Einstein Manifolds,

Self-Dual Weyl Curvature, &

Conformally Kähler Geometry

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Definition. A Riemannian metric h

$$r = \lambda h$$

for some constant $\lambda \in \mathbb{R}$.

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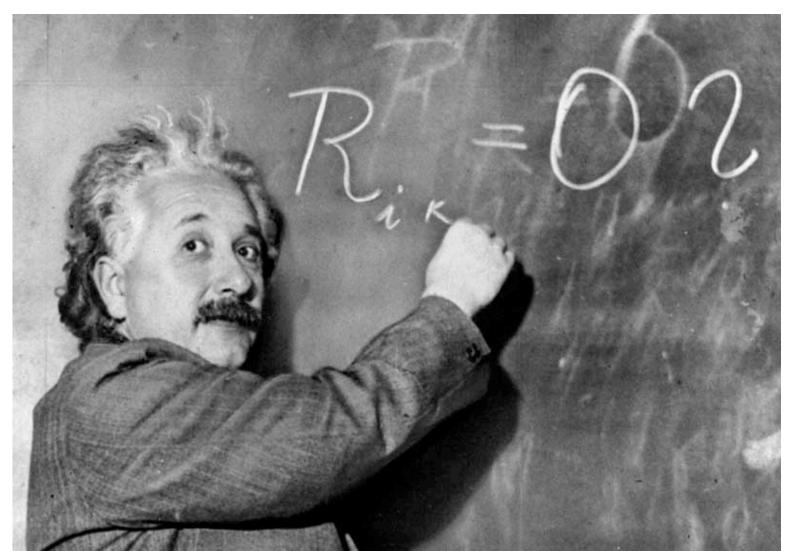
for some constant $\lambda \in \mathbb{R}$.

"...the greatest blunder of my life!"

— A. Einstein, to G. Gamow

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As punishment ...

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Has same sign as the *scalar curvature*

$$s = r_j^j = \mathcal{R}^{ij}{}_{ij}.$$

When n=4, Einstein metrics satisfy a remarkable conformally-invariant condition.

On Riemannian *n*-manifold (M, g), $n \geq 3$,

$$\mathcal{R}^{ab}{}_{cd} = W^{ab}{}_{cd} + \frac{4}{n-2} \mathring{r}^{[a}{}_{[c} \delta^{b]}_{d]} + \frac{2}{n(n-1)} s \delta^{a}{}_{[c} \delta^{b]}_{d]}$$

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 where

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 \mathring{r} = trace-free Ricci curvature

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 W^a_{bcd} unchanged if $g \rightsquigarrow \hat{g} = u^2 g$.

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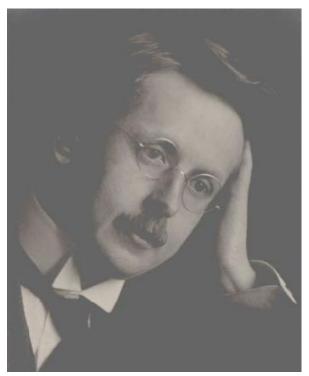
Proposition. Assume $n \ge 4$. Then (M^n, g) locally conformally flat $\iff W \equiv 0$.

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For metrics on fixed M^n ,

 $\mathscr{W}:\mathcal{G}_M\longrightarrow\mathbb{R}$

$$\mathcal{W}(g) = \int_{M} |W_g|^{n/2} d\mu_g$$

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$$\mathscr{W}: \mathcal{G}_M/(C^{\infty})^+ \longrightarrow \mathbb{R}$$

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Measures deviation [g] from conformal flatness.

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Of course, conformally Einstein good enough!

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But when $n \neq 4$, Einstein \Rightarrow critical point of \mathscr{W} !

