

# Introduction to Bam evaluation

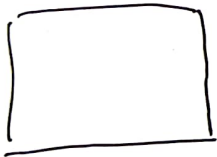
M. Khovanov

based on joint work with Louis-Hadrien Robert (arxiv 2018)

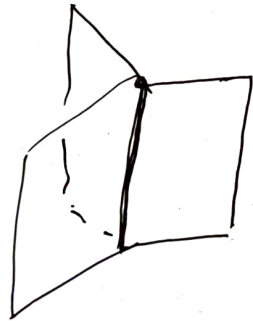
(Unoriented)  $sl(3)$  Bam  $F$  is 2D combinatorial (PL) compact CW-complex with generic singularities + embedding into  $\mathbb{R}^3$  + dots on facets

3 types of points

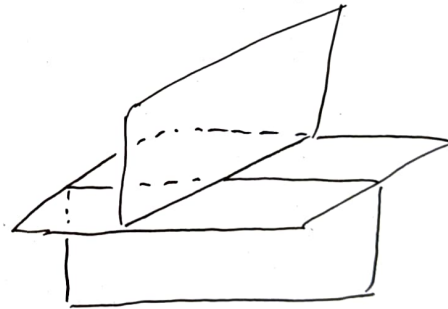
smooth



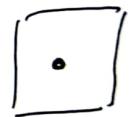
singular edge



singular vertex



+ dots



link of vertex



← one over

Motivation:  $\exists$  various bigraded link homology theories  $H(L)$ ,  $L$  link,  $L \subset \mathbb{R}^3$

Best-behaved theories to date:

$\mathfrak{g} = \mathfrak{sl}(N)$ , components labelled by  $\Lambda^k V$   
 fund  $\mathfrak{sl}(N)$  rep.



$$H(L_0) \rightarrow H(L_1)$$

functoriality

$S$ -cobordism between  $L_0, L_1$ .

$$H(L) = \bigoplus_{i,j \in \mathbb{Z}} H^{(i,j)}(L)$$

$$\chi(H(L)) = \sum (-1)^{i+j} \dim H^{(i,j)}(L) =$$

$= P(L)$  ← writhe Reshetikhin-Turaev invariant of  $\mathfrak{g}$ -simple L.A

components of  $L$  colored by reps of  $\mathfrak{g}$

$H$ : functor from cat. of link cobordisms to (graded)  $\mathbb{R}$ -modules.

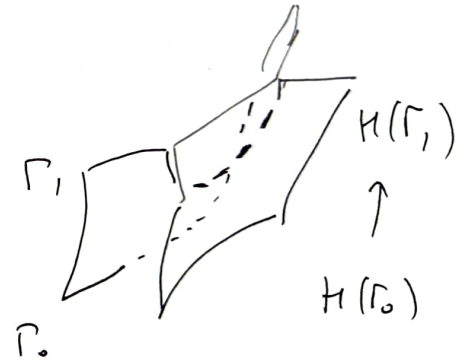
To construct  $H$ , reduce  $L$  to planar trivalent graphs (MOY, Murakami-Ohtsuki-Yamada graphs)



construct homology of planar graphs  $H(\Gamma)$ .



Build them into complexes, take homology again.



work of many people: Len Rozansky, MK, Mochizuki-Sobociak-Vaz,

Kao Wu, Yasuyoshi Taniyama, ...

Matrix factorizations + foams

Recent (early 2017), Louis Kadison Robert, Emmanuel Wagner.

Foams only, evaluations Avoids MF or similar categories.

usis Reminiscent of 3D statistical mechanics!

foams (cobordisms between graphs) induce maps of homology groups.

$S(F)$  singular graph of  $F$ . 4-valent, circles

$f(F)$  set of facets of  $F$ . connected components of  $F \setminus S(F)$

Examples 1) Surface  $S$



$S(F) = \emptyset$

2)  $\mathbb{D}$ -foam



$S(F) = S'$

3 facets  
all disks

dots

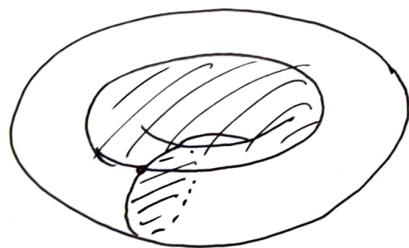


3)



