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# Semisimple Topological Quantum Field Theories

in even dimensions

based on arXiv:2001.02288

and joint upcoming work with  
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## Lisbon TQFT club

→ Fix  $K$ : algebraically closed field. → All manifolds appearing here are {smooth compact}

Def [Atiyah'88, Segal, Witten, ...]

An  $n$ -dimensional top. quantum field theory (TQFT) is a symmetric monoidal functor

X-structured  
closed, smooth  
 $(n-1)$ -manifolds  
structure preserving  
diffeomorphism classes of  
compact  $n$ -dim'le cobordisms

$\text{Cob}_n^X \xleftarrow{\quad \quad \quad} \text{tangential structure},$   
e.g. orientation, spin, ...

$\text{Cob}_n^X \longrightarrow \text{sVect}_K$

$\mathbb{Z}/2$ -graded  
 $K$ -vector space  
grading preserving  
linear map

$\otimes_K$ , Koszul sign  
rule

In short: An assignment of vector spaces to closed  $(n-1)$ -manifolds  
and linear maps to  $n$ -manifolds compatible with {gluing  
disjoint union}.

TQFT = symmetric monoidal functor  $Z: \text{Cob}_n^X \rightarrow \text{sVect}_k$

Original motivation:  $M^n$  closed  $n$ -manifold

$\Rightarrow Z(M^n) = Z(\phi_{n-1} \xrightarrow{M^n} \phi_{n-1}) \in k$  is a diffeomorphism invariant.

Immediate question: How much manifold topology can TQFTs see?

Thm [R, 20 in 4d, R-Schommer-Pries in preparation & even dim.]

Semisimple even-dimensional TQFTs only see **stable diffeomorphism type**.

For appropriately finite manifolds (e.g. finite fundamental group in 4d), the converse holds:

$M, N$  not stably diffeomorphic  $\Rightarrow$  distinguishable by semisimple TQFTs

Includes all currently known  $> 2d$  TQFTs & all TQFTs arising from  
one extended TQFTs with appropriate "higher linear algebraic" target

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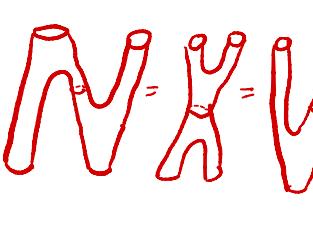
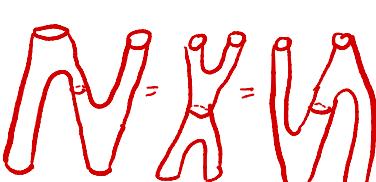
Semisimple even-dimensional TQFTs only see **stable diffeomorphism type**.  
For sufficiently "finite" manifolds (e.g. finite fundamental group in 4d), this  
"upper bound" is **optimal**:

$M, N$  not stably diffeomorphic  $\Rightarrow$  **distinguishable** by semisimple TQFTs

Consequences in 4d:

$\rightarrow$  unoriented ss. TQFTs **can** sometimes detect exotic smooth structure.  
 $\rightarrow$  oriented ss. TQFTs **cannot** detect exotic smooth structure but  
can sometimes distinguish homotopy equivalent 4-manifolds.

# I) Definitions & Examples

| Recall: 2D oriented TQFTS $\xrightarrow{\text{?}} \text{commutative Frobenius algebras}$ |   |  |   |
|--|---|--|---|
| a vector space $A$   | an algebra structure<br>$m: A \otimes A \rightarrow A, u: k \rightarrow A$  | a coalgebra structure<br>$\Delta: A \rightarrow A \otimes A, \varepsilon: A \rightarrow k$   | Frobenius relation<br>$\Delta$ is an $A$ - $A$ -bimodule map                        |
| $Z(O)$   | $Z(\text{ } )$ , $Z(\text{ } )$<br>assoc:  ; unital:  | $Z(\text{ } )$ , $Z(\text{ } )$<br>coass:  ; counital:  |  |

More generally:  $Z$  oriented  $n$ -dim. TQFT  $\Rightarrow Z(S^{n-1})$  is a comm. Frob. alg.

"higher pair of pants"  $\leadsto m = D^n \setminus (D^n \cup D^n), u = D^n, \dots$

Also  $Z(S^K \times M^{n-1-k})$  is a comm. Frob. alg  $\forall 1 \leq k \leq n-1$ ,  $M^{n-1-k}$  arbitrary closed  $(n-1-k)-mfld.$   
 $m = D^{k+1} \setminus (D^{k+1} \cup D^{n-k}) \times M, u = D^{k+1} \times M, \dots$

Notation:  $S^K \times S^{n-1-k}$  underline = use algebra structure coming from this sphere.