Dimension Four is Exceptional

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$$\mathcal{R} = \begin{pmatrix} W_{+} + \frac{s}{12} & \mathring{r} \\ & & \\ \mathring{r} & W_{-} + \frac{s}{12} \end{pmatrix}$$

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$$\Lambda^{+*} \qquad \Lambda^{-*}$$

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Hence

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 (M^4, g, J) Kähler.

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$$W_{+} = \begin{pmatrix} -\frac{s}{12} \\ -\frac{s}{12} \\ \frac{s}{6} \end{pmatrix}$$

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$$|W_+|^2 = \frac{s^2}{24}$$

On Kähler metrics,

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$$\iff J^* \text{Hess}(s) = \text{Hess}(s)$$

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Andrzej Derdziński: For Kähler metrics g,

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

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Lemma. If g is a Kähler metric on a complex surface (M^4, J) , the following are equivalent:

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- $g_t = g + tB$ is Kähler metric for small t.

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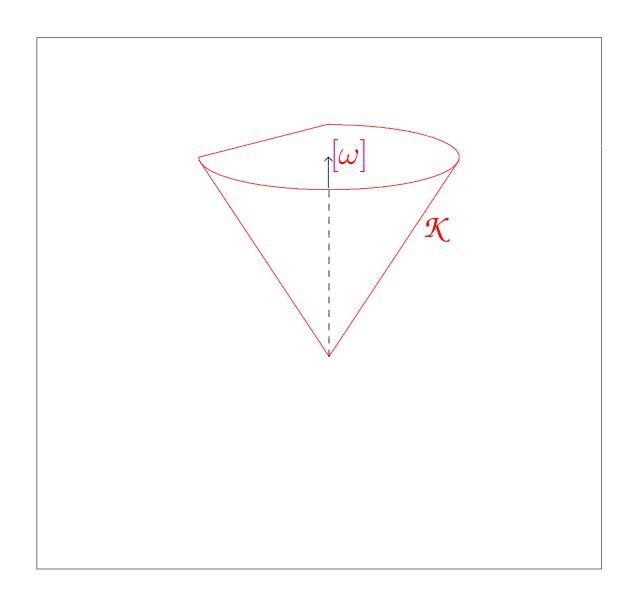
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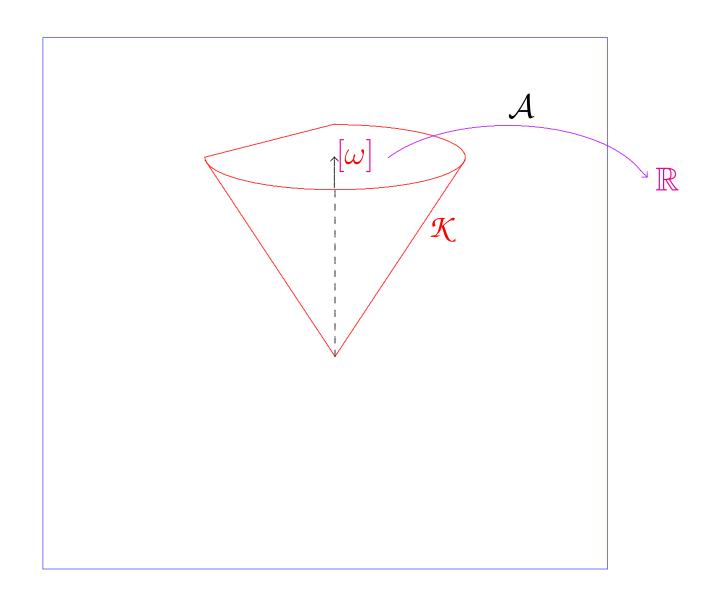
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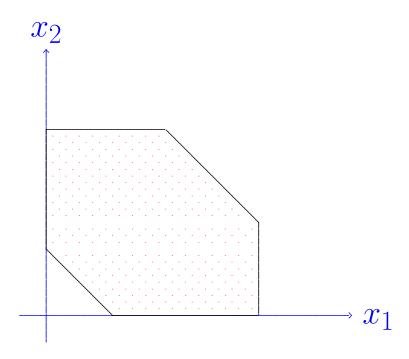
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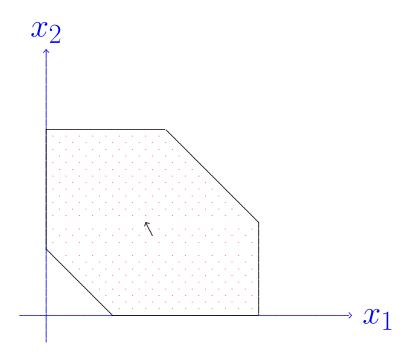
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$$\mathcal{A}([\boldsymbol{\omega}]) = \frac{|\partial P|^2}{2} \left(\frac{1}{|P|} + \vec{\mathfrak{D}} \cdot \Pi^{-1} \vec{\mathfrak{D}} \right)$$

