

# Low dimensional manifolds for neural dynamics

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# Manifolds for neural population activity

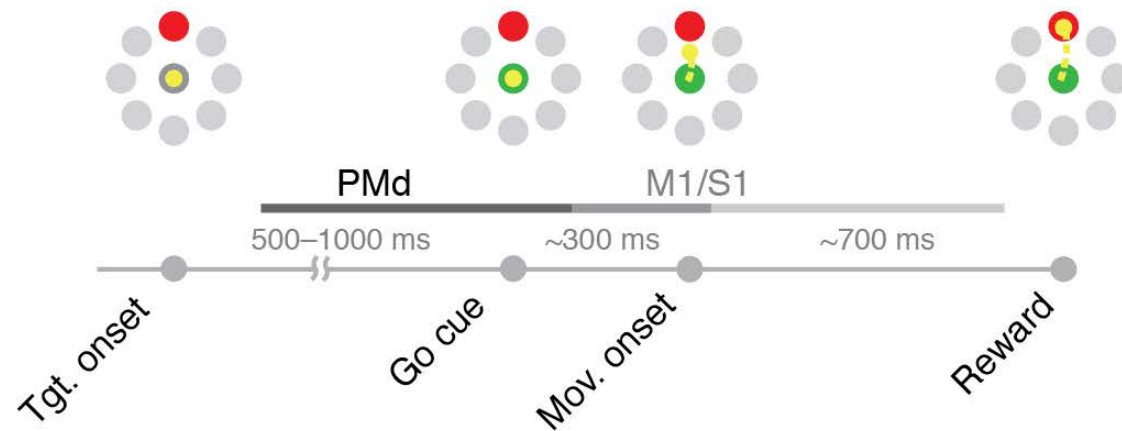
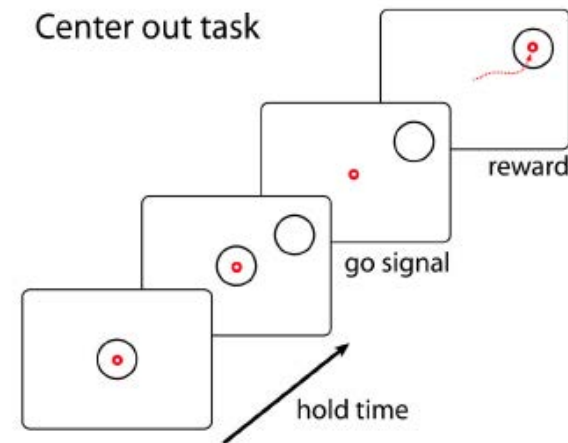
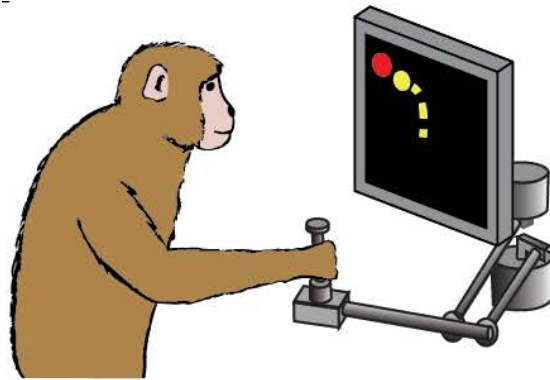
- A simple motor task
- Neural manifolds for the control of movement
- The unreasonable effectiveness of linear methods

# Manifolds for neural population activity

- A simple motor task
- Neural manifolds for the control of movement
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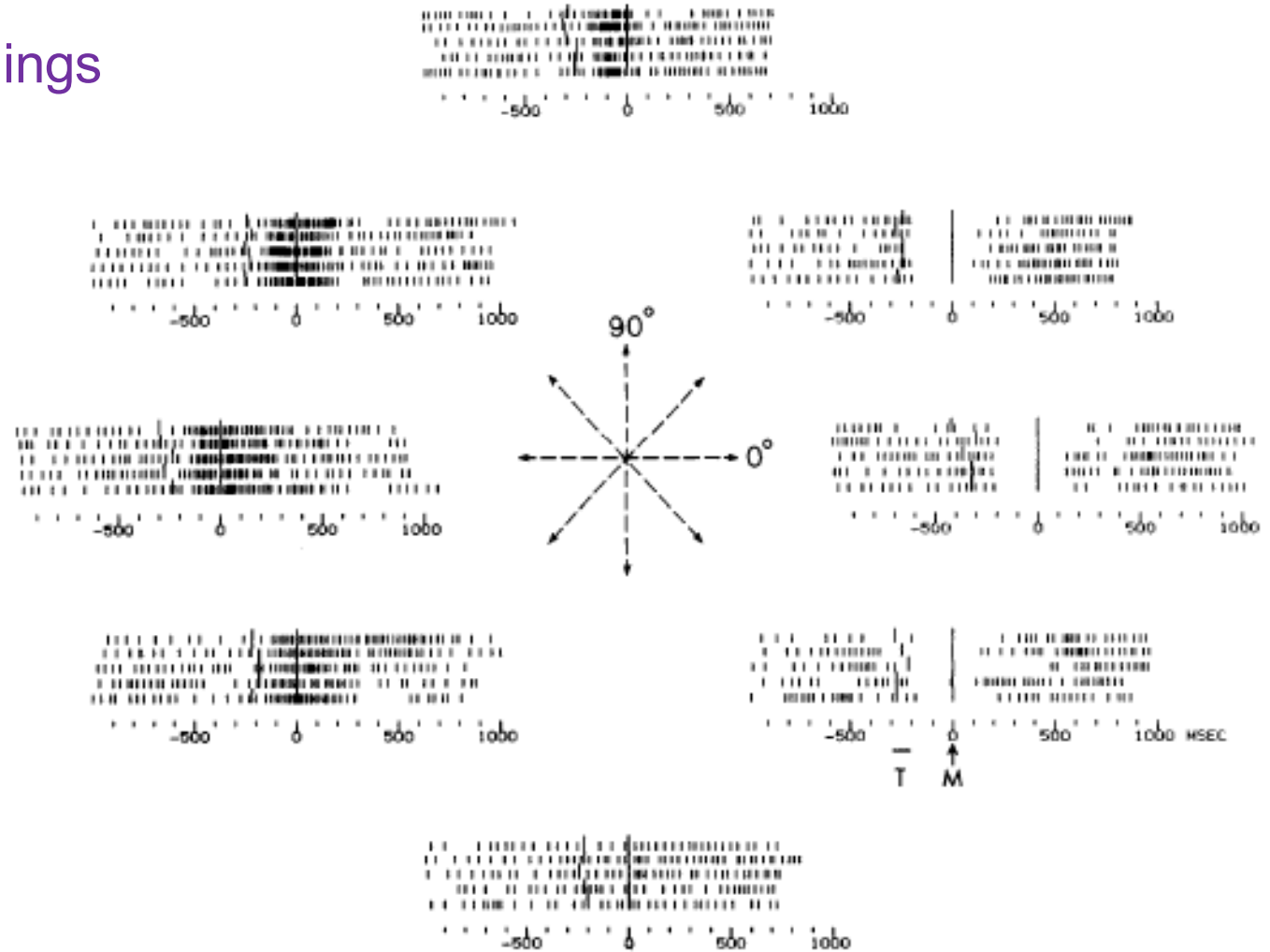
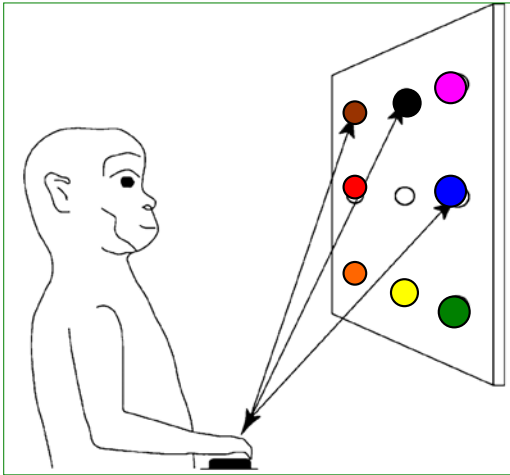
# A simple motor task: center-out reaches

## Instructed delay center-out reaching task



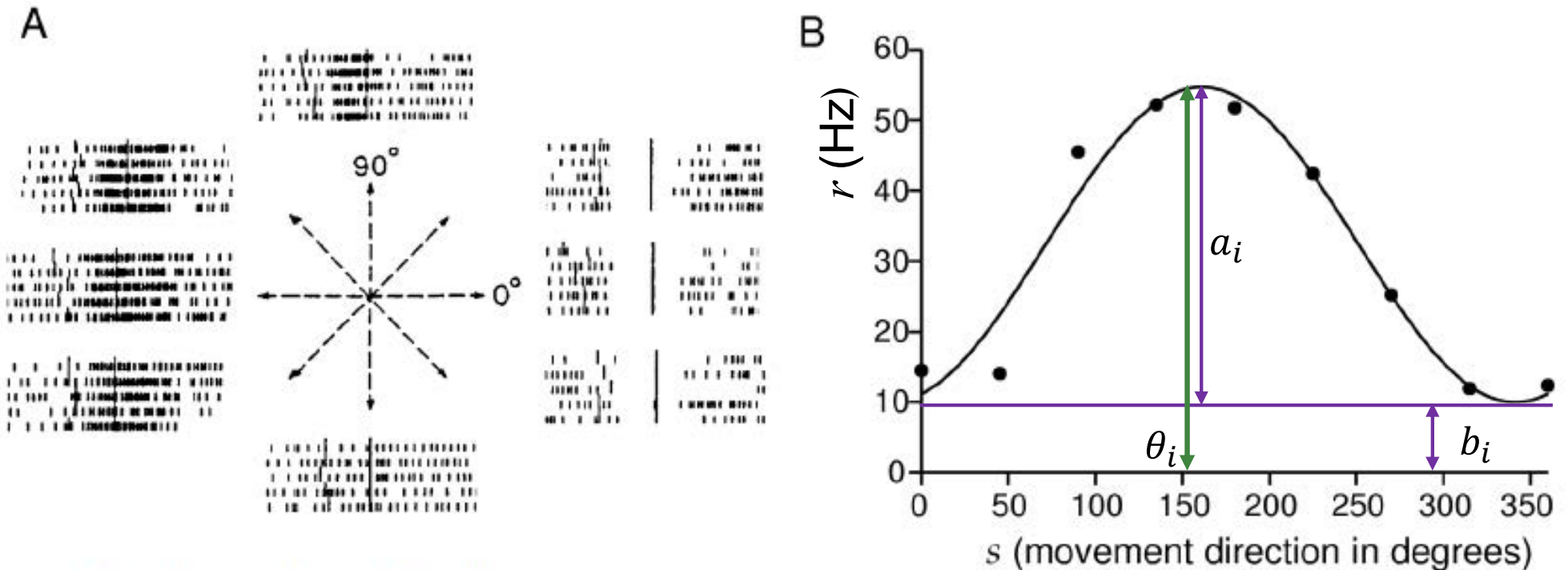
# Neural activity: variability and specificity

## Single neuron recordings



# Tuning curves in motor cortex

tuning curve  $r = f(s)$



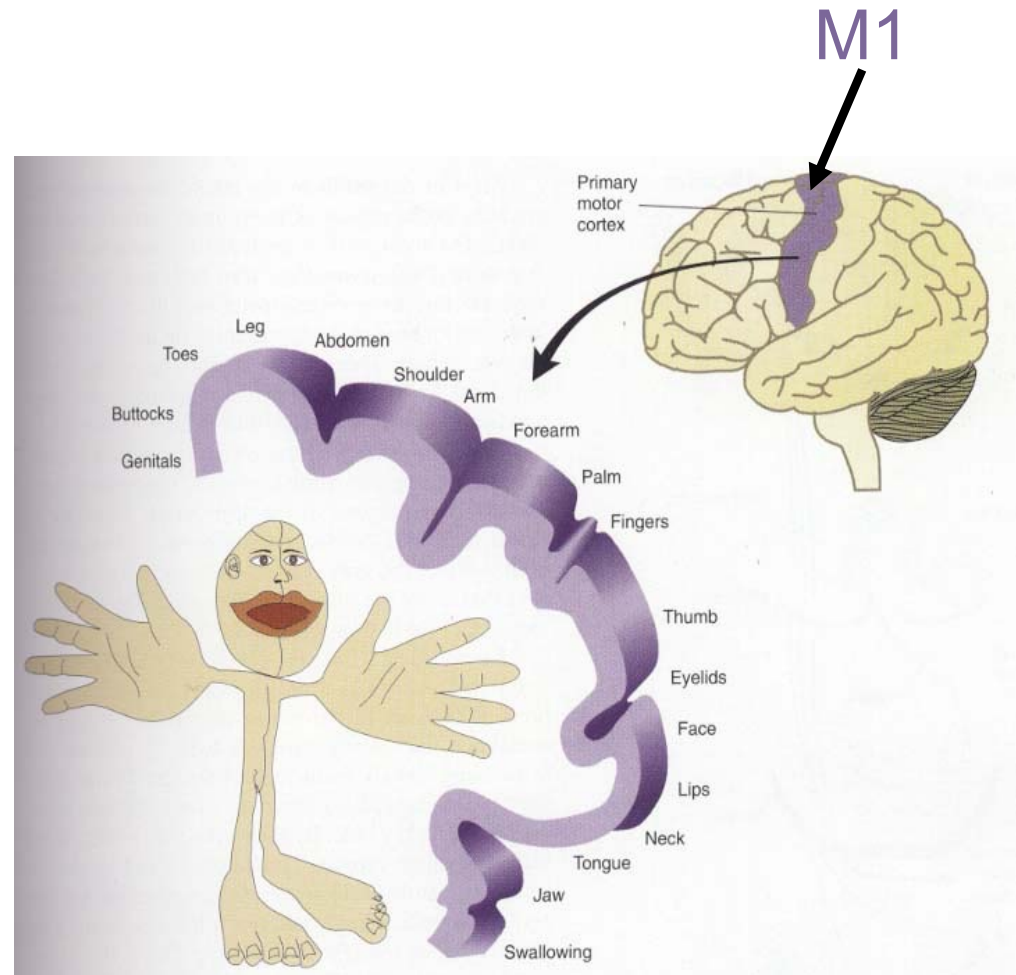
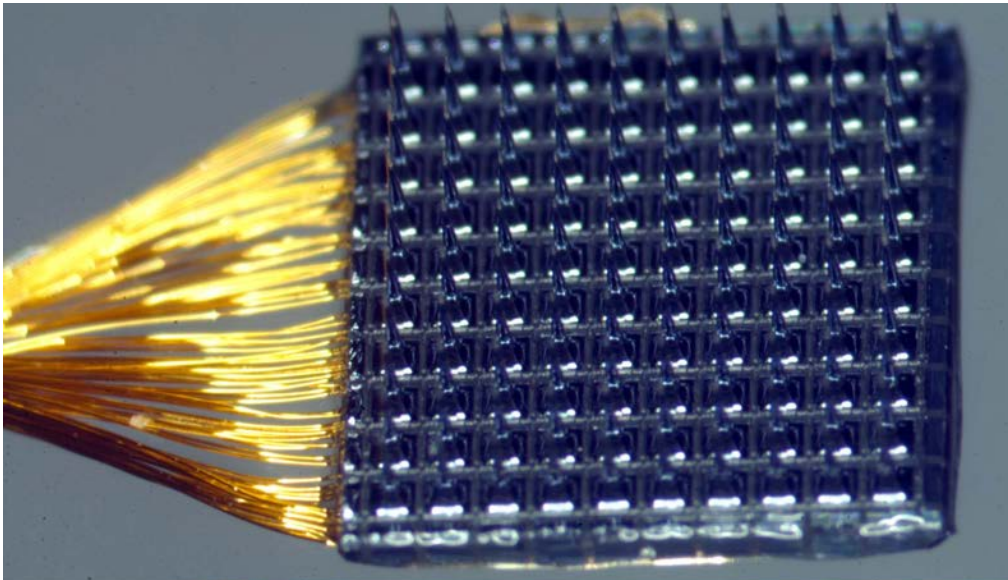
**Hand reaching direction**

reach  $(r, \theta)$  implies  $f_i = b_i + r a_i (1/2) [1 + \cos(\theta - \theta_i)]$

