

# COVID, uncertainty and clinical trials

**Joaquim J. Ferreira**

# Common challenge

To be as close to the “truth” as possible



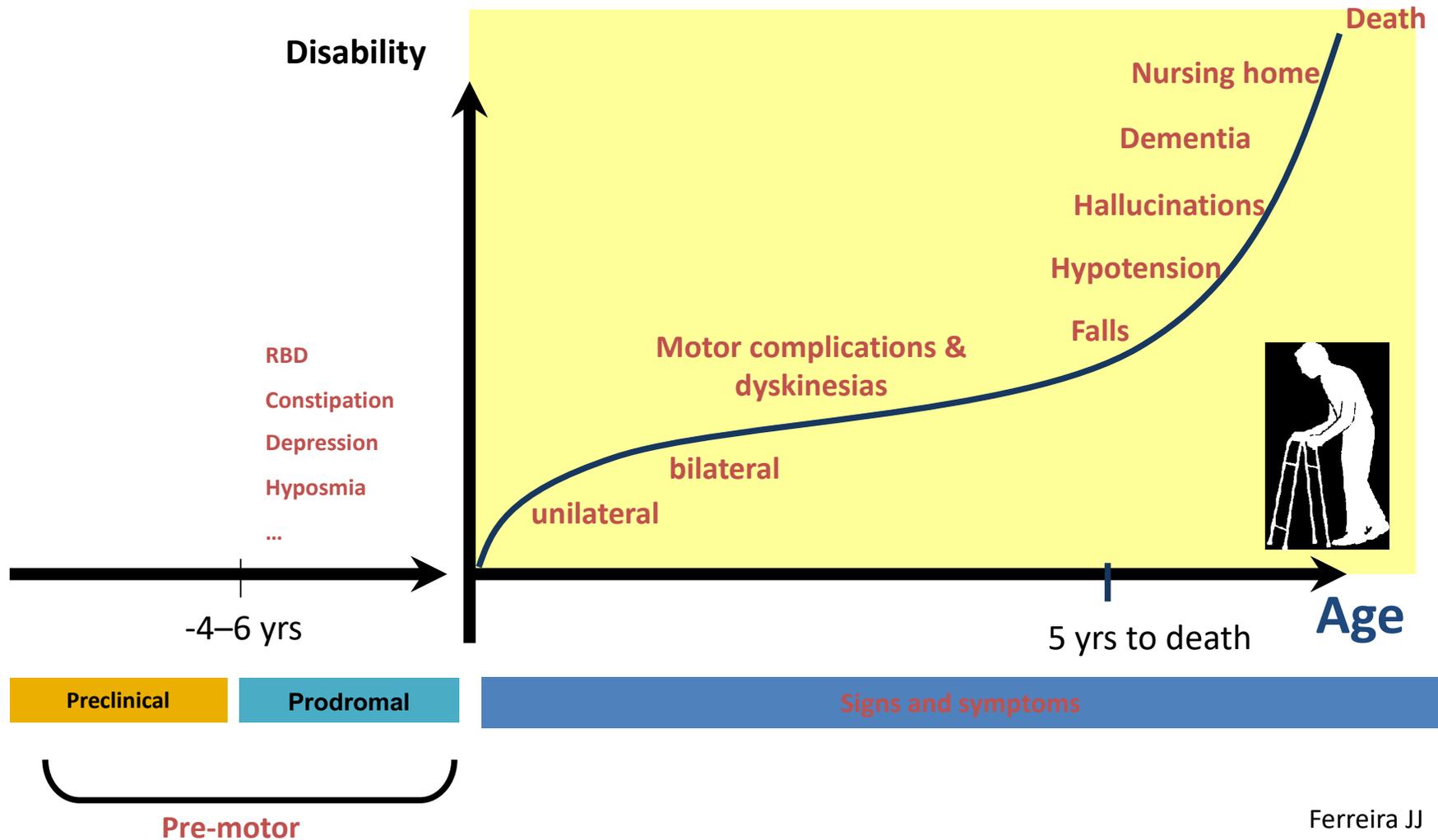
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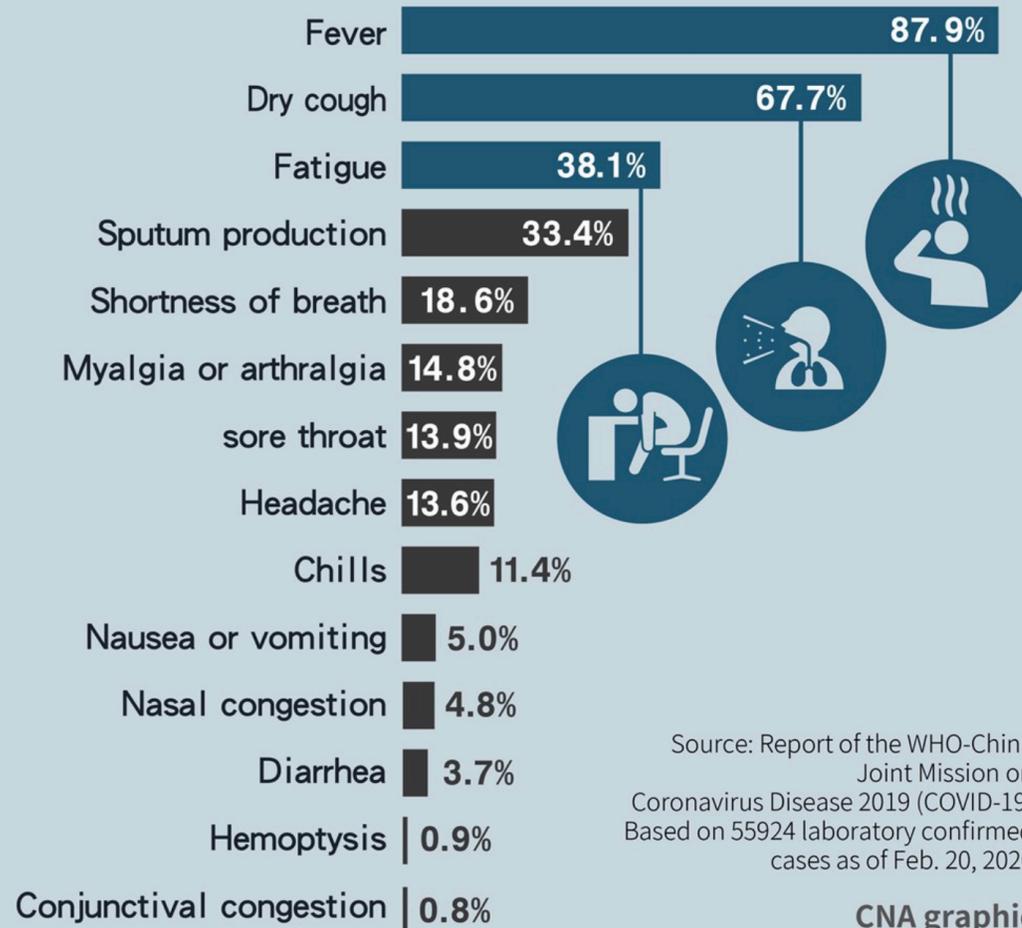
# PD PROGRESSION