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• g is an extremal Kähler metric; and

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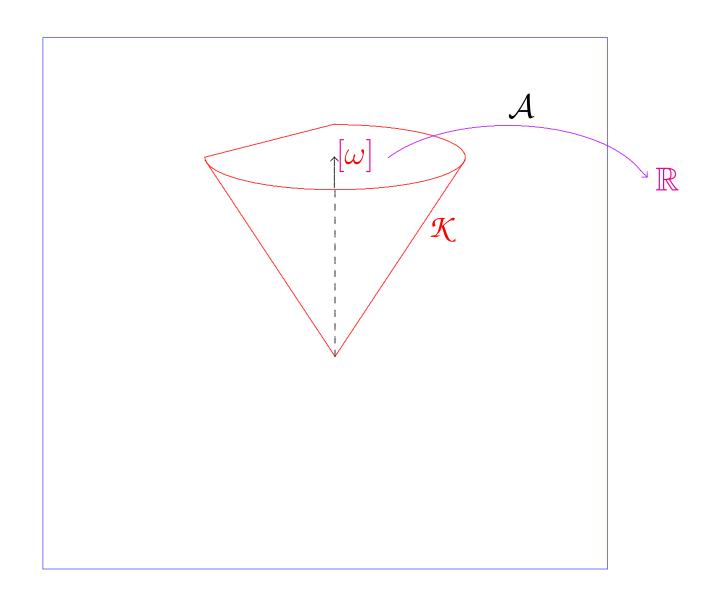
$$\frac{1}{32\pi^2} \int s^2 d\mu_g = \frac{(c_1 \cdot [\omega])^2}{[\omega]^2} + \frac{1}{32\pi^2} ||\mathcal{F}_{[\omega]}||^2$$
$$=: \mathcal{A}([\omega])$$

where \mathcal{F} is Futaki invariant.

 \mathcal{A} is function on Kähler cone $\mathcal{K} \subset H^2(M,\mathbb{R})$.

Proposition. If g is a Kähler metric on a compact complex surface (M^4, J) , with Kähler class $[\omega]$, then g satisfies $B = 0 \iff$

- g is an extremal Kähler metric; and
- $[\omega]$ is a critical point of $\mathcal{A}: \mathcal{K} \to \mathbb{R}$.



$$\mathcal{K} \subset H^{1,1}(M,\mathbb{R}) \subset H^2(M,\mathbb{R})$$

On Kähler metrics,

$$\int |W_+|^2 d\mu = \int \frac{s^2}{24} d\mu$$

so any critical point of restriction must be extremal in sense of Calabi.

Andrzej Derdziński: For Kähler metrics g,

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

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Global implications?

Theorem A. Let (M^4, g, J) be compact connected Bach-flat Kähler surface.

I. $\min s > 0$. Then

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 - (a) (M, g, J) Kähler-Einstein, $\lambda > 0$; or else

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$$W_{+} \equiv 0$$

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Theorem A. Let (M^4, g, J) be compact connected Bach-flat Kähler surface. Then exactly one holds:

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Moreover, each case actually occurs.