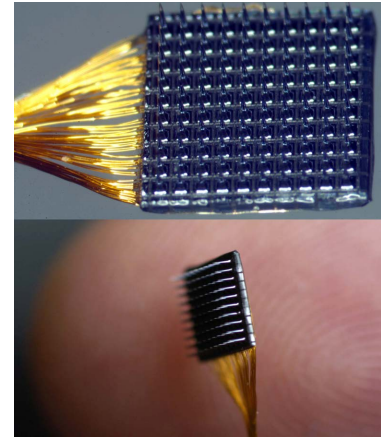
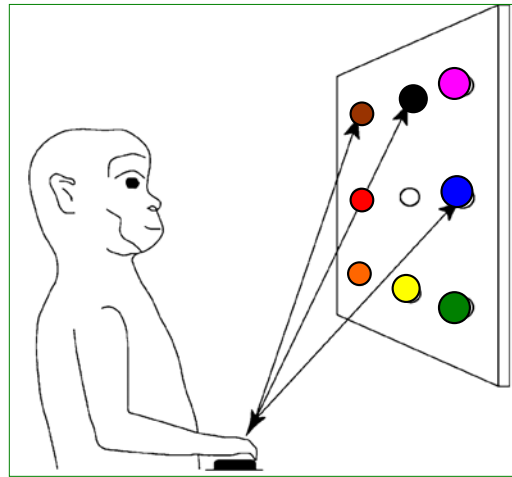
**Cosine tuning curve of a motor cortical neuron**

Georgopoulos, Kalaska, Caminiti, Massey, *J Neurosci* (1982)

# Multi Electrode Arrays (MEAs)

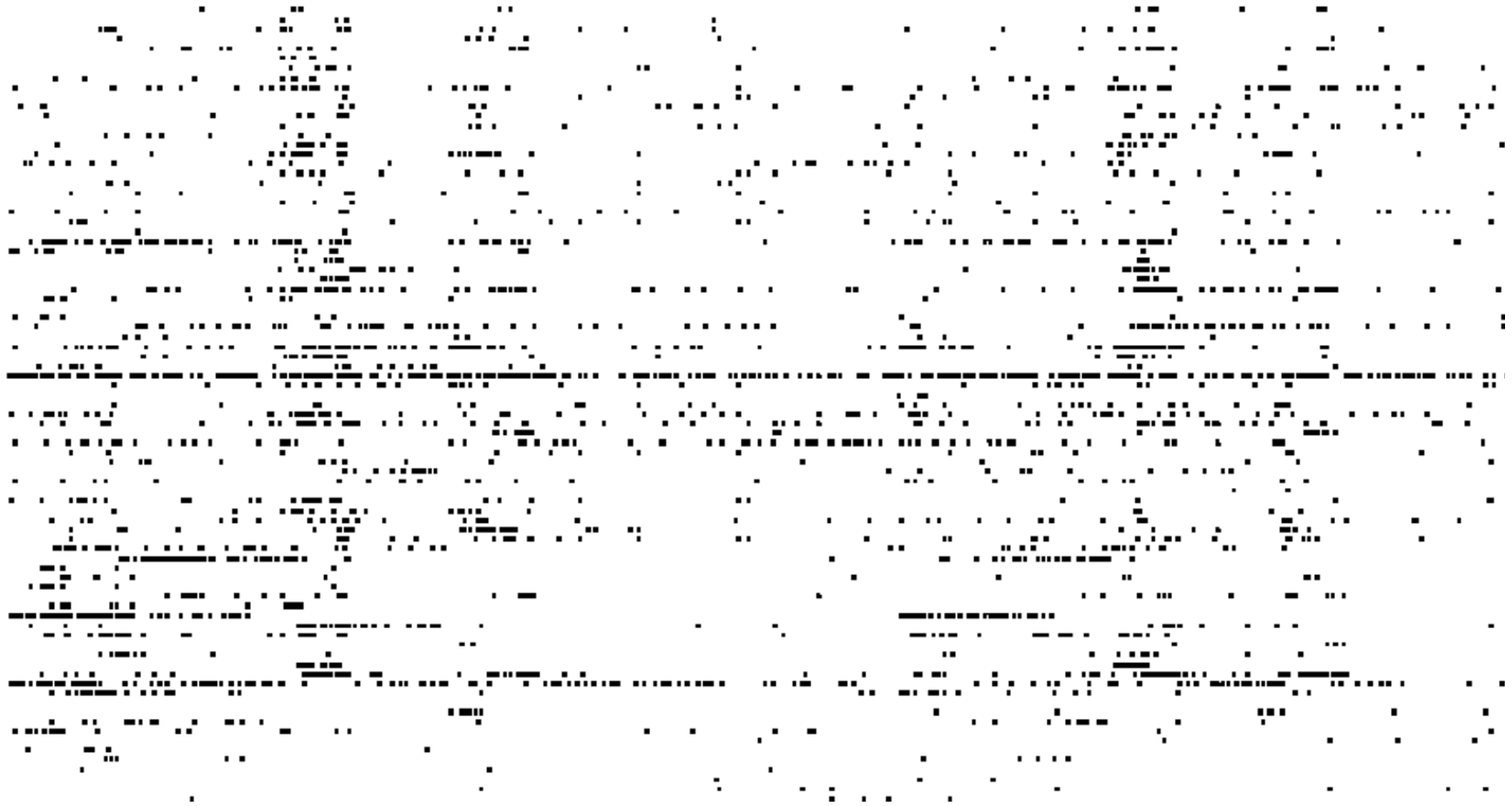


# Neural recordings: center-out task



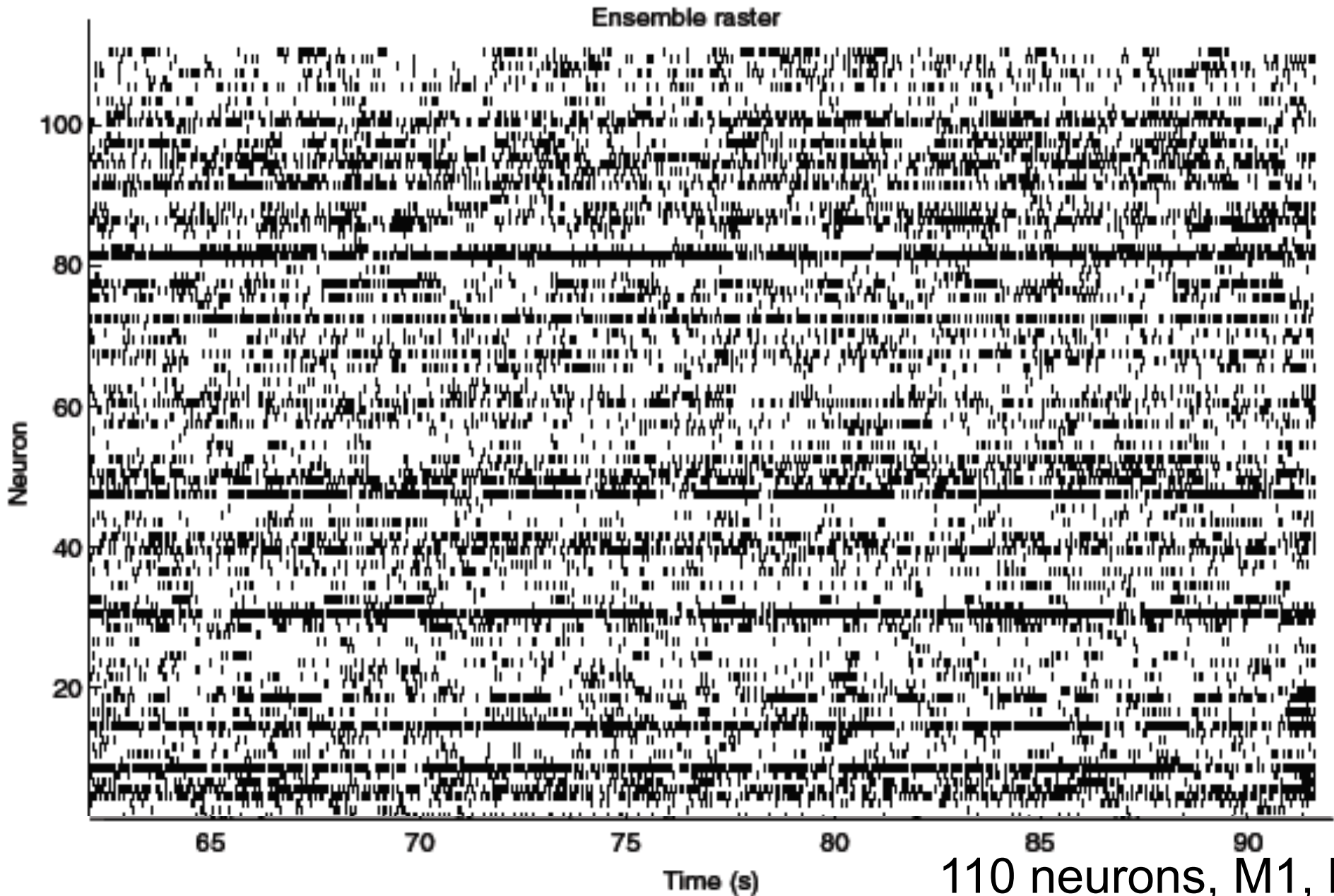
80

40

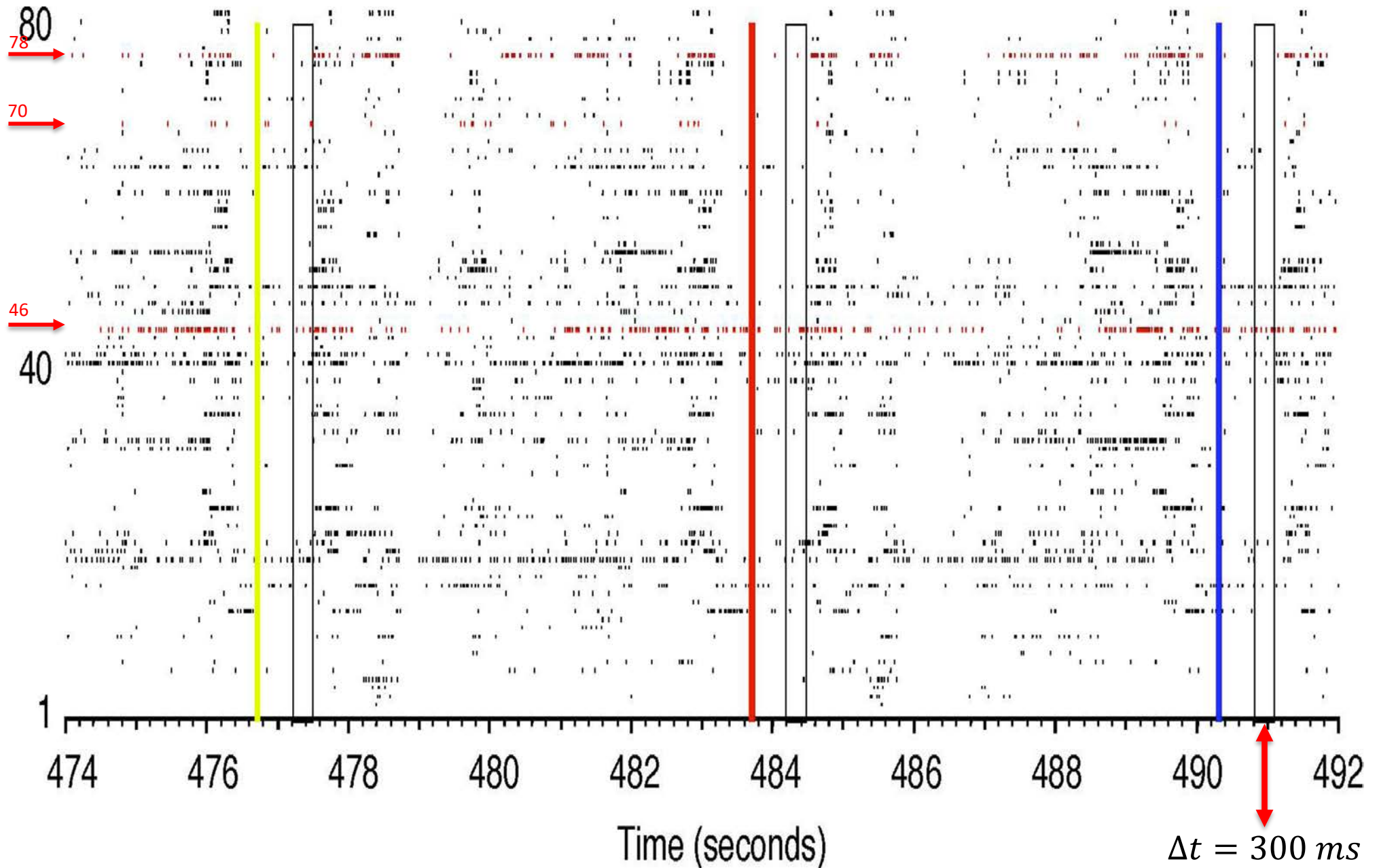
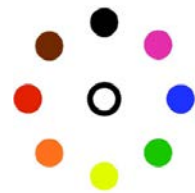




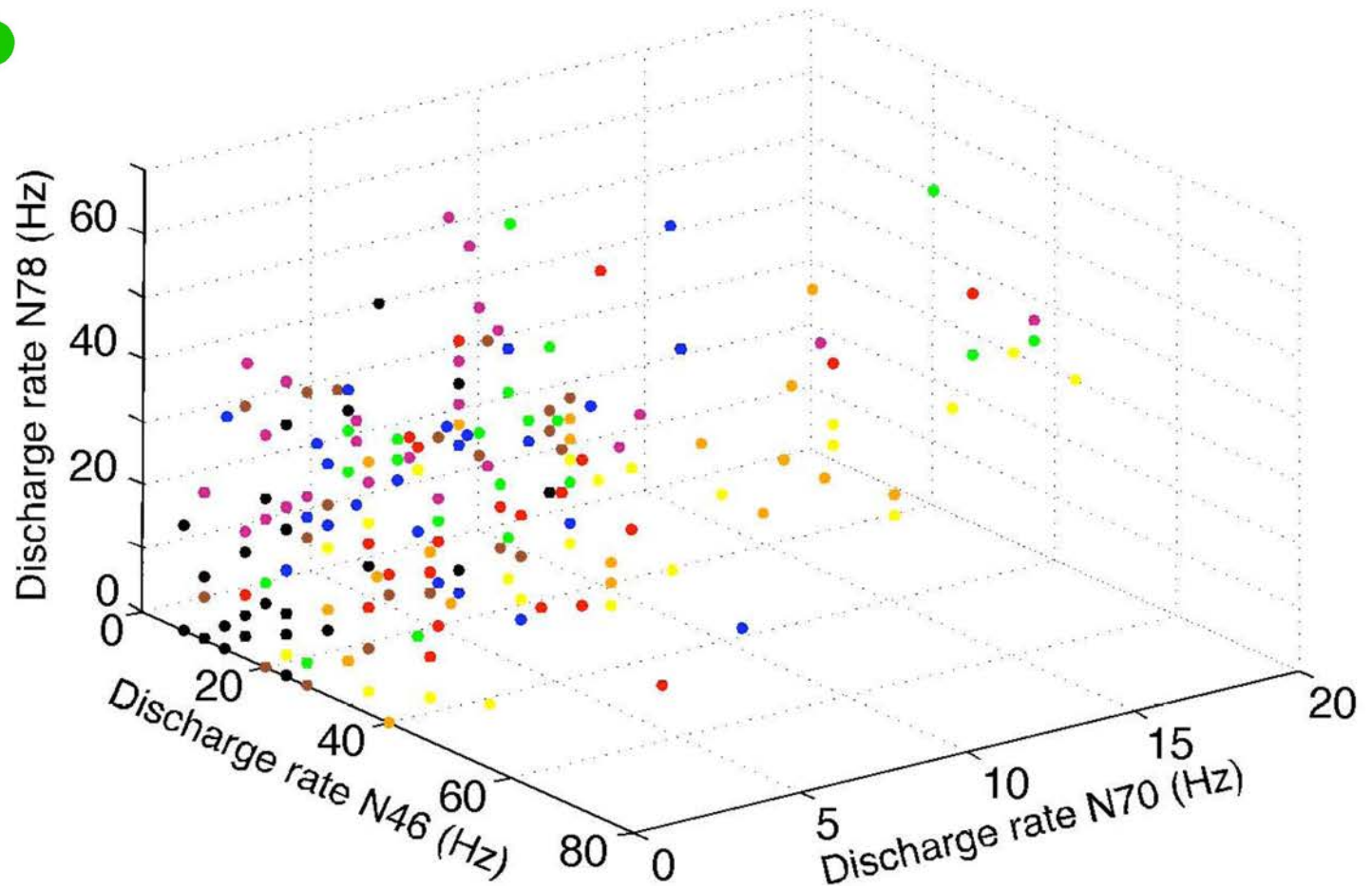
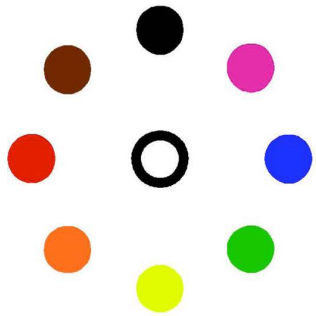
# Neural recordings: center-out task



