

# Loops in the fundamental group of $\text{Symp}(M^4, \omega)$ which are not represented by circle actions

Sílvia Anjos

Center for Mathematical Analysis, Geometry and Dynamical Systems  
Instituto Superior Técnico, Lisbon

Joint work with  
Miguel Barata, Martin Pinsonnault and Ana Alexandra Reis

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- 3 Result on loops in  $\pi_1(\text{Symp}(\mathbb{C}\mathbb{P}^2 \# 5\overline{\mathbb{C}\mathbb{P}^2}, \omega))$
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# Symplectic manifolds and associated structures

- $(M^{2n}, \omega)$  symplectic manifold: smooth manifold  $M^{2n}$  with a non-degenerate closed 2-form  $\omega$ .
- An almost complex structure  $J$  is called  $\omega$ -tamed if  $\omega(v, Jv) > 0$  for any  $v \neq 0$ .
- An  $\omega$ -tamed almost complex structure  $J$  is called  $\omega$ -compatible if  $\omega(Ju, Jv) = \omega(u, v)$ . Compatible  $(\omega, J)$  is a Kähler structure if  $J$  is integrable.  
 $\mathcal{J}_\omega$  : the **nonempty contractible** space of  $\omega$ -tamed (or compatible) almost complex structures.  
 $c_1(M, \omega) := c_1(M, J)$ .
- A symplectic form  $\omega$  is called **monotone** if its class  $[\omega] = \lambda c_1(M, \omega) \in H^2(M, \mathbb{Z}), \lambda > 0$ .

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- If  $M$  is simply connected,  $\text{Ham}(M, \omega)$  is the identity component of  $\text{Symp}(M, \omega)$ . In this case,  $\text{Symp}(M, \omega)$  equipped with the  $C^\infty$ -topology, is a  $\infty$ -dimensional Fréchet Lie group.

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- However the topology of  $\text{Symp}(M^4, \omega)$  is complicated in general!
- **More results:** Almost all in dimension 4. By Abreu–Granja–Kitchloo, Seidel, Pinsonnault, Evans, A–Pinsonnault, A–Eden, Li–Li–Wu, Smirnov–Shevchishin, Sheridan–Smith.  
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Applications of  $\pi_1(\text{Ham}(M, \omega))$  :

- Dynamical conjecture: for any compact  $(M^{2n}, \omega)$ ,  $\text{Ham}(M, \omega)$  has infinite diameter with respect to the Hofer metric. [Polterovich, Lalonde, McDuff].

Some proofs use a powerful tool:

Seidel morphism:  $\mathcal{S} : \pi_1(\text{Ham}(M, \omega)) \rightarrow \text{QH}_*(M)$  is a homomorphism to the degree  $2n$  multiplicative units  $\text{QH}_{2n}(M)^\times$  of the small quantum homology.

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# The Question

**Question[McDuff, Karshon]:** To what extent are  $\pi_1(\text{Ham}(M, \omega))$  and  $\pi_1(\text{Symp}(M, \omega))$  generated by symplectic  $S^1$  actions ?

Suppose that  $\pi_1(\text{Symp}(M, \omega))$  is nontrivial. Is it true that some nonzero element is represented by a loop  $S^1 \mapsto \text{Symp}(M, \omega)$  that is a homomorphism (a circle action on  $M$ )?

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*Let  $(M, \omega)$  be a symplectic blow-up (in a small ball) of a closed simply connected Kähler surface, which is neither a rational nor a ruled surface up to blow-up. Then  $(M, \omega)$  admits no symplectic circle action and  $\pi_1(\text{Symp}(M, \omega))$  is nontrivial.*

## Example (Kędra)

A concrete example is obtained by taking a K3 surface with any symplectic form.

## Example (Buse)

On a ruled surface: there is an element  $\gamma \in \pi_1(\text{Ham}(\mathbb{T}^2 \times \mathcal{S}^2))$  for which the Samelson product  $[\gamma, \gamma]_{\mathbb{Q}}$  does not vanish.

