# Information-theoretic bounds on quantum advantage in machine learning

arXiv:2101.02464

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### Motivation

 Machine learning (ML) has received great attention in the quantum community these days.

Classical ML for quantum physics/chemistry

The goal (a):
Solve challenging quantum
many-body problems
better than
traditional classical algorithms



**Enhancing ML**with quantum computers

The goal (a):

Design quantum ML algorithms
that yield
significant advantage
over any classical algorithm



"Supervised learning with quantum-enhanced feature spaces." *Nature* 567.7747 (2019): 209-212.

### Motivation

Yet, many fundamental questions remain to be answered.

Classical ML for quantum physics/chemistry

The question :
How can ML be more useful than non-ML algorithms?



Enhancing ML with quantum computers

The question ::
What are the advantages of quantum ML in general?



• In this work, we focus on training an ML model to predict

$$x \mapsto f_{\mathscr{E}}(x) = \text{Tr}(O\mathscr{E}(|x\rangle\langle x|)),$$

where x is a classical input,  $\mathscr E$  is an **unknown** CPTP map, and O is an observable.

• This is **very general**: includes any function computable by a quantum computer.

#### **Example 1**

### Predicting outcomes of physical experiments

x: parameters describing the experiment

 $\mathscr{E}$ : the physical process in the experiment

O: what the scientist measure



#### Example 2

### Predicting ground state properties of a physical system

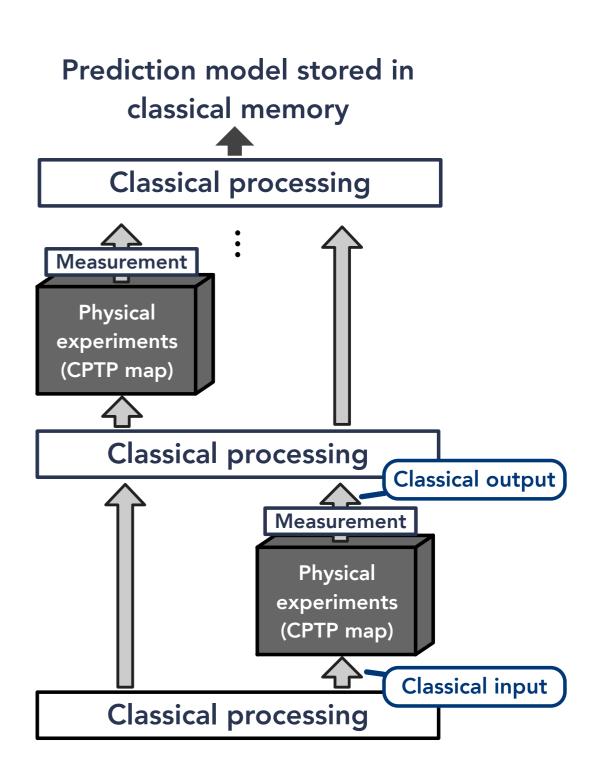
x: parameters describing a physical system

 $\mathscr{E}$ : a process for preparing ground state

 ${\it O}$ : the property we want to predict

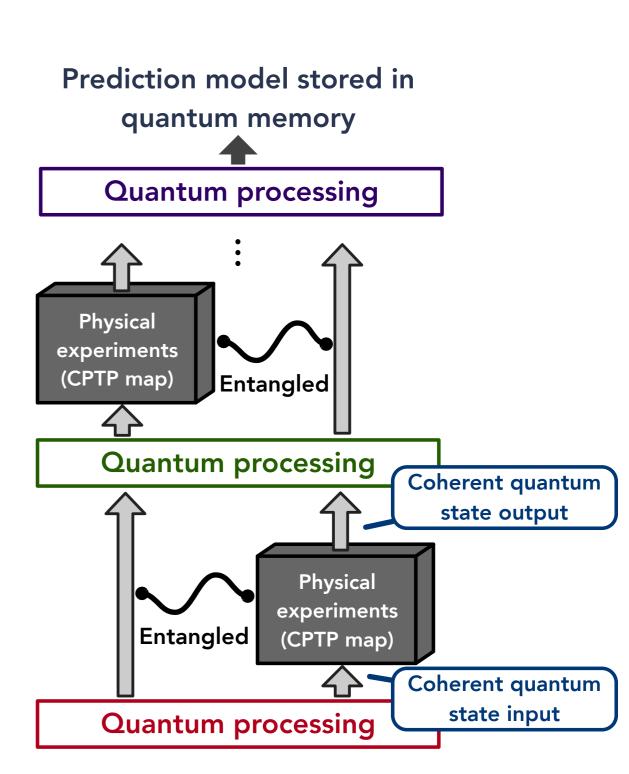
#### Classical machine learning

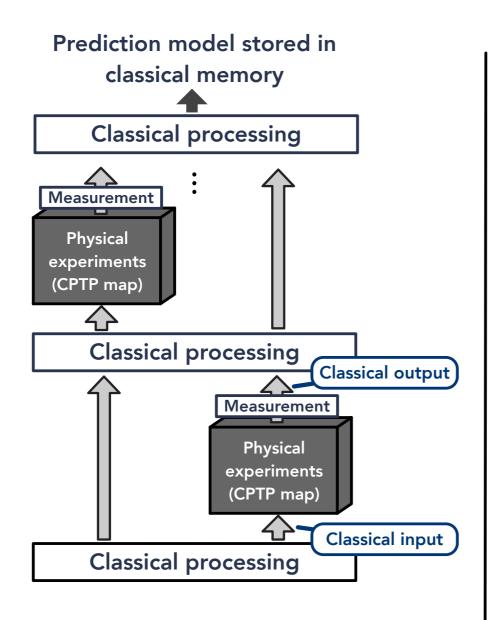
- Learning agents can actively perform experiments to learn a prediction model.
- Each query begins with a choice of classical input x and ends with an arbitrary POVM measurement.
- A prediction model  $h(x) \approx f_{\mathscr{C}}(x)$  is created after learning.



