherent with composition.

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A, B finite, $F \in \text{dex}(A, B)$, F^{la} left exact and F^{lla} left exact.

Then

$$N_B^e \circ F \cong F^{lla} \circ N_A^e$$

compatible with composition.

2.3 "Modified" traces on finite categories

A first calculation: P projective:

$$\begin{aligned} \text{Hom}_A(p, N_A^*(x)) &= \int^{y \in A} \text{Hom}(p, \text{Hom}(x, y)^* y) \\ &= \int^{y \in A} \text{Hom}(x, y)^* \otimes \text{Hom}(p, y) \cong \text{Hom}(x, p)^* \\ &\quad \uparrow \text{Yoneda} \end{aligned}$$

2.3 "Modified" traces on finite categories

$$\text{Hom}_A(p, N^*(x)) \cong \text{Hom}(x, p)^*$$

Bilinear form (p, q projective):

$$\langle \cdot, \cdot \rangle : \text{Hom}_A(p, q) \otimes \text{Hom}(p, N^*(p)) \cong \text{Hom}(q, N^*(p)) \otimes \text{Hom}(q, N^*(p)) \xrightarrow{\text{non deg}} k$$

2.3 "Modified" traces on finite categories

$$\text{Hom}_{\mathcal{A}}(p, N_{\mathcal{A}}^r(x)) \cong \text{Hom}(x, p)^*$$

Bilinear form (p, q projective):

$$\langle \cdot, \cdot \rangle : \text{Hom}_{\mathcal{A}}(p, q) \otimes \text{Hom}(p, N^r(p)) \cong \text{Hom}(q, N^r(p)) \otimes \text{Hom}(q, N^r(p)) \xrightarrow{\text{non deg}} k$$

Def: $t_p : \text{Hom}(p, N^r(p)) \rightarrow k$ modified trace
 $f \mapsto \langle \text{id}, f \rangle$

2.3 "Modified" traces on finite categories

$$\text{Hom}_{\mathcal{A}}(p, N_{\mathcal{A}}^r(x)) \cong \text{Hom}(x, p)^*$$

Def: $t_p: \text{Hom}(p, N^r(p)) \rightarrow k$
 $f \mapsto \langle \text{id}, f \rangle$

modified trace

Prop [SW]

1. Cyclic: $t_q(q \xrightarrow{g} p \xrightarrow{f} N_q^r) = t_q(f \circ g)$
 $= t_p(p \xrightarrow{f} N_q^r \xrightarrow{N_g^r} N_p^r) = t_p(N_g^r \circ f)$

2. Non-cyclic.

Q: Are traces best considered in a 1d setting?

2.4. Modified traces on finite tensor categories

Thm [FSS] (Radford S^4)

\mathcal{A}, \mathcal{B} finite tensor categories, \mathcal{M} an \mathcal{A} - \mathcal{B} bimodule

$$N_{\mathcal{M}}^{\ell}(a \cdot m \cdot b) \cong a^{\vee} \cdot N_{\mathcal{M}}^{\ell}(m) \cdot {}^{\vee}b$$

2.4. Modified traces on finite tensor categories

Thm [FSS] (Radford S^4)

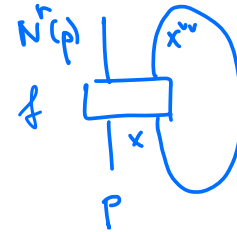
\mathcal{A}, \mathcal{B} finite tensor categories, \mathcal{M} an \mathcal{A} - \mathcal{B} bimodule

$$N_{\mathcal{M}}^{\ell} (a \cdot m \cdot b) \cong a^{vv} \cdot N_{\mathcal{M}}^{\ell} (m) \cdot {}^{vv}b$$

Def. [partial trace]

$$\text{tr}_r^x : \text{Hom}(p \otimes x, N^r(p \otimes x)) \cong \text{Hom}(p \otimes x, N^r(p) \otimes x^{vv})$$

$$\rightarrow \text{Hom}(p, N^r(p))$$



no pivotal structure on ℓ needed

2.4. Modified traces on finite tensor categories

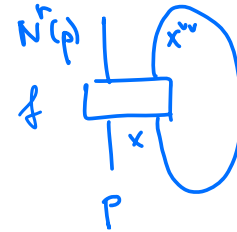
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no pivotal structure on \mathcal{C} needed

Prop [SW] $t_{p \otimes x}(f) = t_p(\text{tr}_r^x f)$

partial trace property

Eilenberg-Watts

Balanced Deligne product

Note: $N_{\mathcal{M}}^{\mathcal{P}}$ \in $\text{Rep}_{A|B}^{\text{hw}}(\mathcal{M}, \mathcal{M}) \xrightarrow{\downarrow} Z_B^{\text{hw}}(\overline{\mathcal{M}} \boxtimes_A \mathcal{M})$

$A = B$ and $\mathcal{M} = \mathcal{A}$, \mathcal{A} pivotal $Z_A(A)$

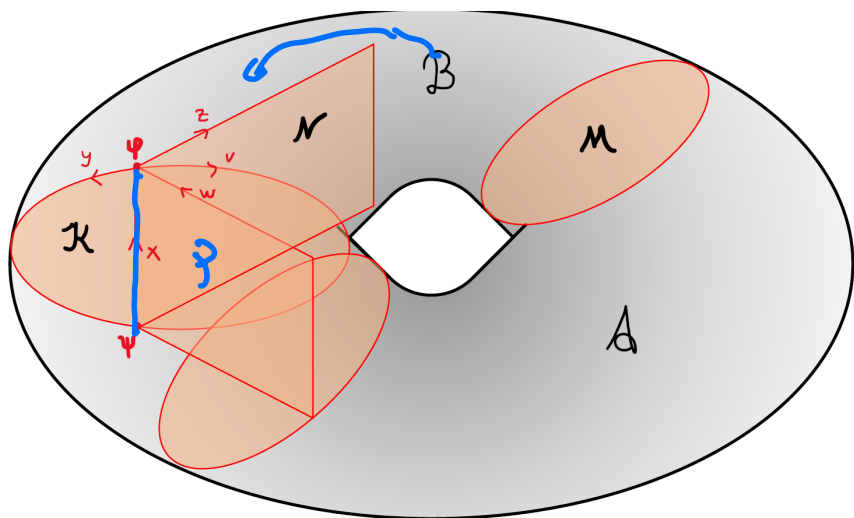
Drinfeld center is braided: it is profitable to consider traces in a 3d setting!