# Population activity : multiple targets

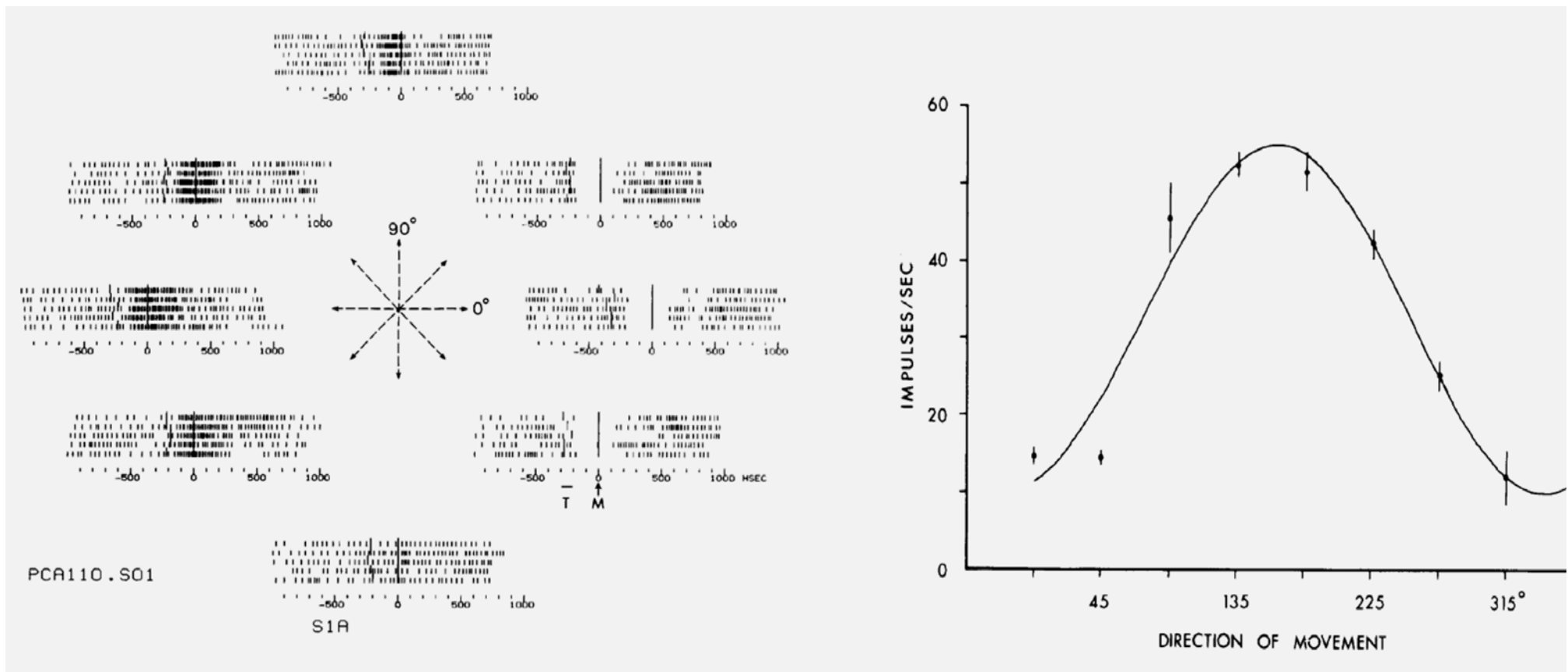


# Target-dependent population activity



# Cosine tuning for direction of motion

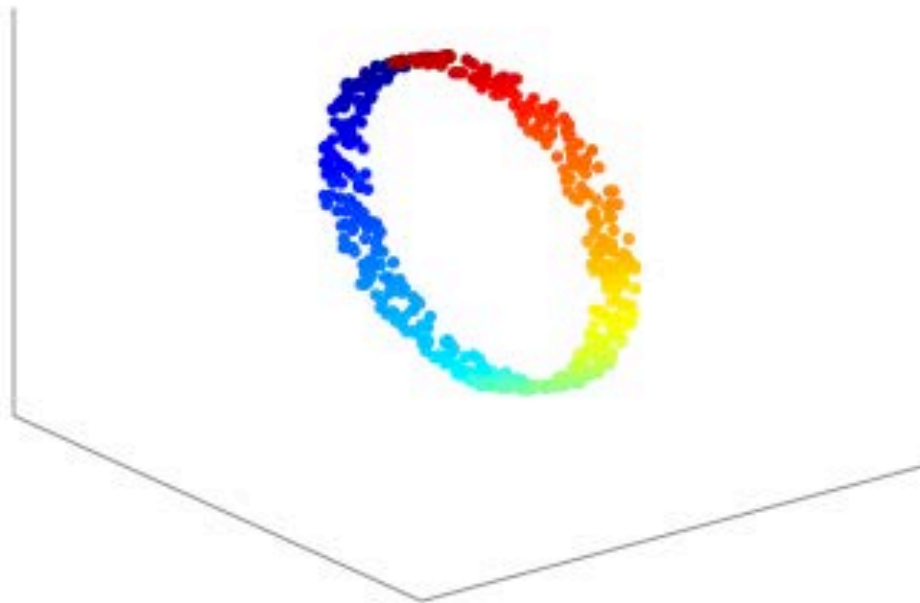
reach  $(r, \theta)$  implies  $f_i = b_i + ra_i(1/2)[1 + \cos(\theta - \theta_i)]$



# Population of cosine tuned neurons

$$\vec{f} = \vec{b} + (1/2)r[\vec{a} + \cos(\theta)\vec{\phi}_x + \sin(\theta)\vec{\phi}_y]$$

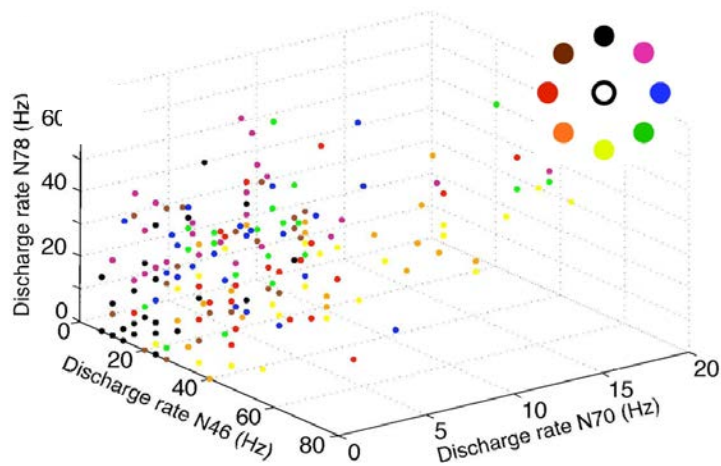
$$\vec{b} = (b_1, b_2, \dots, b_N) \quad \vec{\phi}_x = (a_1 \cos(\theta_1), \dots, a_N \cos(\theta_N))$$
$$\vec{a} = (a_1, a_2, \dots, a_N) \quad \vec{\phi}_y = (a_1 \sin(\theta_1), \dots, a_N \sin(\theta_N))$$



$N=100$

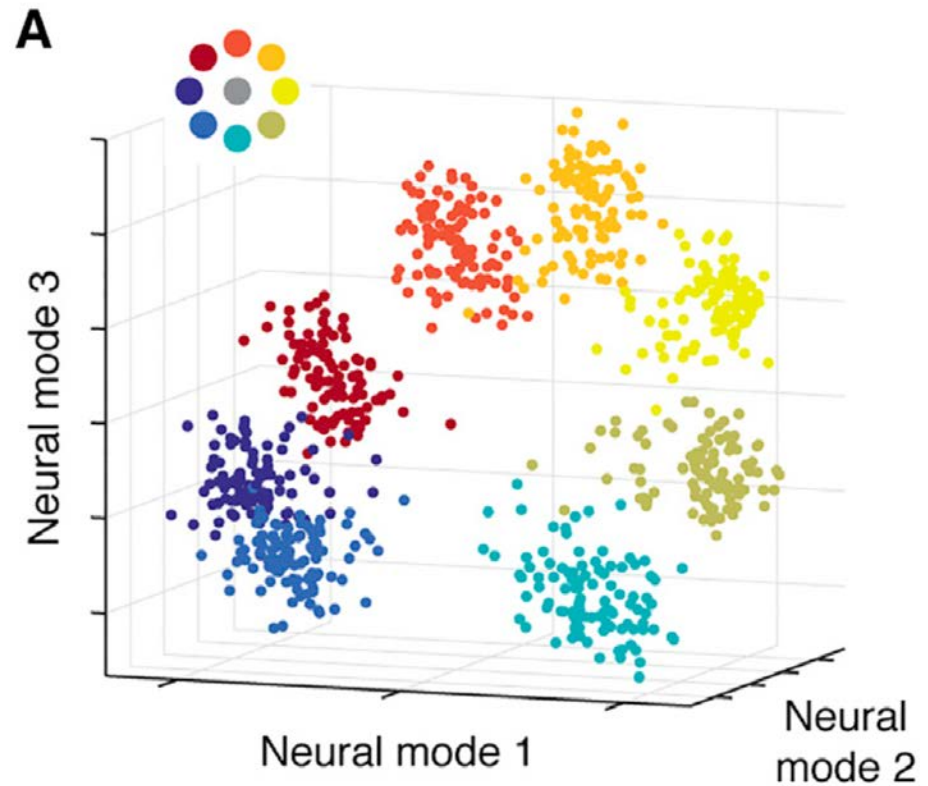
$r \in [10, 11]$

# Target-dependent population activity



Neural modes: directions in neural space

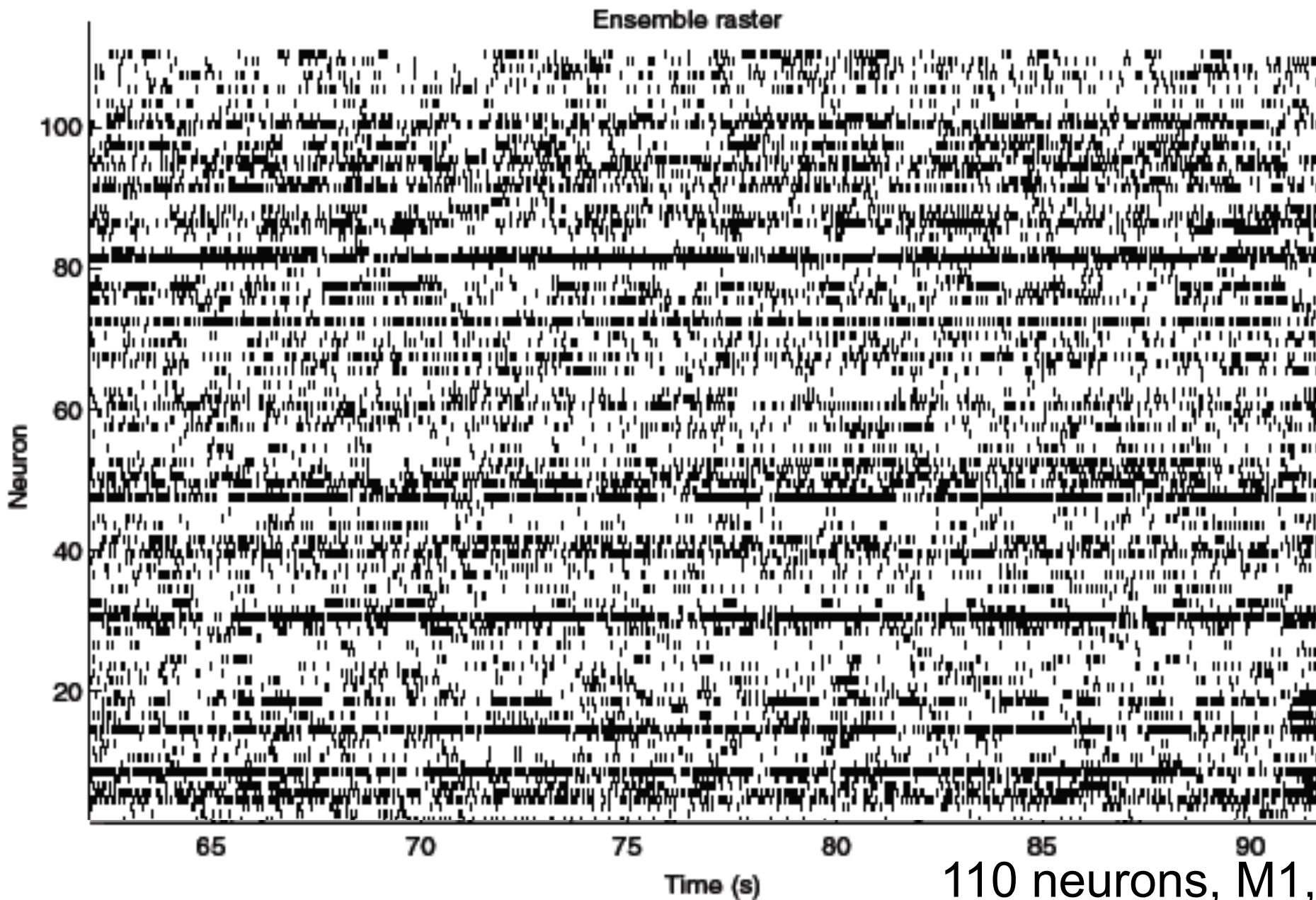
Specific patterns of populations activity



# Manifolds for neural population activity

- A simple motor task
- Neural manifolds for the control of movement
- The unreasonable effectiveness of linear methods

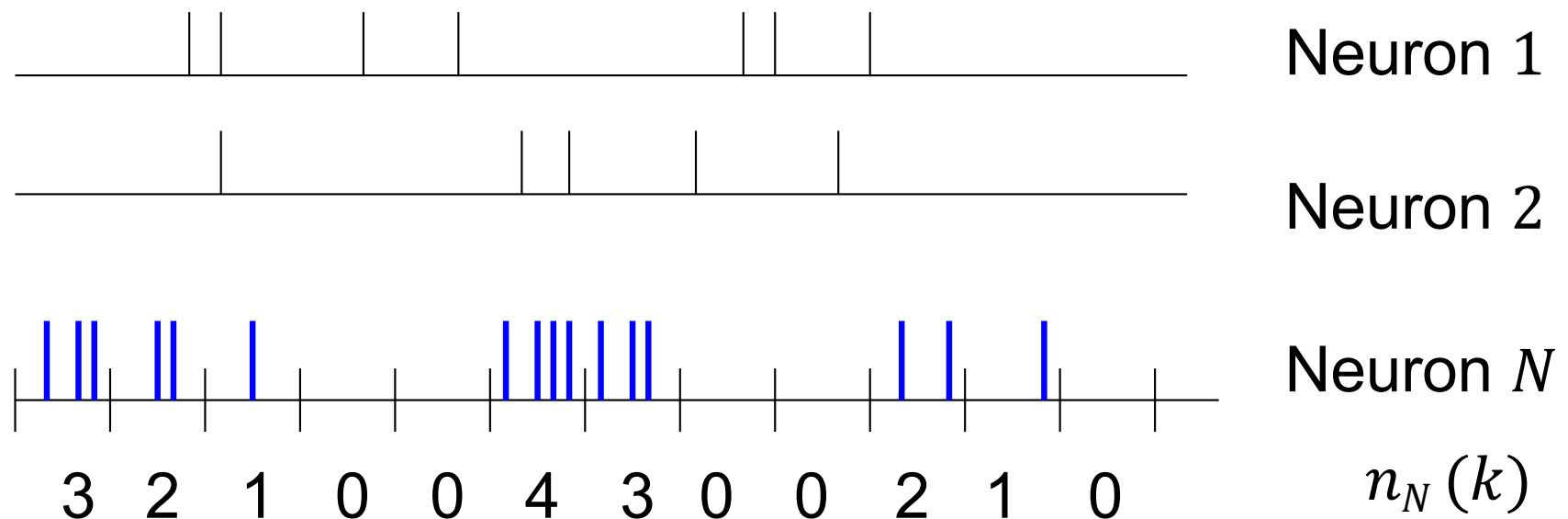
# Population activity



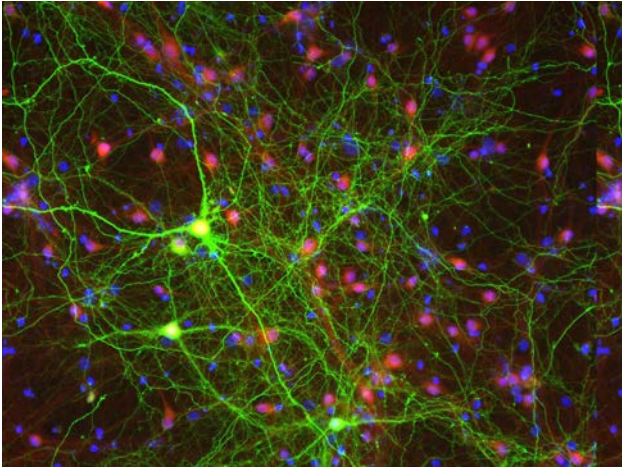


# Analysis of population activity

- Consider a population of  $N$  neurons whose spiking activity is observed during a time interval  $(0, T]$ .
- The interval is divided into  $K$  bins of size  $\Delta = T / K$ , labeled by an index  $1 \leq k \leq K$ .
- In each time bin  $k$  we observe the number of spikes  $n_i(k)$  emitted by neuron  $i$ , for all  $1 \leq i \leq N$ .