Fix tangential structure  $X \in \{\text{unoriented, oriented, spin}\}$ .

Def A  $2n$ -dimensional TQFT  $\mathcal{Z}$  is **semisimple** if the (super) algebras  $\mathcal{Z}(S^{2n-1})$  and  $\mathcal{Z}(S^n \times S^{n-1})$  are semisimple.

Physics aside in 4d:

$\rightarrow \mathcal{Z}(S^3)$  = algebra of "oral operators"

$\sim \mathcal{Z}(S^3)$  is vector space of labels of 0d submanifolds in 4d manifolds

$[\mathcal{Z}(M^4 \text{ with (normally framed) point } p \in M^4 \text{ labelled by } v \in \mathcal{Z}(S^3)) := K \xrightarrow{v} \mathcal{Z}(S^3) \xrightarrow{Z(M^4|D^4)} K]$

$\rightarrow \mathcal{Z}(S^2 \times S^1) \sim \text{controls "fusion of point particles"}$

$\sim \mathcal{Z}(S^2)$  is "category" of labels of 1d submanifolds in 4d manifolds

$\sim$  world lines of point particles.

$\mathcal{Z}(S^2 \times S^1)$  its true decategorification / Labels of normally framed Knots in  $M^4$

$K \xrightarrow{v} \mathcal{Z}(S^2 \times S^1) \xrightarrow{Z(M^4|D^3 \times S^1)} K$

Exm: Crane-Yetter-Kauffman: For every ribbon fusion category  $\mathcal{C}$  there is an associated semisimple 4d oriented TQFT  $\mathcal{Z}_{\mathcal{C}}: \text{Cob}_4 \xrightarrow{\text{or}} \text{Vect}_K$

$$\mathcal{Z}_{\mathcal{C}}(S^3) = K$$

$$\mathcal{Z}_{\mathcal{C}}(S^2 \times S^1) = K_0(\mathcal{Z}_{\text{Sym}}(\mathcal{C})) \otimes_{\mathbb{Z}} K$$

Thm [R'20]: All of the following TQFTs are semisimple:

→ invertible TQFTs [here:  $Z(M^{2n-1})$  1-dim<sup>le</sup>,  $Z(M^n) \neq 0$ ]

→ unitary TQFTs  $[Z: \text{Cob}_{2n}^{\text{or}} \rightarrow \text{Hilb}_\mathbb{C}, \text{s.t. } Z(M \xrightarrow{w} N) = Z(N \xrightarrow{w} M)^*$

↪ one-extended TQFTs  $Z: \text{Cob}_{2n, 2n+1, 2n+2} \rightarrow \mathcal{B}$  where  $\mathcal{B}$  is one of  
 $\left\{ \begin{array}{l} K\text{-algebras} \\ \text{bimodules} \\ \text{bimodule maps} \end{array} \right\}$  or  $\left\{ \begin{array}{l} (\text{Karoubi-complete}) K\text{-linear categories} \\ K\text{-linear functors} \\ \text{natural transformations} \end{array} \right\}$  or... or their super versions

Exm: Crane-Yetter-Kauffman  $Z_c$  [is invertible  $\Leftrightarrow$   $c$  modular]

Rmk: [Freedman-Kitaev-Nayak-Slingerland-Walker-Wang '05] show that unitary 4d TQFTs cannot detect smooth structure.

↳ we see: really due to semisimplicity

↳ Also: FKNSWW show invariance under s-cobordism.

Later: Really invariant under much coarser relation of stable diffeomorphism.

## II The Theorem

Def: Two connected, compact,  $2n$ -cobordisms  $W, \tilde{W}$  are *stably diffeomorphic* if there is a  $k \geq 0$  s.t.

$$W \#^k S^n \times S^n \cong \tilde{W} \#^k S^n \times S^n$$

Def: interior connected sum  $M \# N := (M \setminus D^{2n}) \cup_{S^{n-1}} (N \setminus D^{2n})$

Thm [R 20 in 4d, R-SP in higher dim.]

$Z$  semisimple,  $2n$ -dimensional TQFT.