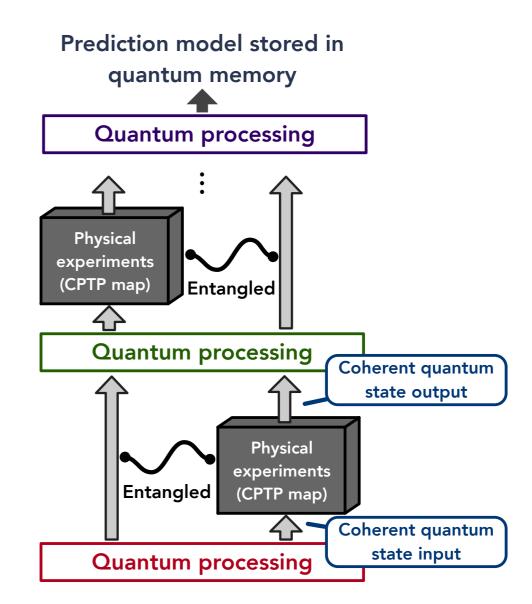
#### Quantum machine learning

- Similar to classical ML setting.
- Each query consists of an arbitrary access to the CPTP map & (the input can be entangled, and no measurement at the end).
- A prediction model  $h(x) \approx f_{\mathcal{E}}(x)$  is stored in a quantum memory instead of a classical memory.





Classical
Machine Learning



Quantum

Machine Learning

The setup is closely related to Quantum Algorithmic Measurements by Aharonov, Cotler, Qi

### Main Question

#### **Information-theoretic aspect:**



Do classical ML need significantly more experiments (query complexity) than quantum ML to predict  $f_{\mathcal{E}}(x) = \text{Tr}(O\mathcal{E}(|x\rangle\!\langle x|))$ ?

#### Theorem (Huang, Kueng, Preskill; 2021 [1])

Consider any observable O, any family of CPTP maps  $\mathscr{F} = \{\mathscr{E}\}$  with n-qubit input and m-qubit output, and any input distribution  $\mathscr{D}$ .

Suppose a quantum ML uses  $N_{\rm Q}$  queries to the unknown CPTP map  $\mathscr E$  to learn a prediction model  $h_{\rm O}(x)$  that achieves a prediction error of

$$\mathbb{E}_{x \sim \mathcal{D}} \left| h_{\mathbf{Q}}(x) - f_{\mathcal{E}}(x) \right|^2 \le \epsilon,$$

then there is a classical ML using  $N_{\rm C} \leq \mathcal{O}(mN_{\rm Q}/\epsilon)$  to learn a prediction model  $h_{\rm C}(x)$  that achieves a prediction error of

$$\mathbb{E}_{x \sim \mathcal{D}} \left| h_{\mathbf{C}}(x) - f_{\mathcal{E}}(x) \right|^2 \leq \mathcal{O}(\epsilon).$$

#### Theorem (Huang, Kueng, Preskill; 2021 [1])

Concept/hypothesis class in statistical learning theory

Consider any observable O, any family of CPTP maps  $\mathcal{F} = \{\mathcal{E}\}$  with n-qubit input and m-qubit output, and any input distribution  $\mathcal{D}$ .

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Consider any observable O, any family of CPTP maps  $\mathcal{F} = \{\mathcal{E}\}$  with n-qubit input and m-qubit output, and any input distribution  $\mathcal{D}$ .

Suppose a quantum ML uses  $N_{\mathrm{O}}$  queries to the unknown CPTP map  $\mathscr E$  to

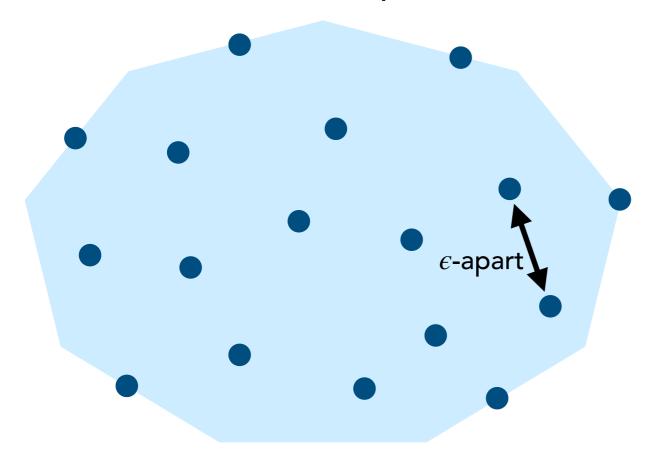
learn a prediction model  $h_{\mathbb{Q}}(x)$  that achieves a prediction error  $\mathbb{E}_{x \sim \mathscr{D}} \left| h_{\mathbb{Q}}(x) - f_{\mathscr{C}}(x) \right|^2 \leq \epsilon,$ 

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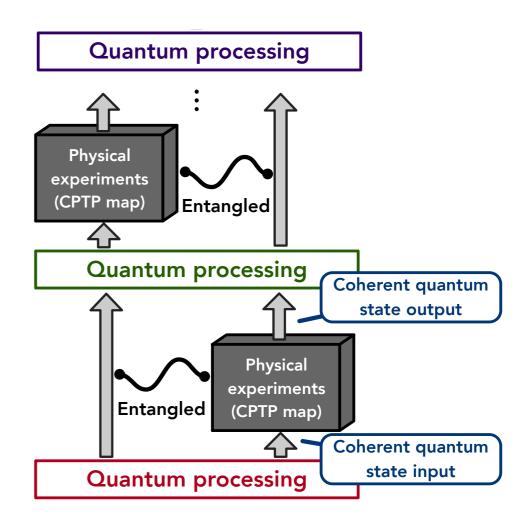
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The set of CPTP maps  $\mathcal{F} = \{\mathcal{E}\}\$ 