# Population activity



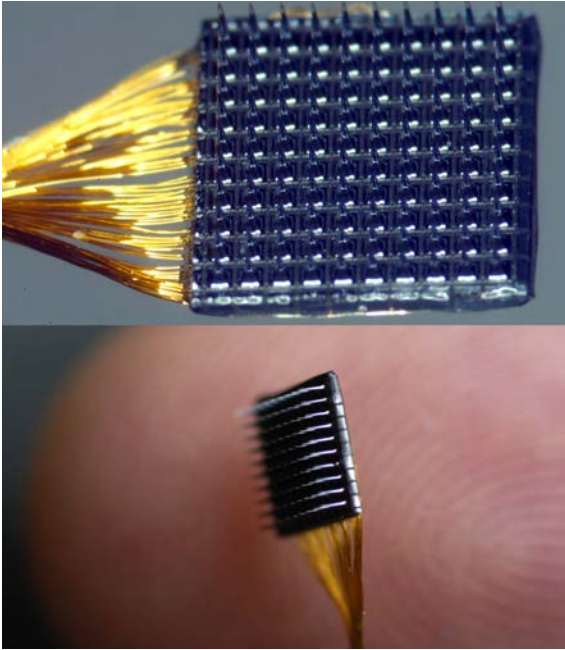
$$X_{\infty} = \begin{bmatrix} N_1^{t+1} & N_1^{t+2} & \cdots & N_1^{t+T} \\ N_2^{t+1} & N_2^{t+2} & \cdots & N_2^{t+T} \\ \vdots & \vdots & & \vdots \\ N_{\infty}^{t+1} & N_{\infty}^{t+2} & \cdots & N_{\infty}^{t+T} \end{bmatrix}$$

Data matrix  $X_{\infty}$  has  $N_{\infty}$  rows and  $T$  columns

$T$  is the duration of the experiment in units of bin size  $\Delta$

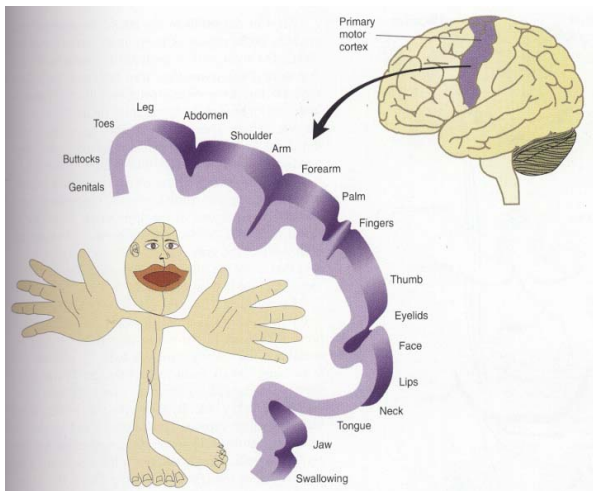
For a reach movement,  $N_{\infty} \approx 10^6$  in M1

# Population activity: Subsampling



$$X_D = \begin{bmatrix} N_1^{t+1} & N_1^{t+2} & \dots & N_1^{t+T} \\ N_2^{t+1} & N_2^{t+2} & \dots & N_2^{t+T} \\ \vdots & \vdots & \dots & \vdots \\ N_D^{t+1} & N_D^{t+2} & \dots & N_D^{t+T} \end{bmatrix}$$

Data matrix  $X_D$  has  $N_D$  rows and  $T$  columns

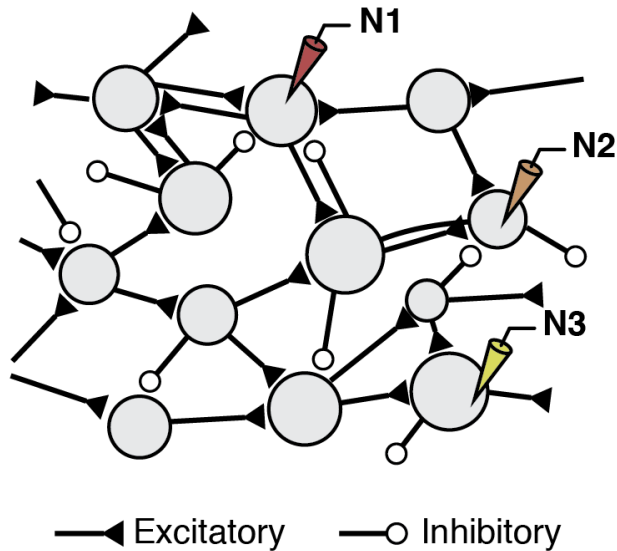


$D \approx 10^2$  for Multi-Electrode Arrays (MEAs)

$D \approx 10^3$  for Neuropixels

$D$  is the **ambient** dimension

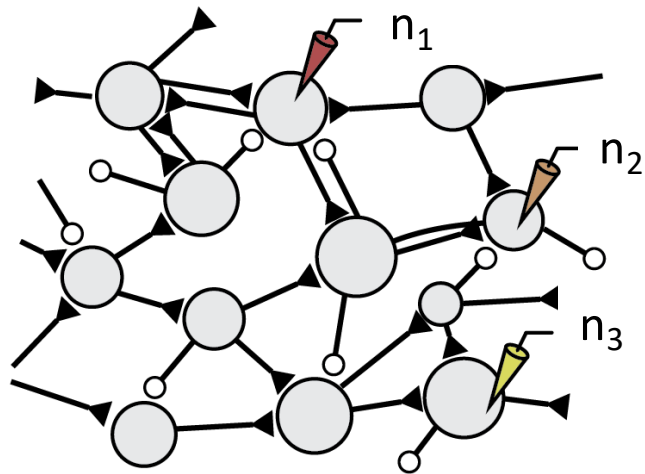
# Population dynamics: the empirical neural space



Ambient dimension  $D$ :  
Number of recorded neurons

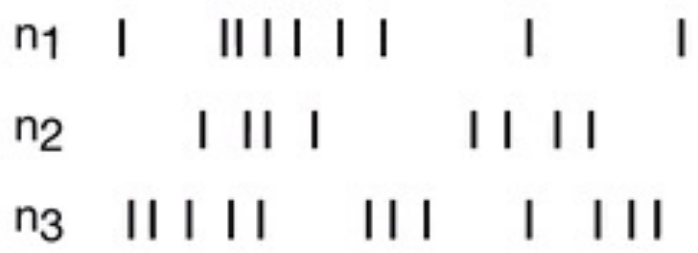


# Population dynamics: the empirical neural space

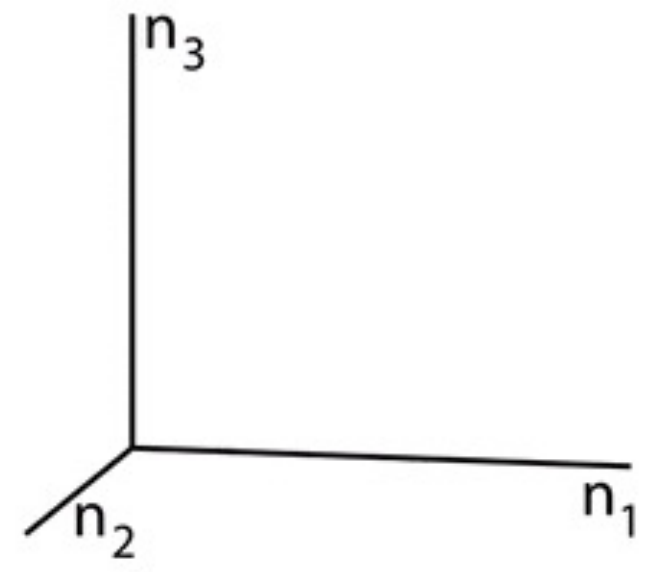


 Excitatory    
  Inhibitory

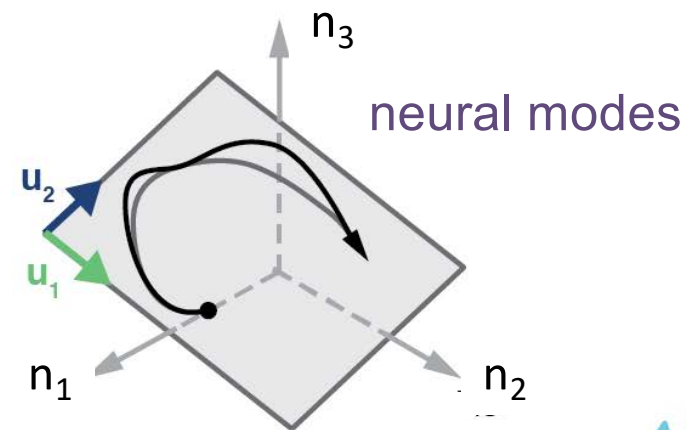
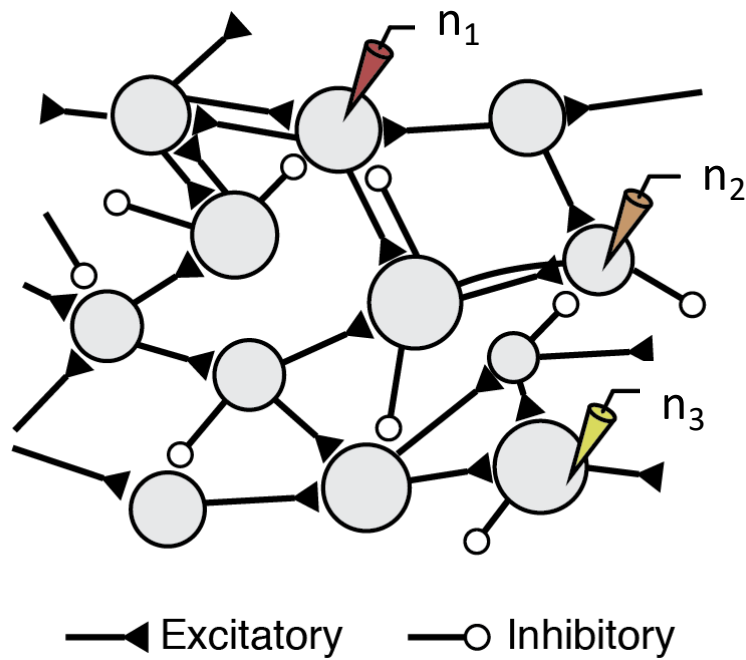
Observed spiking activity



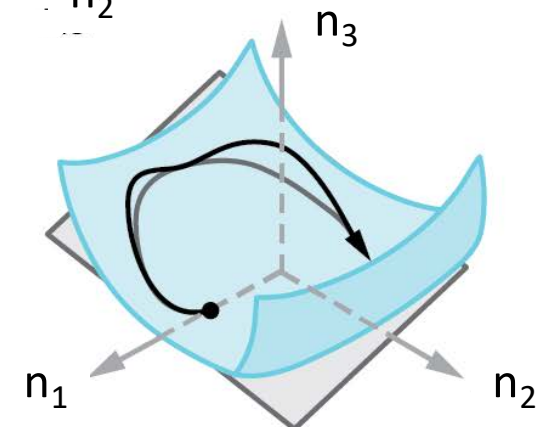
Neural state space



# Dimensionality reduction: neural modes and latent variables

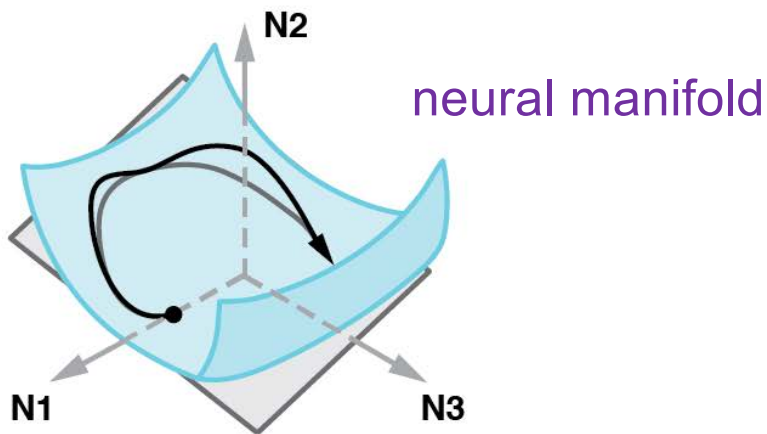
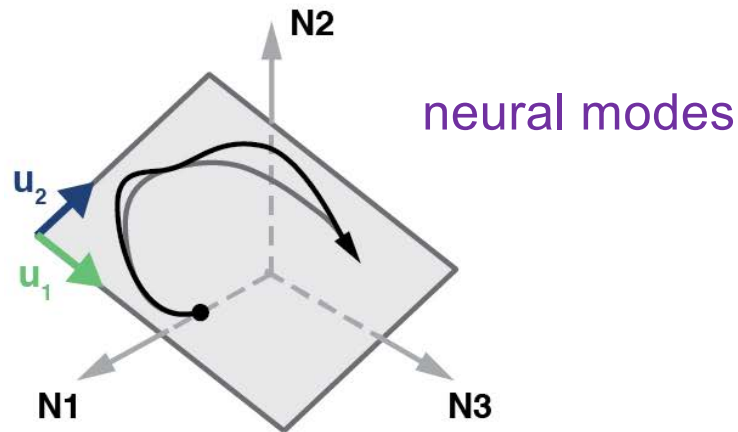


neural manifold



DIMENSIONALITY REDUCTION  
linear or nonlinear?

