

# Rigidity problems for the lengths of geodesics in Riemannian geometry

Colin Guillarmou

CNRS and Univ. Paris Sud

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We will discuss two types of (related) rigidity problems:

- 1) **Riemannian invariants**: marked length spectrum rigidity
- 2) **Inverse problems/tomography**: boundary/lens rigidity problem

# Kac Problem

- $(M, g)$  closed Riemannian manifold (possibly with boundary)
- $\text{Sp}(\Delta_g) = \{\lambda_i \geq 0; \ker(\Delta_g - \lambda_i) \neq 0\}$  the Laplace spectrum

**Kac problem:** Does  $\text{Sp}(\Delta_g)$  determine  $g$  up to isometry?

*Before I go any further, let me say that as far as I know the problem is still unsolved.*

*Personally, I believe that one cannot "hear" the shape of a tambourine but I may well be wrong and I am not prepared to bet large sums either way.*

**Answer:** No in general,  $\exists$  counter examples:

**Milnor '64** - flat torii with  $\dim = 16$

**Vignéras '80, Sunada '85:** hyperbolic closed manifolds

**Gordon-Webb-Wolpert '92:** domains with (non-convex, non smooth) boundary

## Positive results:

- The disc in  $\mathbb{R}^2$  is spectrally rigid (Kac '66)
- $\nexists$  1-parameter family of isospectral metrics with  $K_g \leq 0$  (Guillemin-Kazhdan '80, Croke-Sharafutdinov '98, Paternain-Salo-Uhlmann '13)
- Compactness of isospectral sets in  $C^\infty$  (Melrose '83, Osgood-Phillips-Sarnak '88, Brooks-Perry-Petersen '92) in dim 2 and 3.
- Ellipses with small eccentricity are spectrally rigid (Hezari-Zelditch '19)

## Tools:

- Heat trace invariants:  $\text{Tr}(e^{-t\Delta_g}) = \sum_j e^{-t\lambda_j}$  as  $t \rightarrow 0$ ,
- $\det(\Delta_g)$ ,
- singularities of wave trace  $\text{Tr}(e^{-it\sqrt{\Delta_g}}) = \sum_j e^{-it\sqrt{\lambda_j}}$  in  $t > 0$ .

## Isospectral local rigidity (negative curvature)

Osgood-Phillips-Sarnak problem:

If  $g$  and  $g_0$  are close enough with  $K_{g_0} < 0$  and  $\text{Sp}(\Delta_g) = \text{Sp}(\Delta_{g_0})$ , then  $g$  isometric to  $g_0$ ?

**Consequences:** That would imply finiteness of isospectral sets (up to isometry)

**Positive result:** True if  $g_0$  satisfies  $K_{g_0} = -1$  (Sharafutdinov '09).

## Length spectrum rigidity

- $(M, g)$  closed Riemannian manifold with  $K_g < 0$
- $LS(g) = \{\ell_g(\gamma); \gamma \text{ closed geodesic}\}$  the length spectrum
- wave-trace singularities at  $LS(g)$  (Balian-Bloch '71, Colin de Verdière '73, Chazarain '74, Duistermaat-Guillemin '75):

$$(t - \ell_\gamma) \text{Tr}(e^{-it\sqrt{\Delta_g}}) \sim \frac{1}{2\pi} \ell_\gamma^\# |\det(1 - P_\gamma)|^{-1/2}$$

**Length spectrum rigidity problem:** Does  $LS(g)$  determine  $g$  up to isometry?

**Answer:** No! counter examples as for  $Sp(\Delta_g)$

**Length spectrum local rigidity:** If  $g$  and  $g_0$  are close enough and  $LS(g) = LS(g_0)$ , then  $g$  isometric to  $g_0$ ?