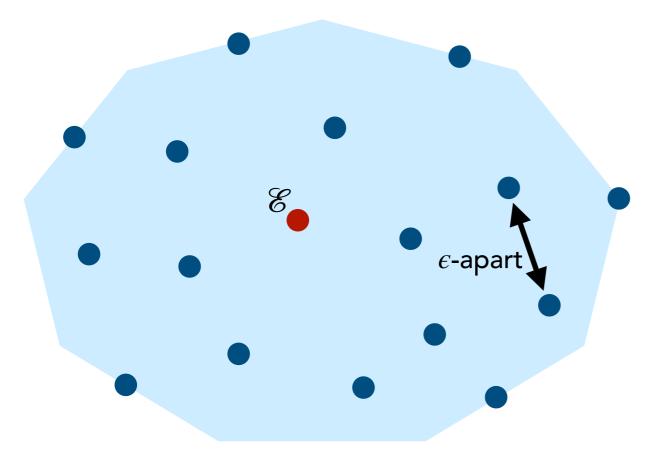
Construct the maximum packing net  $M^p_\epsilon$ 



Quantum

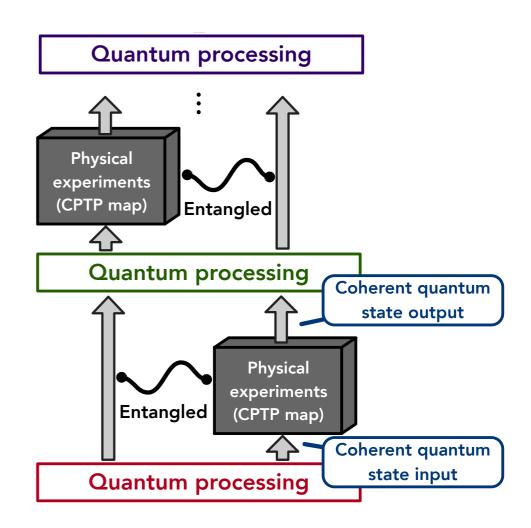
Machine Learning

The set of CPTP maps  $\mathcal{F} = \{\mathcal{E}\}\$ 



Construct the maximum packing net  $M^p_\epsilon$ 

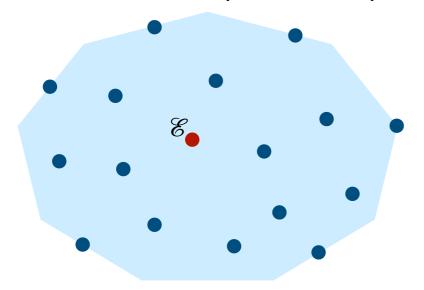
Alice chooses a CPTP map  ${\mathscr E}$  among  $M^p_\epsilon$ 

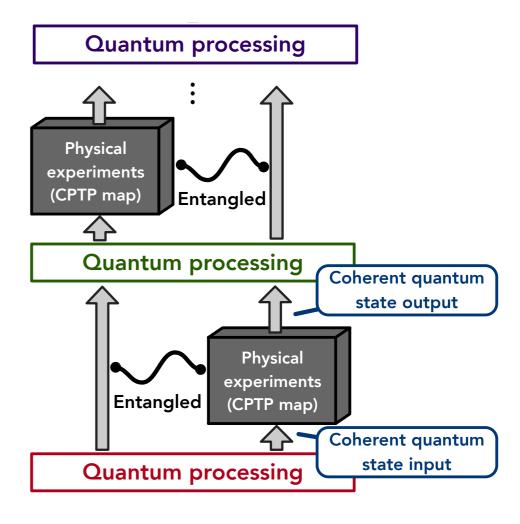


Quantum

Machine Learning

1. Alice chooses a CPTP map  $\mathscr E$  among packing net  $M^p_{\epsilon}$ 

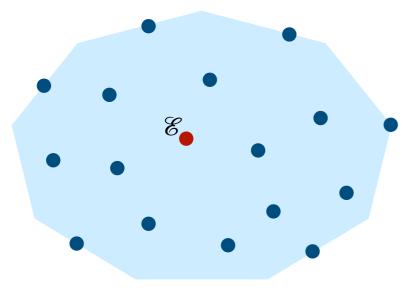




Quantum

Machine Learning

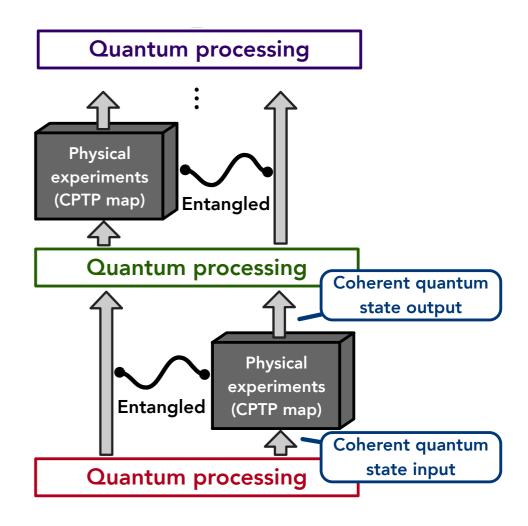
1. Alice chooses a CPTP map  $\mathscr E$  among packing net  $M^p_{\varepsilon}$ 



2. Bob uses the quantum machine learning algorithm to get

$$\rho_{N_0,\mathscr{E}} = (\mathscr{E} \otimes I) \dots C_2(\mathscr{E} \otimes I) C_1(\mathscr{E} \otimes I)(\rho_0),$$

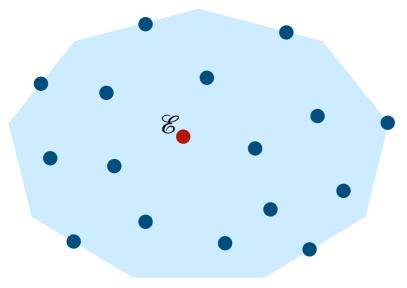
where  $C_1, C_2, ...$  = quantum processing (CPTP maps), And  $\mathscr{E} \otimes I$  is the physical experiment to learn.