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# Reduced form

$$\mathbb{X}_n := \mathbb{C}\mathbb{P}^2 \# n\overline{\mathbb{C}\mathbb{P}^2}$$

## Definition (Reduced symplectic form)

Consider  $\mathbb{X}_n$  with the standard basis  $\{L, V_1, \dots, V_n\}$  of  $H_2(\mathbb{X}_n; \mathbb{Z})$ . A symplectic form  $\omega$  is called **reduced** if it can be normalized to have area 1,  $\delta_1, \dots, \delta_n$  on the basis  $L, V_1, \dots, V_n$  such that

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## Fact

- *The diffeomorphism class of  $\omega$  only depends on its cohomology class  $[\omega] = PD(H - \delta_1 V_1 - \dots - \delta_n V_n)$*
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# Equivalence with the $S^2 \times S^2$ model

$$M_{\mu, c_1, \dots, c_{n-1}} := (S^2 \times S^2 \# (n-1)\overline{\mathbb{C}\mathbb{P}^2}, \omega_{\mu, c_1, \dots, c_{n-1}})$$

is obtained from  $(S^2 \times S^2, \mu\sigma \oplus \sigma)$ , by performing  $n-1$  successive blow-ups of capacities  $c_1, \dots, c_{n-1}$ , where  $\sigma$  denotes the standard symplectic form on  $S^2$  that gives area 1 to the sphere and  $\mu \geq 1$ .

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$$B = L - V_2, \quad F = L - V_1, \quad E_1 = L - V_1 - V_2, \quad E_i = V_{i+1}, \quad \forall i \geq 2.$$

And for parameters satisfying the relations

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# Topology of $\text{Symp}_h(M_{\mu, c_1, \dots, c_4}, \omega)$

Consider the edge in  $P_5$ , denoted by  $MA$ , starting at the monotone point  $M$ , where  $\mu > 1$  and  $c_i = \frac{1}{2}$ .

Note that vertex representing the monotone case corresponds to  $\mu = 1$  and  $c_i = \frac{1}{2}$ .

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- $\text{rank}(\pi_1(\text{Symp}_h(M_{\mu, c_1, \dots, c_4}, \omega))) = N_\omega - 5 + \text{rank}(\pi_0(\text{Symp}_h(M_{\mu, c_1, \dots, c_4}, \omega)))$ , where  $N_\omega$  is the number of symplectic  $-2$  spheres classes and the rank of  $\pi_0$  means the rank of its abelianization.



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# Main Result

Recall that along the edge  $MA$ :  $\mu > 1$  and  $c_i = \frac{1}{2}$ ,  $i = 1, \dots, 4$ .

## Theorem (A-Barata-Pinsonnault-Reis)

- If  $1 < \mu \leq \frac{3}{2}$  then along the edge  $MA$  there is a loop in  $\pi_1(\text{Symp}_h(M_{\mu, c_1, \dots, c_4}, \omega))$  which cannot be represented by a circle action.
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**Conjecture:** There is a neighbourhood of the monotone point  $M$  in the reduced cone such that the generators of the fundamental group of  $\pi_1(\text{Symp}_h(M_{\mu, c_1, \dots, c_4}, \omega))$  cannot all be realized by circle actions.

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- **Hamiltonian  $S^1$ -space  $(M, \omega, \Phi)$** : symplectic manifold with a Hamiltonian circle action and moment map  $\Phi : M \rightarrow \mathbb{R}$ .
- Critical set of  $\Phi = \{\text{fixed points}\}$ .  $n = 4$ : critical set consists of isolated points and 2-dim submanifolds (only at the extrema of  $\Phi$ ).