$W, \tilde{W}$  stably diffeomorphic cobordisms  $\Rightarrow Z(W) = Z(\tilde{W})$

Upshot: Stable diffeo classes very well understood [Wall, Kreck, ...]

Consequences in 4d:  $\mathbb{Z}$  semisimple oriented 4D TQFT.

$M, N$  closed oriented smooth 4-manifolds.

1)  $M, N$  (orient. pres.) homeomorphic  $\Rightarrow \mathbb{Z}(M) = \mathbb{Z}(N)$

2)  $M, N$  simply connected & homotopy equivalent  $\Rightarrow \mathbb{Z}(M) = \mathbb{Z}(N)$

Gompf '84

Wall '64

Cor:  $\mathbb{Z}$  semisimple, oriented, indecomposable 4D TQFT.

$M$  simply connected closed 4-manifold.

global dimension of  
 $\mathbb{Z}_{\text{sym}}(e)$

|                     | $M$ spinnable  | $M$ non-spinnable |  |
|---------------------|--|-------------------|--|
| $Z$ has fermions    | $\tilde{Z}(K3)^{-\frac{\sigma(M)}{16}} \tilde{Z}(S^2 \times S^2)^{\frac{1}{2}(\chi(M)-2+\frac{11}{8}\sigma(M))}$                     | 0                 | Gauss sum<br>$\sum_i \theta_i^{\pm 1} \dim(X_i)$ |
| $Z$ has no fermions | $\tilde{Z}(\mathbb{C}P^2)^{\frac{1}{2}(\chi(M)+\sigma(M)-2)} \tilde{Z}(\overline{\mathbb{C}P}^2)^{\frac{1}{2}(\chi(M)-\sigma(M)-2)}$ |                   |  |

Moreover, all entries of this table (except for top right) are  $\neq 0$ .

In green: value of CYK field theory  $\mathbb{Z}_e$

Special case:  $e$  modular ( $\Leftrightarrow \mathbb{Z}_e$  invertible)  $\Rightarrow \mathbb{Z}_e(M^4) = (\dim e)^{\frac{1}{2}\chi(M)} \cdot \left(e^{2\pi i c/8}\right)^{\dim(M^4)}$

## Proof sketch:

To show:  $\mathcal{Z}$  semisimple  $\Rightarrow \mathcal{Z}(M) = \mathcal{Z}(N)$  if  $M \# K S^1 \times S^1 \cong N \# K S^1 \times S^1$

1) Semisimplicity of  $\mathcal{Z}(S^{2n+1}) \Rightarrow \mathcal{Z}(S^{2n+1}) \cong \bigoplus_i K$  <sup>Sawin</sup>  $\Rightarrow \mathcal{Z} = \bigoplus_i \mathcal{Z}_i$  with  $\mathcal{Z}_i(S^{2n+1}) \cong K$   
 ↑  
 algebra iso

2) WLOG assume  $\mathcal{Z}(S^{2n+1}) \cong K \Rightarrow \mathcal{Z}(M \# N) = K \xrightarrow{\mathcal{Z}(M) D^{2n}} \mathcal{Z}(N) D^{2n} \xrightarrow{\mathcal{Z}(S^{2n+1})} K = \mathcal{Z}(S^{2n})^{-1} \mathcal{Z}(M) \mathcal{Z}(N)$

3) Remains to show:  $\mathcal{Z}(S^d \times S^{d-1})$  semisimple  $\Rightarrow \mathcal{Z}(S^d \times S^d) \neq 0$ .

Note: A Frobenius algebra  $(A, m: A \otimes A \rightarrow A, \Delta: A \rightarrow A \otimes A)$  over an <sup>a (g. closed)</sup> field  $K$  is semisimple  $\Leftrightarrow m \circ \Delta: A \rightarrow A$  is invertible

For the Frobenius algebra  $\underline{S^d \times S^{d-1}}$ :

$$(m \circ \Delta) S^d \times S^d \cong (S^{2d-1} \times S^1) \# (S^d \times S^d) \# S^d \times D^d$$

$$\begin{matrix} \text{End}(S^d \times S^{d-1}) & \text{Hom}(\phi, S^d \times S^{d-1}) \\ \text{End}(\phi) & \text{Hom}(\phi, S^d \times S^{d-1}) \end{matrix}$$

An eigenvalue equation in the cobordism category  $\mathcal{C}$   
 $\Rightarrow \mathcal{Z}(S^d \times S^d)$  eigenvalue of invertible endo  $\mathcal{Z}(m \circ \Delta) \Rightarrow \mathcal{Z}(S^d \times S^d) \neq 0$ .  $\square$

Have seen:

$Z$  semisimple even TQFT,  $M, N$  stably diffeo  $\Rightarrow Z(M) = Z(N)$

The converse is also true, provided  $M, N$  are sufficiently finite to avoid certain pathologies.