Quantum

Machine Learning

1. Alice chooses a CPTP map  $\mathscr E$  among packing net  $M^p_{\varepsilon}$ 

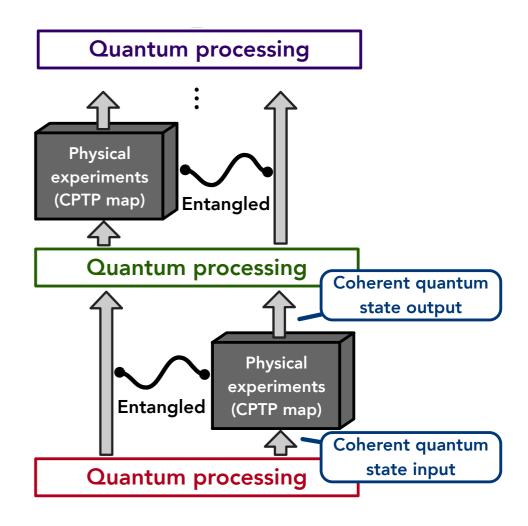


2. Bob uses the quantum machine learning algorithm to get

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where  $C_1, C_2, ...$  = quantum processing (CPTP maps), And  $\mathscr{E} \otimes I$  is the physical experiment to learn.

3. Bob can use  $\rho_{N_Q,\mathscr{E}}$  to predict  $f_{\mathscr{E}}(x) = \operatorname{Tr}(O\mathscr{E}(|x\rangle\!\langle x|))$  to  $\epsilon$ -error, so Bob could determine  $\mathscr{E}$  (bc. of packing net).



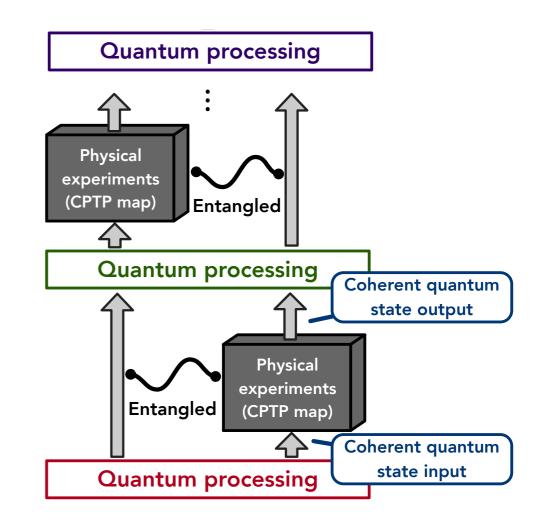
Quantum

Machine Learning

- 1. Alice chooses a CPTP map  ${\mathscr E}$  among packing net  $M^p_{\epsilon}$
- 2. Bob uses the quantum machine learning algorithm to get  $\rho_{N_O,\mathscr{E}}=(\mathscr{E}\otimes I)...C_2(\mathscr{E}\otimes I)C_1(\mathscr{E}\otimes I)(\rho_0).$
- 3. Bob can use  $\rho_{N_O,\mathscr{E}}$  to determine  $\mathscr{E}$  (bc. of packing net).



Mutual information between  $\mathscr{E}\in M^p_\epsilon$  and  $\rho_{N_Q,\mathscr{E}}$  is at least an order of  $\log(|M^p_\epsilon|)$ .



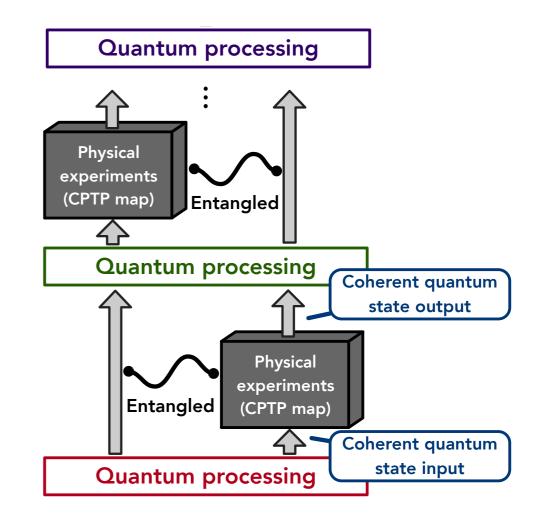
Quantum

Machine Learning

Mutual information between  $\mathscr{E}\in M^p_\epsilon$  and  $\rho_{N_Q,\mathscr{E}}$  is at least an order of  $\log(|M^p_\epsilon|)$ .

$$(\mathscr{E} \otimes I) \dots C_2(\mathscr{E} \otimes I) C_1(\mathscr{E} \otimes I)(\rho_0)$$

Does not increase the information



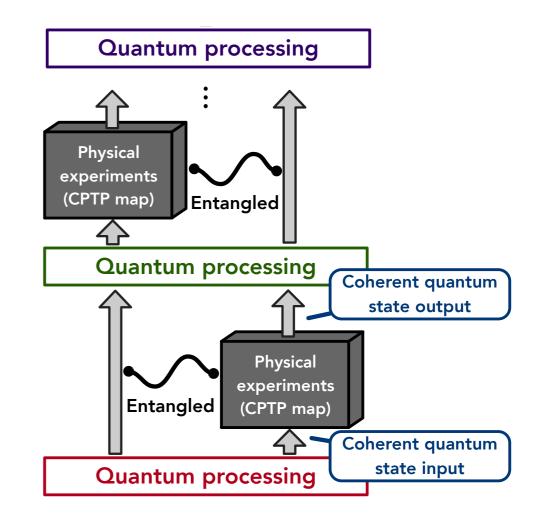
Quantum

Machine Learning

Mutual information between  $\mathscr{E}\in M^p_\epsilon$  and  $\rho_{N_Q,\mathscr{E}}$  is at least an order of  $\log(|M^p_\epsilon|)$ .