Chapter 3

State-sum models with defects

State-sum models with defects



Closed oriented 3-manifold with skeleton

\mathcal{A}, \mathcal{B} spherical fusion categories

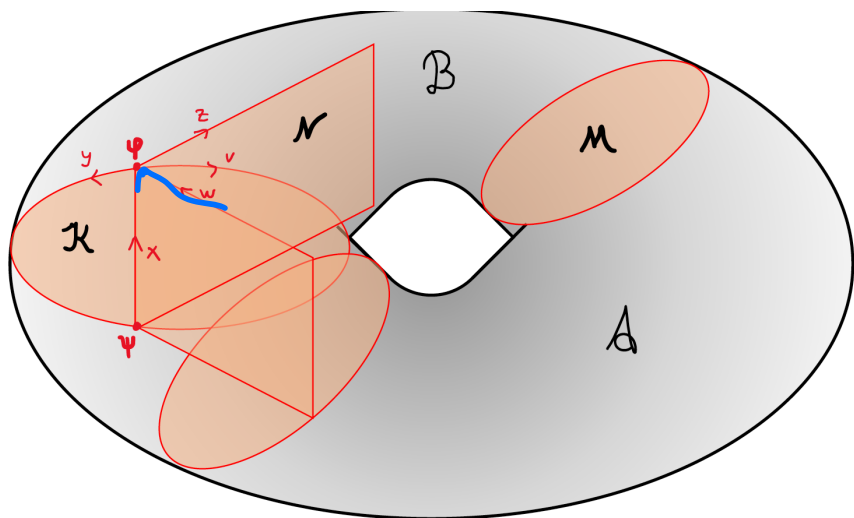
\mathcal{M}, \mathcal{N} bimodule categories

Edges: balanced Deligne products

$$\mathcal{K} \boxtimes_{\mathcal{B}} \mathcal{N} \boxtimes_{\mathcal{A}} \mathcal{P} \boxtimes_{\mathcal{A}'}$$

$$\mathcal{A} \boxtimes_{\mathcal{A}} \mathcal{A} \boxtimes_{\mathcal{A}} = Z(\mathcal{A})$$

State-sum models with defects



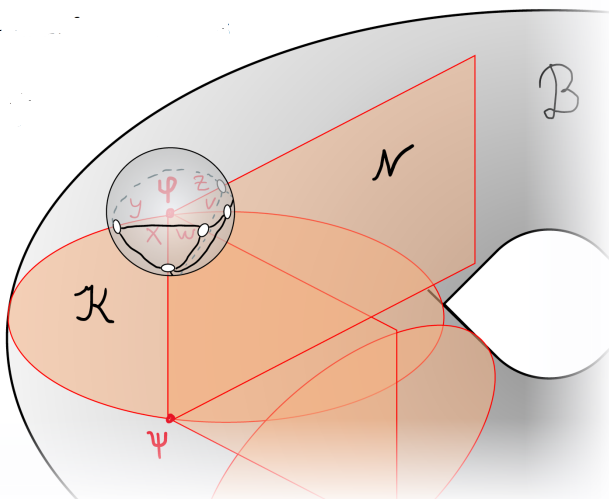
Closed oriented 3-manifold with skeleton