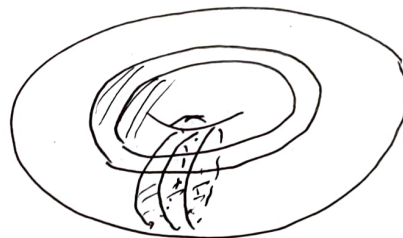
2 facets  
 $S(F) = S'$

4)



singular vertex

$m$  disks



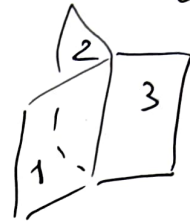
$n$  disks

Torus $_{n,m}$

(admissible) coloring  $c \in \text{adm}(F)$

map  $f: (F) \rightarrow \{1, 2, 3\}$

along each seam the colors are distinct



Examples 1)  $S^2$ -connected surface

3 colors



2)  $D^2$ -foam



6 colors

$S_3$  acts on  $\text{adm}(F)$

3)



no admissible colorings

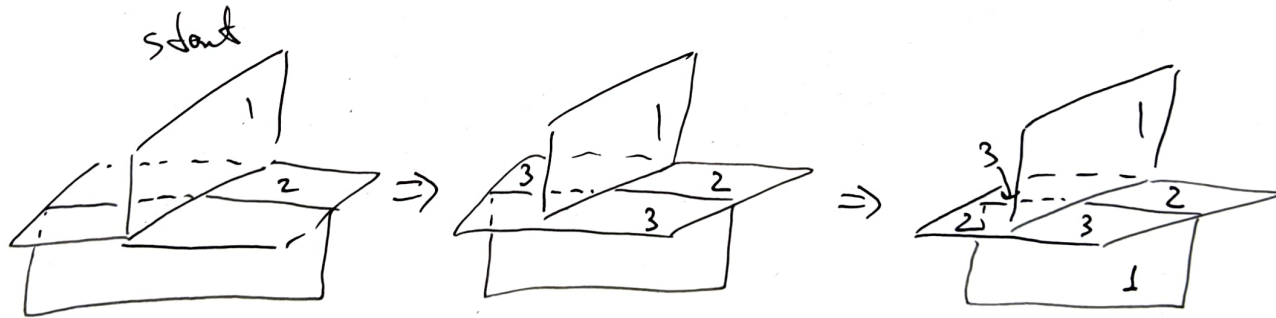
4)



no admissible colorings

Exercise: determine admissible colorings for  $Torus_{n,m}$

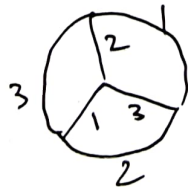
admissible coloring near a singular vertex  $x$



pairs of opposite corners

carry the same coloring.

Unique up to permutation

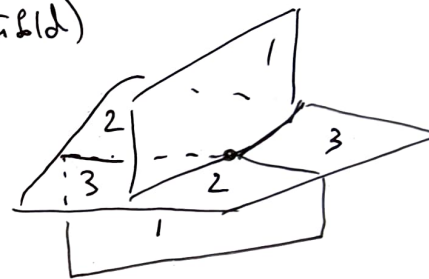
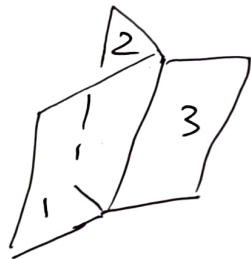


circle for  $\neq 2$  colors out of 3

For  $\{i, j\} \subset \{1, 2, 3\}$  define  $F_{ij}(c) =$  union of facets colored  $i$  or  $j$   
 & coloring  $c \in \text{adm}(F)$

Prop  $F_{ij}(c)$  is an orientable closed surface,  $\chi(F_{ij}(c))$  - even

Proof  $i, j = 1, 2$   $F_{12}(c)$  'smooth' (PL manifold)  
 along seams  
 at vertices  $\rightarrow$



$F_{12}(c) \subset \mathbb{R}^3 \Rightarrow$  orientable  $\Rightarrow$  even  $\chi(F_{12}(c))$

for each component,  $\chi \in 2, 0, -2, -4, \dots$

$S^2, T^2, \text{genus } 2, \dots$

$\chi$  'mostly' negative

Rings:  $k$ , char  $k=2$  a field ( $\mathbb{F}_2$  is ok)

easier

$$\boxed{+ = -}$$

$$\uparrow$$

$$x_i + x_j = x_i - x_j$$

$$R = (R')^{S_3} \quad \text{symmetric functions}$$

$R$   
||

$$k[E_1, E_2, E_3]$$

$R'$   
||

$$k[x_1, x_2, x_3] \subset R' \left[ \frac{1}{x_i + x_j} \right]_{i < j}$$

$R''$   
||

$$E_1 = x_1 + x_2 + x_3$$

$$E_2 = x_1 x_2 + x_1 x_3 + x_2 x_3$$

$$E_3 = x_1 x_2 x_3$$

↑  
formal variables

$$\boxed{R \subset R' \subset R''}$$

$F$ -fam,  $c \in \text{adm}(F)$  coloring  $\rightarrow \langle F, c \rangle \in R''$  evaluation of  $F$  at  $c$ .

$$\langle F, c \rangle = \frac{x_1^{d_1(c)} x_2^{d_2(c)} x_3^{d_3(c)}}{(x_1 + x_2)^{\chi(F_{12}(c))/2} (x_1 + x_3)^{\chi(F_{13}(c))/2} (x_2 + x_3)^{\chi(F_{23}(c))/2}}$$