$$(\mathcal{E} \otimes I)...C_2(\mathcal{E} \otimes I)C_1(\mathcal{E} \otimes I)(\rho_0)$$

Each query increases information by at most order m



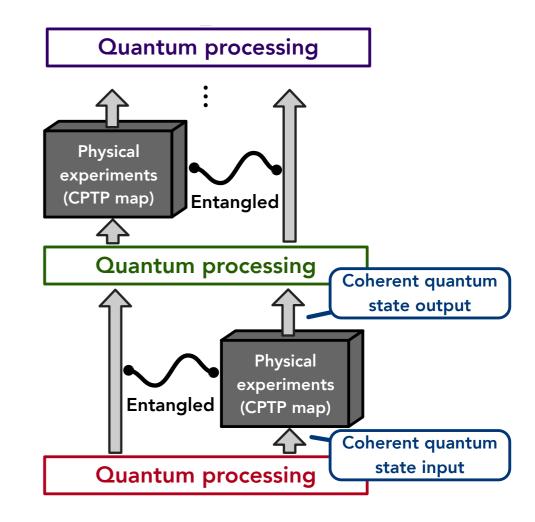
Quantum

Machine Learning

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 $(\mathcal{E} \otimes I) \dots C_2(\mathcal{E} \otimes I) C_1(\mathcal{E} \otimes I)(\rho_0)$ 

Mutual information is upper bounded by order  $mN_Q$ 



Quantum

Machine Learning

Mutual information between  $\mathscr{E}\in M^p_\epsilon$  and  $\rho_{N_Q,\mathscr{E}}$  is at least an order of  $\log(|M^p_\epsilon|)$ .

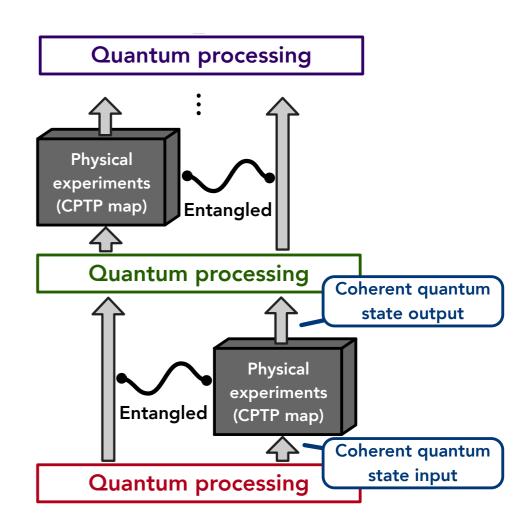


$$(\mathscr{E} \otimes I) \dots C_2(\mathscr{E} \otimes I) C_1(\mathscr{E} \otimes I)(\rho_0)$$

Mutual information is upper bounded by order  $mN_Q$ 



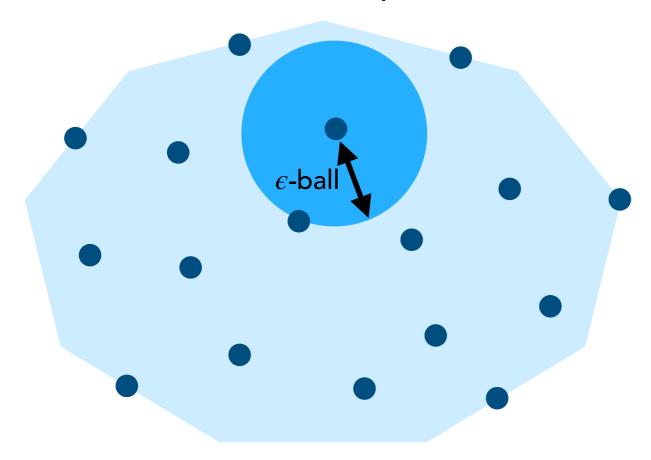
$$N_O \ge \Omega(\log(|M_{\epsilon}^p|)/m)$$



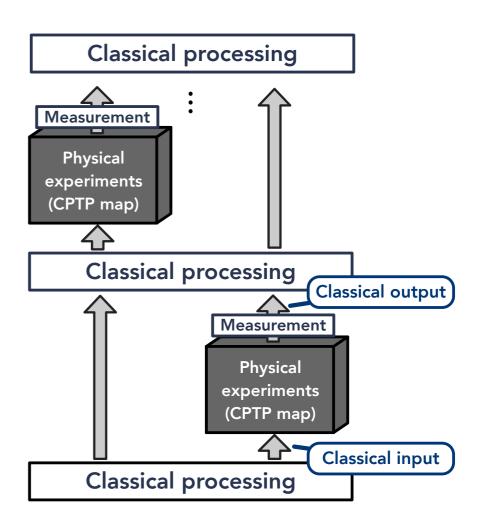
Quantum

Machine Learning

The set of CPTP maps  $\mathcal{F} = \{\mathcal{E}\}\$ 

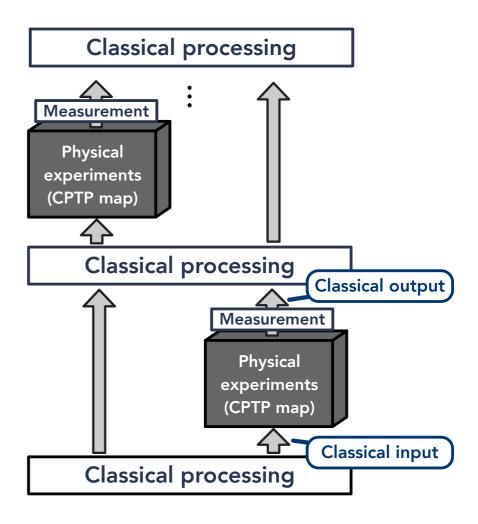


Construct the maximum packing net  $M_{\epsilon}^p$   $M_{\epsilon}^p$  covers the entire set  $\mathscr{F}$  with  $\epsilon$ -ball.