## Decorated graphs:

- each isolated fixed point  $p \rightarrow$  a vertex  $\langle p \rangle$ , labeled by  $\Phi(p)$ .
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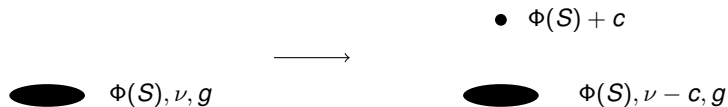
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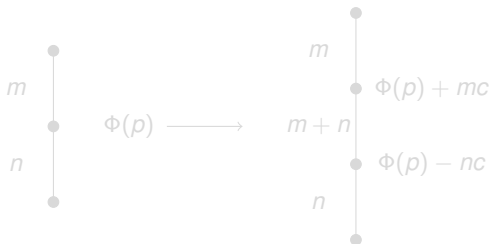
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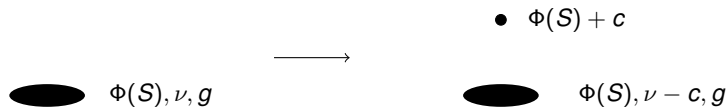


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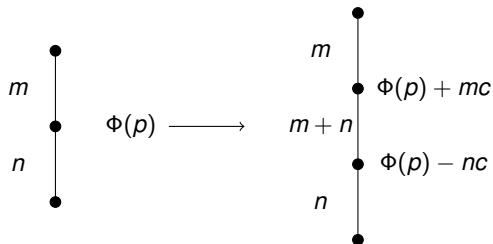


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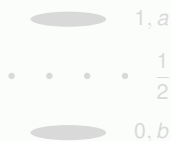
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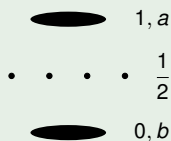
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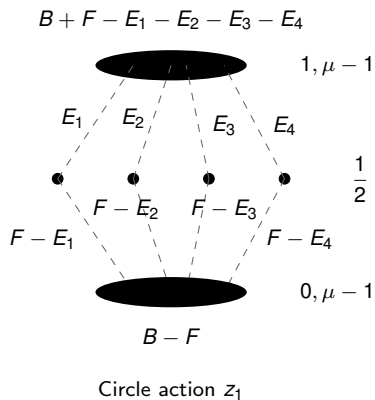
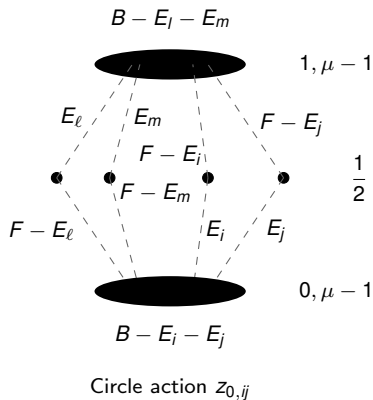
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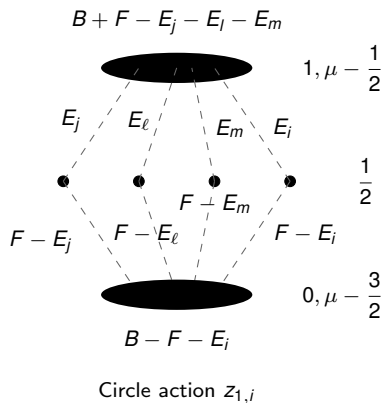
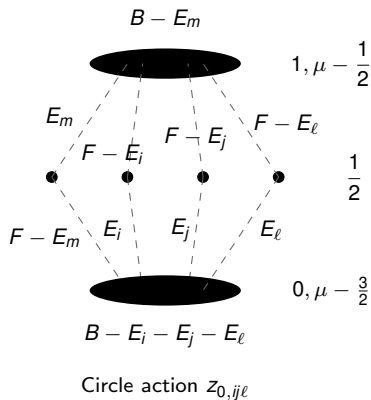
- $\text{Symp}_h(M, \omega) \rightarrow \text{Symp}(M, \omega) \rightarrow \text{Aut}(H_2(M, \mathbb{Z})) = \text{Aut}_{c_1, [\omega]}$ ;
- Along  $MA$ ,  $\text{Symp}/\text{Symp}_h \simeq \mathbb{D}_4$ , where  $\mathbb{D}_4$  is the Weyl group of the Dynkin diagram of type  $\mathbb{D}$  with 4 vertices;
- To keep track of the action of  $\text{Symp}$  on homology  $\rightarrow$  consider graphs in which can read the homology;
- $\{\text{Hamiltonian } S^1\text{-spaces} + \text{basis for } H_2\} \leftrightarrow \{\text{extended graphs}\}$   
up to equivariant symplectomorphisms in  $\text{Symp}_h$ .