\mathcal{A}, \mathcal{B} spherical fusion categories

\mathcal{M}, \mathcal{N} bimodule categories

Edges: balanced Deligne products

$$Z(\mathcal{A}) = \text{End}_{\mathcal{A}/\mathcal{A}}(\mathcal{A})$$

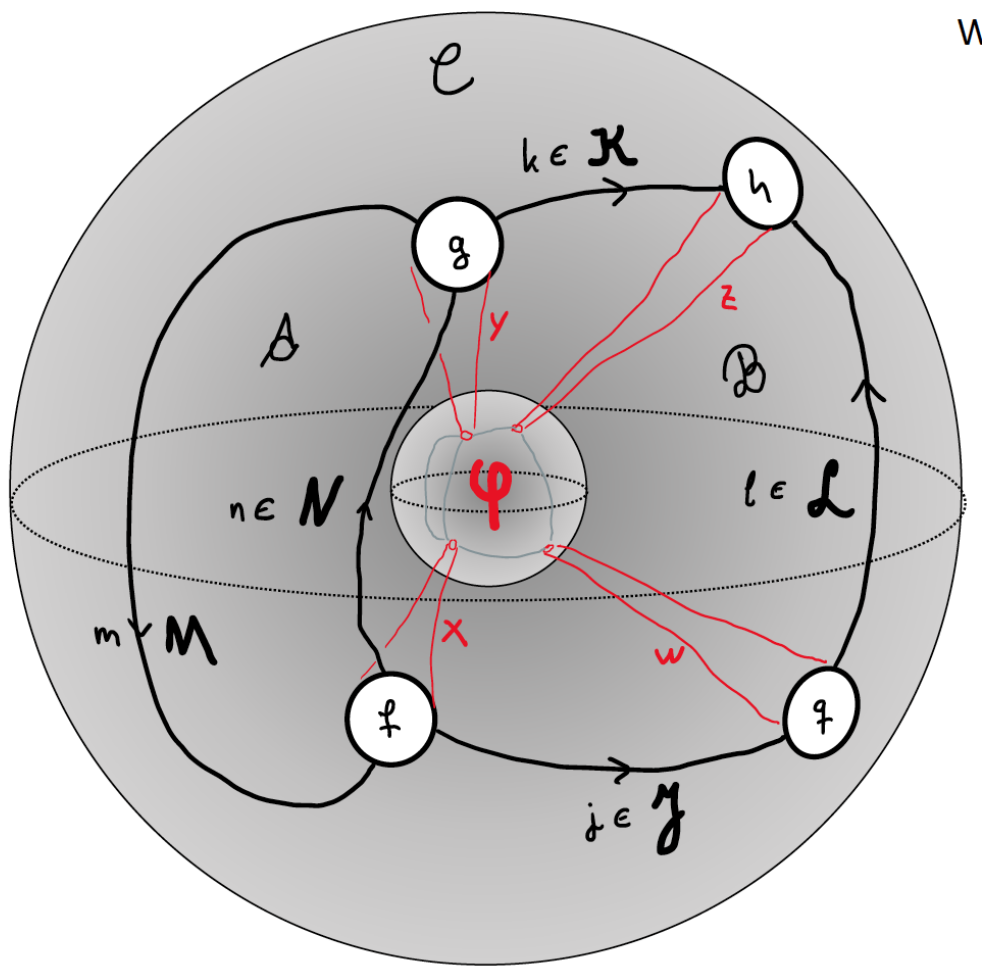


Part of the TV construction is an evaluation at vertices

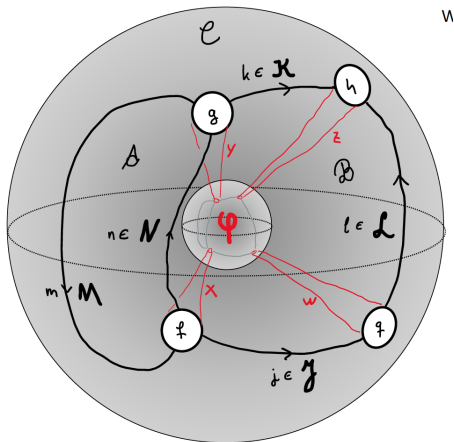
Chapter 4

Extruded graphs

Extruded graphs



Extruded graphs

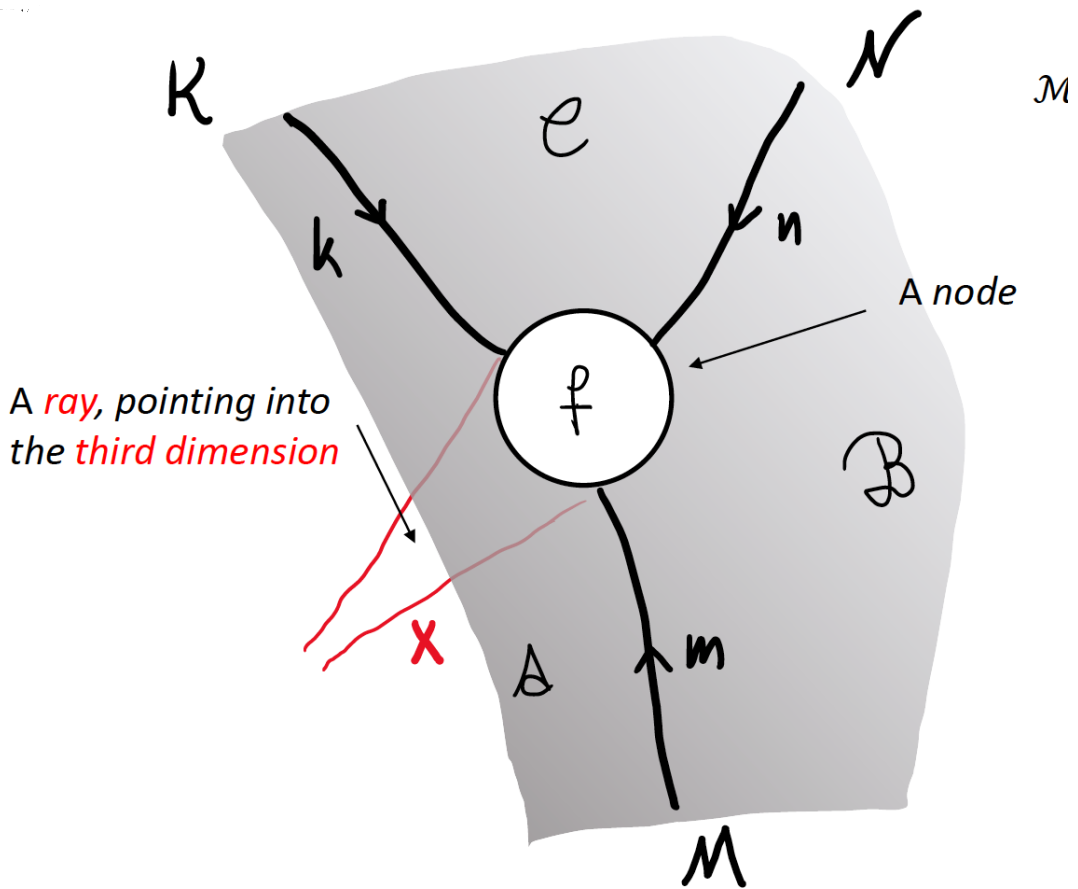


Artin, Theory of braids (1947):

Projection [...] which is an excellent tool for intuitive investigations is a very clumsy one for proofs. This has lead me to abandon projections altogether.

Node labels

8:14



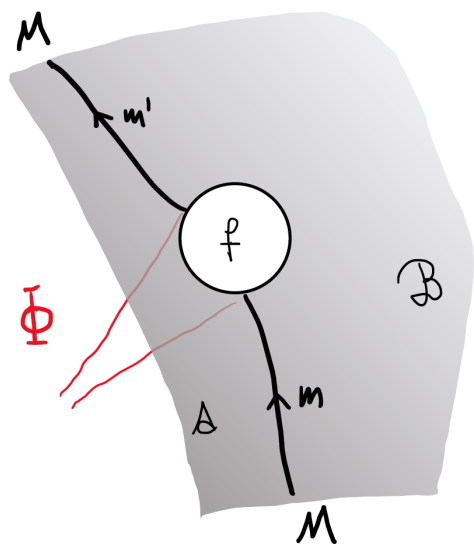
A *ray*, pointing into the *third dimension*

$$x \in \mathcal{M} \boxtimes_B \mathcal{N} \boxtimes_c \mathcal{K} \boxtimes_A$$