$d_i(c)$  # of dots on facets colored  $i$

$$\chi_{ij}(c) = \chi(F_{ij}(c))$$

$$\langle F \rangle = \sum_{c \in \text{adm}(F)} \langle F, c \rangle$$

Thm  $\langle F \rangle \in R$ . (symmetric, polynomial)


Easy version of Robert-Wagner integrality


theorem for  $G(N)$  fams (over  $\mathbb{Z}$ )

$\langle F \rangle$  symmetric, since  $S_3$

acts on colorings,

$$b \in S_3, \quad b(\langle F, c \rangle) = \langle F, b(c) \rangle$$

Examples 1)  $F = S^2$  

covering  $c_1$    $F_{12}(c_1) = S^2$   $\chi/2$

$F_{13}(c_1) = S^2$  1

$F_{23}(c_1) = \emptyset$  0

$d_1(c_1) = n$

$d_2(c_1) = 0$

$d_3(c_1) = 0$

$$\langle F \rangle = \sum_c \frac{\prod_{i=1}^3 \chi_i^{d_i(c)}}{\prod_{i < j} (\chi_i + \chi_j)^{\chi_{ij}(c)/2}}$$

$\langle F, c_1 \rangle = \frac{\chi_1^n}{(\chi_1 + \chi_2)(\chi_1 + \chi_3)}$

$$\langle F \rangle = \frac{\chi_1^n}{(\chi_1 + \chi_2)(\chi_1 + \chi_3)} + \frac{\chi_2^n}{(\chi_1 + \chi_2)(\chi_2 + \chi_3)} + \frac{\chi_3^n}{(\chi_1 + \chi_3)(\chi_2 + \chi_3)} =$$

$$= \frac{\chi_1^n(\chi_2 + \chi_3) + \chi_2^n(\chi_1 + \chi_3) + \chi_3^n(\chi_1 + \chi_2)}{(\chi_1 + \chi_2)(\chi_1 + \chi_3)(\chi_2 + \chi_3)} = h_{n-2}(\chi_1, \chi_2, \chi_3)$$

complete symmetric function

$n=0 \quad \langle F \rangle = 0$

$n=1 \quad \langle F \rangle = 0$

$n=2 \quad \langle F \rangle = 1 \in \mathbb{k}$

$n=3 \quad \langle F \rangle = \chi_1 + \chi_2 + \chi_3$

$n=4 \quad \langle F \rangle = \chi_1^2 + \chi_2^2 + \chi_3^2 + \chi_1\chi_2 + \chi_1\chi_3 + \chi_2\chi_3$

$$h_k = \sum_{\alpha+\beta+\gamma=k} \chi_1^\alpha \chi_2^\beta \chi_3^\gamma$$


$h_k = \text{char}(S^k V)$

fund.  $GL(3)$  representation


notice that denominators vanish from  $\langle F \rangle$ .

$$\langle F \rangle = \sum_c \frac{x_1^{d_1(c)} x_2^{d_2(c)} x_3^{d_3(c)}}{(x_1 + x_2)^{x_{12}(c)/2} (x_1 + x_3)^{x_{13}(c)/2} (x_2 + x_3)^{x_{23}(c)/2}}$$

2)  $F = T_n^2$



cobrings  $c_1$




$F_{12} = T^2 \quad x/2$   
 $F_{13} = T^2 \quad 0$   
 $F_{23} = \emptyset \quad 0$

no denominators!


$\langle F, c_1 \rangle = x_1^n$

$\langle F \rangle = x_1^n + x_2^n + x_3^n$



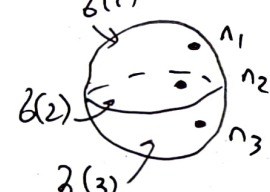
no denominators either for higher genus  $\chi(S) = 2 - 2g < 0$  ( $g > 1$ )




3)  $\Theta$ -fam



$n_1 \geq n_2 \geq n_3$

cobrings  $b \in S_3$



$F_{12}, F_{13}, F_{23}$  are , ,  in some order, all  $S^2$

$\chi(F_{ij}(c)) = 2 \quad \forall c, i, j$

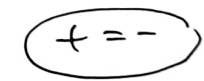
$\langle \Theta_{n_1, n_2, n_3} \rangle = \sum_{b \in S_3} \frac{x_{b(1)}^{n_1} x_{b(2)}^{n_2} x_{b(3)}^{n_3}}{(x_1 + x_2)(x_1 + x_3)(x_2 + x_3)} = S_\lambda(x_1, x_2, x_3)$

Schur function (mod 2 coefficients)


$\lambda = (n_1 - 2, n_2 - 1, n_3)$

$\text{if } n_1 = n_2 \text{ or } n_2 = n_3$

$\langle \Theta_{n_1, n_2, n_3} \rangle = 0$



4) if  $F$  has no adm. cobrings,  $\langle F \rangle = 0$





Thm  $\langle F \rangle \in R$

$$R = \mathbb{k}[E_1, E_2, E_3] \subset \mathbb{k}[x_1, x_2, x_3]$$

clearly symmetric.