# Family of graphs along $MA$ ( $\mu > 1$ and $c_i = \frac{1}{2}$ )



$i, j, l, m \in \{1, \dots, 4\}$  are all distinct

# New family of graphs if $\mu > \frac{3}{2}$



# List of Hamiltonian $S^1$ -spaces along $MA$

## Lemma

The Hamiltonian circle actions on the symplectic manifolds encoded by the edge  $MA$  are of 5 types:

- $Z_k$ , with fixed spheres in classes  $B - kF$  and  $B + kF - E_1 - E_2 - E_3 - E_4$  (exists iff  $\mu > k$  and  $\mu > 2 - k$ );
- $Z_{k,i}$ , with fixed spheres in classes  $B - kF - E_i$  and  $B + kF - E_j - E_\ell - E_m$  (exists iff  $\mu > k + \frac{1}{2}$  and  $\mu > \frac{3}{2} - k$ );
- $Z_{k,ij}$ , with fixed spheres in classes  $B - kF - E_i - E_j$  and  $B + kF - E_\ell - E_m$  (exists iff  $\mu > k + 1$ );
- $Z_{k,ij\ell}$ , with fixed spheres in classes  $B - kF - E_i - E_j - E_\ell$  and  $B + kF - E_m$  (exists iff  $\mu > k + \frac{3}{2}$ );
- $Z_{k,1234}$ , with fixed spheres in classes  $B - kF - E_1 - E_2 - E_3 - E_4$  and  $B + kF$  (exists iff  $\mu > k + 2$ ).

- When  $1 < \mu \leq \frac{3}{2}$  there exist only four Hamiltonian circle actions:  $Z_{0,12}, Z_{0,13}, Z_{0,14}, Z_1$ . Not enough to justify  $\pi_1(\text{Symp}_h(M_{\mu, c_1, \dots, c_4}, \omega)) = \mathbb{Z}_5$ . The graphs only encode equivariant blow-ups. But there are no "exotic" circle actions by works of Karshon, Kessler and Pinsonnault.  
 $\Rightarrow$  there exist a loop in  $\pi_1$  which is not realized by a circle action.
- The number of Hamiltonian circle actions keeps increasing as the values of  $\mu$  increase, but the rank of  $\pi_1$  remains constant along  $MA$  [Li-Li-Wu] as  $\mu$  increases  $\Rightarrow$  there can only be at most 5 independent circle actions as elements of the fundamental group.

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# A generating set for $\pi_1(\text{Symp}_h(M_{\mu, c_1, \dots, c_4}, \omega))$ (if $\mu > \frac{3}{2}$ )

**Claim:**  $Z_{0,12}, Z_{0,13}, Z_{0,14}, Z_1$  and  $Z_{1,4}$ , seen as elements of the fundamental group, form a basis of  $\pi_1(\text{Symp}_h(M_{\mu, c_1, \dots, c_4}, \omega))$  along  $MA$ , if  $\mu > \frac{3}{2}$ .

Steps in the proof:

- Obtain relations between the loops  $Z_k, Z_{k,i}, Z_{k,ij}, Z_{k,ij\ell}$  and  $Z_{k,1234}$ , that come from embedding pairs of loops inside torus actions. Show, in particular, all loops are linear combinations of these 5 actions. Uses Delzant's classification of toric actions and Karshon's classification.
- Compute the Seidel elements of  $Z_{0,12}, Z_{0,13}, Z_{0,14}, Z_1$  and  $Z_{1,4}$ , i.e., the image of these 5 loops in  $\text{QH}_4(M_{\mu, c_1, \dots, c_4})$  by the Seidel morphism  $S : \pi_1(\text{Ham}(M, \omega)) \rightarrow \text{QH}_*(M)$ ;
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# Delzant classification

## Definition

A **Delzant polytope** in  $\mathbb{R}^n$  is a convex polytope such that the  $n$  edges meeting at each vertex are given by a basis of  $\mathbb{Z}^n$ .