$$U(x) \in \mathcal{M} \boxtimes \mathcal{N} \boxtimes \mathcal{K}$$

$$f : m \boxtimes n \boxtimes n \boxtimes k \rightarrow U(x)$$

Sanity check: silent rays



$$\mathcal{M} \boxtimes \bar{\mathcal{M}}$$

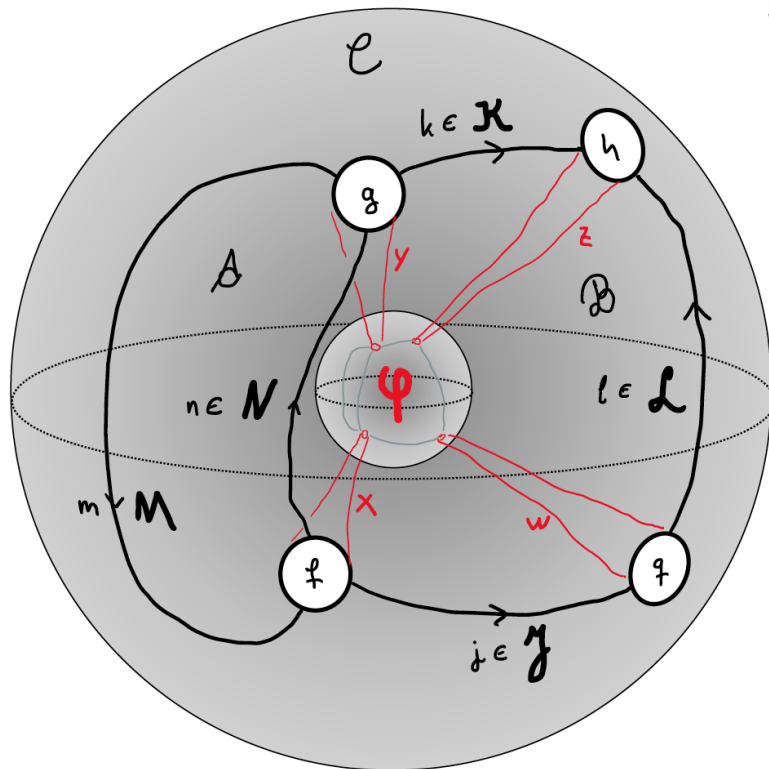
$$U(\Phi) = \bigoplus_{\mu} \mu \boxtimes \bar{\mu}$$

$$\text{Hom}_{\mathcal{M} \boxtimes \bar{\mathcal{M}}}(m \boxtimes \bar{m}', \bigoplus_{\mu} \mu \boxtimes \bar{\mu})$$

$$= \bigoplus_{\mu} \text{Hom}_{\mathcal{M}}(m, \mu) \otimes \text{Hom}_{\mathcal{M}}(\mu, m')$$

$$= \text{Hom}_{\mathcal{M}}(m, m')$$

Core label

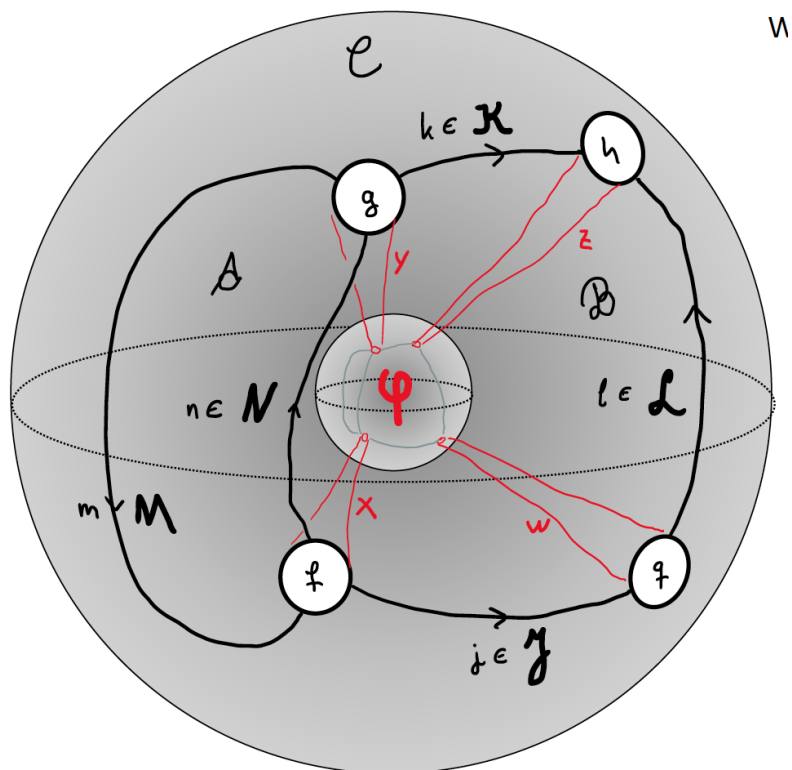


v

- T^P preblock space, depends on skeleton
- T block spaces, independent
- $T \subset T^P$
- Node labels combine to element α in T^P
- Core label $\varphi \in T^*$ $\varphi(\alpha)$

Use pivotal structure to exhibit T as a retract, $T^P \rightarrow T$

Core label



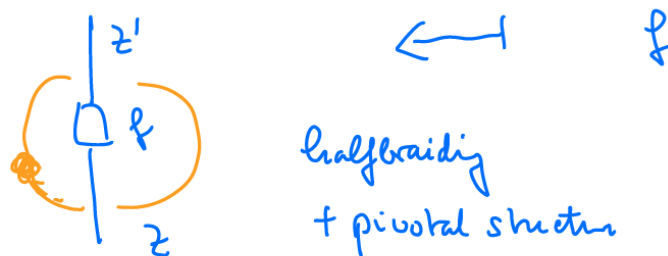
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Use pivotal structure to exhibit T as a retract, $T^P \xrightarrow{\pi} T$