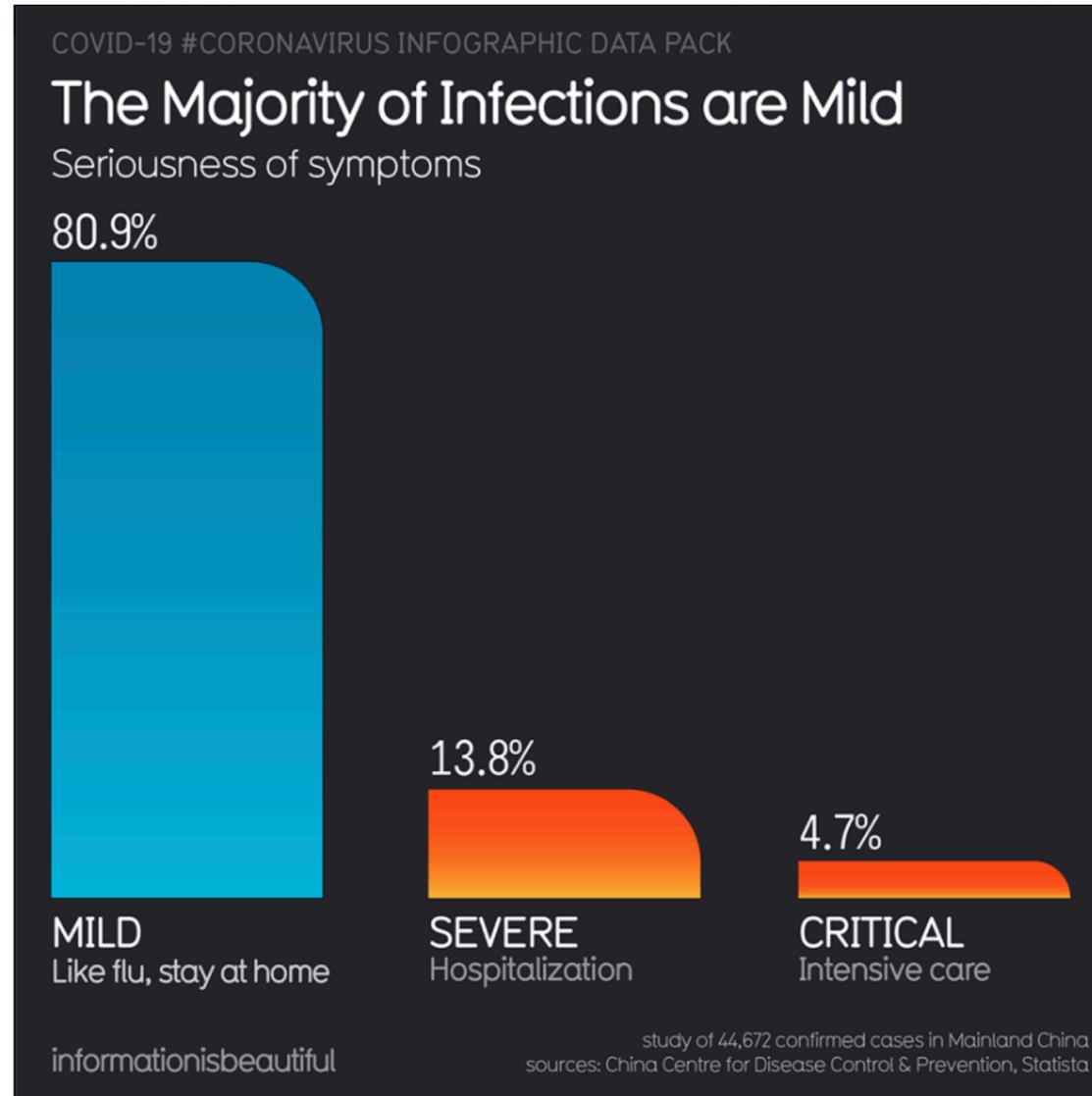
# clinical uncertainty

## Typical symptoms of COVID-19





# clinical uncertainty



# clinical uncertainty

JAMA Neurology | Original Investigation

## Neurologic Manifestations of Hospitalized Patients With Coronavirus Disease 2019 in Wuhan, China

Ling Mao; Huijuan Jin; Mengdie Wang; Yu Hu; Shengcai Chen; Quanwei He; Jiang Chang; Candong Hong; Yifan Zhou; David Wang; Xiaoping Miao; Yanan Li, MD, PhD; Bo Hu, MD, PhD

Table 1. Clinical Characteristics of Patients With COVID-19

Characteristic	No. (%)			P value <sup>a</sup>
	Total (N = 214)	Severe (n = 88)	Nonsevere (n = 126)	
Age, mean (SD), y	52.7 (15.5)	58.2 (15.0)	48.9 (14.7)	
<b>Nervous system symptoms</b>				
Any	78 (36.4)	40 (45.5)	38 (30.2)	.02
CNS	53 (24.8)	27 (30.7)	26 (20.6)	.09
Dizziness	36 (16.8)	17 (19.3)	19 (15.1)	.42
Headache	28 (13.1)	15 (17.0)	13 (10.3)	.15
Impaired consciousness	16 (7.5)	13 (14.8)	3 (2.4)	<.001
Acute cerebrovascular disease	6 (2.8)	5 (5.7)	1 (0.8)	.03
Ataxia	1 (0.5)	1 (1.1)	0	NA
Seizure	1 (0.5)	1 (1.1)	0	NA
PNS	19 (8.9)	7 (8.0)	12 (9.5)	.69
<b>Impairment</b>				
Taste	12 (5.6)	3 (3.4)	9 (7.1)	.24
Smell	11 (5.1)	3 (3.4)	8 (6.3)	.34
Vision	3 (1.4)	2 (2.3)	1 (0.8)	.37
Nerve pain	5 (2.3)	4 (4.5)	1 (0.8)	.07
Skeletal muscle injury	23 (10.7)	17 (19.3)	6 (4.8)	<.001



# clinical uncertainty



“Anosmia, in particular, has been seen in patients ultimately testing positive for the coronavirus with no other symptoms... anosmia, hyposmia, and dysgeusia in the absence of other respiratory disease such as allergic rhinitis, acute rhinosinusitis, or chronic rhinosinusitis should alert physicians to the possibility of COVID-19 infection and warrant serious consideration for self-isolation and testing of these individuals,” the AAO-HNS said in a statement on the proposal.



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# 100 years medical mystery



**Spanish flu, influenza epidemic**

1917 to 1920

**Encephalitis lethargica,  
"sleeping sickness", "sleepy  
sickness" or von Economo  
Encephalitis**

1917 to 1930

**Post-encephalitic Parkinsonism**

1917 -

Patients fill an emergency hospital in Camp Funston, Kan., during the 1918 influenza epidemic.  OTIS HISTORICAL  
ARCHIVES NAT'L MUSEUM OF HEALTH & MEDICINE