Technical condition,  
can probably  
be weakened

Def: A connected, closed  $2n$ -dimensional manifold  $M$  is sufficiently finite if the homotopy fiber of the classifying map  $M \rightarrow BO(2n)$  of its tangent bundle has finite  $\pi_1, \dots, \pi_n$ .

E.g: In 4d, a manifold is sufficiently finite iff it has finite  $\pi_1$ .

Thm [R-SP upcoming]: Let  $M, N$  be sufficiently finite closed  $2n$ -manifolds. If  $M, N$  are not stably diffeomorphic  $\Rightarrow \exists$  semisimple TQFT distinguishing  $M$  and  $N$ .

These TQFTs are built using a generalized Dijkgraaf-Witten construction.

## Examples in 4d:

- $\exists \hookrightarrow [Kreck]$  unoriented homeomorphic but not stably diffeomorphic closed 4-manifolds
- $\Rightarrow$  can be distinguished by unoriented semisimple TQFTs.
- $\exists \hookrightarrow [Teichner, \dots]$  oriented homotopic but not stably diffeomorphic closed 4-manifolds
- $\Rightarrow$  can be distinguished by oriented semisimple TQFTs

## Summary

Assuming appropriate finiteness:

Semisimple even-dim. TFTs are "complete" stable diffeo invariants.

Thanks for listening!

Extra material: Stable diffeos and generalized DW theories

Tangential  $n$ -type  
of a  $2n$ -manifold  $M$ :

Factor  
(uniquely up  
to homotopy)

$\xrightarrow{\text{epi. iso. in}}$   
 $\xrightarrow{\text{n-connected}}$   
 $\xrightarrow{\text{tang.}}$   
 $M \xrightarrow{\text{tang. bundle}}$   
 $\xrightarrow{\text{n-truncated}}$   
 $\xrightarrow{\text{mono. iso. on}}$   
 $\xrightarrow{\text{BO(2n)}}$

Thm [Kreck]:  $M, N^{2n}$  stably diffeomorphic  $\Leftrightarrow$

(i)  $M, N$  have homotopic tangential  $n$ -type  $X \rightarrow BO(2n)$

(ii) they are in the same  $\text{Aut}(X \rightarrow BO(2n))$  orbit  
in the  $X$ -structured bordism group  $\Sigma_{2n}^X$ .

Generalized DW theories:

$f: X \rightarrow BO(2n)$  with  $\pi$ -finite fiber.

invertible TFT  $W: \text{Bord}_{2n}^X \rightarrow s\text{Vec}$

$\text{Bord}_{2n, \dots, 0}^X \xrightarrow{\sim} \text{JK}^X$

$\int_W: \text{Bord}_{2n} \rightarrow s\text{Vec}_{\text{fib}}$  non-invertible TFT

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Generalized DW theories:

$f: X \rightarrow BO(2n)$  with  $\pi_1$ -finite fiber.  
group homomorphism  $\Sigma_{2n}^X \rightarrow k^*$

non-invertible TFT

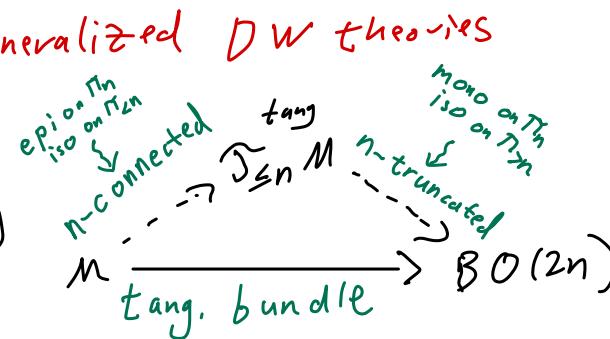
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$\int W: \text{Bord}_{2n} \rightarrow s\text{Vec.}$   
fib

Slogan: DW theories are Pontryagin dual to stable diffeo classes.

Conjecture: Every semisimple  $2n$ -dim. TQFT is equivalent to a DW theory.