# Neural manifolds: linear or nonlinear?



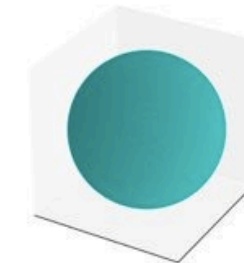
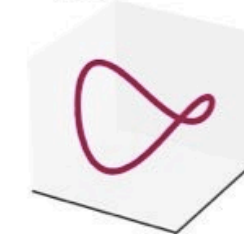
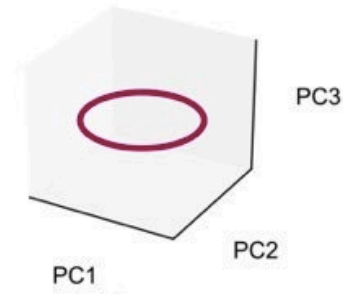
**Intrinsic dimension**

**1**

**1**

**2**

**intrinsic**



**Embedding dimension**

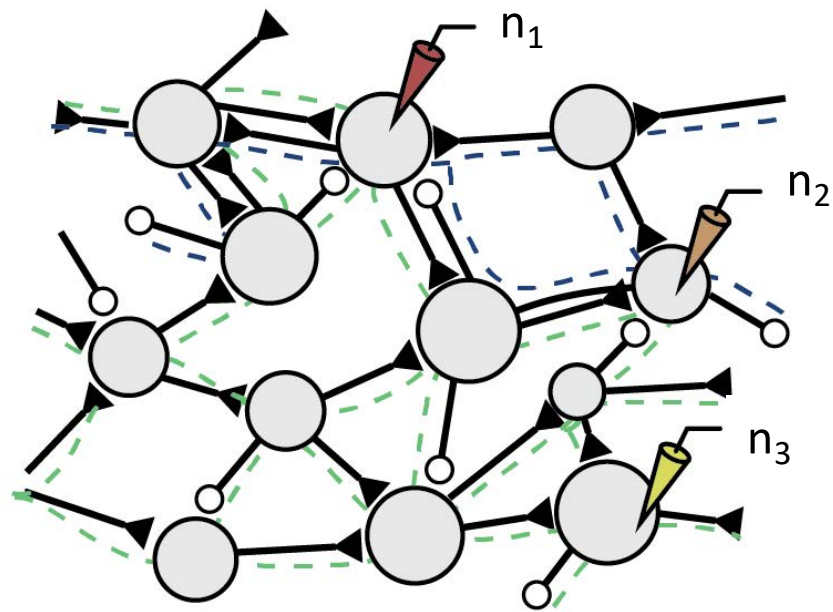
**2**

**>2**

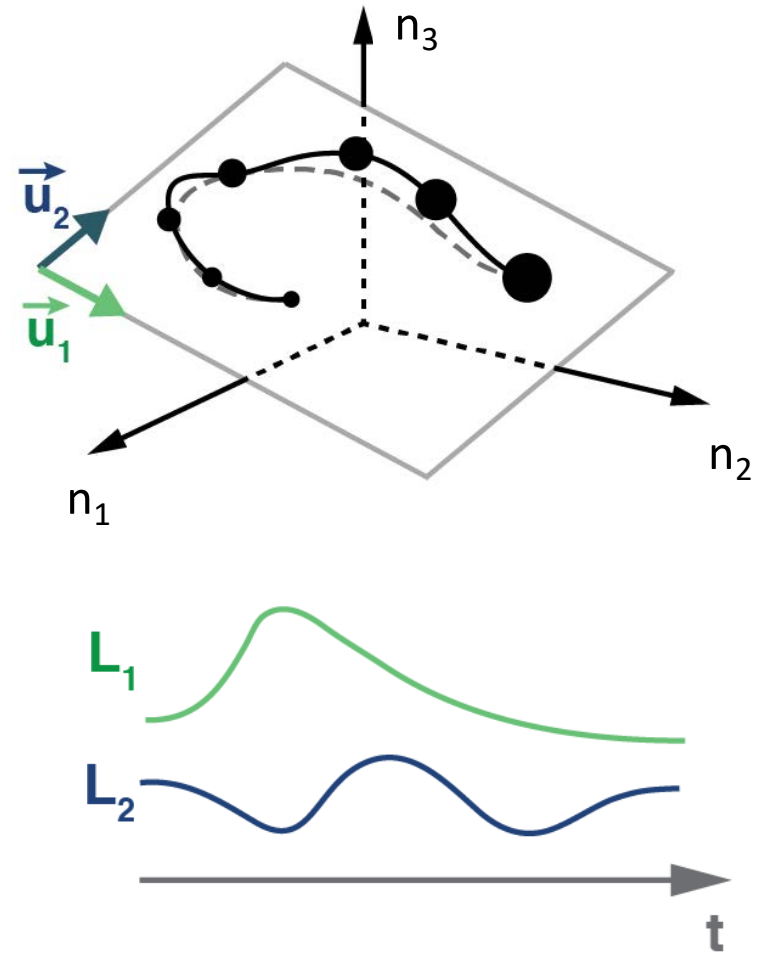
**3**

**flat**

# Dimensionality reduction: neural modes and latent variables

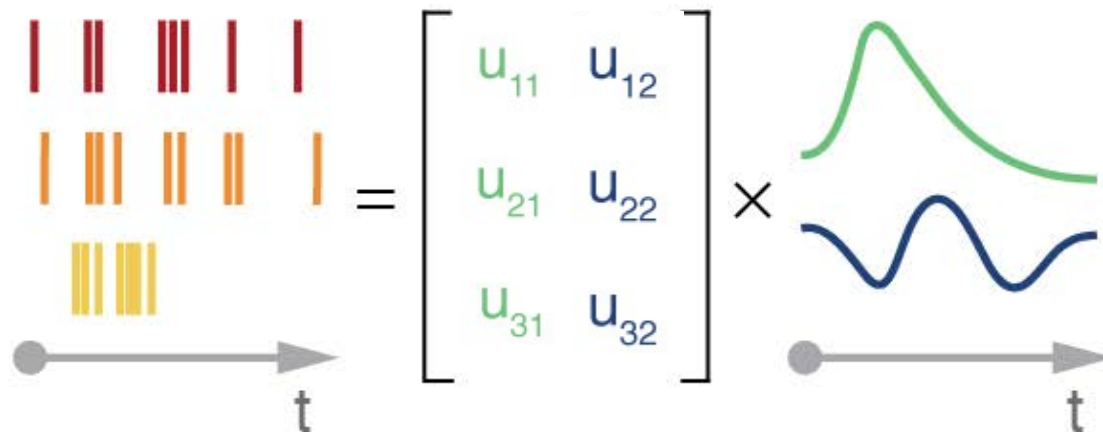
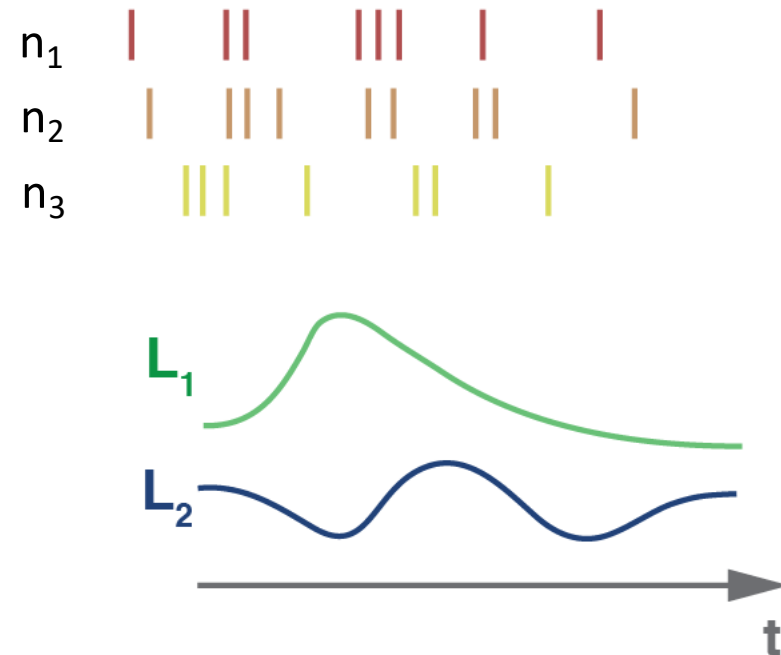
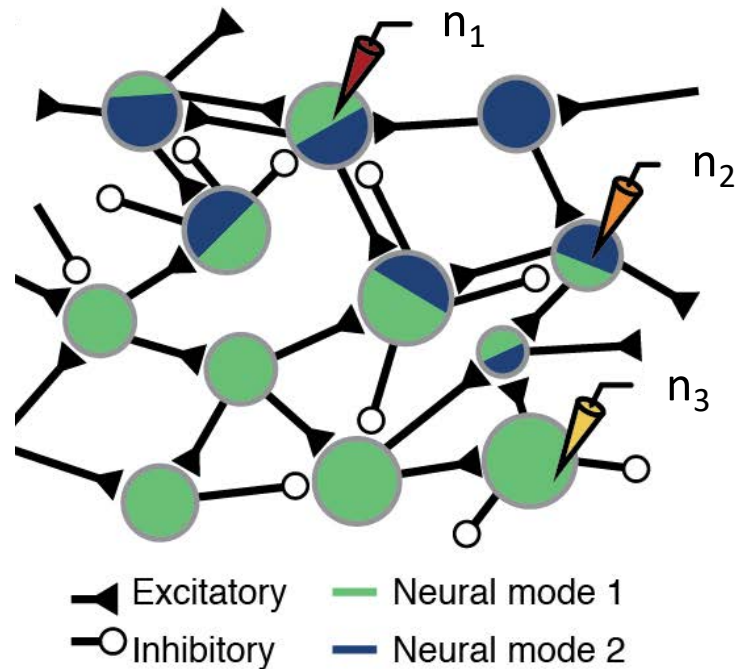


—▶ Excitatory      —○ Inhibitory  
- - - Latent var. 1      - - - Latent var. 2





# Population dynamics: latent variables as a generative model



# The neural manifold and latent dynamics

## Neuron Perspective

### Neural manifolds for the control of movement

Juan A Gallego<sup>1</sup>, Matthew G Perich<sup>2</sup>, Lee E Miller<sup>1,2,3</sup>, Sara A Solla<sup>1,4</sup>

<sup>1</sup> Department of Physiology, Northwestern University

<sup>2</sup> Department of Biomedical Engineering, Northwestern University

<sup>3</sup> Department of Physical Medicine and Rehabilitation, Northwestern University

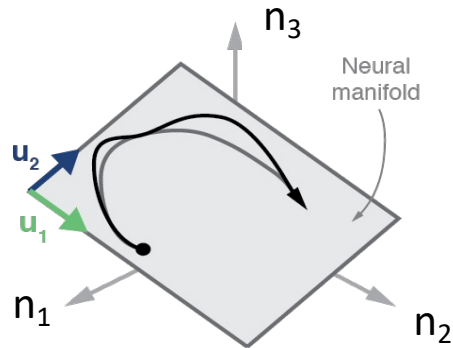
<sup>4</sup> Department of Physics and Astronomy, Northwestern University

The analysis of neural dynamics in several brain cortices has consistently uncovered low dimensional manifolds that capture a significant fraction of neural variability. These neural manifolds are spanned by specific patterns of correlated neural activity, the “neural modes.” We posit a model for neural control of movement in which the time varying activation of these neural modes is the generator of motor behavior. This manifold-based view of motor cortex may lead to a better understanding of how the brain controls movement.

*Neuron* 94, 978 (2017)

Latent dynamics: the time dependent activation of neural modes

# The ubiquity of neural manifolds



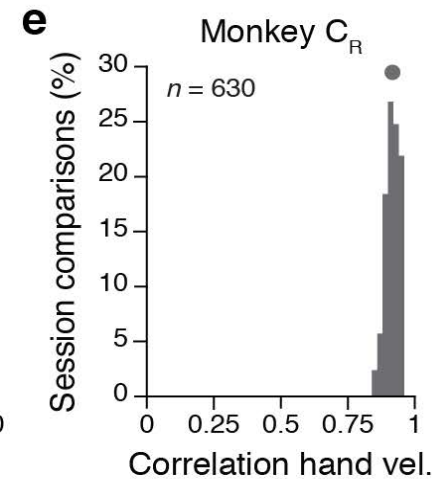
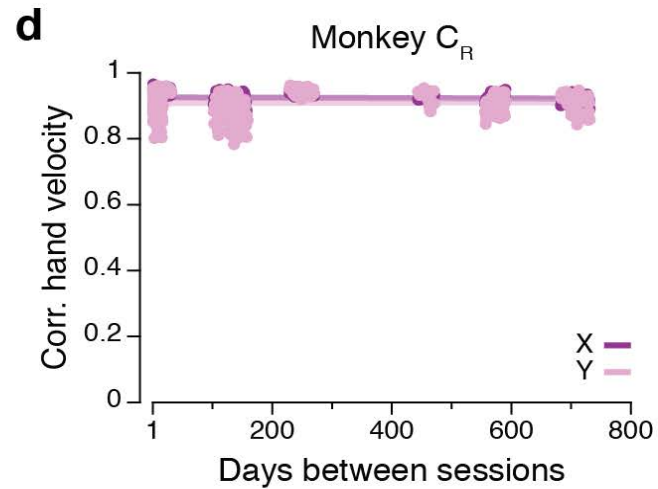
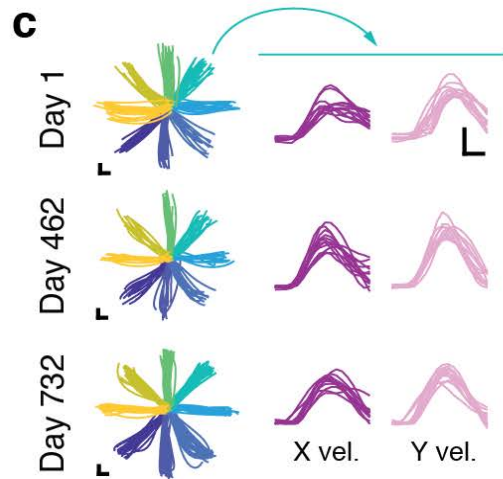
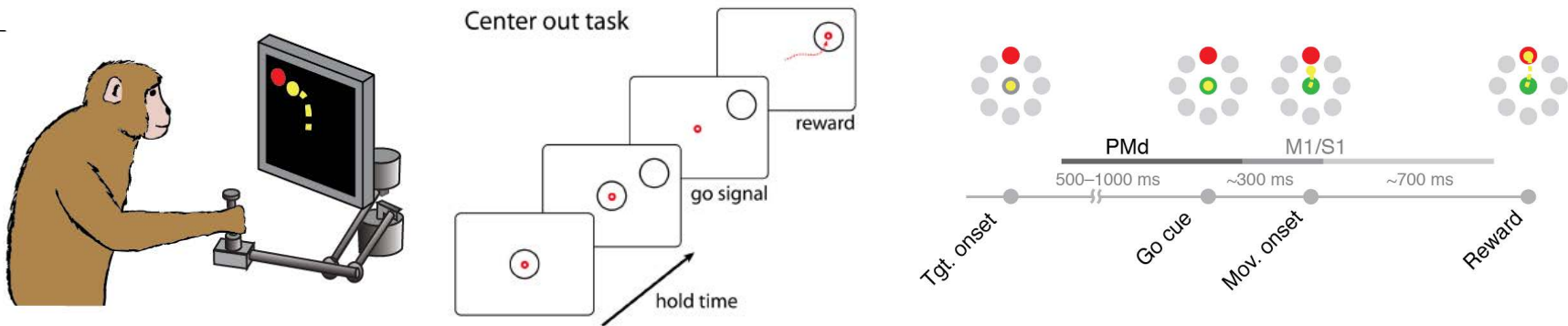
Neural modes have also been identified in other brain areas: frontal<sup>25</sup>, prefrontal<sup>26–29</sup>, parietal<sup>30,31</sup>, visual<sup>32–34</sup>, auditory<sup>35</sup>, and olfactory<sup>36</sup> cortices.

25. Wang, J., Narain, D., Hosseini, E. & Jazayeri, M. Flexible control of speed of cortical dynamics . *bioRxiv* (2017). doi:10.1101/155390
26. Machens, C. K., Romo, R. & Brody, C. D. Functional, but not anatomical, separation of ‘what’ and ‘when’ in prefrontal cortex. *J. Neurosci.* **30**, 350–60 (2010).
27. Markowitz, D. a, Curtis, C. E. & Pesaran, B. Multiple component networks support working memory in prefrontal cortex. *Proc Natl Acad Sci USA* **112**, 11084–11089 (2015).
28. Mante, V., Sussillo, D., Shenoy, K. V & Newsome, W. T. Context-dependent computation by recurrent dynamics in prefrontal cortex. *Nature* **503**, 78–84 (2013).
29. Durstewitz, D., Vitoz, N. M., Floresco, S. B. & Seamans, J. K. Abrupt transitions between prefrontal neural ensemble states accompany behavioral transitions during rule learning. *Neuron* **66**, 438–448 (2010).
30. Raposo, D., Kaufman, M. T. & Churchland, A. K. A category-free neural population supports evolving demands during decision-making. *Nat. Neurosci.* **17**, 1784–1792 (2014).
31. Harvey, C. D., Coen, P. & Tank, D. W. Choice-specific sequences in parietal cortex during a virtual-navigation decision task. *Nature* **484**, 62–8 (2012).
32. Churchland, M. M. *et al.* Stimulus onset quenches neural variability: a widespread cortical phenomenon. *Nat. Neurosci.* **13**, 369–78 (2010).
33. Cohen, M. R. & Maunsell, J. H. R. A neuronal population measure of attention predicts behavioral performance on individual trials. *J. Neurosci.* **30**, 15241–53 (2010).
34. Cowley, B. R., Smith, M. A., Kohn, A. & Yu, B. M. Stimulus-Driven Population Activity Patterns in Macaque Primary Visual Cortex. *PLoS Comput. Biol.* **12**, e1005185 (2016).
35. Luczak, A., Barthó, P. & Harris, K. D. Spontaneous Events Outline the Realm of Possible Sensory Responses in Neocortical Populations. *Neuron* **62**, 413–425 (2009).
36. Kobak, D. *et al.* Demixed principal component analysis of neural population data. *Elife* **5**, 1–37 (2016).