$$\varphi(\pi A)$$

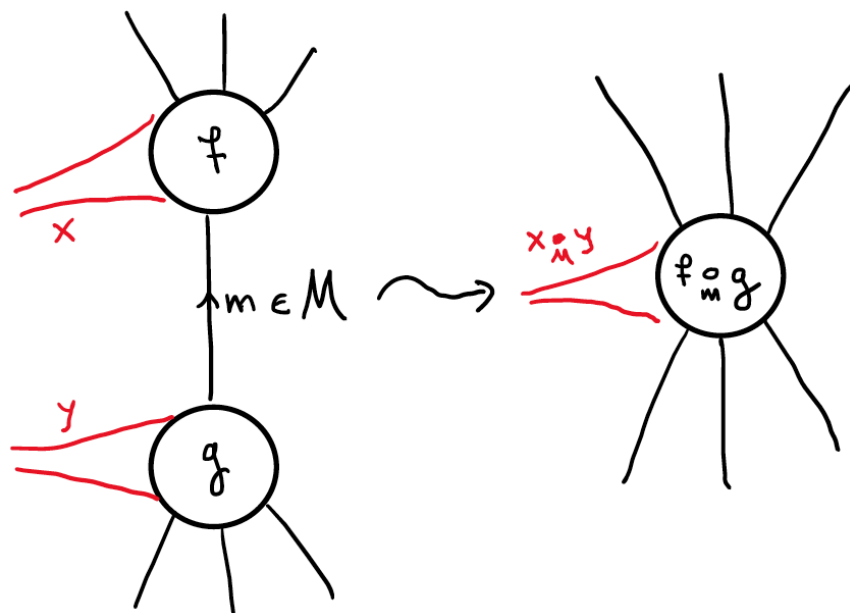
Prototype: $U : \mathcal{Z}(C) \rightarrow C$

$$\text{Hom}_{\mathcal{Z}(C)}(z, z') \subset \text{Hom}_C(Uz, Uz')$$

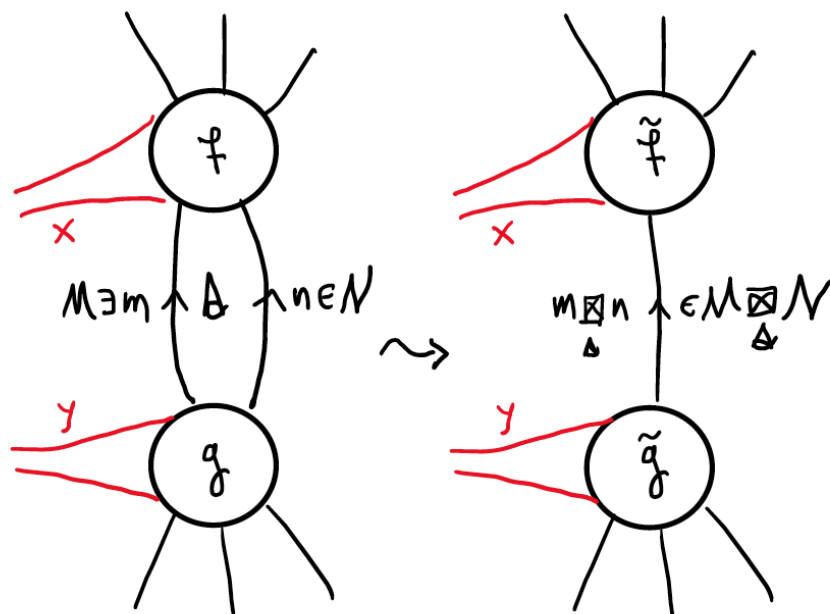


Local moves

The contraction move



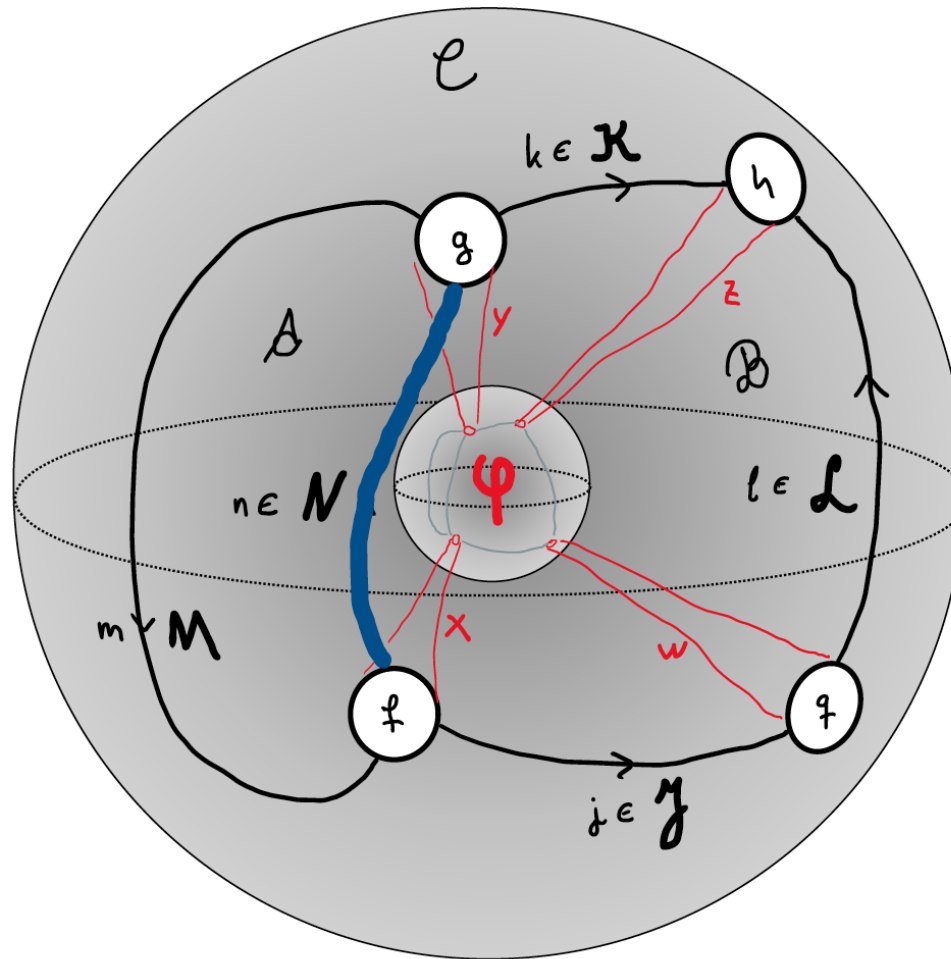
The edge fusion move



Theorem: Performing these changes locally in an extruded graph leaves the evaluation invariant.