## Definition

A **symplectic toric manifold** is a compact connected symplectic manifold  $(M^{2n}, \omega)$  equipped with an effective Hamiltonian action of a torus  $\mathbb{T}^n$  and with a choice of a moment map  $\phi : M \rightarrow \mathbb{R}^n$ .

## Theorem (Delzant)

*Symplectic toric manifolds up to equivariant symplectomorphisms are classified by Delzant polytopes up to transformations of  $GL(2, \mathbb{Z})$ .*

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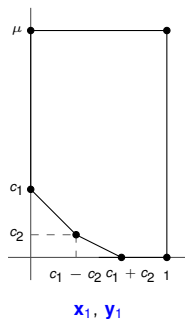
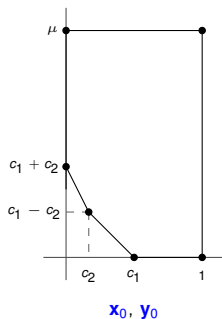
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# Example

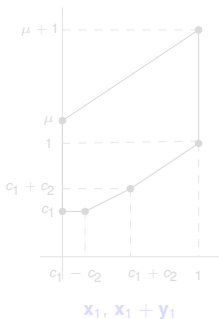
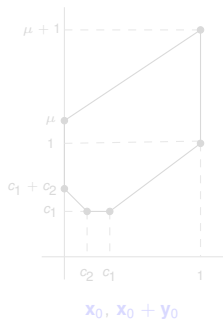
Consider the two toric actions on  $\text{Symp}_h(M_{\mu, c_1, c_2}) \mathbb{T}_0^2$  and  $\mathbb{T}_1^2$



Performing the  $SL(2, \mathbb{Z})$  transformation given by the matrix

$$\begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$$

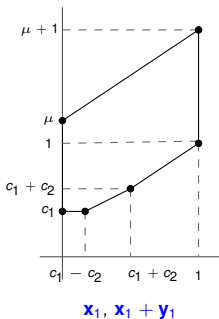
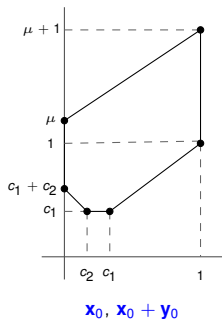
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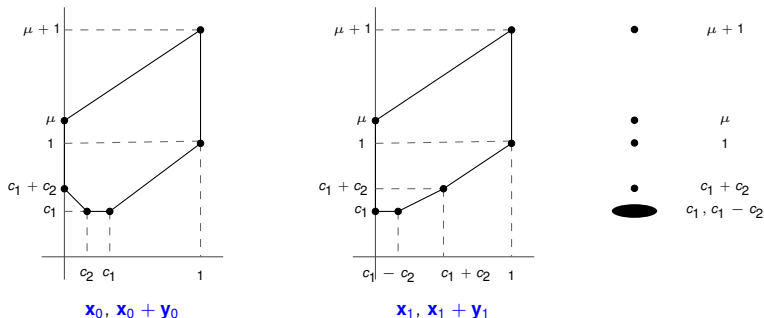




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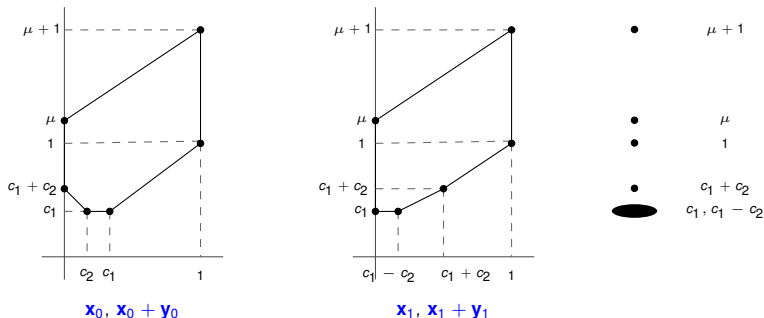