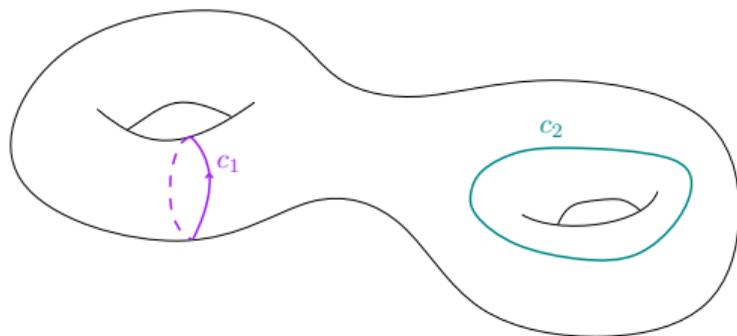
**No known results.**

## Marked length spectrum rigidity

- $(M, g)$  closed Riemannian manifold with  $K_g < 0$
- $\mathcal{C} :=$  set of free homotopy classes on  $M$
- each  $c \in \mathcal{C}$  contains a unique closed geodesic  $\gamma_c$
- marked length spectrum (= length spectrum with ordering):

$$L_g : \mathcal{C} \rightarrow \mathbb{R}^+, \quad L_g(c) := \ell_g(\gamma_c)$$

Conjecture (Burns-Katok '85):  $L_g = L_{g'}$  implies  $g$  isometric to  $g'$ .



# The linearised marked length operator

- $\mathcal{G}$  = set of closed geodesics  $\gamma$  on  $M \simeq \mathcal{C}$
- linearisation of  $g \mapsto L_g/L_{g_0} \in L^\infty(\mathcal{G})$  at  $g_0$ : the **X-ray transform** on 2-tensors

$$I_2 : C^0(M; S^2 T^* M) \rightarrow L^\infty(\mathcal{G}),$$

$$I_2 f(\gamma) := \frac{1}{\ell_{g_0}(\gamma)} \int_0^{\ell_{g_0}(\gamma)} f_{\gamma(t)}(\dot{\gamma}(t), \dot{\gamma}(t)) dt$$

## Linearised problem:

- s-injectivity:  $\ker I_2 = \{\mathcal{L}_V g_0; V \in C^1(M; TM)\}$  ?
- Stability estimates:  $\|I_2 f\| \geq C\|f\|$  for  $f \perp \ker I_2$ ?

## Positive results (marked length spectrum rigidity)

### Non-linear problem:

- dim 2: Otal '90, Croke '90
- dim  $n > 2$  and  $g$  is conformal to  $g'$ : Katok '88
- dim  $n > 2$  when  $(M, g)$  is a locally symmetric space and  $K_g < 0$ , Besson-Courtois-Gallot '95, Hamenstädt '99

### Linearised problem:

- $s$ -injectivity of  $I_2$  when  $K_g < 0$ : Guillemin-Kazhdan '80, Croke-Sharafutdinov '98
- dim 2:  $s$ -injectivity of  $I_2$  when  $g$  has Anosov geodesic flow: Paternain-Salo-Uhlmann '14

## Local rigidity of marked length spectrum

### Theorem (G-Lefeuvre '18)

Let  $(M, g)$  be either

- a closed surface with Anosov geodesic flow, or
- a closed manifold of dim  $n > 2$  with  $K_g \leq 0$  and Anosov geodesic flow.

There is a  $C^k$  neighborhood  $U$  of  $g$  such that if  $g' \in U$  and  $L_g = L_{g'}$ , then  $g'$  is isometric to  $g$ .

# Thurston distance

**Thurston distance:**  $g_1, g_2$  negatively curved metrics,

$$d_T(g_1, g_2) := \limsup_{j \rightarrow \infty} \log \frac{L_{g_2}(c_j)}{L_{g_1}(c_j)}$$

Theorem (Thurston '98)

*On Teichmüller space  $\mathcal{T}_M := \{g \mid K_g = -1\} / \text{Diff}_0(M)$ ,  $d_T$  is an asymmetric distance:  
 $d_T(g_1, g_2) > 0$  unless  $g_1 = g_2$ .*

## Stability and Thurston distance

### Theorem (G-Knieper-Lefeuvre '19)

Let  $(M, g_0)$  be as in previous theorem. Then  $\exists k \in \mathbb{N}$ ,  $\varepsilon > 0$  and  $C_{g_0} > 0$  such that for all  $g_1, g_2$  metrics such that  $\|g_1 - g_0\|_{C^k} \leq \varepsilon$ ,  $\|g_2 - g_0\|_{C^k} \leq \varepsilon$ , there is a  $C^k$ - diffeomorphism  $\psi : M \rightarrow M$  such that

$$\|\psi^* g_2 - g_1\|_{H^{-\frac{1}{2}}(M)} \leq C_{g_0} |d_T(g_1, g_2)|^{\frac{1}{2}}$$