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- L s > 0 everywhere. Then
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- III. s < 0 somewhere. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda < 0$; or else
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If **not** Kähler-Einstein:

I. s is positive. Then

$$(M, s^{-2}g)$$
 Einstein, $\lambda > 0$, $Hol = SO(4)$.

- II. s is zero. Then (M, g, J) SFK, but not Ricci-flat.
- III. s changes sign. Then

 $(M, s^{-2}g)$ double Poincaré-Einstein. Here, s = 0 defines smooth connected \mathbb{Z}^3 , and $M - \mathbb{Z}$ has exactly two components.

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Main interest today:

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This happens \iff $c_1 > 0$.

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This happens \iff $c_1 > 0$.

 \iff (M^4, J) is a Del Pezzo surface.

 (M^4, J) for which c_1 is a Kähler class $[\omega]$.

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Blow-up of \mathbb{CP}_2 at k distinct points, in general position,

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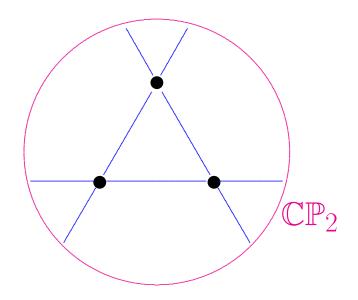
Blow-up of \mathbb{CP}_2 at k distinct points, $0 \le k \le 8$, in general position,

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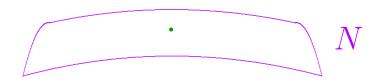
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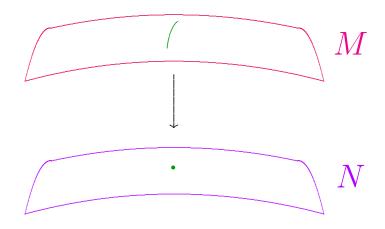
If N is a complex surface,



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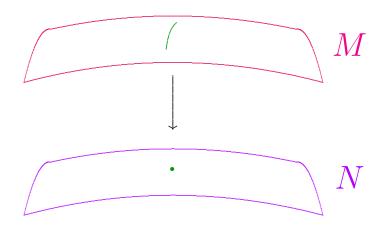


If N is a complex surface, may replace $p \in N$ with \mathbb{CP}_1



If N is a complex surface, may replace $p \in N$ with \mathbb{CP}_1 to obtain blow-up

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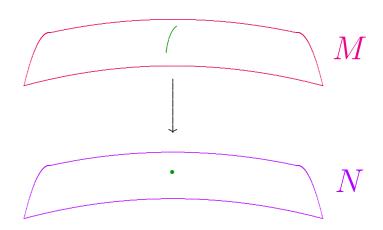


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in which added \mathbb{CP}_1 has normal bundle $\mathcal{O}(-1)$.





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Blowing up:

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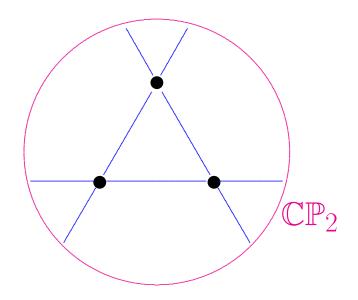
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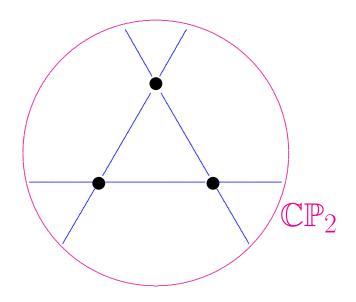
 (M^4, J) for which c_1 is a Kähler class $[\omega]$. Shorthand: " $c_1 > 0$."

Blow-up of \mathbb{CP}_2 at k distinct points, $0 \le k \le 8$, in general position, or $\mathbb{CP}_1 \times \mathbb{CP}_1$.