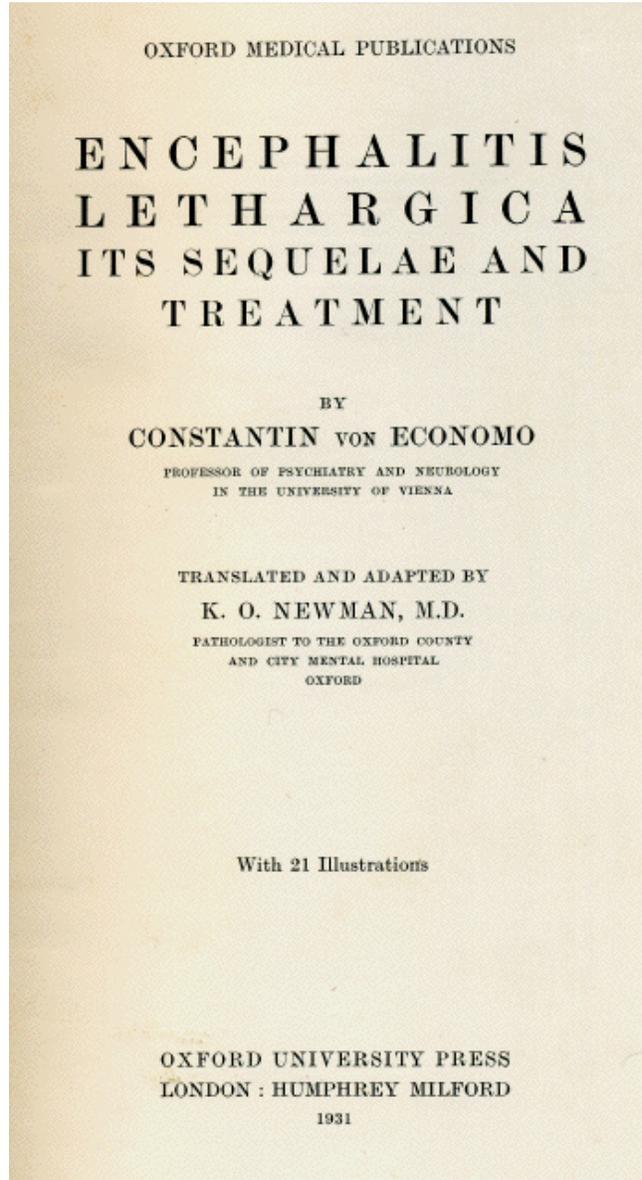
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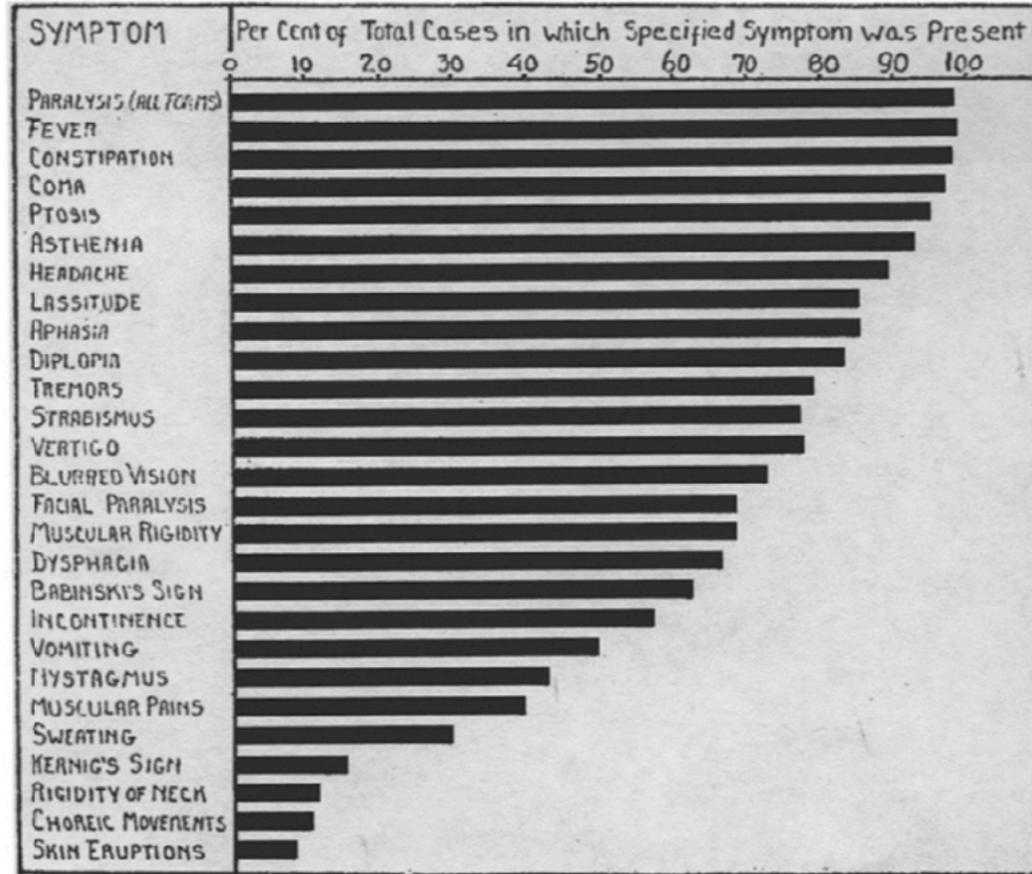
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**Fig. 37.2.** Encephalitis lethargica symptomatology. (Reproduced from [Smith, 1921.](#))

von Economo classified acute encephalitis lethargica into 3 forms:

somnolent-ophthalmoplegic  
hyperkinetic  
amyostatic-akinetic

psychiatric manifestations  
changes in mood, feelings of euphoria, increased sexual drive, hallucinations, and excessive puns, joviality, and silliness



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ACUTE ENCEPHALITIS  
LETHARGICA

BY

F. H. LEWY



# clinical uncertainty

## Experimental studies of the influenza hypothesis for encephalitis lethargica (EL)

Study	Conclusions
Gamboa et al. (1974)	Influenza antigens detected in hypothalamus and midbrain in 6 PEP but no PD patients
Marttila et al. (1977a)	No difference between 23 PEP and 421 PD controls for antibodies to influenza strain PR/8/34
Marttila et al. (1977b)	No difference between 20 PEP and 55 PD and controls in antibodies to strains PR/8/24 and Sw/1976
Elizan et al. (1989)	Negative immunostains for several flu strains in 1 acute EL and 1 PEP brain
Isocson et al. (1995)	No influenza RNA in 7 PEP patients
McCall et al. (2001)	mRNA of $\beta_2$ -microglobulin but not influenza in brains of EL and PEP patients
Lo et al. (2003)	mRNA of $\beta$ -actin but not influenza in 8 EL brains

Modified from McCall et al. (2008).

aetiologies:

H1N1 cause / trigger / susceptibility ?

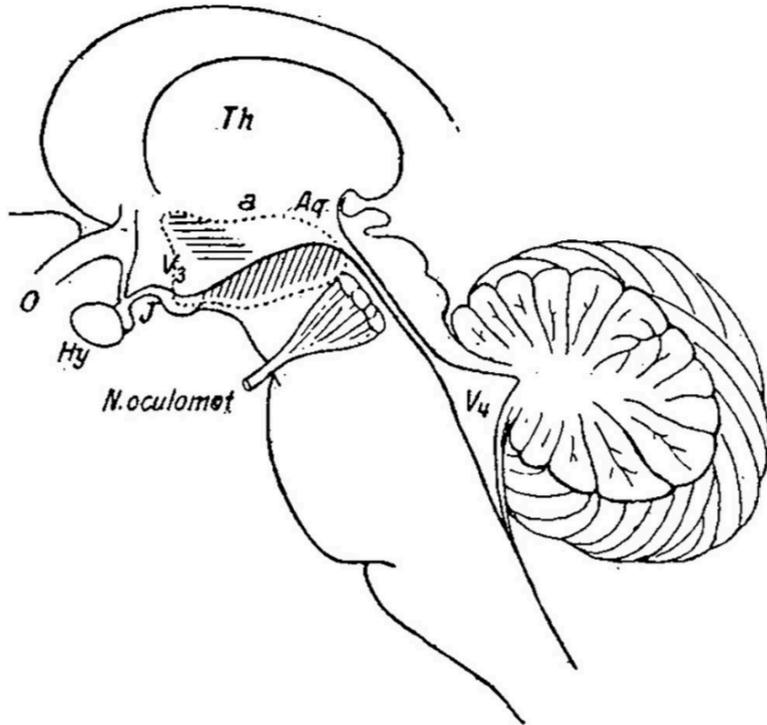
environmental (toxicological)  
infectious (viral, bacterial, etc.)  
auto-immunity

post-infectious autoimmune disorder  
Sydenham's chorea  
anti-NMDA receptor encephalitis

McCall S, Vilensky JA, Gilman S et al. (2008). The relationship between encephalitis lethargica and influenza: a critical analysis. *J Neurovirol* 14: 177–185.