In particular  $L_{g_1} = L_{g_2}$  implies  $g_2$  isometric to  $g_1$ , and  $d_T$  symmetrized defines a distance near the diagonal of

$$\{\text{Isometry classes}\} \times \{\text{Isometry classes}\}.$$

**Remark:** using interpolation, can be upgraded to

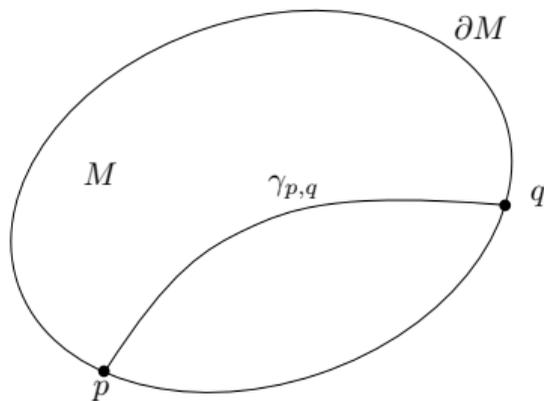
$$\|\psi^* g_1 - g_2\|_{C^{k'}} \leq C_{g_0} |d_T(g_1, g_2)|^\delta$$

for some  $\delta > 0$  depending on  $k' < k$ .

## Boundary rigidity problem (Michel conjecture)

- $(M, g)$ : smooth compact manifold with  $\partial M$  strictly convex
- $d_g : M \times M \rightarrow \mathbb{R}^+$  the Riemannian distance
- $\beta_g := d_g|_{\partial M \times \partial M}$  the restriction to  $\partial M$

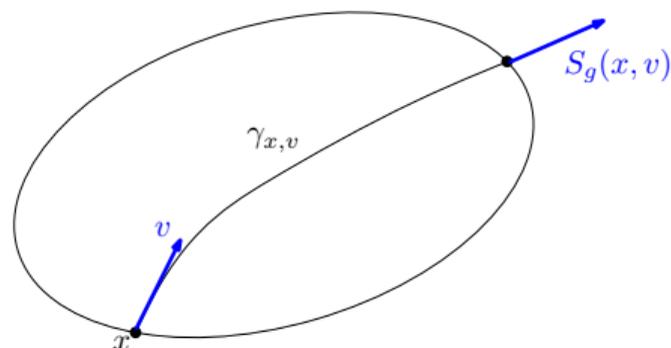
**Boundary rigidity pb:** does  $\beta_g$  determine  $g$  up to isometries fixing  $\partial M$  ?



## Lens rigidity problem

- $(M, g)$ : smooth compact manifold with  $\partial M$  strictly convex
- $SM := \{(x, v) \in TM \mid g_x(v, v) = 1\}$
- $\varphi_t : SM \rightarrow SM$  geodesic flow
- for  $(x, v) \in \partial SM$ , let  $\ell_g(x, v) :=$  length of geodesic  $\gamma_{(x, v)}$
- for  $(x, v) \in \partial SM$ , let  $S_g(x, v) := \varphi_{\ell_g(x, v)}(x, v)$  scattering map

**Lens rigidity prb:** does  $(\ell_g, S_g)$  determine  $g$  up to isometries fixing  $\partial M$  ?



## The linearised operator in the boundary case - X ray transform

We linearise the non-linear map  $g \mapsto \beta_g$  at  $g_0$ :