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# (small) Quantum homology

$$\mathrm{QH}_*(M; \Pi) = H_*(M, \mathbb{Q}) \otimes_{\mathbb{Q}} \Pi^{\mathrm{univ}}[q, q^{-1}]$$

where  $q$  is a polynomial variable of degree 2 and  $\Pi^{\mathrm{univ}}$  (Novikov ring) is a generalised Laurent series ring in a variable of degree 0:

$$\Pi^{\mathrm{univ}} := \left\{ \sum_{\kappa \in \mathbb{R}} r_{\kappa} t^{\kappa} \mid r_{\kappa} \in \mathbb{Q}, \#\{\kappa > c \mid r_{\kappa} \neq 0\} < \infty, \forall c \in \mathbb{R} \right\}.$$

$\mathrm{QH}_*(M; \Pi)$  is  $\mathbb{Z}$ -graded:  $\deg(a \otimes q^d t^{\kappa}) = \deg(a) + 2d$  with  $a \in H_*(M)$ .

**Quantum intersection product:**  $a * b \in \mathrm{QH}_{i+j-\dim M}(M; \Pi)$ , where  $a \in H_i(M)$  and  $b \in H_j(M)$  depends on some Gromov-Witten invariants.

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Let  $b_{ij} = (B - E_i - E_j) \otimes q \frac{t^{\frac{1}{2}}}{1-t^{1-\mu}}$ ,  $f_{ij} = (F - E_i - E_j) \otimes q \frac{t^{\frac{1}{2}}}{1-t^{1-\mu}}$  and  $e_i = E_i \otimes q \frac{t^{\frac{1}{2}}}{1-t^{1-\mu}}$ .

### Theorem (A-Barata-Pinsonnault-Reis)

$$\text{QH}_*(M_{\mu, c_1, \dots, c_4}) \simeq \Pi^{\text{univ}}[b_{ij}, f_{ij}, e_i] / (\text{relations}),$$

where the relations are the following:

$$\begin{aligned} b_{ij}^2 &= 2b_{ij}f_{ij} + f_{ij} + f_{k\ell} + 1, & b_{ij}b_{ik} &= b_{ij}f_{ij} + f_{j\ell} + 1, & b_{ij}b_{k\ell} &= 1, & f_{ij}f_{k\ell} &= 0, \\ f_{ij}f_{ik} &= f_{ij}(b_{ij} + 1), & f_{ij}^2 &= 2f_{ij}(b_{ij} + 1), & f_{ik}(b_{ij} + 1) &= 0, & (f_{ij} + f_{k\ell})(b_{ij} + 1) &= 0, \\ f_{ij}(e_k + \frac{t^{1-\mu}}{1-t^{1-\mu}}) &= 0, & b_{ij}(f_{ij} + e_i + \frac{t^{1-\mu}}{1-t^{1-\mu}}) &= e_j + \frac{t^{1-\mu}}{1-t^{1-\mu}}, & f_{ij}(b_{ij} + e_i + \frac{1}{1-t^{1-\mu}}) &= 0, \\ b_{ij}(e_k + \frac{t^{1-\mu}}{1-t^{1-\mu}}) &= f_{k\ell} + e_\ell + \frac{t^{1-\mu}}{1-t^{1-\mu}}, & e_i^2 &= e_i e_j + f_{ij} + b_{ij}f_{ij} + (e_j - e_i) \frac{t^{1-\mu}}{1-t^{1-\mu}}. \end{aligned}$$

It follows from the formulas for the quantum product on a rational surface obtained by Crauder-Miranda'95.

# Seidel morphism

$\mathcal{S} : \pi_1(\text{Ham}(M, \omega)) \rightarrow \text{QH}_*(M)$  "counts" pseudo-holomorphic sections of a bundle  $M_\Lambda \rightarrow \mathcal{S}^2$  associated to a loop  $\Lambda \subset \text{Ham}(M, \omega)$ .  $M_\Lambda$  is the total space of the fibration over  $\mathcal{S}^2$  with fiber  $M$  which consists of two trivial fibrations over 2-discs, glued along their boundary via  $\Lambda$ .