# clinical uncertainty

**Figure** von Economo's sleep-regulating center: First published in 1926



<sup>28</sup>The sleep-regulating center is located at the transition between the diencephalon and mesencephalon (dotted line). The horizontal lines indicate the location of lesions resulting in somnolence. The diagonal lines denote the location of lesions resulting in insomnia.

## HISTORICAL NEUROLOGY

### The centennial lesson of encephalitis lethargica

Bart Lutters, BSc, Paul Foley, PhD, and Peter J. Koehler, MD, PhD

*Neurology* 2018;90:563-567. doi:10.1212/WNL.0000000000005176

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von Economo clinicopathologic findings

+++ upper midbrain and substantia nigra

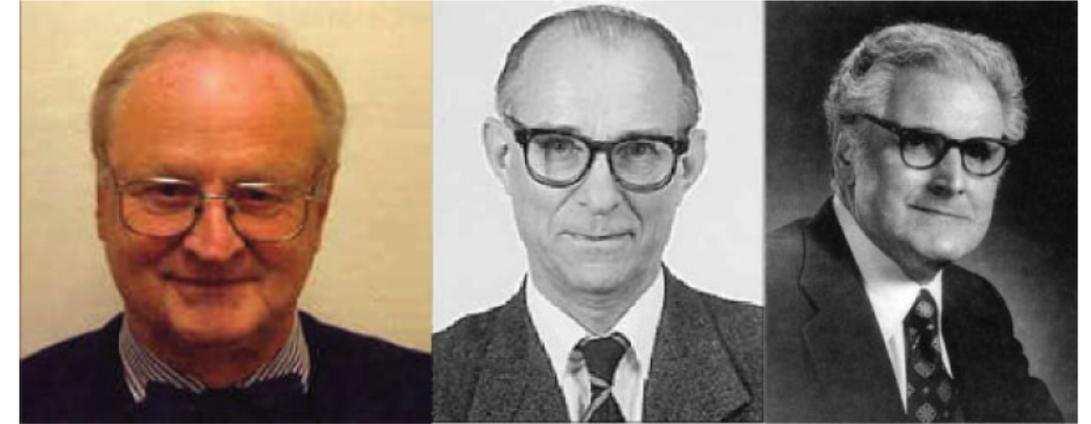
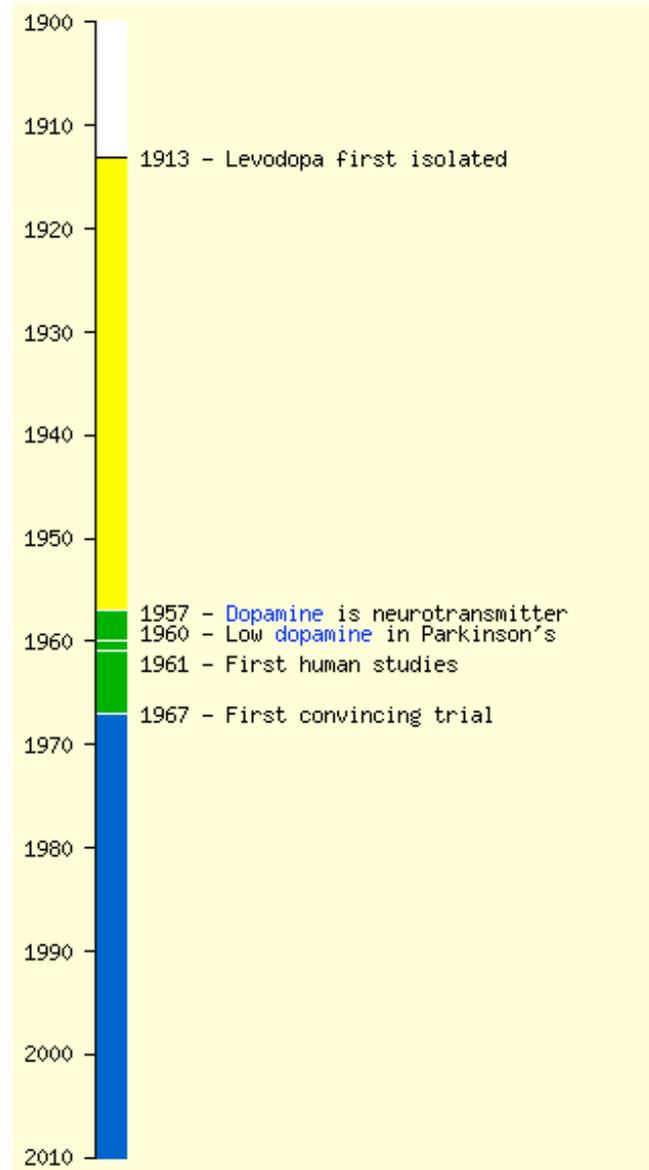
subcortical sleep-regulating center

10% insomnia



von Economo  
clinicopathologic  
findings

+++ upper midbrain  
and **substantia nigra**



Arvid Carlsson

Oleh  
Hornykiewicz

George Cotzias



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chronic phase of encephalitis lethargica

developed 1 to 5 years after the acute phase

one case 45 years



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Awakenings, Oliver Sacks' 1973 book  
Movie 1990



kinesia paradoxical



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**2:00**



# clinical uncertainty

Case Report

A first case of meningitis/encephalitis associated with SARS-Coronavirus-2



Takeshi Moriguchi<sup>a,\*</sup>, Norikazu Harii<sup>b</sup>, Junko Goto<sup>a</sup>, Daiki Harada<sup>a</sup>, Hisanori Sugawara<sup>a</sup>, Junichi Takamino<sup>a</sup>, Masateru Ueno<sup>a</sup>, Hiroki Sakata<sup>a</sup>, Kengo Kondo<sup>a</sup>, Natsuhiko Myose<sup>a</sup>, Atsuhito Nakao<sup>c</sup>, Masayuki Takeda<sup>d</sup>, Hirotaka Haro<sup>e</sup>, Osamu Inoue<sup>f</sup>, Katsue Suzuki-Inoue<sup>g</sup>, Kayo Kubokawa<sup>h</sup>, Shinji Ogihara<sup>i</sup>, Tomoyuki Sasaki<sup>g</sup>, Hiroyuki Kinouchi<sup>j</sup>, Hiroyuki Kojin<sup>k</sup>, Masami Ito<sup>k</sup>, Hiroshi Onishi<sup>l</sup>, Tatsuya Shimizu<sup>l</sup>, Yu Sasaki<sup>l</sup>, Nobuyuki Enomoto<sup>m</sup>, Hiroshi Ishihara<sup>n</sup>, Shiomi Furuya<sup>k</sup>, Tomoko Yamamoto<sup>k</sup>, Shinji Shimada<sup>o</sup>

coronaviruses (OC-43, 229E, MERS and SARS) can invade the central nervous system and cause neurological pathologies