- $\mathcal{G}$  = set of geodesics  $\gamma$  (for  $g_0$ ) with endpoints on  $\partial M$
- linearised operator: *X-ray transform* on 2-tensors:

$$I_2 : C^0(M; S^2 T^* M) \rightarrow L_{\text{loc}}^\infty(\mathcal{G}),$$

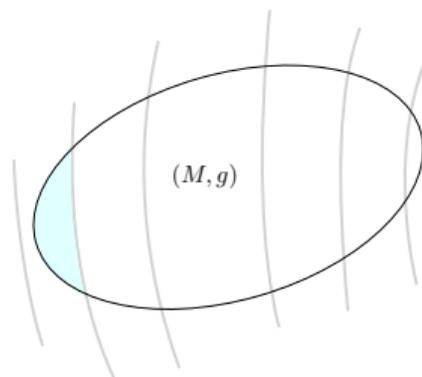
$$I_2 f(\gamma) := \int_\gamma f = \int_0^{\ell_{g_0}(\gamma)} f_{\gamma(t)}(\dot{\gamma}(t), \dot{\gamma}(t)) dt$$

Linearised pb:

- s-injectivity:  $\ker I_2 = \{\mathcal{L}_V g_0 \mid V \in C^1(M; TM), V|_{\partial M} = 0\}$  ?
- Stability estimates:  $\|I_2 f\| \geq C\|f\|$  for  $f \perp \ker I_2$ ?

## Positive results - boundary/lens rigidity

- dim 2: Otal ('90), Croke ('90) if  $K_g \leq 0$  & simply connected.  
Pestov-Uhlmann ('03) if no conjugate points & simply connected (*simple metrics*).
- dim  $n > 2$ : Stefanov-Uhlmann-Vasy ('17) if strictly convex foliation. Satisfied if  $(M, g)$  = topological ball and  $K_g \leq 0$

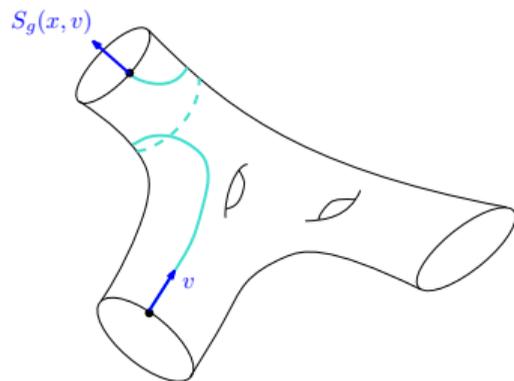


- s-Injectivity of  $I_2$  with stability in cases above: Pestov-Sharafutdinov '88, Stefanov-Uhlmann-Vasy '14, Paternain-Salo-Uhlmann '13.

## Our contribution - lens rigidity

### Theorem (G '17)

*On negatively curved surfaces with  $\partial M$  convex,  $S_g$  determines  $(M, g)$  up to conformal diffeomorphisms fixing  $\partial M$ . Moreover  $I_2$  is  $s$ -injective with stability estimates.*



**Remark:** First general result for non simply connected manifolds.

**Main difficulty:** some geodesics are trapped.

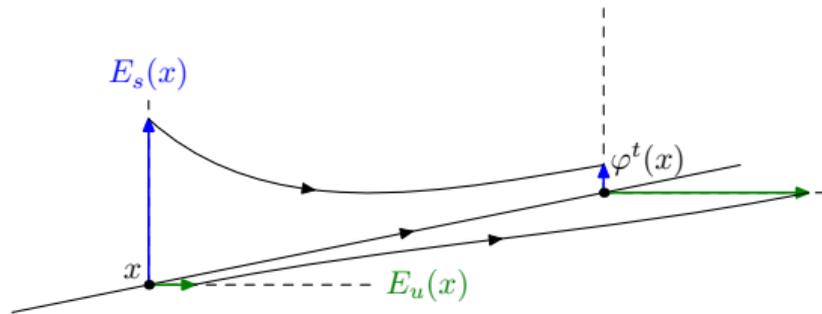
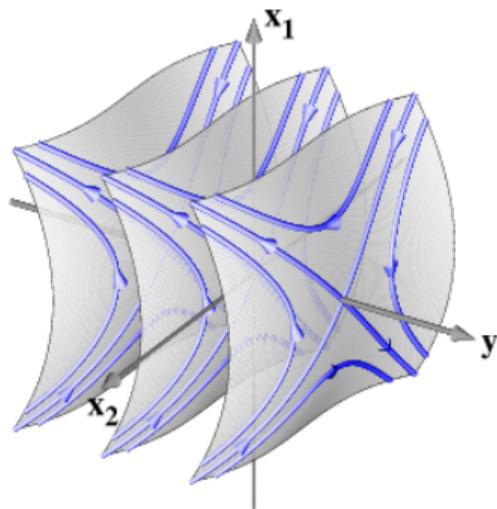
## Axiom A and Anosov flows