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$$\mathcal{S}(\Lambda) = A \otimes qt^{\Phi_{\max}} + \sum_{B \in H_2(M; \mathbb{Z}) > 0} a_B \otimes q^{1-c_1(B)} t^{\Phi_{\max} - \omega(B)}.$$

- if there exists an almost complex structure  $J$  on  $M$  so that  $(M, J)$  is Fano (all  $J$ -pseudo-holomorphic spheres in  $M$  have positive first Chern number) and the codimension of  $F_{\max}$  is 2 then  $\mathcal{S}(\Lambda) = A \otimes qt^{\Phi_{\max}}$ . [McDuff-Tolman'06]
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- if there exists an almost complex structure  $J$  on  $M$  so that  $(M, J)$  is Fano (all  $J$ -pseudo-holomorphic spheres in  $M$  have positive first Chern number) and the codimension of  $F_{\max}$  is 2 then  $\mathcal{S}(\Lambda) = A \otimes qt^{\Phi_{\max}}$ . [McDuff-Tolman'06]
- if  $(M, J)$  is NEF ( $c_1(B) \geq 0$  for every class  $B \in H_2(M)$  with a  $J$ -holomorphic sphere representative)  $\rightarrow$  there are infinitely many contributions to the Seidel elements, but can be expressed by closed formulas [A-Leclercq'18].

# Seidel morphism

$\mathcal{S} : \pi_1(\text{Ham}(M, \omega)) \rightarrow \text{QH}_*(M)$  "counts" pseudo-holomorphic sections of a bundle  $M_\Lambda \rightarrow \mathcal{S}^2$  associated to a loop  $\Lambda \subset \text{Ham}(M, \omega)$ .  $M_\Lambda$  is the total space of the fibration over  $\mathcal{S}^2$  with fiber  $M$  which consists of two trivial fibrations over 2-discs, glued along their boundary via  $\Lambda$ .

## Theorem (McDuff-Tolman'06)

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$$\mathcal{S}(\Lambda) = A \otimes qt^{\Phi_{\max}} + \sum_{B \in H_2(M; \mathbb{Z}) > 0} a_B \otimes q^{1-c_1(B)} t^{\Phi_{\max} - \omega(B)}.$$

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Seidel elements of a generating set of  $\pi_1(\text{Symp}_h(M_{\mu, c_1, \dots, c_4}))$  if  $\mu > \frac{3}{2}$ :

- $S(z_{0,1i}) = b_{j\ell}$ ,  $i = 2, 3, 4$  and  $i, j, \ell$  all distinct;
- $S(z_1) = b_{12} + f_{34}$ ;
- $S(z_{1,4}) = (b_{12} + f_{34} + e_4 + \frac{t^{1-\mu}}{1-t^{1-\mu}})t^\alpha(1 - t^{1-\mu})$  where  $\alpha = \frac{1}{6(1-2\mu)}$ .

# Further questions

**Question 1:** Are there other points in the reduced cone  $P_5$  for which not all the generators of  $\pi_1(\text{Symp}_h(M_{\mu, c_1, \dots, c_4}))$  can be represented by Hamiltonian circle actions ?

**Conjecture:** Yes. There is a neighbourhood of the monotone point  $M$  in the reduced cone such that the generators of the fundamental group of  $\pi_1(\text{Symp}_h(M_{\mu, c_1, \dots, c_4}, \omega))$  cannot all be realized by circle actions.

**Main reason:** it appears that at least one circle action that has a fixed sphere with positive area in class  $B - E_j - E_k - E_\ell$  or  $B - F - E_i$  ( $\mu - c_j - c_k - c_\ell > 0$  or  $\mu - 1 - c_i > 0$ ) has to be included in the set of generators. However, this condition does not necessarily hold for all points in the symplectic cone, in particular, for points close to the monotone point  $M$  ( $\mu = 1$  and  $c_i = \frac{1}{2}$ ).

**Question 2:** Does the loop which cannot be represented by an Hamiltonian circle action give rise to new elements in higher homotopy groups (via Samelson products) as in Buse's example?

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Thank you!