SARS-CoV-2 infection causes

headache, dizziness, myalgia and anosmia

cases encephalopathy, encephalitis, necrotising haemorrhagic encephalopathy, stroke, epileptic seizures, rhabdomyolysis and Guillain-Barre syndrome

ACCEPTED MANUSCRIPT

## The emerging spectrum of COVID-19 neurology: clinical, radiological and laboratory findings

Ross W Paterson, Rachel L Brown, Laura Benjamin, Ross Nortley, Sarah Wiethoff, Tehmina Bharucha, Dipa L Jayaseelan, Guru Kumar, Rhian E Raftopoulos, Laura Zambreanu ... [Show more](#)

[Author Notes](#)

*Brain*, awaa240, <https://doi.org/10.1093/brain/awaa240>

Published: 08 July 2020 [Article history](#) ▼

**Table 1 Summary of clinical features of 43 patients with neurological complications of COVID-19**

Cases	Age, median [range]; %male	Days of COVID-19 infection before neurological presentation, median [range]	Main clinical features	Results of note	% Naso-pharyngeal SARS-CoV-2 PCR+	CSF or brain SARS-CoV-2 PCR+ (x/number tested)	Treatment	Clinical outcome
Encephalopathy (delirium/psychosis) ( <i>n</i> = 10) <sup>a</sup>	57.5 [39–72]; 40	4.5 [–4 to +21]	Delirium; psychosis	Acellular CSF (6/6); non-specific MRI changes (3/10)	80 (8/10)	(0/0)	Supportive (9/10); steroids 1/10	Complete recovery (7/10); partial (2/10)
Inflammatory CNS syndromes (para-/post- infectious) ( <i>n</i> = 12) <sup>a</sup>	53 [27–66]; 33	9 [–6 to +27]	Reduced consciousness (7/12); UMN signs (10/12)	Abnormal CSF (6/11) Abnormal MRI (11/12)	67 (8/12)	(0/7)	Corticosteroids (10/12); IVIG (3/12)	Recovery: complete (1/12); partial (10/12); none (death 1/12)
Stroke ( <i>n</i> = 8) <sup>a</sup>	62.5 [27–85]; 75	8[–2 to +22]	Large vessel ischaemic stroke	4/8 PE 6/6 High D–dimer	75 (6/8)	NA	Low molecular weight heparin (7/8); apixaban (1/8)	Incomplete recovery (7/8); death (1/8)
Peripheral syndromes ( <i>n</i> = 8)								
GBS ( <i>n</i> = 7)	57 [20–63]; 100	13 [–1–21]	Cranial and peripheral neuropathy		43 (3/7)	NT	IVIG (7/7)	Incomplete recovery (5/7 GBSDS 2)
Plexopathy ( <i>n</i> = 1)	60; 100	14	Painless weakness		100 (1/1)	NT	IV steroids (1/1)	Incomplete recovery (1/1)
Miscellaneous and uncharacterized ( <i>n</i> = 5)	20 [16–40]; 40	10 [6–26]	Raised ICP; seizures; myelitis	Abnormal CSF (2/4) Abnormal MRI brain (4/5)	60 (3/5)	(0/1)	Varied (AED; steroids (1/5); tLP)	Recovery complete (1/5); partial (3/5); nil (1/5)



## Why is important to recognize the unknown / uncertainty?

6 months of data is short

delayed onset manifestations?

post-infectious neurologic disorders ?

association hyposmia + sleep + behaviour !

reasons to be prudent



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# methodological uncertainty

## Hydroxychloroquine story?



Liu et al. *Cell Discovery* (2020)6:16  
<https://doi.org/10.1038/s41421-020-0156-0>

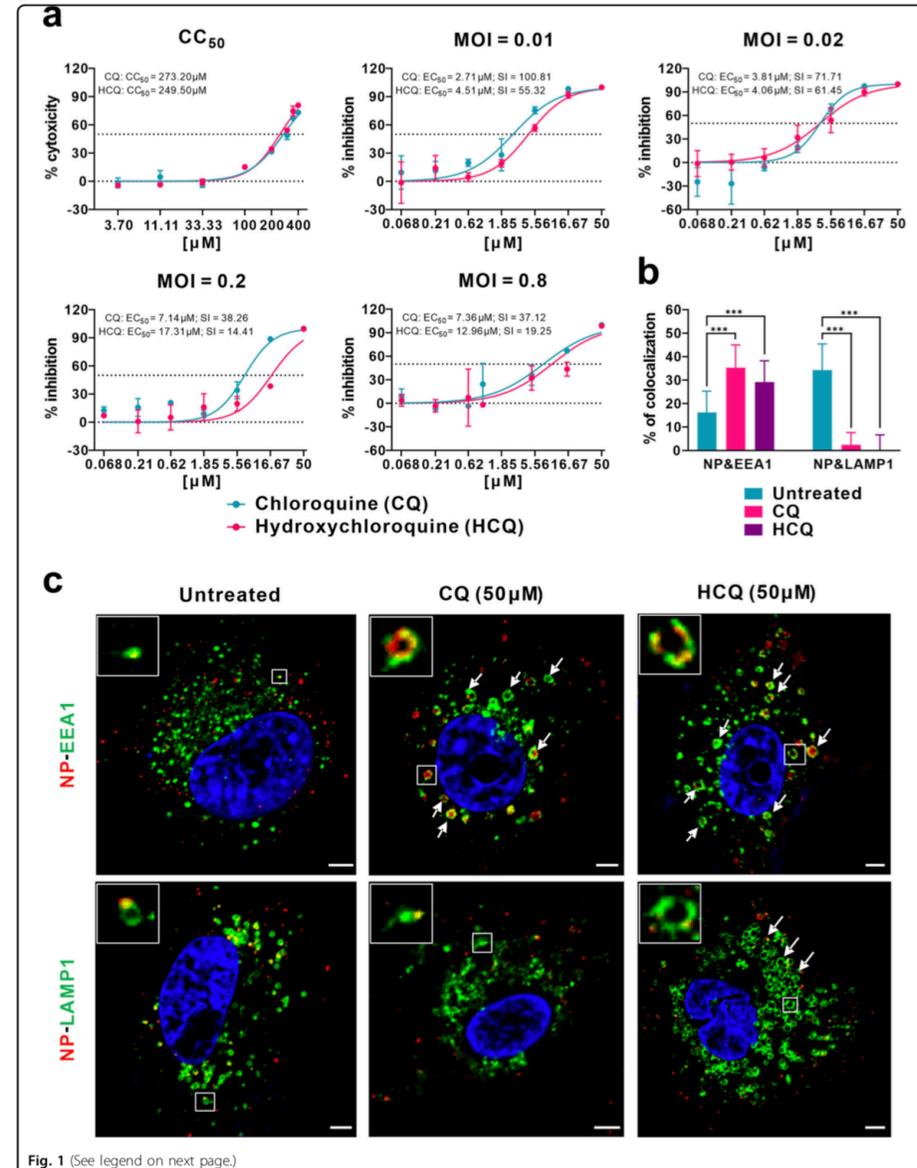
Cell Discovery  
[www.nature.com/celldisc](http://www.nature.com/celldisc)

CORRESPONDENCE

Open Access

## Hydroxychloroquine, a less toxic derivative of chloroquine, is effective in inhibiting SARS-CoV-2 infection in vitro

Jia Liu<sup>1</sup>, Ruiyuan Cao<sup>2</sup>, Mingyue Xu<sup>1,3</sup>, Xi Wang<sup>1</sup>, Huanyu Zhang<sup>1,3</sup>, Hengrui Hu<sup>1,3</sup>, Yufeng Li<sup>1,3</sup>, Zhihong Hu<sup>1</sup>, Wu Zhong<sup>2</sup> and Manli Wang<sup>1</sup>