↑ symmetric polynomials

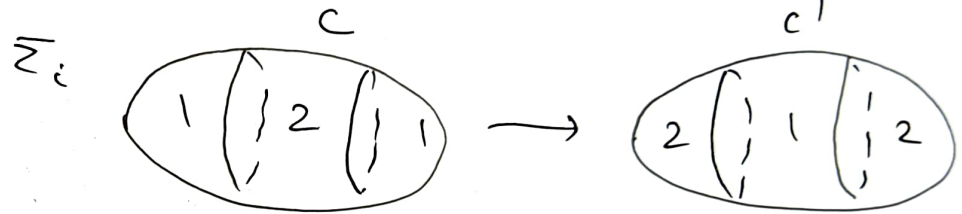
Need to show denominators cancel out. One at a time,  $x_1 + x_2$

fix  $c$ ,  $F_{12}(c) = \sum_i U \dots U \sum_m$  connected components.

Only 2-spheres contribute to denominator,  $x_1 + x_2$  each

$$\chi(S^2)/2 = 1$$

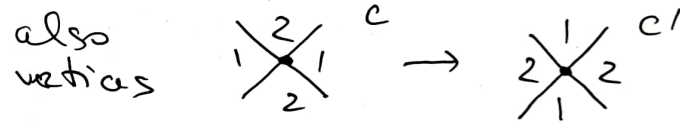
$$\Sigma_i = S^2$$



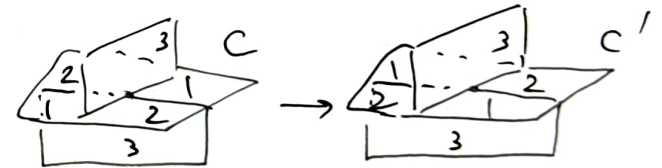
Kempe move

(see Robert-Wagner [RW1])

[extends Kempe moves of graph colorings]



$$F_{12}(c') = F_{12}(c) \text{ no change}$$



$$\chi(F_{13}(c')) = \chi(F_{13}(c)) + 2e$$

$$\chi(F_{23}(c')) = \chi(F_{23}(c)) - 2e$$

Remove part of  $\Sigma_i$  colored 1 from  $F_{13}(c)$  and add part colored 2

dots on  $\Sigma$ ; reverse color from 1 to 2  $1 \rightleftarrows 2$

$$\langle F, c \rangle + \langle F, c' \rangle = \alpha \left( x_1^{a_1} x_2^{a_2} (x_2 + x_3)^{\ell} + x_2^{a_1} x_1^{a_2} (x_1 + x_3)^{\ell} \right)$$

↑  
same contribution from  $c, c'$

$$= \alpha (f(x_1, x_2, x_3) + f(x_2, x_1, x_3))$$

$\forall$  polynomial  $f \in k[x_1, x_2, x_3]$   $f(x_1, x_2, x_3) + f(x_2, x_1, x_3)$  is divisible by  $x_1 + x_2$

Exercise: check for monomials. Over  $\mathbb{Z}$ , add minus signs (divided difference).

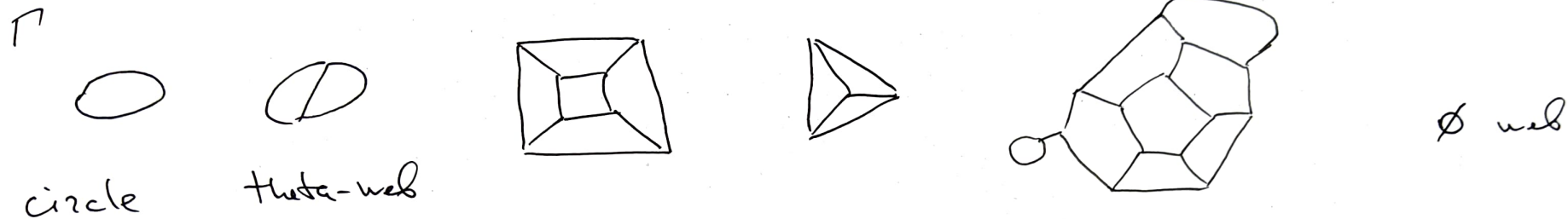
$\Rightarrow$  no  $(x_1 + x_2)$  in the denominator

when many components of  $\Sigma$  are 2-spheres, sum over  $2^k$  colorings.

□

Next: homology (state spaces) of planar trivalent graphs or webs  $\Gamma$ .

These appear as generic cross-sections of foams by planes in  $\mathbb{R}^3$ .