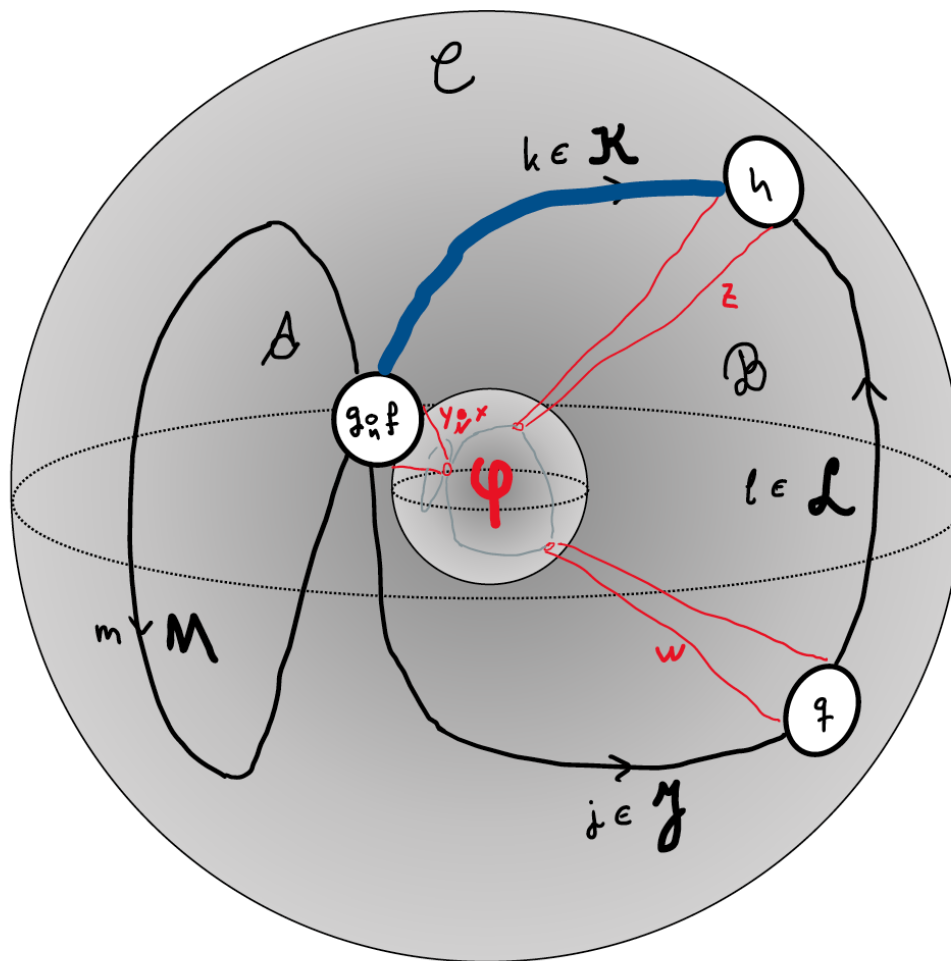
Example for an evaluation

Simplifying extruded graphs using moves



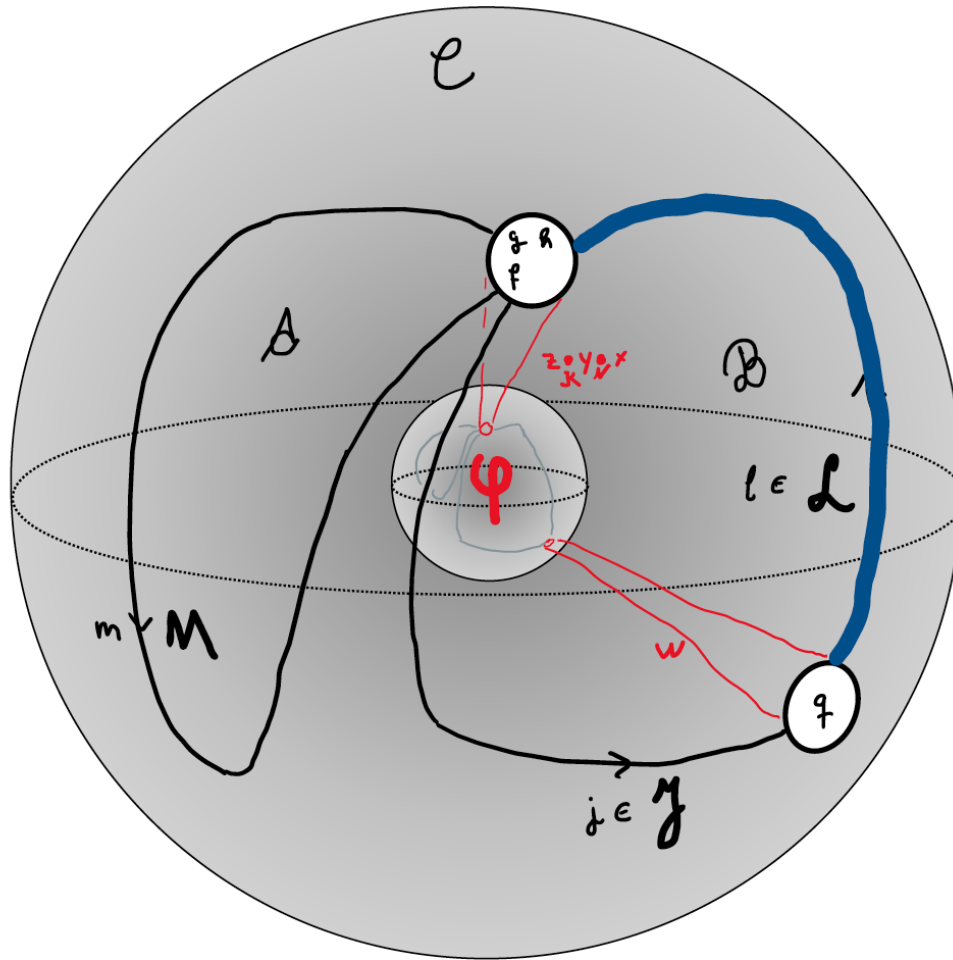
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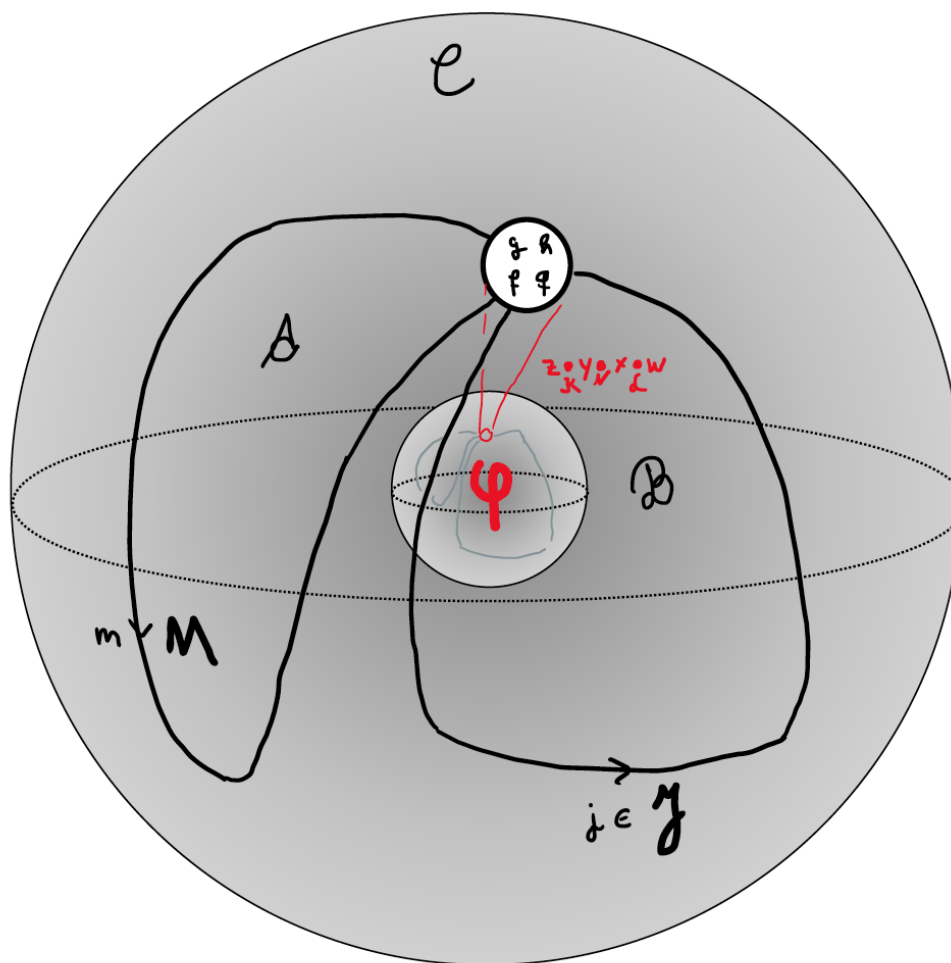