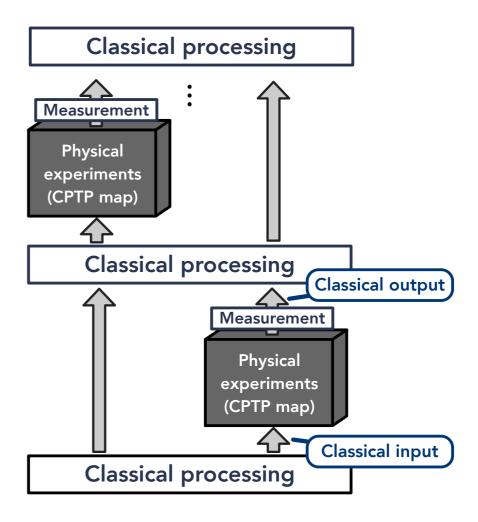
Classical
Machine Learning

1. Randomly select inputs  $x_1, ..., x_{N_C}$  from distribution  $\mathcal{D}$ 



Classical Machine Learning

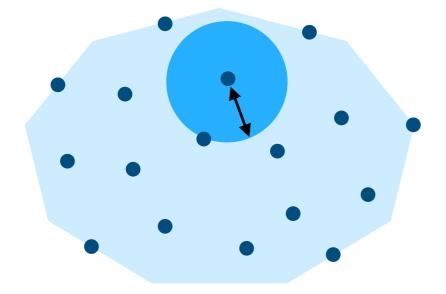
- 1. Randomly select inputs  $x_1, ..., x_{N_C}$  from distribution  $\mathcal{D}$
- 2. Measure observable O on the output state of the CPTP map that takes in input  $x_i$  to obtain outcome  $o_i$ .

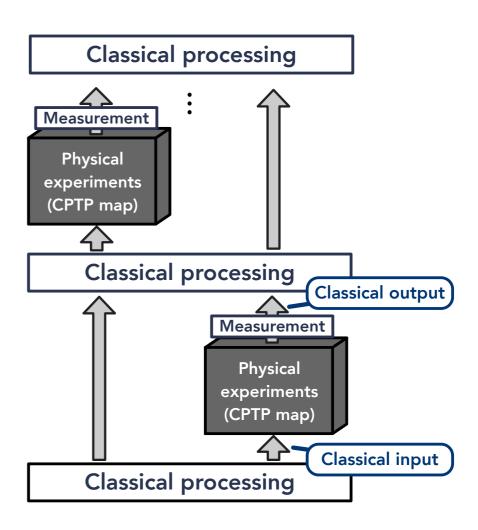


Classical Machine Learning

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- 3. Output the function  $h_{\mathbf{C}}$  from  $M_{\epsilon}^p$  that minimizes

$$\frac{1}{N_C} \sum_{i=1}^{N_C} |h_C(x_i) - o_i|^2.$$





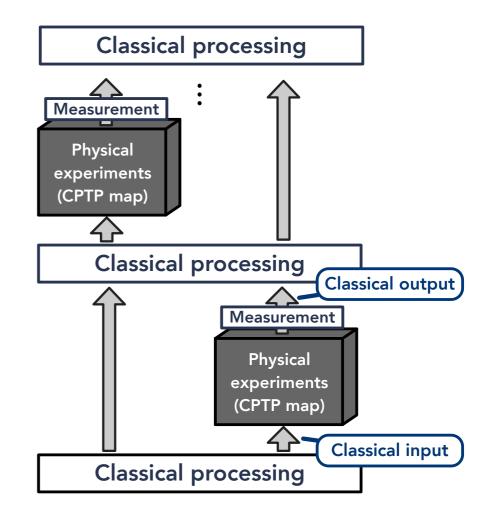
Classical
Machine Learning

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Prediction error 
$$\mathbb{E}_{x \sim \mathcal{D}} \left| h_{\mathbf{C}}(x) - f_{\mathcal{E}}(x) \right|^2 \leq \mathcal{O}(\epsilon)$$
 using  $N_C = \mathcal{O}(\log(|M_\epsilon^p|)/\epsilon)$ .

A proper/complicated statistical analysis gives this.



Classical
Machine Learning

## Proof idea: Combining the two bounds

#### Quantum lower bound

 $N_O \ge \Omega(\log(|M_{\epsilon}^p|)/m).$ 

#### Classical upper bound

$$N_C \leq \mathcal{O}(\log(|M_{\epsilon}^p|)/\epsilon).$$

$$N_C \leq \mathcal{O}(mN_Q/\epsilon)$$
.

#### Theorem (Huang, Kueng, Preskill; 2021 [1])

Consider any observable O, any family of CPTP maps  $\mathscr{F} = \{\mathscr{E}\}$  with n-qubit input and m-qubit output, and any input distribution  $\mathscr{D}$ .

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$$\mathbb{E}_{x \sim \mathcal{D}} \left| h_{\mathbf{C}}(x) - f_{\mathcal{E}}(x) \right|^2 \leq \mathcal{O}(\epsilon).$$

### Implication of $N_{\rm C} \leq \mathcal{O}(mN_{\rm Q}/\epsilon)$

- Quantum ML can perform better than classical ML when  $\epsilon$  is small or when m is large.
- This can still be useful in practice!
- But the advantage in query complexity is limited as above in any quantum problem.

### Implication of $N_{\rm C} \leq \mathcal{O}(mN_{\rm Q}/\epsilon)$

- ullet The Quantum ML setting requires coherent accesses to  $\mathcal E$  + large quantum memory.
- ullet The Classical ML setting only use fixed measurement after each  ${\mathscr E}$  + large classical memory.
- Quantum ML setting may likely only be available far in the future.
- Classical ML setting is just as powerful after getting moderately more data. And is readily available.