- $\mathcal{M}$  a smooth compact manifold with or without boundary
- $X$  a smooth non-vanishing vector field on  $\mathcal{M}$ , with flow  $\varphi_t$ , such that  $\partial\mathcal{M}$  is strictly convex for the flow lines of  $X$  (or  $\partial\mathcal{M} = \emptyset$ ).
- $K := \bigcap_{t \in \mathbb{R}} \varphi_t(\mathcal{M}^\circ)$  the *trapped set*, is closed flow-invariant, contains the closed orbits ( $K = \mathcal{M}$  if  $\partial\mathcal{M} = \emptyset$ )
- Assume  $K$  is **hyperbolic** for  $\varphi_t$ : i.e. flow-invariant splitting

$$\exists \nu > 0, \quad T_K \mathcal{M} = \mathbb{R}X \oplus E_s \oplus E_u$$

$$\|d\varphi_t|_{E_s}\| \leq Ce^{-\nu t}, \quad \forall t \gg 1, \quad \|d\varphi_t|_{E_u}\| \leq Ce^{-\nu|t|}, \quad \forall t \ll -1$$

- if  $\partial\mathcal{M} = \emptyset$ , the flow is said **Anosov**



**Examples:** geodesic flow on  $\mathcal{M} = SM$  if  $(M, g)$  has negative curvature and either  $\partial M$  strictly convex or empty.

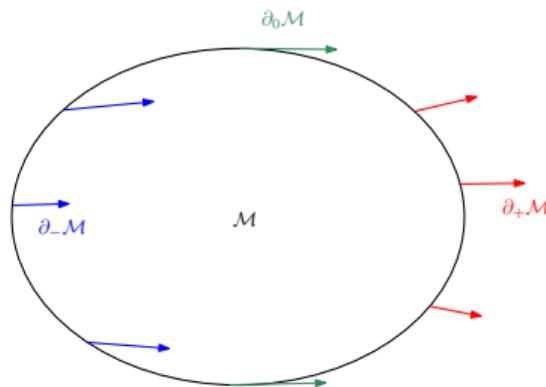
## Analytic methods for the previous problems

- $\mathcal{M}$ : smooth compact manifold with boundary
- $X$ : smooth vector field on  $\mathcal{M}$  with flow  $\varphi_t$
- $\partial\mathcal{M}$  strictly convex for the flow lines of  $X$  (or  $\partial\mathcal{M} = \emptyset$ )

$$\partial_0\mathcal{M} = \{y \in \partial\mathcal{M}; X(y) \text{ tangent to } \partial\mathcal{M}\}$$

$$\partial_-\mathcal{M} = \{y \in \partial\mathcal{M}; X(y) \text{ pointing inside } \mathcal{M}\}$$

$$\partial_+\mathcal{M} = \{y \in \partial\mathcal{M}; X(y) \text{ pointing outside } \mathcal{M}\}$$



## Boundary value problems, well-posedness

Let  $\mu$  be a smooth measure invariant by  $\varphi_t$ ,  $V \in C^\infty(\mathcal{M})$  a potential.

**Question:** for  $f \in C^\infty(\mathcal{M})$  (or  $L^p(\mathcal{M}), H^s(\mathcal{M}), \dots$ ), can we solve the linear PDE (transport equation)

$$(X + V)u = f, \quad u|_{\partial_- \mathcal{M}} = 0$$

in a given functional space? Is the solution unique? singularities of  $u$ ?