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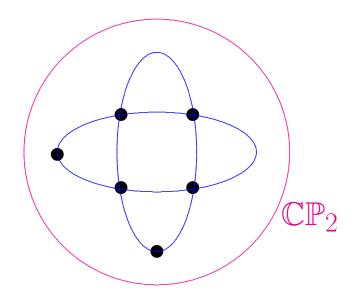
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No 3 on a line,

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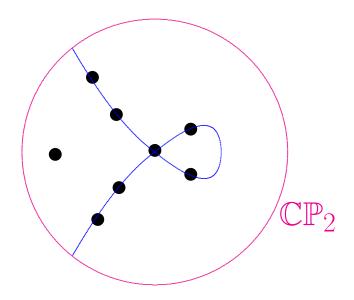
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No 3 on a line, no 6 on conic,

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Blow-up of \mathbb{CP}_2 at k distinct points, $0 \le k \le 8$, in general position, or $\mathbb{CP}_1 \times \mathbb{CP}_1$.



No 3 on a line, no 6 on conic, no 8 on nodal cubic.

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Theorem.

 (M^4, J) for which c_1 is a Kähler class $[\omega]$. Shorthand: " $c_1 > 0$."

Blow-up of \mathbb{CP}_2 at k distinct points, $0 \le k \le 8$, in general position, or $\mathbb{CP}_1 \times \mathbb{CP}_1$.

Theorem. Each del Pezzo (M^4, J) admits a J-compatible

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Theorem. Each del Pezzo (M^4, J) admits a J-compatible conformally $K\ddot{a}hler$,

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Theorem. Each del Pezzo (M^4, J) admits a J-compatible conformally Kähler, Einstein metric,

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Theorem. Each del Pezzo (M^4, J) admits a J-compatible conformally Kähler, Einstein metric, and this metric is unique up to complex automorphisms and constant rescalings.

 (M^4, J) for which c_1 is a Kähler class $[\omega]$. Shorthand: " $c_1 > 0$."

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Existence: Page

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Existence: Page-Derdziński,

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Uniqueness: Bando-Mabuchi '87

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Uniqueness: Bando-Mabuchi '87, L '12.

One reason this seems satisfying...

Theorem (CLW '08). Suppose that M is a smooth compact oriented 4-manifold which carries some symplectic form ω .

$$\iff M \approx \begin{cases} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, & 0 \le k \le 8, \\ or \\ S^2 \times S^2 \end{cases}$$

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Diffeotypes: exactly the Del Pezzo surfaces.

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But this is not needed in above result.

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Understand all Einstein metrics on del Pezzos.

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Is Einstein moduli space connected?

Moduli Spaces of Einstein metrics

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Completely understood for certain 4-manifolds:

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Known to be connected for certain 4-manifolds:

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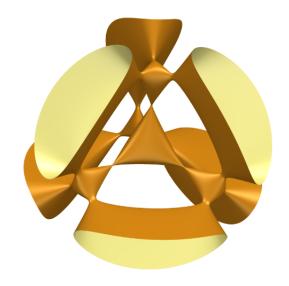
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Berger, Hitchin, Besson-Courtois-Gallot, L.

One fundamental open problem:

Understand all Einstein metrics on del Pezzos.

Is Einstein moduli space connected?

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Progress to date:

Nice characterizations of known Einstein metrics.

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Nice characterizations of known Einstein metrics.

Exactly one connected component of moduli space!

Theorem (L '15).

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$$W^+(\omega,\omega) > 0$$

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Corollary. These known Einstein metrics on any del Pezzo M⁴

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Corollary. These known Einstein metrics on any del Pezzo M^4 sweep out exactly one connected component of the Einstein moduli space $\mathcal{E}(M)$.