### Non-Implication of $N_{\rm C} \leq \mathcal{O}(mN_{\rm Q}/\epsilon)$

- ML models trained on classical computers are computationally as powerful as those running on quantum computers?
- No! We only consider query complexity, not computational complexity.
- We can consider quantum computers running in the classical ML setting (learning only from measurement data stored in classical memory).
- Quantum computers can optimize/compute faster than classical computers! E.g., see [2] for discussion on computational complexity.
- [1] Information-theoretic bounds on quantum advantage in machine learning, arXiv:2101.02464.
- [2] Power of data in quantum machine learning, arXiv:2011.01938.

### Non-Implication of $N_{\rm C} \leq \mathcal{O}(mN_{\rm Q}/\epsilon)$

- ML models trained on classical computers are computationally as powerful as those running on quantum computers?
- No! We only consider query complexity, not computational complexity.
- We can consider quantum computers running (learning only from measurement data store)

Complexity class of classical ML algorithms trained on data is strictly bigger than BPP

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- [1] Information-theoretic bounds on quantum advantage in machine learning, arXiv:2101.02464.
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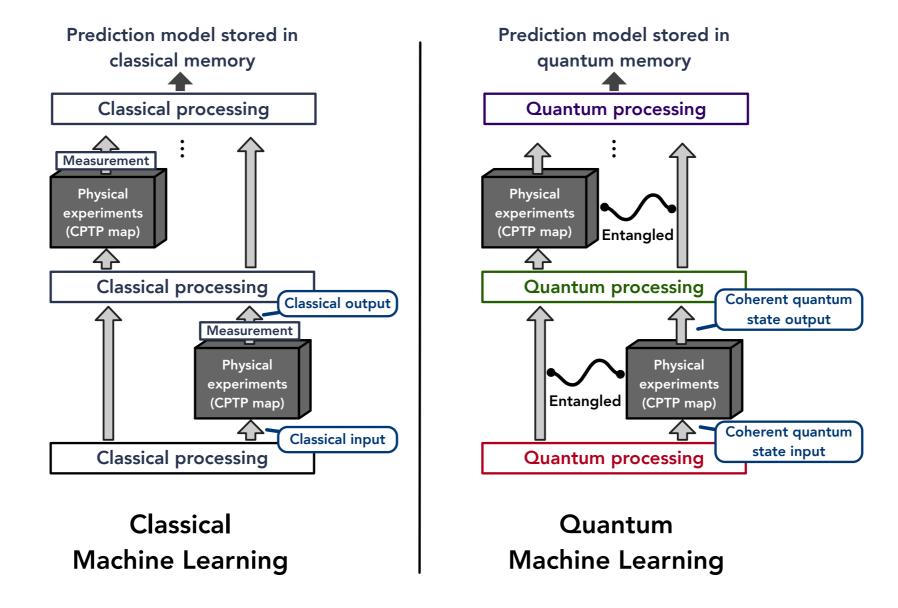
### Implication of $N_{\rm C} \leq \mathcal{O}(mN_{\rm Q}/\epsilon)$

- Classical ML setting is just as powerful as quantum ML setting after getting moderately more data.
- Quantum computers can optimize/compute ML models faster than classical computers.
- => Near-term quantum devices + classical computers may be able to address challenging quantum problems in physics/chemistry.

- The theorem holds only for average-case prediction error.
- Other measures of prediction error (e.g., worst-case) admits provable exponential advantage.

$$\max_{x} \left| h(x) - f_{\mathscr{C}}(x) \right|^{2} \text{ instead of } \mathbb{E}_{x \sim \mathscr{D}} \left| h(x) - f_{\mathscr{C}}(x) \right|^{2}$$

We give an example where the CPTP map takes no input.



- The physical experiment prepares an unknown quantum system and we want to predict expectation values of Pauli observables on the unknown quantum system.
- ullet The input x describes which Pauli observable we would like to predict.
- The output  $f_{\mathcal{E}}(x)$  is the expectation of the Pauli observable on the unknown quantum system.
- Goal: Learn a model h(x) such that  $h(x) \approx f_{\mathscr{E}}(x)$ .

#### What we know so far:

We can always achieve an average prediction error

$$\mathbb{E}_{x \sim \mathcal{D}} \left| h_{\mathcal{C}}(x) - f_{\mathcal{E}}(x) \right|^2 \le \mathcal{O}(\epsilon)$$

with a classical ML that uses a number of experiments similar to the optimal quantum ML.

#### **But what about:**

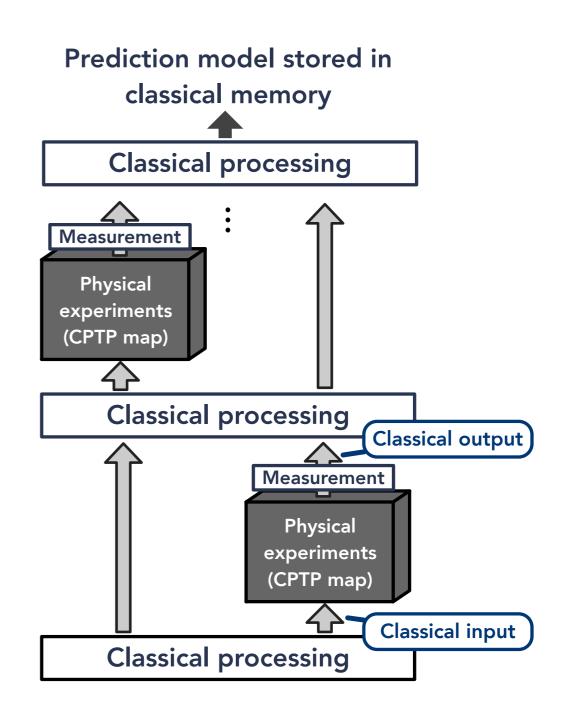
Can we achieve a worst-case prediction error

$$\max_{x} \left| h_{\mathbf{C}}(x) - f_{\mathcal{E}}(x) \right|^{2} \le \mathcal{O}(\epsilon)$$

with a classical ML that uses a number of experiments similar to the optimal quantum ML?