**Remark:**

- In  $\mathcal{D}'(\mathcal{M})$ , no uniqueness: if  $V = 0$  and  $X$  has a periodic orbit  $\gamma$  not intersecting  $\partial \mathcal{M}$ , then  $X\delta_\gamma = 0$ .
- if  $\partial \mathcal{M} = \emptyset$  and  $\varphi_t$  is ergodic,  $\ker X \cap L^p(\mathcal{M}) = \mathbf{R}$

# Trapped sets

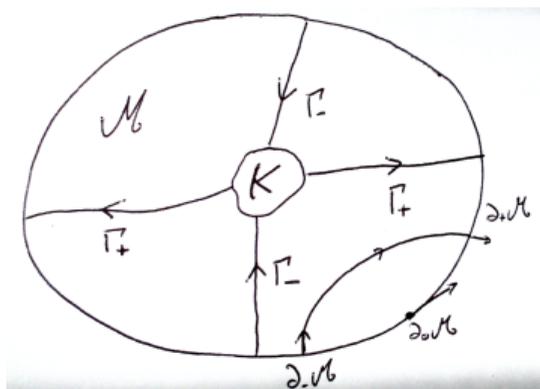
Define the exit times from  $\mathcal{M}$

$$l_+ : \mathcal{M} \rightarrow [0, \infty], \quad l_+(y) = \sup(\{t \geq 0; \varphi_t(y) \in \mathcal{M}^\circ\} \cup \{0\})$$

$$l_- : \mathcal{M} \rightarrow [-\infty, 0], \quad l_-(y) = \inf(\{t \leq 0; \varphi_t(y) \in \mathcal{M}^\circ\} \cup \{0\}).$$

Introduce the

- forward/backward trapped set  $\Gamma_{\mp} := \{y \in \mathcal{M}; l_{\pm} = \pm\infty\}$ ,
- trapped set  $K := \Gamma_- \cap \Gamma_+$



## Resolvents (case $V = 0$ )

Add a damping: let  $\lambda \in \mathbb{C}$ ,  $\operatorname{Re}(\lambda) > 0$  and define the operators

$$R_+(\lambda)f(y) = \int_0^{\ell_+(y)} e^{-\lambda t} f(\varphi_t(y)) dt,$$

$$R_-(\lambda)f(y) = - \int_{\ell_-(y)}^0 e^{\lambda t} f(\varphi_t(y)) dt.$$

bounded on  $L^2(\mathcal{M}, \mu)$ . They solve the boundary value pb

$$\begin{cases} (-X - \lambda)R_-(\lambda)f = f \\ (R_-(\lambda)f)|_{\partial_-\mathcal{M}} = 0 \end{cases}, \quad \begin{cases} (-X + \lambda)R_+(\lambda)f = f \\ (R_+(\lambda)f)|_{\partial_+\mathcal{M}} = 0 \end{cases}$$

## Extension to the complex plane

### Theorem (Dyatlov-G '16)

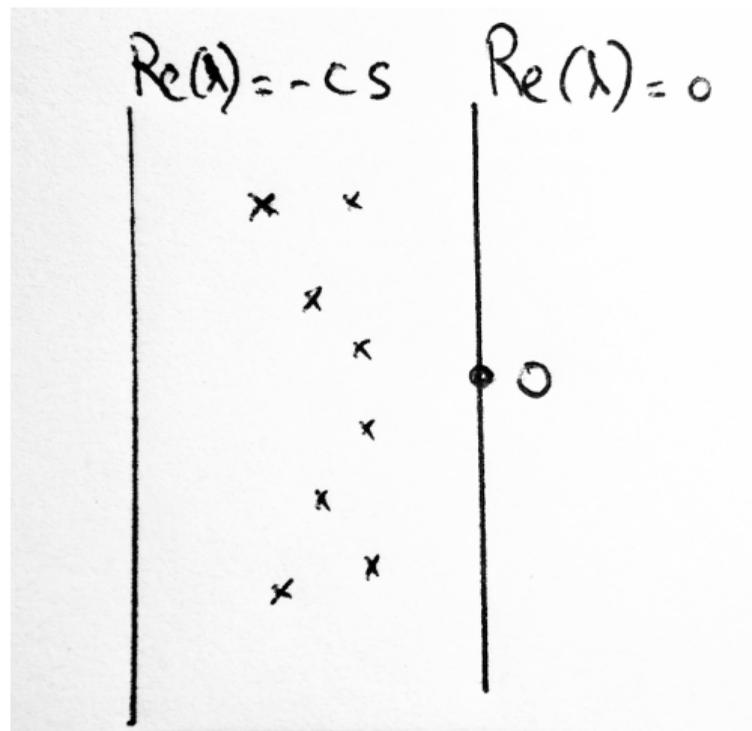
If the trapped set  $K$  is *hyperbolic*, there exists  $c > 0$  so that for each  $s > 0$  the operator  $R_{\pm}(\lambda)$  extends to  $\operatorname{Re}(\lambda) > -cs$  meromorphically in  $\lambda$  with finite rank poles, it maps