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Kähler
$$\Longrightarrow \Lambda^+ = \mathbb{R}\omega \oplus \Re e\Lambda^{2,0}$$

$$W^+ = \text{trace-free part of} \begin{bmatrix} 0 \\ 0 \\ \frac{s}{4} \end{bmatrix}$$

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for these metrics & conformal rescalings:

$$g \rightsquigarrow \mathbf{h} = f^2 g \implies \det(W^+) \rightsquigarrow f^{-6} \det(W^+).$$

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method also proves more general results.

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L (2021b): related classification result.

Theorem B.

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necessarily has the same sign as $-\beta$.

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So $\alpha = \alpha_h : M \to \mathbb{R}^+$ a smooth function,

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So $\alpha = \alpha_h : M \to \mathbb{R}^+$ a smooth function, and can choose ω with $W^+(\omega) = \alpha \omega$, $|\omega|_h \equiv \sqrt{2}$.

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So $\alpha = \alpha_h : M \to \mathbb{R}^+$ a smooth function, and can choose ω with $W^+(\omega) = \alpha \omega$, $|\omega|_h \equiv \sqrt{2}$. either on M or double cover \widetilde{M} .

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Get almost-complex structure J on M or M by

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Get almost-complex structure J on M or M by $\omega = h(J \cdot, \cdot)$.

Claim: (M, h) compact Einstein $\Longrightarrow J$ integrable.

$$W^+:\Lambda^+\to\Lambda^+$$

satisfies

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at every point of M. Then h is conformal to an orientation-compatible Bach-flat extremal Kähler metric g with scalar curvature s > 0 on M.

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Corollary. Every simply-connected compact oriented Einstein (M^4, h) with $det(W^+) > 0$ is diffeomorphic to a del Pezzo surface.

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Corollary. Every simply-connected compact oriented Einstein (M^4, h) with $\det(W^+) > 0$ is diffeomorphic to a del Pezzo surface. Conversely, every del Pezzo M^4 carries Einstein h with $\det(W^+) > 0$, and these sweep out exactly one connected component of moduli space $\mathcal{E}(M)$.

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Simply connected hypothesis $\iff b_+(M) \neq 0$.

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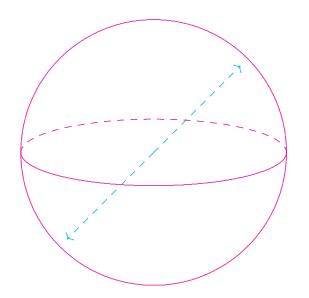
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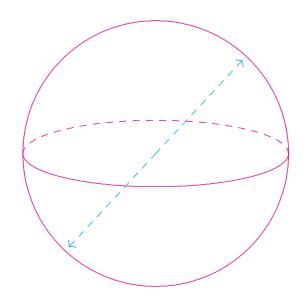
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Excludes 5 types with $\pi_1 = \mathbb{Z}_2$ and $b_+(M) = 0$.







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One key idea underlying the proof:

By second Bianchi identity,

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as proxy for Einstein equation.

Theorem C.

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 and $\det(W^+) \ge -\frac{5\sqrt{2}}{21\sqrt{21}}|W^+|^3$

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everywhere on M, then actually $det(W^+) > 0$. In particular, if (M, h) is a simply-connected Einstein manifold,

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everywhere on M, then actually $det(W^+) > 0$. In particular, if (M, h) is a simply-connected Einstein manifold, then h is conformally Kähler,

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everywhere on M, then actually $det(W^+) > 0$. In particular, if (M, h) is a simply-connected Einstein manifold, then h is conformally Kähler, and M is a Del Pezzo surface.

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Key to all this:

$$W^+ \neq 0$$
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everywhere on M, then actually $det(W^+) > 0$. In particular, if (M, h) is a simply-connected Einstein manifold, then h is conformally Kähler, and M is a Del Pezzo surface.

Key to all this:

Correctly understand equation $\delta W^+ = 0$.