# methodological uncertainty

Hydroxychloroquine and azithromycin as a treatment of COVID-19: results of an open-label non-randomized clinical trial <sup>☆</sup>

Philippe Gautret<sup>a,b,s</sup>, Jean-Christophe Lagier<sup>a,c,s</sup>, Philippe Parola<sup>a,b</sup>, Van Thuan Hoang<sup>a,b,d</sup>, Line Meddeb<sup>a</sup>, Morgane Mailhe<sup>a</sup>, Barbara Doudier<sup>a</sup>, Johan Courjon<sup>e,f,g</sup>, Valérie Giordanengo<sup>h</sup>, Vera Esteves Vieira<sup>a</sup>, Hervé Tissot Dupont<sup>a,c</sup>, Stéphane Honoré<sup>i,j</sup>, Philippe Colson<sup>a,c</sup>, Eric Chabrière<sup>a,c</sup>, Bernard La Scola<sup>a,c</sup>, Jean-Marc Rolain<sup>a,c</sup>, Philippe Brouqui<sup>a,c</sup>, Didier Raoult<sup>a,c,\*</sup>

## Outcome

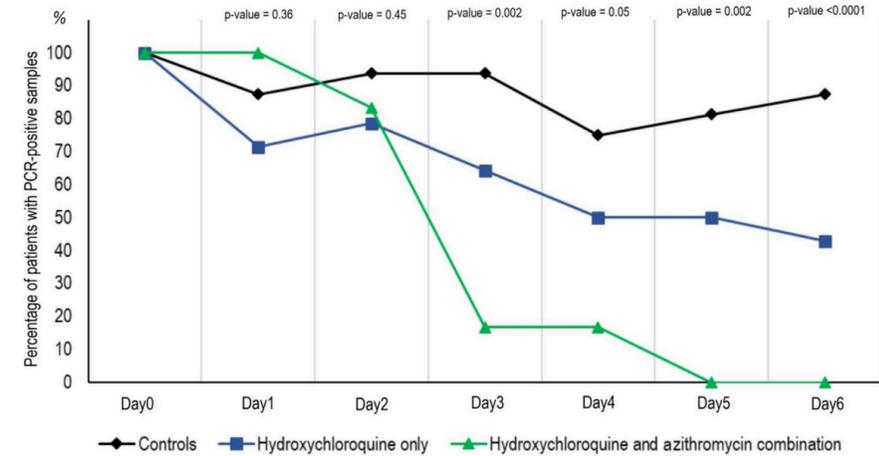
Primary endpoint was virological clearance at day-6 post-inclusion.

**Table 1**  
Characteristics of the study population.

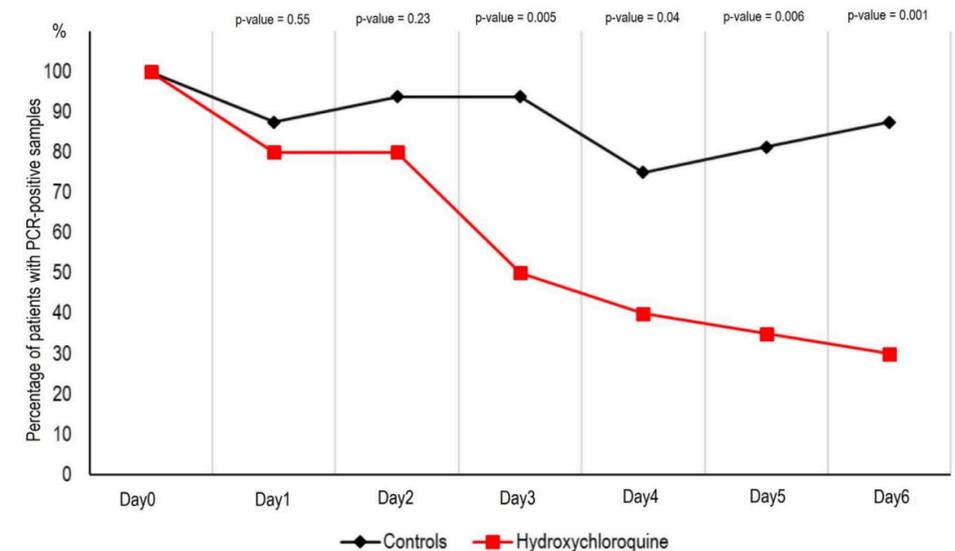
	Age (years)			Male gender		Clinical status				Time between onset of symptoms and inclusion (days)		
	Mean ± SD	t	p-value	n (%)	p-value	Asymptomatic	URTI	LRTI	p-value	Mean ± SD	t	p-value
Hydroxychloroquine treated patients (N=20)	51.2 ± 18.7	-1.95	0.06	9 (45.0)	0.65	2 (10.0)	12 (60.0)	6 (30.0)	0.30	4.1 ± 2.6	-0.15	0.88
Control patients (N=16)	37.3 ± 24.0			6 (37.5)		4 (25.0)	10 (62.5)	2 (12.5)		3.9 ± 2.8		
All patients (36)	45.1 ± 22.0			15 (41.7)		6 (16.7)	22 (61.1)	8 (22.2)		4.0 ± 2.6		

URTI: upper tract respiratory infection, LRTI: lower tract respiratory infection

6 hydroxychloroquine- treated patients were lost during the follow-up (23%)



**Fig. 2.** Percentage of patients with PCR-positive nasopharyngeal samples from inclusion to day6 post-inclusion in COVID-19 patients treated with hydroxychloroquine only, in COVID-19 patients treated with hydroxychloroquine and azithromycin combination, and in COVID-19 control patients.



**Fig. 1.** Percentage of patients with PCR-positive nasopharyngeal samples from inclusion to day6 post-inclusion in COVID-19 patients treated with hydroxychloroquine and in COVID-19 control patients.

## Hydroxychloroquine or chloroquine with or without a macrolide for treatment of COVID-19: a multinational registry analysis



Mandeep R Mehra, Sapan S Desai, Frank Ruschitzka, Amit N Patel

### Summary

**Background** Hydroxychloroquine or chloroquine, often in combination with a second-generation macrolide, are being widely used for treatment of COVID-19, despite no conclusive evidence of their benefit. Although generally safe when used for approved indications such as autoimmune disease or malaria, the safety and benefit of these treatment regimens are poorly evaluated in COVID-19.

**Methods** We did a multinational registry analysis of the use of hydroxychloroquine or chloroquine with or without a macrolide for treatment of COVID-19. The registry comprised data from 671 hospitals in six continents. We included patients hospitalised between Dec 20, 2019, and April 14, 2020, with a positive laboratory finding for SARS-CoV-2. Patients who received one of the treatments of interest within 48 h of diagnosis were included in one of four treatment groups (chloroquine alone, chloroquine with a macrolide, hydroxychloroquine alone, or hydroxychloroquine with a macrolide), and patients who received none of these treatments formed the control group. Patients for whom one of the treatments of interest was initiated more than 48 h after diagnosis or while they were on mechanical ventilation, as well as patients who received remdesivir, were excluded. The main outcomes of interest were in-hospital mortality and the occurrence of de-novo ventricular arrhythmias (including sustained ventricular tachycardia or ventricular fibrillation).