$$H_0^s(\mathcal{M}) \rightarrow H^{-s}(\mathcal{M}).$$

We obtain a description of wave-front set of the integral kernel  $R_{\pm}(\lambda; x, x')$  in terms of stable/unstable bundles and  $\Gamma_{\pm}$ .

In the Anosov case:

- \* meromorphic extension by [Butterley-Liverani '07](#), [Faure-Sjöstrand '11](#)
- \* the wave-front set analysis done by [Dyatlov-Zworski '16](#).



## Tools used for this result

- Microlocal calculus - analysis in phase space
- Escape functions/Lyapunov functions (cf. [Faure-Sjöstrand](#))
- use of anisotropic Sobolev spaces (cf. [Kitaev](#), [Blank](#), [Keller](#), [Liverani](#), [Gouëzel](#), [Baladi](#), [Tsuji](#), [Faure](#), [Roy](#), [Sjöstrand](#), etc): positive regularity in stable direction, negative in unstable.
- Set up of a Fredholm theory for the operator  $X \pm \lambda$
- Propagation estimates: Hörmander propagation + propagation at radial sets (cf. [Melrose](#), [Vasy](#), [Dyatlov-Zworski](#))

## Applications to previous theorems (through linearized operator)

### Lens rigidity problem:

1) let  $\mathcal{M} = SM$ ,  $\lambda = 0$ : we deduce (microlocal) regularity of solutions of  $Xu = f$  with  $f \in C^\infty(\mathcal{M})$  satisfying  $u|_{\partial_\pm \mathcal{M}} = 0$ . This is the key for description of  $\ker I_2$  in trapped case.

2) Deduce that the operator  $I_2^* I_2 = \pi_*(R_+(0) - R_-(0))\pi^*$  is an elliptic pseudo-differential operator of order  $-1$  on  $(\ker I_2)^\perp$ :

$$I_2^* I_2 f \simeq \Delta_g^{-1/2} f + \text{LOT}(f) \implies \text{stability estimates for } I_2: \|I_2 f\|_{L^2} \geq C \|f\|_{H^{-1/2}(M)}$$

$(\pi^* : C^\infty(M; S^2 T^* M) \rightarrow C^\infty(SM))$  natural operator,  $\pi_*$  its adjoint)

## Marked length spectrum rigidity:

Use the operator  $\Pi := R_+(0) - R_-(0)$  in Anosov case.

It is related to  $I_2$  through Livsic theorem: let  $f \in C^\alpha(SM)$ ,

$$\forall \gamma \in \mathcal{G}, \int_\gamma f = 0 \implies \exists u \in C^\alpha(SM), f = Xu.$$

It satisfies stability estimates: for  $f \in C^\alpha(M; S^2 T^*M)$  with  $f \perp \ker I_2$

$$C \|I_2 f\|_{\ell^\infty(\mathcal{G})}^{1/2} \|f\|_{C^\alpha(M)}^{1/2} \geq \|\pi_* \Pi \pi^* f\|_{L^2(M)} \geq \frac{1}{C} \|f\|_{H^{-1}(M)}.$$

Then since  $0 = L(g)/L(g_0) - 1 = I_2(g - g_0) + O(\|g - g_0\|_{C^3}^2)$ , we get with  $f := g - g_0$

$$\|f\|_{H^{-1}} \leq C \|I_2(g)\|_{\ell^\infty}^{1/2} \|f\|_{C^\alpha}^{1/2} \leq C \|f\|_{C^3}^{3/2}.$$

Merci!