Equation $\delta W^+ = 0$

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$$0 = \nabla^* \nabla W^+ + \frac{s}{2} W^+ - 6W^+ \circ W^+ + 2|W^+|^2 I$$

for $W^+ \in \operatorname{End}(\Lambda^+)$, with respect to h.

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adapted to problem,

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with $\omega \otimes \omega$, and integrate by parts.

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with $\omega \otimes \omega$, and integrate by parts. This yields:

$$0 = \int_{M} \left[\langle W^{+}, \nabla^{*} \nabla (\omega \otimes \omega) \rangle + \frac{s}{2} W^{+}(\omega, \omega) - 6|W^{+}(\omega)|^{2} + 2|W^{+}|^{2}|\omega|^{2} \right] f d\mu$$

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holds whenever $h = f^2 g$ satisfies $\delta W^+ = 0$.

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Example. If \exists harmonic ω with $W^+(\omega, \omega) > 0$,

$$0 = \int_{M} \left[\langle W^{+}, \nabla^{*} \nabla (\omega \otimes \omega) \rangle + \frac{s}{2} W^{+}(\omega, \omega) - 6|W^{+}(\omega)|^{2} + 2|W^{+}|^{2}|\omega|^{2} \right] f d\mu$$

Example. If \exists harmonic ω with $W^+(\omega, \omega) > 0$, then $\omega \neq 0$ everywhere.

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Example. If \exists harmonic ω with $W^+(\omega, \omega) > 0$, then $\omega \neq 0$ everywhere. Choose $g = f^{-2}h$ so that $|\omega|_g \equiv \sqrt{2}$.

This g is almost-Kähler.

$$0 = \int_{M} \left[\langle W^{+}, \nabla^{*} \nabla (\omega \otimes \omega) \rangle + \frac{s}{2} W^{+}(\omega, \omega) - 6|W^{+}(\omega)|^{2} + 2|W^{+}|^{2}|\omega|^{2} \right] f d\mu$$

Example. If \exists harmonic ω with $W^+(\omega, \omega) > 0$, then $\omega \neq 0$ everywhere. Choose $g = f^{-2}h$ so that $|\omega|_g \equiv \sqrt{2}$.

This g is almost-Kähler. Above identity becomes

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Example. If \exists harmonic ω with $W^+(\omega, \omega) > 0$, then $\omega \neq 0$ everywhere. Choose $g = f^{-2}h$ so that $|\omega|_q \equiv \sqrt{2}$.

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thus showing that g must actually be Kähler.

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necessarily has the same sign as $-\beta$.

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So $\alpha = \alpha_h : M \to \mathbb{R}^+$ a smooth function. Set

$$f = \alpha_h^{-1/3}, \qquad g = f^{-2}h = \alpha_h^{2/3}h.$$

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So our choice of $f = \alpha^{-1/3}$ implies

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Now choose $\omega \in \Gamma \Lambda^+$ so that

$$W_q^+(\omega) = \alpha \ \omega, \quad |\omega|_g \equiv \sqrt{2},$$

after at worst passing to double cover $\hat{M} \to M$.

$$0 = \int_{\hat{M}} \left[\langle W^+, \nabla^* \nabla (\omega \otimes \omega) \rangle + \frac{s}{2} W^+(\omega, \omega) - 6 |W^+(\omega)|^2 + 2 |W^+|^2 |\omega|^2 \right] f d\mu$$

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$$0 = \int_{M} \left[-2W^{+}(\nabla_{e}\omega, \nabla^{e}\omega) - 2W^{+}(\omega, \nabla^{e}\nabla_{e}\omega) + \frac{s}{2}W^{+}(\omega, \omega) - 6|W^{+}(\omega)|^{2} + 2|W^{+}|^{2}|\omega|^{2} \right] f d\mu$$

$$0 = \int_{M} \left[-2W^{+}(\nabla_{e}\omega, \nabla^{e}\omega) - 2\alpha\langle\omega, \nabla^{e}\nabla_{e}\omega\rangle + \frac{s}{2}\alpha|\omega|^{2} - 6\alpha^{2}|\omega|^{2} + 2|W^{+}|^{2}|\omega|^{2} \right] f d\mu$$

because

$$W_g^+(\omega) = \alpha \omega$$

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because

$$|W_g^+|^2 \ge \frac{3}{2}\alpha^2$$

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$$|\omega|_g^2 = 2 \implies (\nabla_e \omega) \perp \omega$$