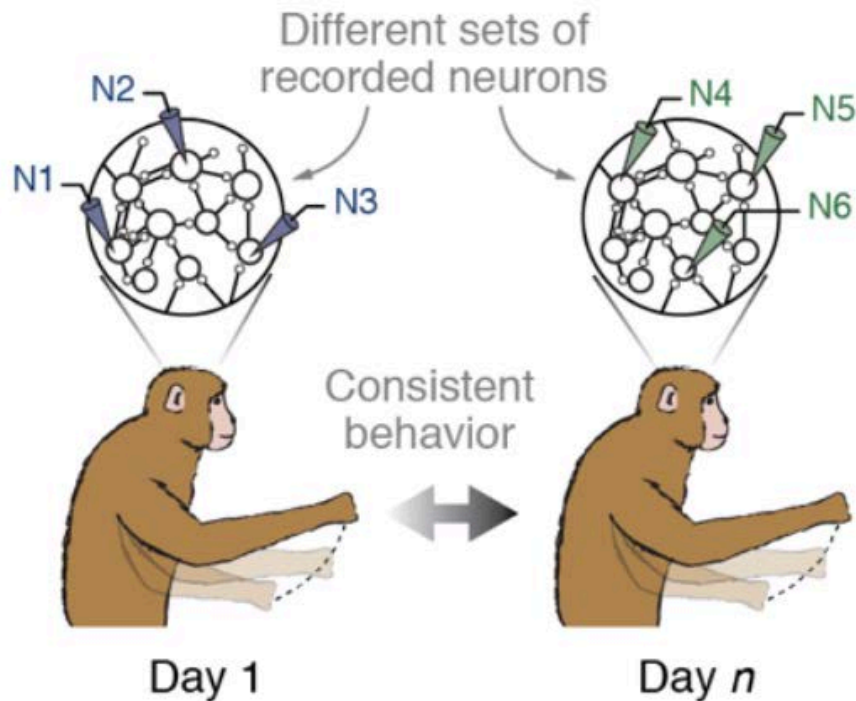
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# Task: Behavioral stability



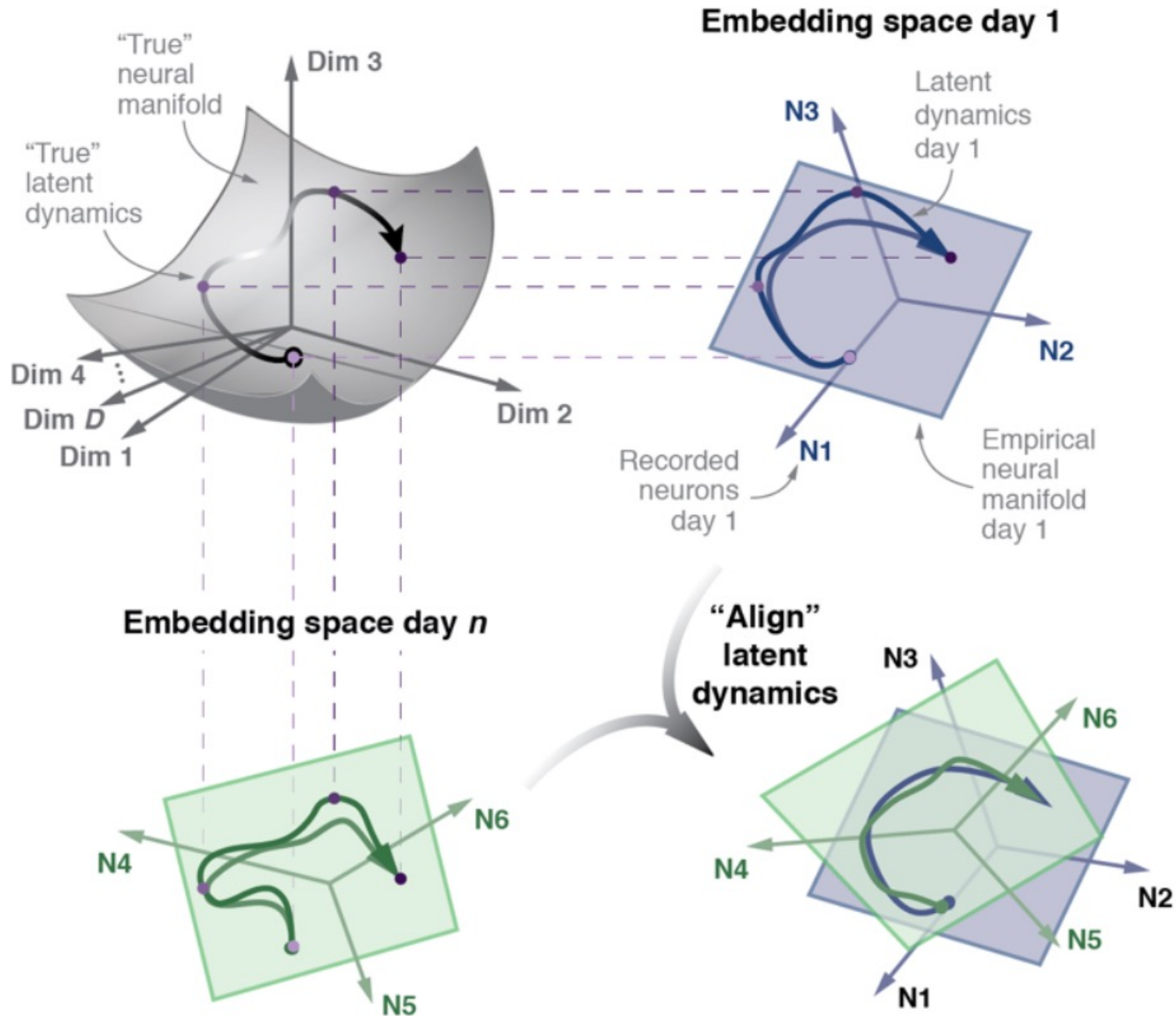
# Stable neural dynamics underlying consistent behavior?



- Subjects consistently perform the same behavior over days, months, and years.
- Hypothesis: the true latent dynamics associated with consistent behavior should be stable.
- But: The same neurons cannot be recorded over this period.

In order to verify this hypothesis, we need to compensate for the fact that the true latent dynamics is being projected onto different empirical manifolds on different days.

# Alignment of latent dynamics



# Day-specific neural modes and manifolds

$$X_D = \begin{bmatrix} N_1^{t+1} & N_1^{t+2} & \cdots & N_1^{t+T} \\ N_2^{t+1} & N_2^{t+2} & \cdots & N_2^{t+T} \\ \vdots & \vdots & \cdots & \vdots \\ N_D^{t+1} & N_D^{t+2} & \cdots & N_D^{t+T} \end{bmatrix}$$

Use Singular Value Decomposition (SVD) on data matrices  $X$ :

Data matrix for day  $n$        $X_n = U_n \Sigma_n V_n^T$

Data matrix for day  $m$        $X_m = U_m \Sigma_m V_m^T$

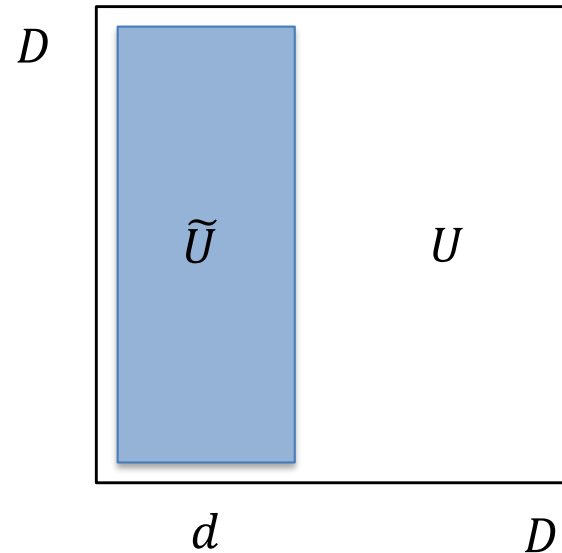
Both data matrices  $X$  are of dimension  $D$  by  $T$ , where the ambient dimension  $D$  is the cardinality of the union set of neurons recorded on days  $n$  and  $m$ , and  $T$  is the duration of the experiment.

Neurons unrecorded on a given day are assigned zero activity.



# Day-specific neural modes and manifolds

Keep the first  $d$  columns of the matrices  $U_n$  and  $U_m$ , to obtain  $\tilde{U}_n$  and  $\tilde{U}_m$ .



The day-specific low-dimensional manifolds are two hyperplanes:

- the  $d$ -dimensional hyperplane spanned by the columns of  $\tilde{U}_n$
- the  $d$ -dimensional hyperplane spanned by the columns of  $\tilde{U}_m$

These column vectors are the day-specific neural modes

$d$  is the **flat** dimension of the day-specific manifolds

# Canonical Correlation Analysis (CCA)

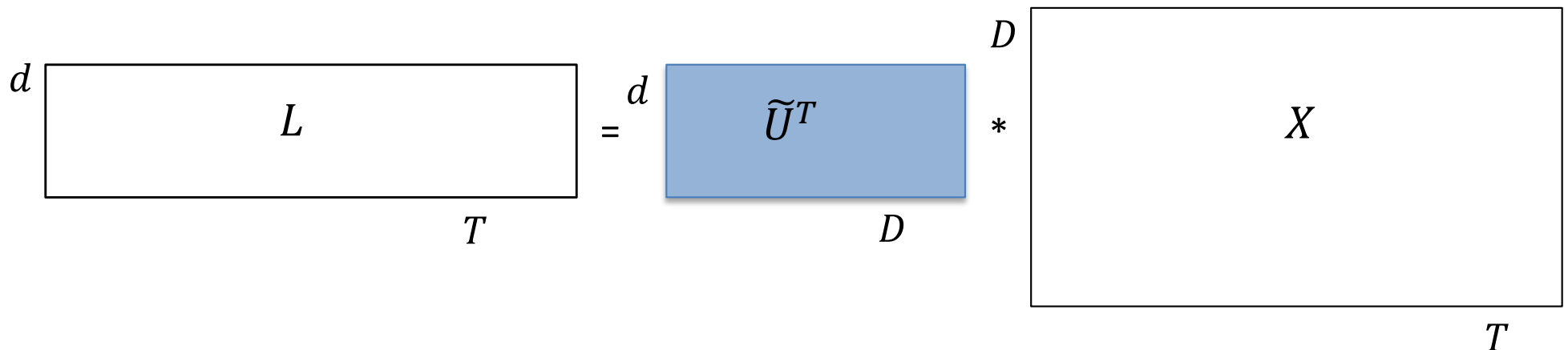
The data matrices  $X_n$  and  $X_m$  are projected onto the corresponding  $d$ -dimensional manifolds spanned by the neural modes using  $\tilde{U}_n$  and  $\tilde{U}_m$  to obtain the latent variables  $L_n$  and  $L_m$ :

$$L_n = \tilde{U}_n^T X_n \quad \text{and} \quad L_m = \tilde{U}_m^T X_m$$

These data matrices are of dimension  $d$  by  $T$ , where:

$d$ : flat manifold dimensionality

$T$ : duration of the experiment



# Canonical Correlation Analysis (CCA)

The CCs between the **unaligned** latent dynamics are the pairwise correlations between the rows of  $L_n$  and  $L_m$ :  $L_n L_m^T$

CCA starts with a QR decomposition of the transposed latent variable matrices  $L_n$  and  $L_m$ ,

$$L_n^T = Q_n R_n \quad \text{and} \quad L_m^T = Q_m R_m$$

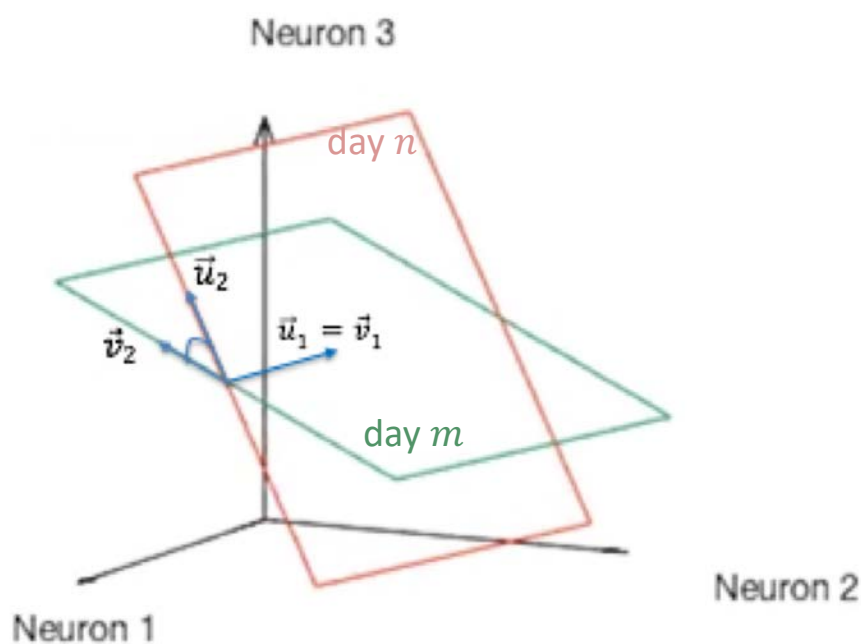
The  $d$  column vectors of each matrix  $Q$  provide an orthonormal basis for the column vectors of the corresponding matrix  $L^T$ . The  $d$  by  $d$  inner product matrix of  $Q_n$  and  $Q_m$  yields a SVD:

$$Q_n^T Q_m = U S V^T$$

The elements of the diagonal matrix  $S$  are the canonical correlations (CCs), sorted from largest to smallest. They quantify the similarity in the **aligned** latent dynamics.