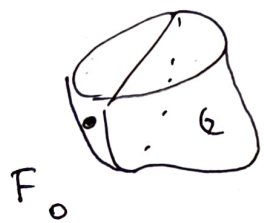


To  $\Gamma$  assign free  $R$ -module  $\text{Fr}(\Gamma)$ , basis - all foams  $F$  with  $\partial F = \Gamma$   
 (before all foams were closed,  $\partial F = \emptyset$ )

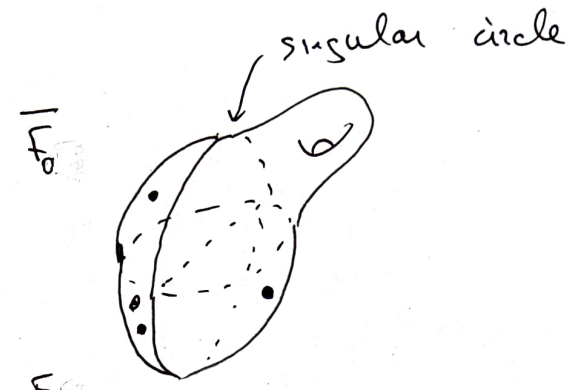


countable basis of  $\text{Fr}(\Gamma)$

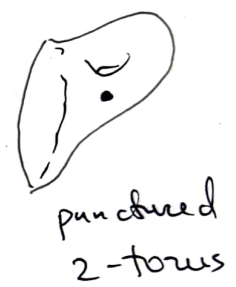
# Bilinear form on $\text{Fr}(\Gamma)$



$\overline{F_0 F_1}$   
 $\uparrow$   
 closed form



$\langle \overline{F_0 F_1} \rangle \in \mathbb{R}$



$$([F_0], [F_1]) = \langle \overline{F_0 F_1} \rangle \in \mathbb{R}$$

extend to  $\text{Fr}(\Gamma)$ .

$$\text{Fr}(\Gamma) \otimes_{\mathbb{R}} \text{Fr}(\Gamma) \xrightarrow{(\cdot, \cdot)} \mathbb{R}$$

Define  $\langle \Gamma \rangle = \text{Fr}(\Gamma) / \text{ker}((\cdot, \cdot))$

State space of  $\Gamma$ .

$\langle \Gamma \rangle$  is a graded  $\mathbb{R}$ -module

$\forall$  adm. cobordism  $c$

$$\text{deg}(F) = -(\chi_{12}(c) + \chi_{13}(c) + \chi_{23}(c)) + 2d(F)$$

# of dots

$$F_{ij}(c) = D^2$$



Example

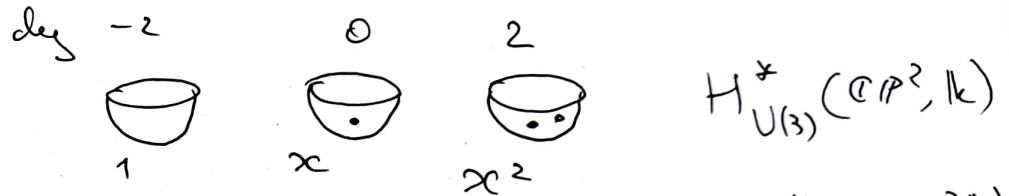
$$\text{deg} = -(1+1+1) + 2 \cdot 1 = -1$$

Examples 1)  $\Gamma = \emptyset$  closed forms, evaluate to cl's of  $\mathbb{R}$

$$\langle \Gamma \rangle \cong R[\emptyset] = H_{U(3)}^*(\cdot, k) \quad \text{equivariant cohomology} \quad R = k[E_1, E_2, E_3]$$

2)  $\Gamma = \bigcirc$

$\langle \Gamma \rangle$  is a free  $R$ -module, basis



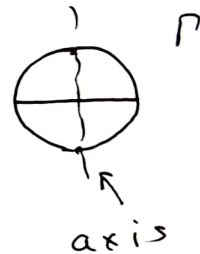
$$A = \langle \bigcirc \rangle \cong R[x] / (x^3 + E_1, x + E_2, x^2 + E_3)$$

in  $R'[x]$ , factors  $H_{U(1)^3}^*(\mathbb{C}P^2, k)$   
 $(x+x_1)(x+x_2)(x+x_3)$

For graphs  $\Gamma$  with symmetry axis,  $\langle \Gamma \rangle$  is a ring,

due to form from  $\Gamma \sqcup \Gamma$  to  $\Gamma$

$\langle \bigcirc \rangle$



3)  $\Gamma = \bigcirc$

$$\langle \Gamma \rangle \cong H_{U(3)}^*(Fl_3, k)$$

↑ full flags in  $\mathbb{C}^3$


For general graphs - no immediate equivariant cohomology interpretation

Thm  $\forall \Gamma$ ,  $\langle \Gamma \rangle$  is a finitely-generated graded  $R$ -module

Naive conjecture (no conviction)  $\langle \Gamma \rangle$  is a free  $R$ -module of rank equal  
the number of Tait colourings of  $\Gamma$ .