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$$|\omega|_g^2 = 2 \implies (\nabla_e \omega) \perp \omega$$

$$\det(W^+) > 0 \implies W^+(\nabla_e \omega, \nabla^e \omega) \le 0$$

$$0 \ge \int_{M} \left[2\alpha \langle \omega, \nabla^* \nabla \omega \rangle + \frac{s}{2} \alpha |\omega|^2 - 3\alpha^2 |\omega|^2 \right] f d\mu$$

$$|\omega|_g^2 = 2 \implies (\nabla_e \omega) \perp \omega$$

$$\det(W^+) > 0 \implies -W^+(\nabla_e \omega, \nabla^e \omega) \ge 0$$

$$0 \ge \int_{M} \left[2\alpha \langle \omega, \nabla^* \nabla \omega \rangle + \frac{s}{2} \alpha |\omega|^2 - 3\alpha^2 |\omega|^2 \right] f \ d\mu$$

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But

$$\alpha f \equiv 1$$

$$0 \ge \int_{M} \left[2\langle \omega, \nabla^* \nabla \omega \rangle + \frac{s}{2} |\omega|^2 - 3|\omega|^2 \alpha \right] d\mu$$

$$0 \ge \int_{\mathcal{M}} \left[2\langle \omega, \nabla^* \nabla \omega \rangle - 3W^+(\omega, \omega) + \frac{s}{2} |\omega|^2 \right] d\mu$$

$$0 \ge \int_{M} \left[\frac{1}{2} |\nabla \omega|^2 + \frac{3}{2} \langle \omega, \left(\nabla^* \nabla - 2W^+ + \frac{s}{3} \right) \omega \rangle \right] d\mu$$

$$0 \ge \int_{M} \left[\frac{1}{2} |\nabla \omega|^2 + \frac{3}{2} \langle \omega, (d+d^*)^2 \omega \rangle \right] d\mu$$

Because

$$(d+d^*)^2 = \nabla^*\nabla - 2W^+ + \frac{s}{3}$$

on $\Gamma\Lambda^+$.

$$0 \ge \frac{1}{2} \int_{M} |\nabla \omega|^2 d\mu + 3 \int_{M} |d\omega|^2 d\mu$$

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So $\nabla \omega \equiv 0$, and g is Kähler!

Theorem B. Let (M, h) be a simply-connected compact oriented Einstein 4-manifold, and suppose that its self-dual Weyl curvature

$$W^+:\Lambda^+\to\Lambda^+$$

satisfies

$$\det(W^+) > 0$$

at every point of M. Then h is conformally Kähler, and M is a Del Pezzo surface.

$$\beta \le \frac{1}{4}\alpha \ne 0.$$

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This implies

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This implies

$$W^+(\nabla_e \omega, \nabla^e \omega) \le \beta |\nabla \omega|^2 \le \frac{1}{4} \alpha |\nabla \omega|^2$$

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Produces harmonic ω with $W^+(\omega, \omega) > 0$.

Now use my earlier result!

Theorem C. Let (M, h) be a compact oriented Riemannian 4-manifold with $\delta W^+ = 0$. If

$$W^+ \neq 0$$
 and $\det(W^+) \ge -\frac{5\sqrt{2}}{21\sqrt{21}}|W^+|^3$

everywhere on M, then actually $det(W^+) > 0$. In particular, if (M, h) is a simply-connected Einstein manifold, then h is conformally Kähler, and M is a Del Pezzo surface.

Obrigado por me convidar!

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