# Canonical Correlation Analysis (CCA)

CCA yields new manifold directions that maximize the pairwise correlations between latent dynamics across the two days. The linear transformations that align the latent variables are effected by  $d$  by  $d$  matrices  $M_n$  and  $M_m$ :



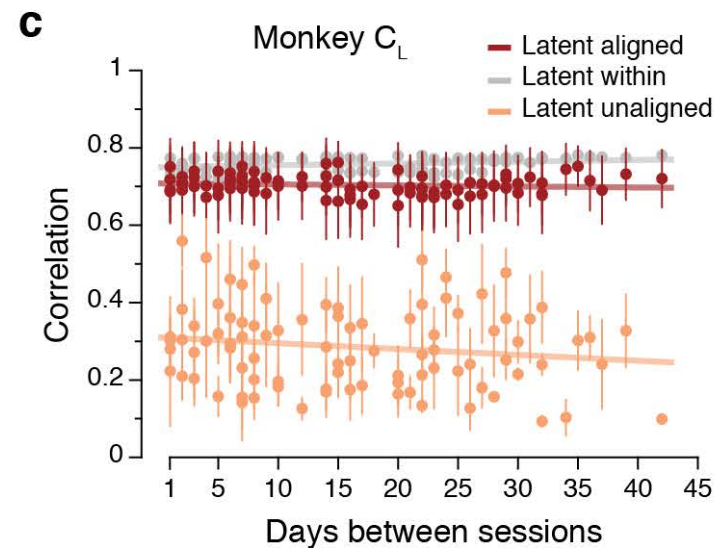
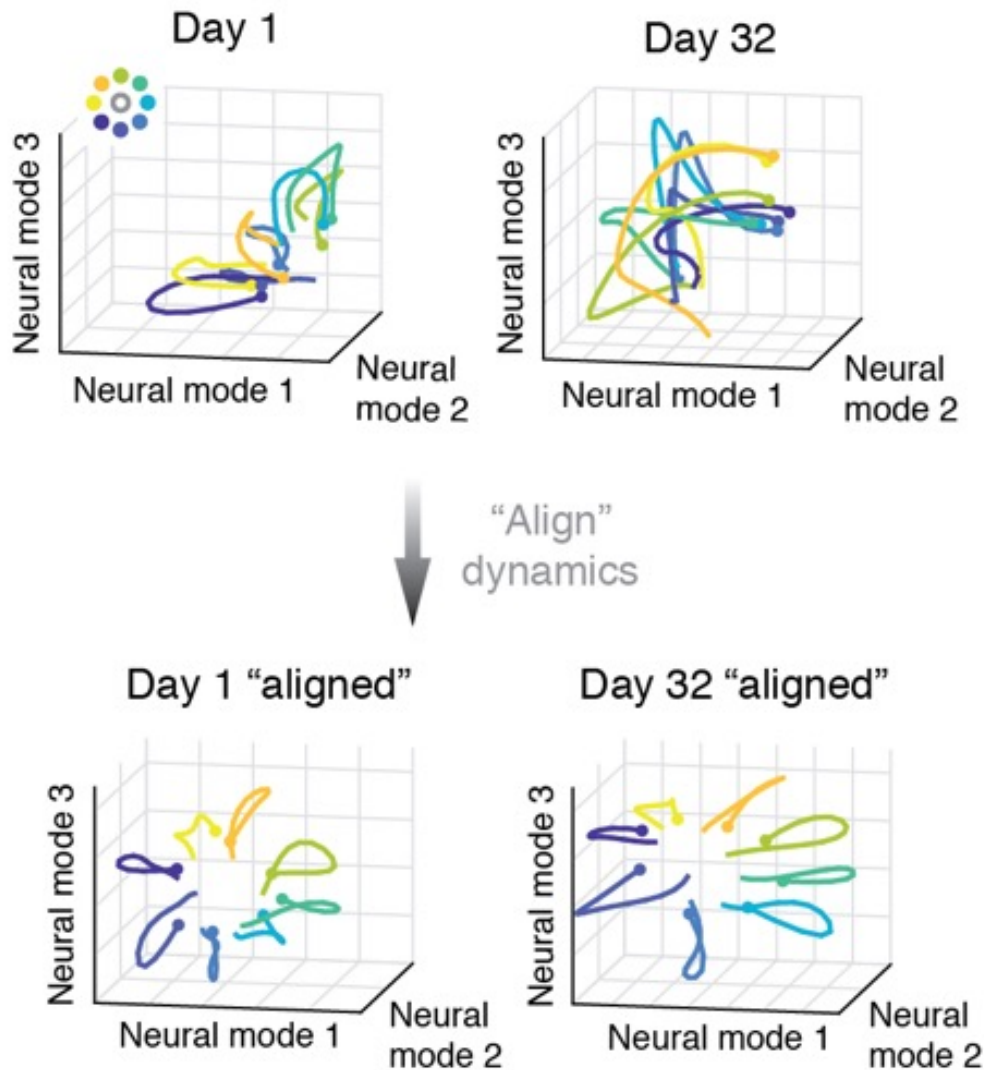
$$M_n = R_n^{-1} U$$

$$M_m = R_m^{-1} V$$

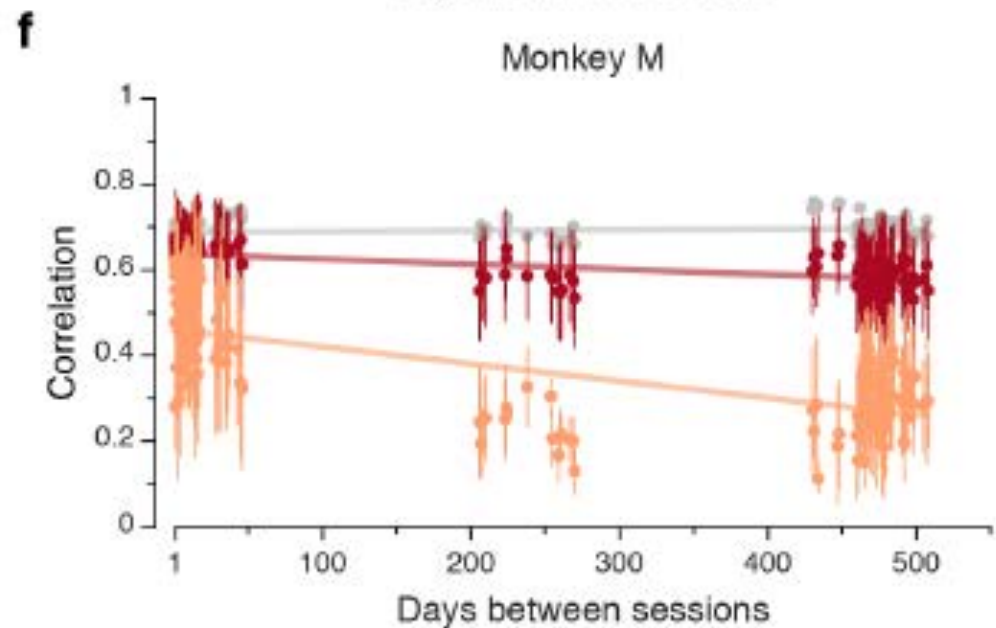
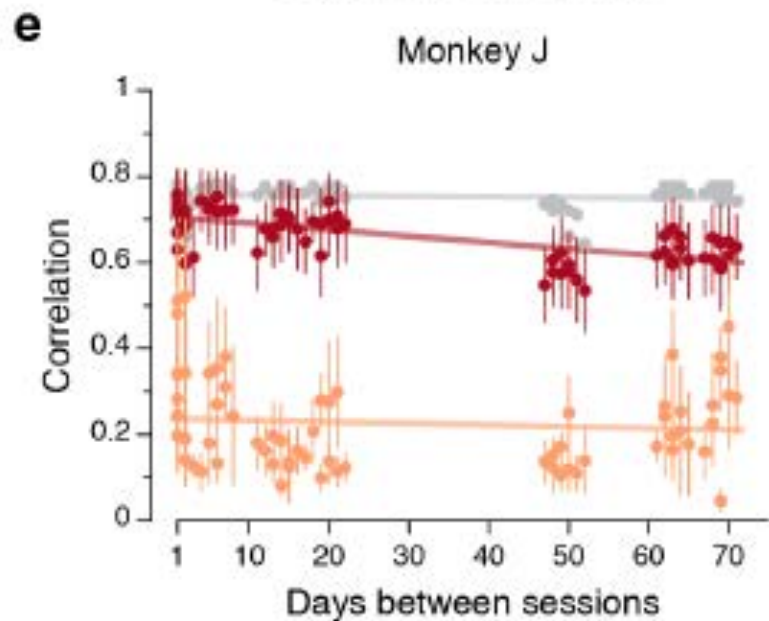
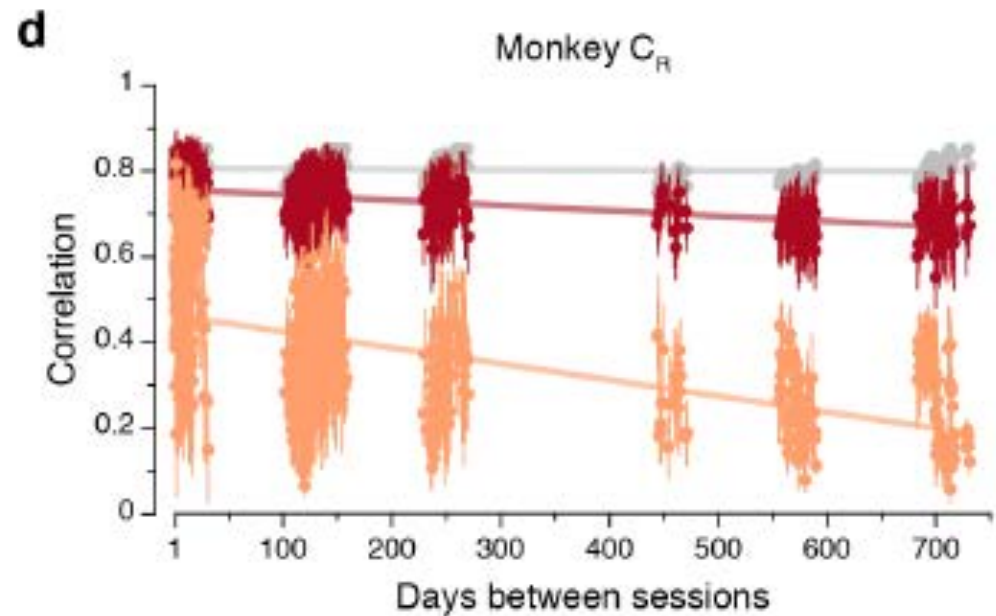
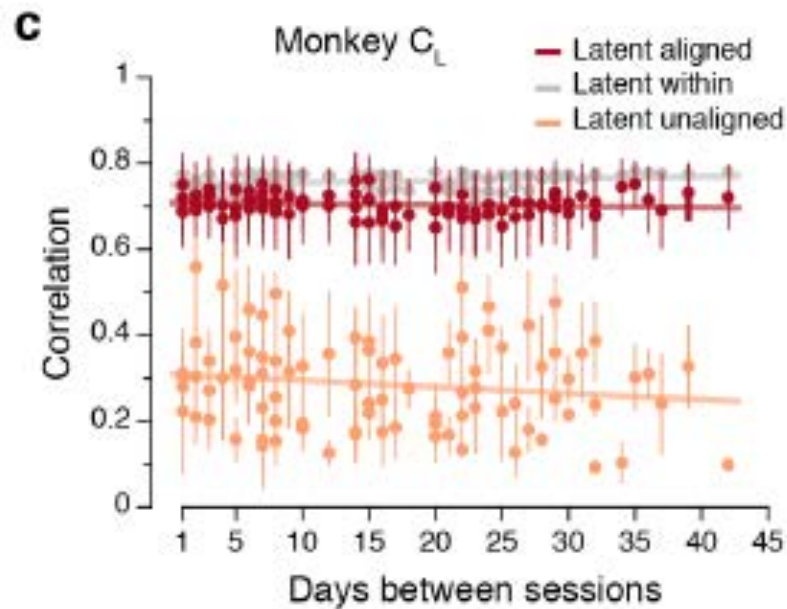
$$L_n \Rightarrow M_n^T L_n$$

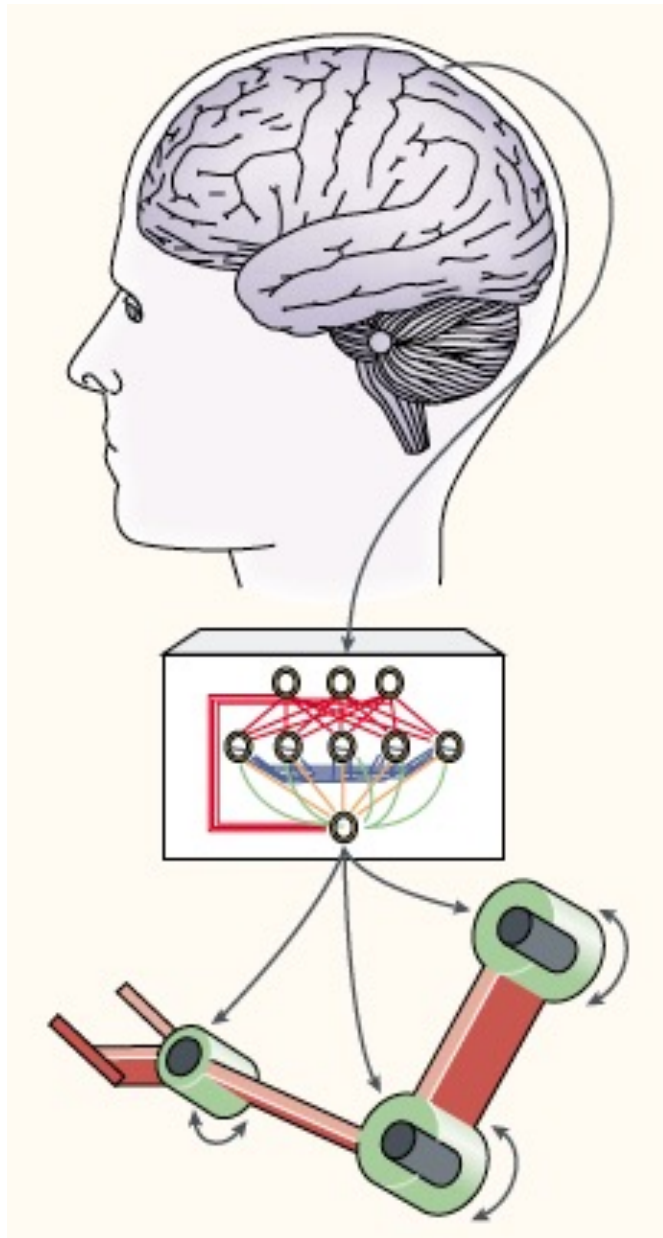
$$L_m \Rightarrow M_m^T L_m$$

# Stability of M1 latent dynamics



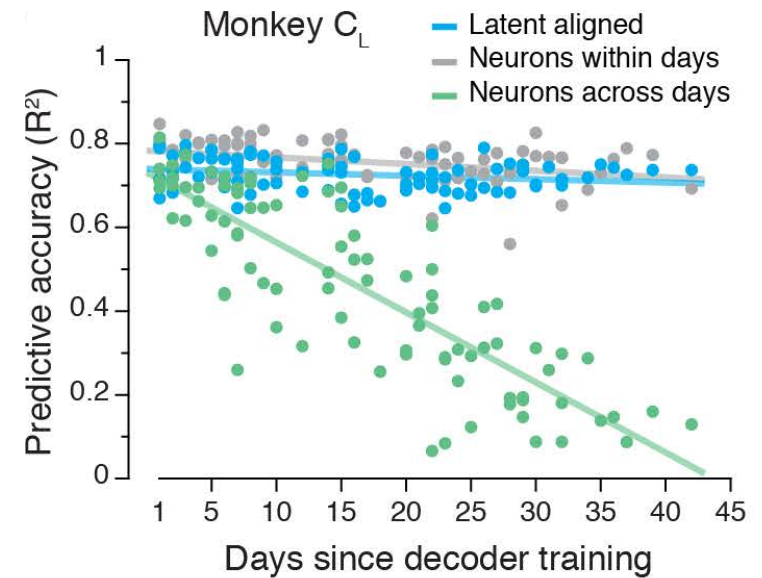
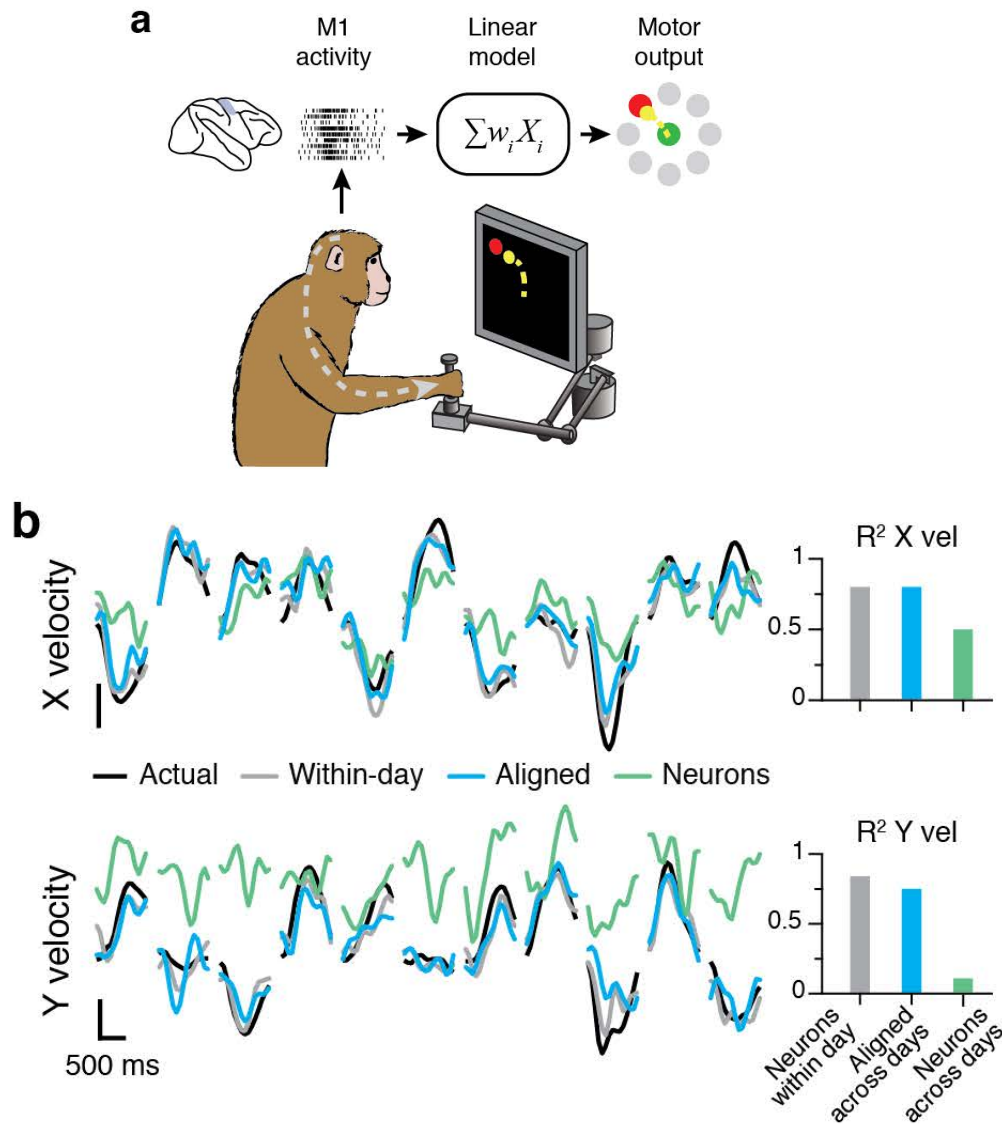
# Stability of M1 latent dynamics