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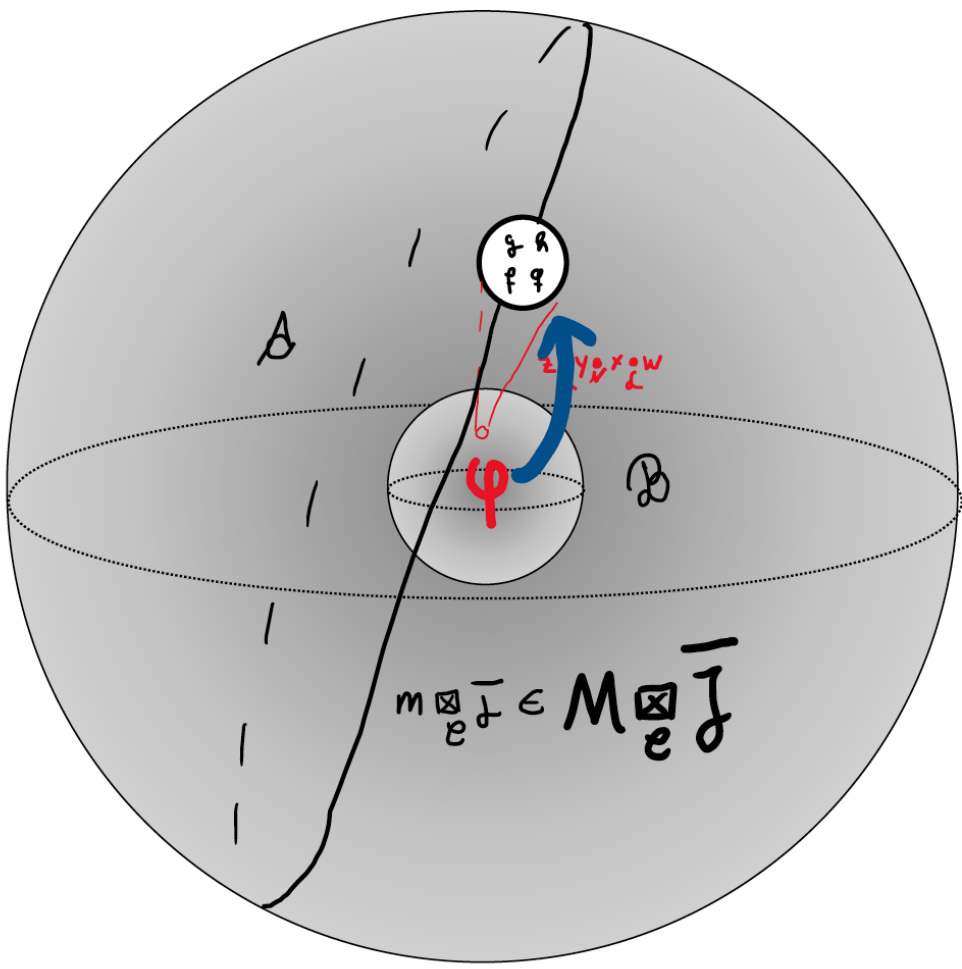
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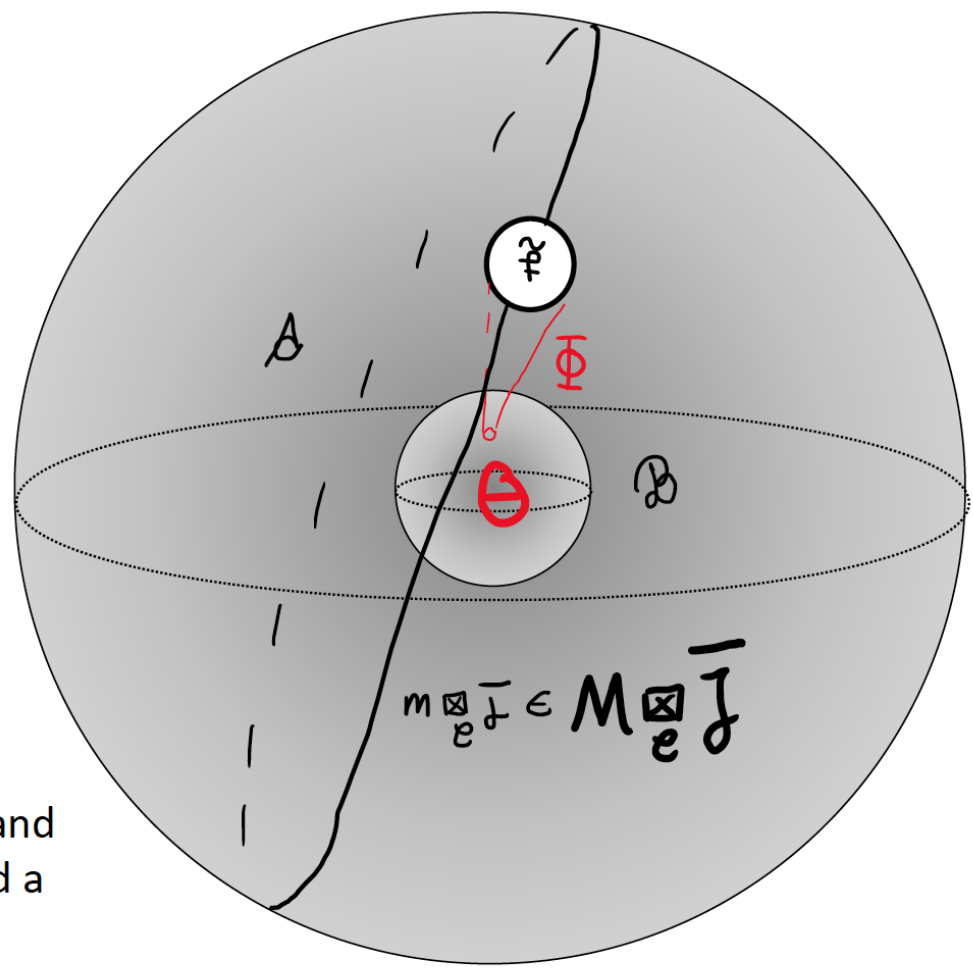
Example for an evaluation