**Findings** 96 032 patients (mean age 53·8 years, 46·3% women) with COVID-19 were hospitalised during the study period and met the inclusion criteria. Of these, 18 668 patients were in the treatment groups (1868 received chloroquine, 3783 received chloroquine with a macrolide, 3016 received hydroxychloroquine, and 6221 received hydroxychloroquine with a macrolide) and 77 364 patients were in the control group. 10 698 (11·1%) patients died in hospital. After controlling for multiple confounding factors (eg, sex, race or ethnicity, body-mass index, underlying cardiovascular disease and its risk factors, diabetes, underlying lung disease, smoking, immunosuppressed condition, and baseline disease severity), when compared with mortality in the control group (9·3%), hydroxychloroquine (18·0%; hazard ratio 1·335, 95% CI 1·220–1·457), hydroxychloroquine with a macrolide (23·8%; 1·447, 1·368–1·531), chloroquine (16·4%; 1·365, 1·218–1·531), and chloroquine with a macrolide (22·2%; 1·368, 1·273–1·469) were each independently associated with an increased risk of in-hospital mortality. Compared with the control group (0·3%), hydroxychloroquine (6·5%; 2·365, 1·935–2·906), hydroxychloroquine with a macrolide (8·1%; 5·106, 4·106–5·983), chloroquine (4·3%; 1·751, 1·270–4·596), and chloroquine with a macrolide (6·5%; 4·011, 3·344–4·812) were independently associated with an increased risk of de-novo ventricular arrhythmia during hospitalisation.

**Interpretation** We were unable to confirm a benefit of hydroxychloroquine or chloroquine, when used alone or with a macrolide, on in-hospital outcomes for COVID-19. Each of these drug regimens was associated with decreased in-hospital mortality but with an increased frequency of ventricular arrhythmias when used for treatment of COVID-19.

**Funding** William Harvey Distinguished Chair in Advanced Cardiovascular Medicine at Brigham and Women's Hospital.

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This online publication has been corrected. The corrected version first appeared at [thelancet.com](https://www.thelancet.com) on May 29, 2020

See Online/Comment

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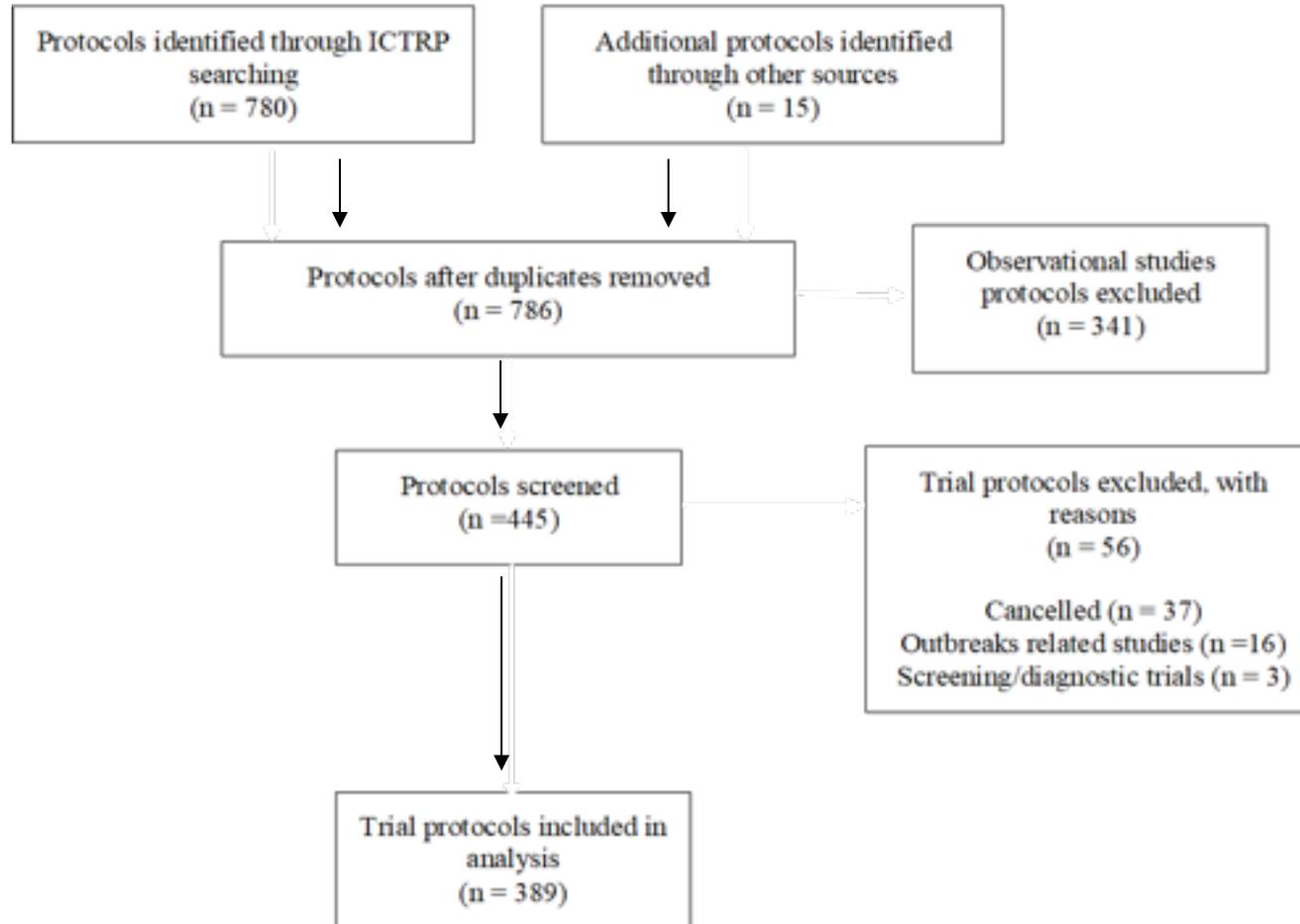
# methodological uncertainty

## Ongoing trials on COVID-19

Beatrice Mainoli  
Tiago Machado  
Gonçalo S Duarte  
Luísa Prada  
Nilza Gonçalves  
Joaquim J Ferreira  
João Costa

- 30 March 2020
  - WHO International Clinical Trials Registry Platform (ICTRP) contains the trial registration datasets provided by 17 clinical trial registries
  - National clinical trials registries
    - ClinicalTrials.gov, EU Clinical Trials Register, Chinese Clinical Trial Register
- Independent trials selection and data extraction