Study at dodecahedral graph.



Say that  $\Gamma$  is reducible if can be reduced to empty graph via

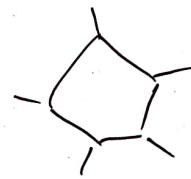
  $\rightarrow \emptyset$  (remove innermost circle)

  $\rightarrow$  erase

  $\rightarrow$  |

  $\rightarrow$  

  $\rightarrow$  ) ( & 





  $\rightarrow$  no reduction available

smaller-size  
Square and ... regions  
are reducible

Thm 'Conjecture' holds for  
reducible graphs.

First derive skein relations

(a) evaluations of simplest closed tams (dotted 2-sphere,  $\emptyset$ -tams)

(b)  =  +   
 + 

$$\boxed{\dots} + E_1 \boxed{\dots} + E_2 \boxed{\cdot} + E_3 \boxed{\quad} = 0$$

$$\begin{array}{c} \triangle \\ \diagdown \end{array} \boxed{\cdot} + \begin{array}{c} \triangle \\ \diagup \end{array} \boxed{\cdot} + \begin{array}{c} \triangle \\ \diagup \end{array} \boxed{\cdot} = 0$$

$$\text{Cylinder} = \sum_{i=1}^3 \begin{array}{c} \text{cup} \\ \text{bowl} \end{array} y_i z_i$$

$\{y_i\}, \{z_i\}$  dual bases of  $A = \mathbb{R}[x]/(x^3 + E_1 x^2 + E_2 x + E_3)$

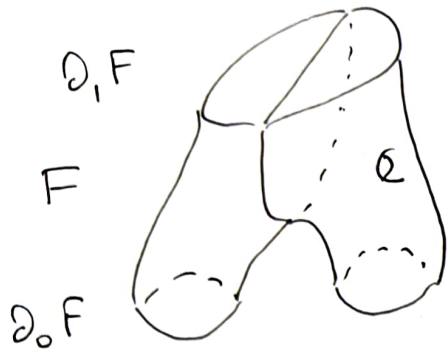
$$(1, x, x^2)$$

$$(x^2 + E_1 x + E_2, x + E_1, 1)$$

+ more relations

$$F \subset \mathbb{R}^2 \times [0, 1]$$

$$\partial_i F = F \cap \mathbb{R}^2 \times \{i\}, \quad i=0, 1.$$

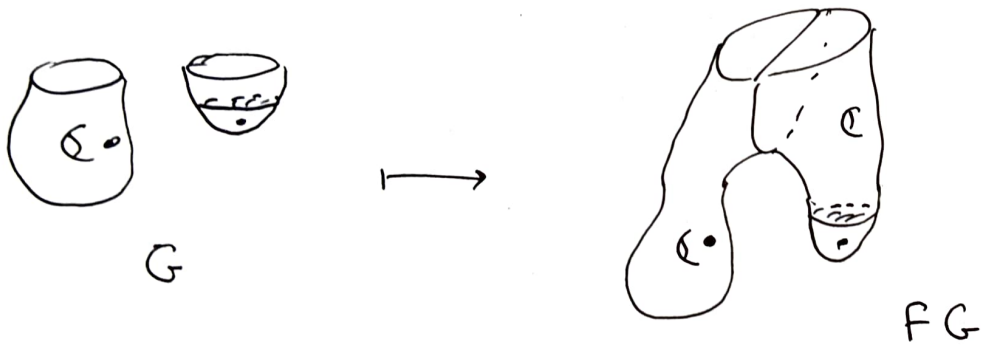


F defines an  $\mathbb{R}$ -linear map

$$\langle \partial_0 F \rangle \xrightarrow{\langle F \rangle} \langle \partial_1 F \rangle$$