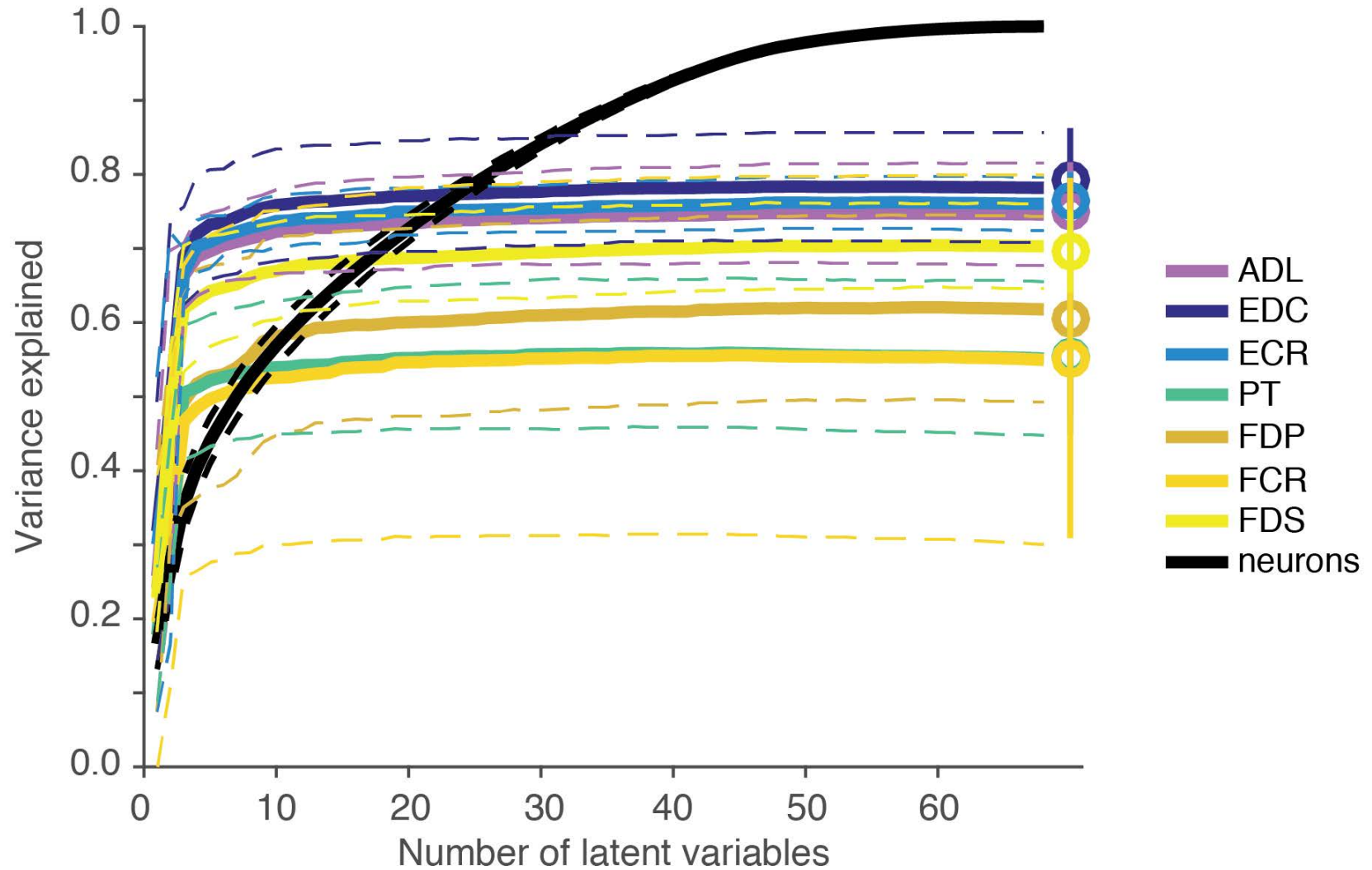
Population activity:  
relation to motor output

# Stable prediction of movement kinematics

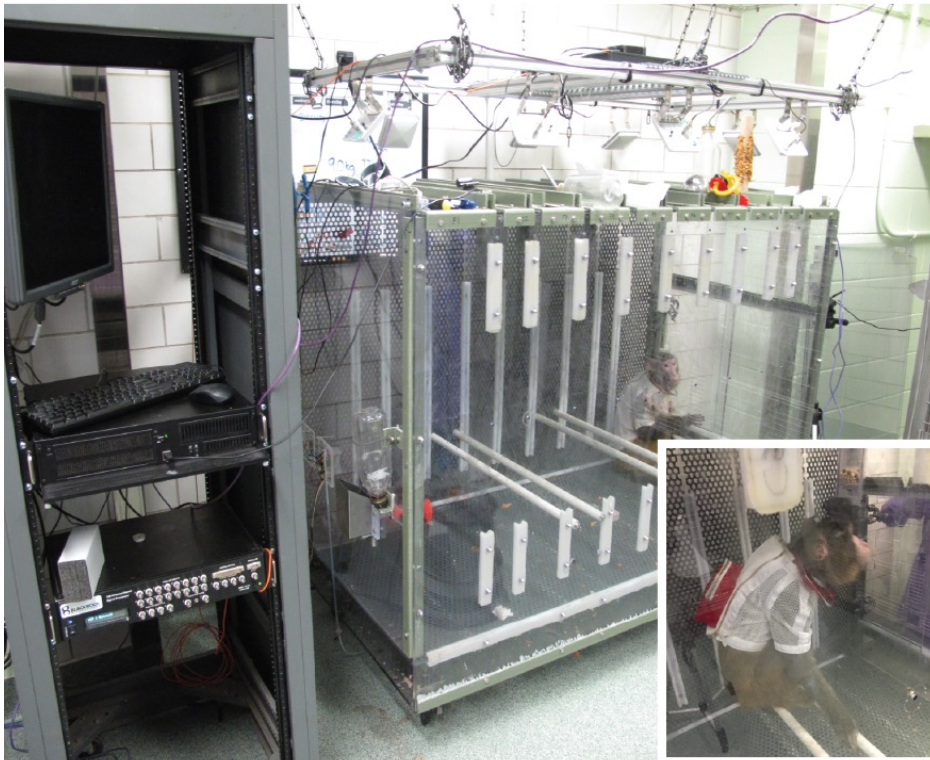
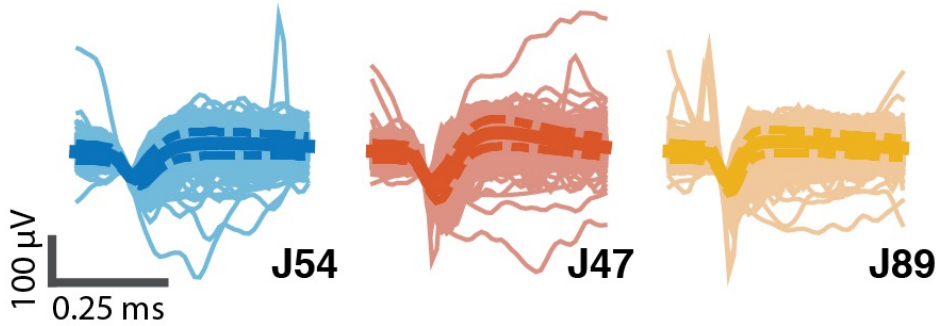




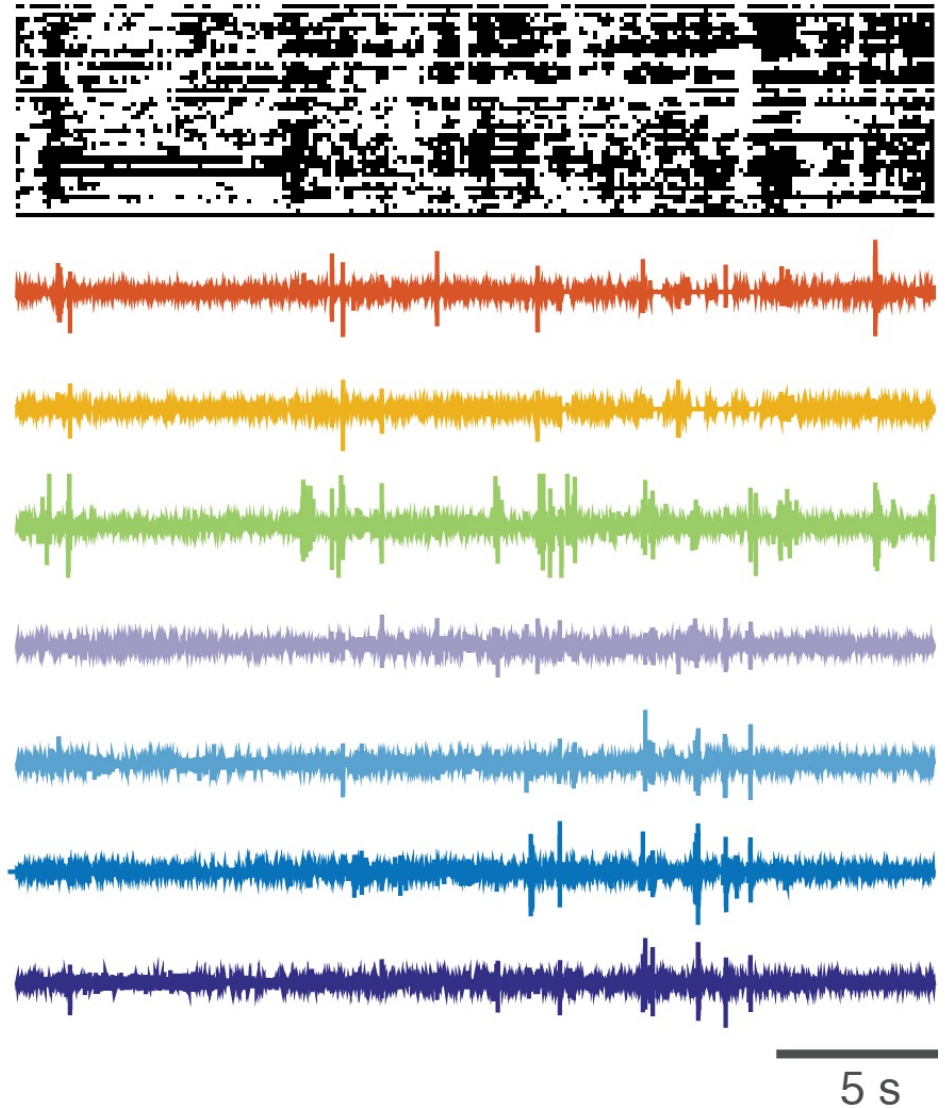
# Prediction of muscle activity



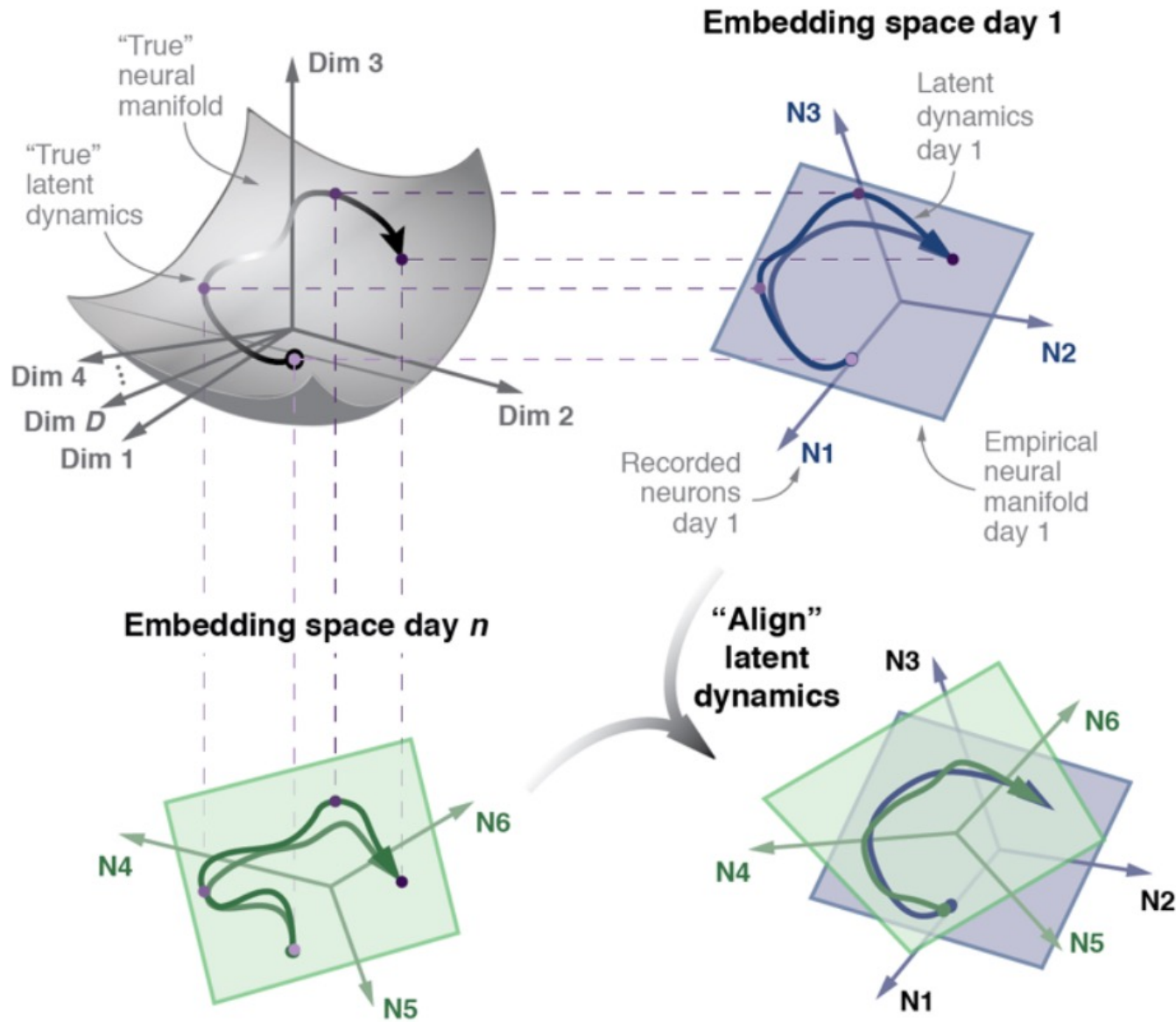
# The future: natural behavior



neurons  
EDC  
EBC  
ECR  
APB  
FCU  
FDP  
FCR  
FDS



# Alignment of latent dynamics



# Neural manifolds for the control of movement



Juan Gallego



Matthew Perich



Raed Chowdhury



Lee Miller

Northwestern University