# methodological uncertainty





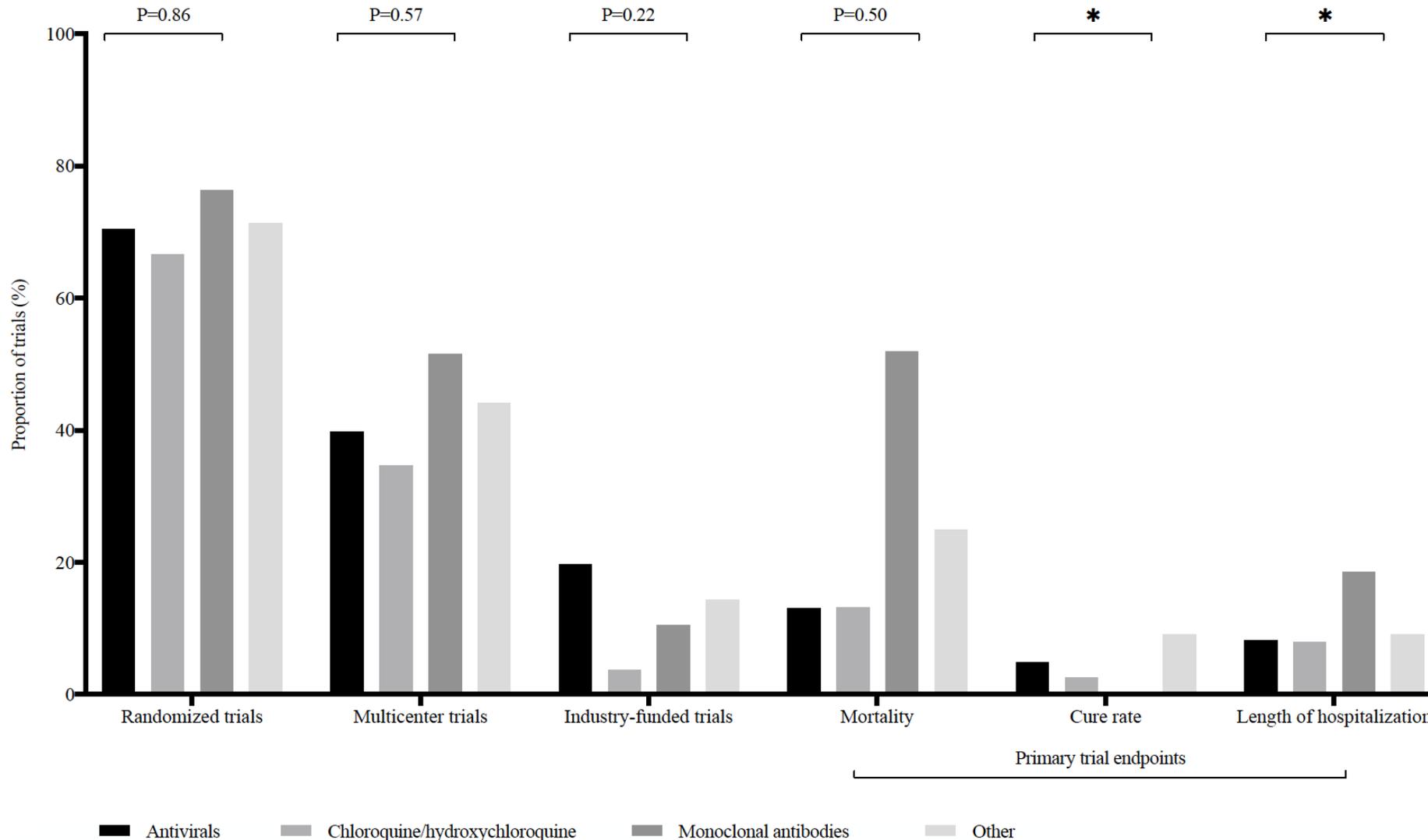
## RESULTS - COVID-19 treatment (n=331)

	Pharmacotherapy	Traditional Chinese Medicine	Mesenchymal stem cells and NK cells	Advanced life-support strategies	Convalescent plasma and immunoglobulins	Others
Number of trials – no. (%)	163 (49)	89 (27)	24 (7)	13 (4)	12 (4)	30 (9)



# methodological uncertainty

## RESULTS - COVID-19 pharmacotherapy (n=163)





# methodological uncertainty

- **Patients at largest risk** of death **are not being prioritized** in trials
  - High baseline risk means that smaller treatment effects would be more easily detected
- 15% are **single-arm**
  - CFR change considerably over time
  - Trials using historical controls will likely lead to more false-positive findings
- 59% are **not multicenter**
  - single-center trials are more prone to bias and tend to provide larger effects
    - Particularly true in the critical care setting, where many positive single-center trials have been contradicted by subsequent multicenter trials
- **Lack of hard and more pragmatic endpoints**
- **Many** treatment trials are **a priori already deemed to fail**
  - N° **trials powered** to detect a difference  $\geq 50\%$  between treatment groups



# methodological uncertainty

- Persisting on the path of “futile” trials will likely only lead to no informative trials and no gain in knowledge
- Urgent need for a global high-quality COVID-19 trials and observational data registry



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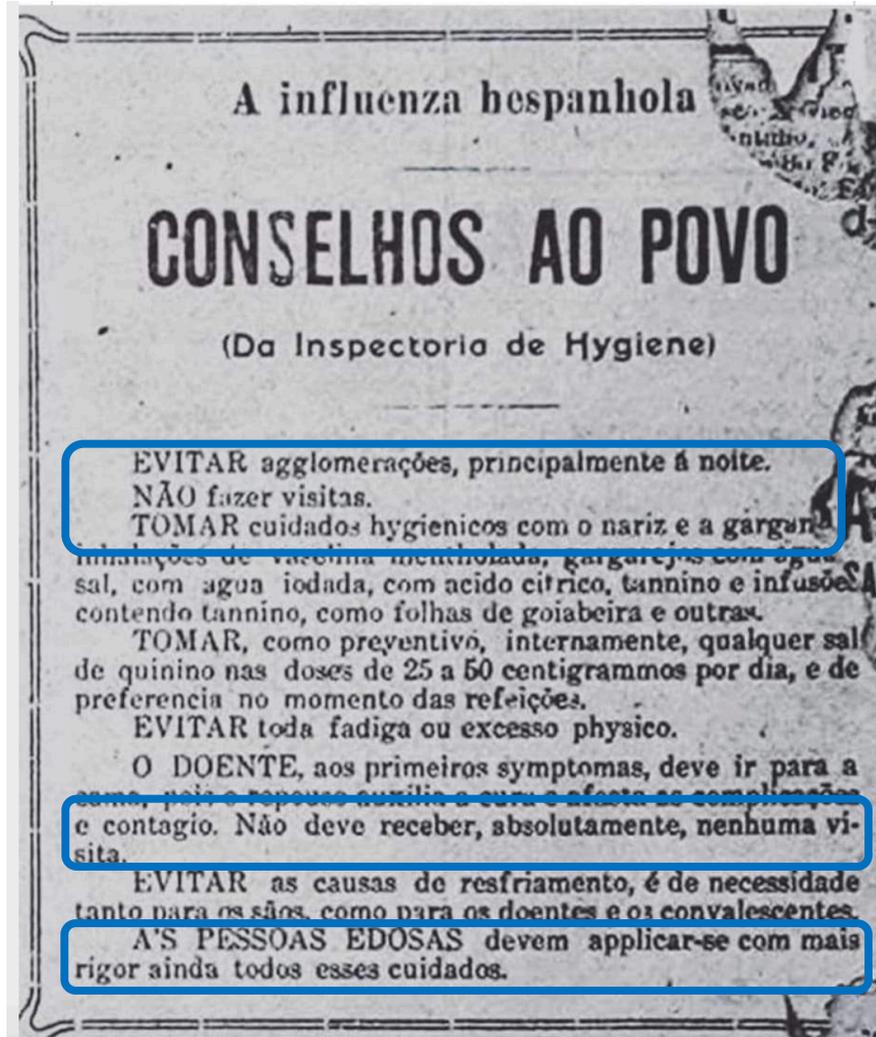
so little time, so much work, so much waste

João Costa



# be a humble researcher

After 100 years standard of treatment is the same



Leaflets to the people  
Rio de Janeiro, 1918

quinine for prevention!



# be a humble researcher

We need pragmatic trials feasible to be conducted in difficult clinical circumstances

Hard outcomes

Adaptive designs (therapeutic arms, dose, therapeutic associations, futility trials)

Registries of clinical trials and long term observational data



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# be a humble researcher

At least lets learn for the next pandemic ...

# COVID, uncertainty and clinical trials

**Joaquim J. Ferreira**