take a foam G with  $\partial G = \partial_0 F$  & compose with F

$$[G] \longmapsto [FG]$$



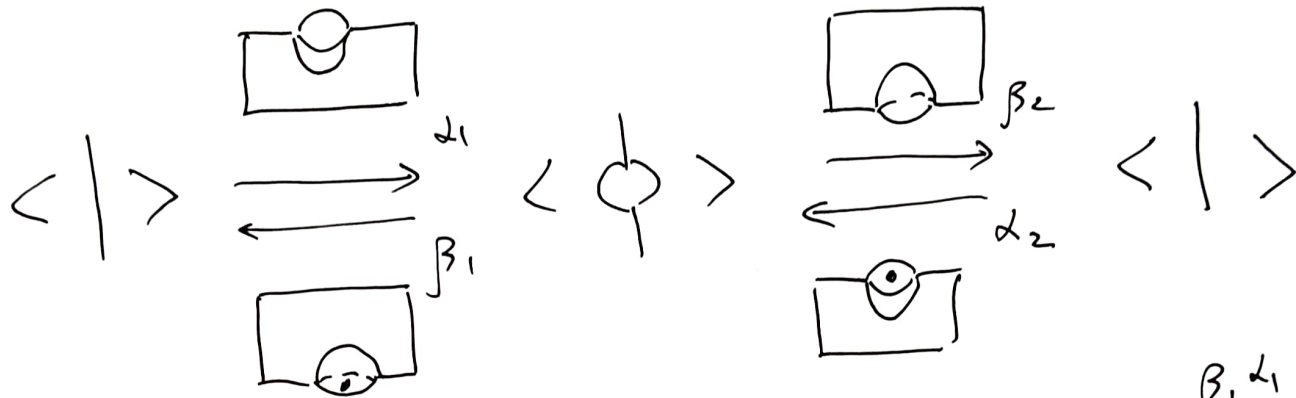
Get a functor

$$\begin{array}{l} \text{Foams} \longrightarrow \mathbb{R}\text{-mod} \quad \text{graded } \mathbb{R}\text{-modules \& homogeneous} \\ \Gamma \longmapsto \langle \Gamma \rangle \quad \text{module maps} \\ F \longmapsto \langle F \rangle: \langle \partial_0 F \rangle \longrightarrow \langle \partial_1 F \rangle. \end{array}$$



$$\langle \phi \rangle \cong \langle | \rangle_{\{1\}} \oplus \langle | \rangle_{\{-1\}}$$

direct sum decomposition

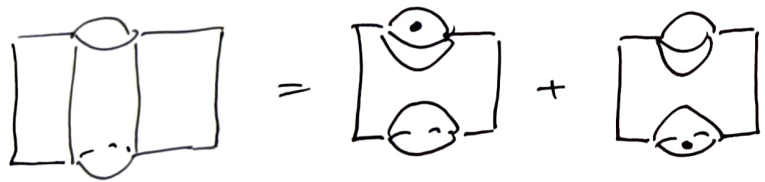


$$\beta_1 \alpha_1 = Id_1$$

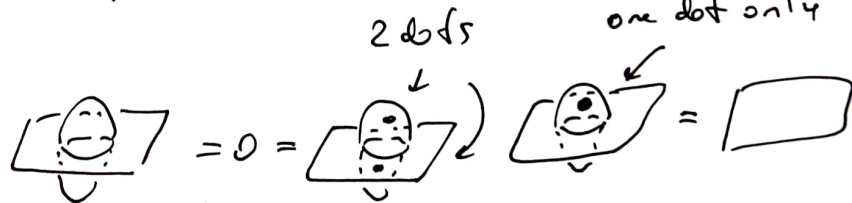
$$\beta_2 \alpha_2 = Id_1$$

$$\beta_2 \alpha_1 = 0$$

$$\beta_1 \alpha_2 = 0$$



$$id_\phi = \alpha_1 \beta_1 + \alpha_2 \beta_2$$



$$\langle 0 \sqcup \Gamma \rangle \cong \langle \Gamma \rangle \{2\} \oplus \langle \Gamma \rangle \oplus \langle \Gamma \rangle \{-2\} \triangleq A \otimes_{\mathbb{R}} \langle \Gamma \rangle$$

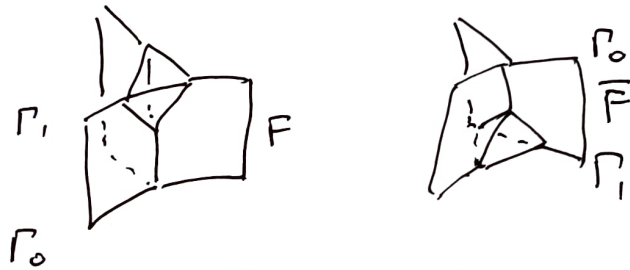
3 copies of  $\Gamma$



$\langle 0 \dots \rangle \cong 0$  no admissible abrys on a foam  $GF, \partial F = \Gamma$

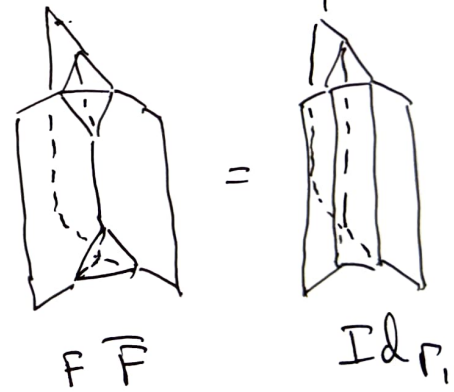
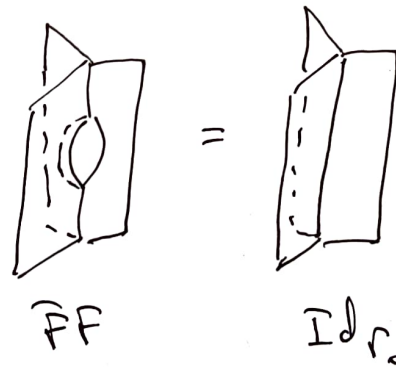
$$\langle \text{triangle} \rangle_{\Gamma_1} \cong \langle \text{triangle} \rangle_{\Gamma_0}$$