Simplifying extruded graphs using moves



Lift the core label to the coat,
leave a silent ray.

Example for an evaluation



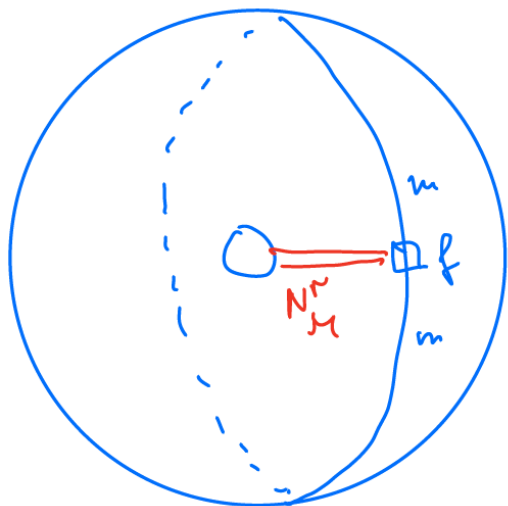
and
da

$N^r \cong id$ ss. pivotal

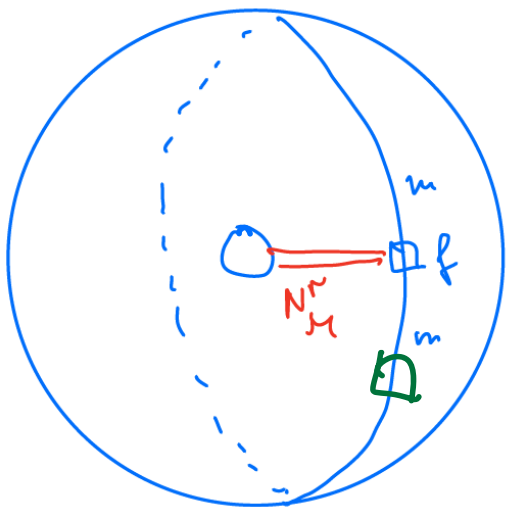
$N^r(c) = D \cdot a^{vv}$

evaluation \rightarrow $Tr(\tilde{f})$

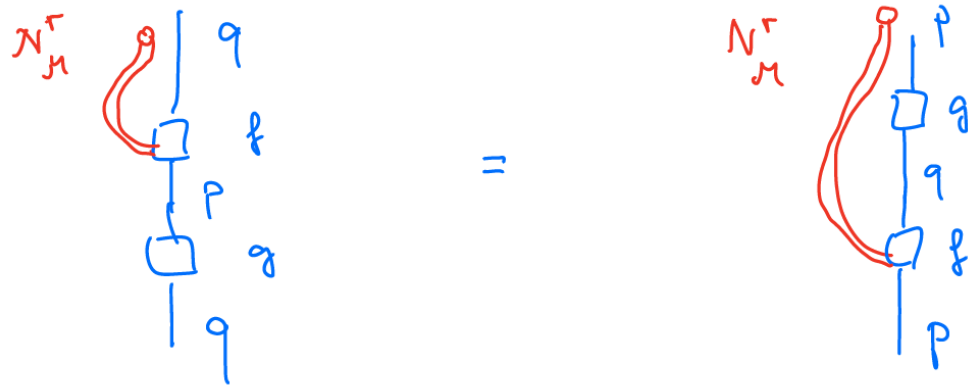
Back to Nakayama functors



Back to Nakayama functors



Cyclicity



Conclusions

Summary

- Traces are not for endomorphisms and secretly higher structure.

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Summary

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- There is a natural “mildly three-dimensional” graphical calculus for Turaev Viro theories with defects.

Outlook

- The calculus can be derived in the case of Dijkgraaf-Witten theories from field theory.
- There are other interesting higher-dimensional graphical calculi.