via mutually-inverse isomorphism foams



$$\bar{F} F \cong \text{Id}_{\Gamma_0}$$

$$F \bar{F} \cong \text{Id}_{\Gamma_1}$$



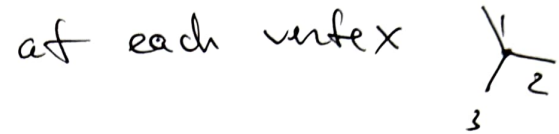
$$\langle \text{square} \rangle \cong \dots$$

$$\langle \text{two arcs} \rangle \oplus \langle \text{circle} \rangle \cong \dots$$

via suitable foams.

Tait colorings Tait( $\Gamma$ ).

Maps edges( $\Gamma$ )  $\rightarrow$   $\{1, 2, 3\}$  s.t



$\bigcirc \rightarrow 3$  colorings

$\bigoplus \rightarrow 6$  colorings

$t(\Gamma) = |\text{Tait}(\Gamma)|$

4-color theorem:  $\Gamma$  connected, no bridge  $\Rightarrow t(\Gamma) \neq 0$

Color regions by el's of  $\mathbb{Z}_2 \times \mathbb{Z}_2$

Color edges by el's of  $(\mathbb{Z}_2 \times \mathbb{Z}_2)^*$

$t(\bigcirc \amalg \Gamma) = 3 t(\Gamma)$

$t(\bigcirc - \dots) = 0$

$t(\text{---} \bigcirc \text{---}) = 2 t(\text{---})$

$t(\text{---} \bigtriangle \text{---}) = t(\text{---})$

$t(\text{---} \bigstar \text{---}) = t(\text{---}) + t(\text{---})$

$t(\text{---} \text{pentagon} \text{---})$  no simplification

Naive conj:  $\langle \Gamma \rangle$  is a free  $R$ -module of rank  $t(\Gamma)$ .

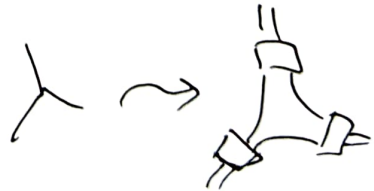
True for reducible graphs.

Also get  $q$ -deformation of  $t(\Gamma)$  for reducible graphs, via graded rank

$t_q(\bigcirc) = q^2 + 1 + q^{-2}$

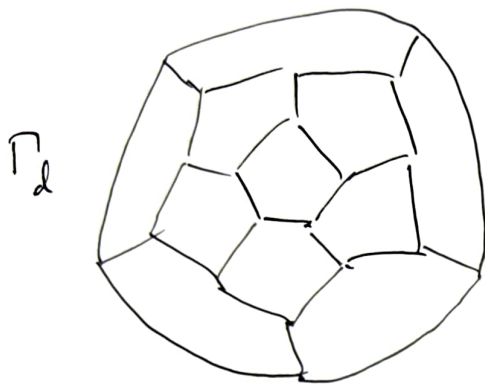
$t_q(\bigoplus) = (q + q^{-1}) t(1)$

...



(confirm?)  
Not the Yamada polynomial, a.k.a  $U_q(\mathfrak{sl}_2)$ ,  $V_2$  invariant  
 $V_2 = S_q^2 V_1$   
 3-dim irrep

# Dodecahedral graph



$$t(\Gamma_d) = 60$$

60 Tait colorings

Not known if  $\langle \Gamma_d \rangle$  a free rank 60  $R$ -module

David Boozer (arXiv)

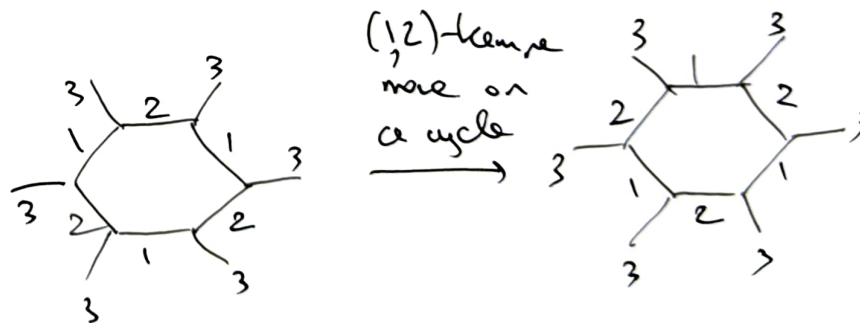
Computations  $\Rightarrow$  rank (over a field,  $x_i = 0$ )  $\geq 58$

Also compare with

Kronheimer-Mrowka,  $SO(3)$  gauge theory for 3-orbifolds.

Kempe moves of Tait colorings are

1D analogues of Kempe  
moves of four colorings



THANK YOU!