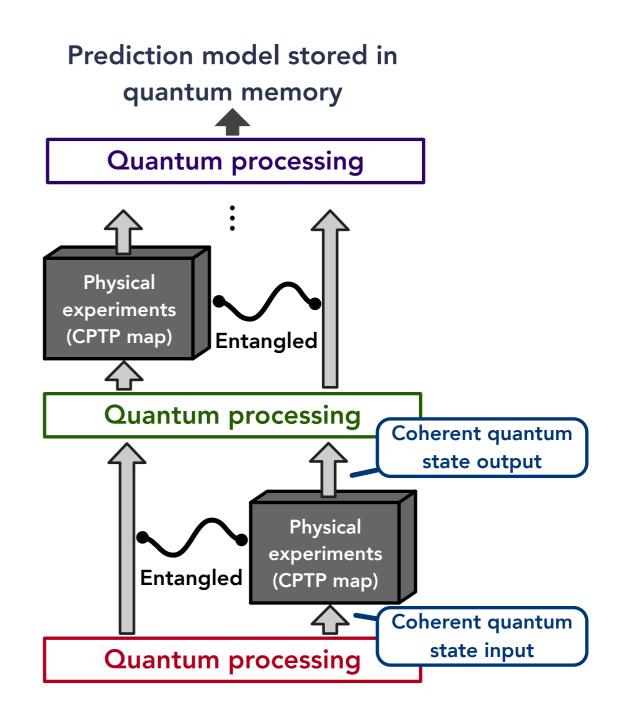
#### **Classical ML setting**

- It can perform arbitrary POVM measurement on the physical system (adaptively).
- Then analyze classical measurement data.



#### **Quantum ML setting**

- It can store quantum information from each physical experiment coherently in quantum memory.
- Then perform quantum data analysis on the quantum data.



#### Where could quantum advantage come from?

Classical ML suffers from uncertainty principle, especially when many observables are highly incompatible.

Quantum ML can store data in quantum memory and access higher-order function of the physical world, e.g.,  $\rho^{\otimes k}$ .

Quantum memory enables the ability to reduce the effect of uncertainty principle [\*, \*\*].

- [\*] Shadow tomography of quantum states.
- [\*\*] The uncertainty principle in the presence of quantum memory.
- [1] Information-theoretic bounds on quantum advantage in machine learning, arXiv:2101.02464.

- The input x describes which Pauli observable we would like to predict.
- The output  $f_{\mathcal{E}}(x)$  is the expectation of the Pauli observable on the unknown quantum system.
- Lower bound:  $\Omega(2^n)$  is necessary to predict all Pauli observables for classical ML (or any conventional experiments).
- **Upper bound**:  $\mathcal{O}(n)$  is sufficient to predict all Pauli observables for quantum ML (based on a simple quantum algorithm).

### Classical lower bound

- Lower bound:  $\Omega\left(2^{n/3}\right)$  is necessary to predict all Pauli observables for the classical ML setting (i.e., adaptive single-copy measurement protocols).
- Consider a subset of states of the form  $(I + P)/2^n$ , where P is a tensor product of Pauli-X/Y/Z observable.
- If we can predict all Pauli observables, then we can discriminate completely mixed state vs one of the above states.
- The informationally maximal POVM is  $\{w_i|\psi_i\rangle\langle\psi_i|\}$ . A complicated information-theoretic proof shows that because

$$\frac{1}{4^n} \sum_{P} \langle \psi | P | \psi \rangle^2 = \frac{1}{2^n}, \text{ (a signature of high incompatibility)}$$

we need at least  $\Omega\left(2^{n/3}\right)$  measurements.

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 we need at least  $\Omega\left(2^{n/3}\right)$  measurements.

The same proof can be extended to any set of traceless observables,

$$\frac{1}{N_O} \sum_{O} \langle \psi | O | \psi \rangle^2 = \delta, \text{ (a signature of high incompatibility)}$$

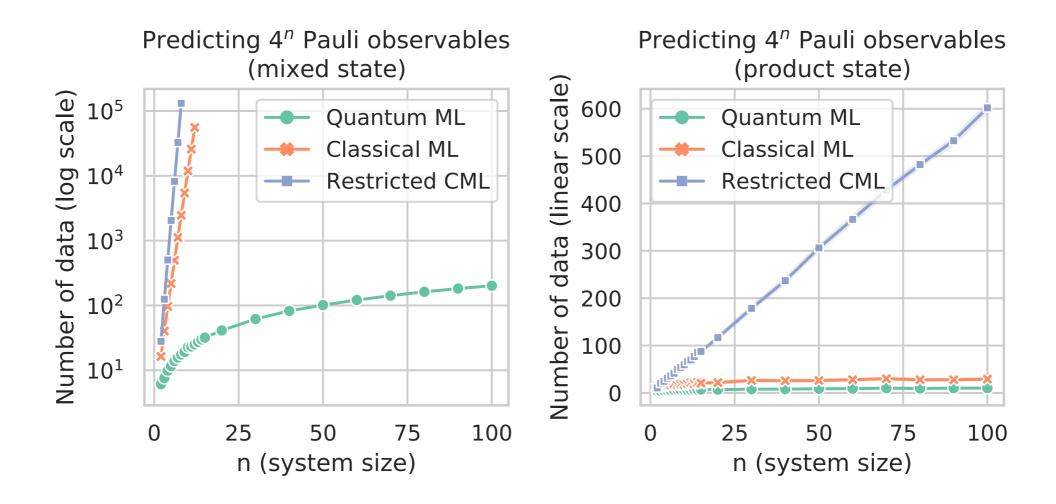
implies at least  $\Omega(\delta^{-1/3})$  measurements to predict the set of observables.

### Quantum upper bound

- **Upper bound**:  $\mathcal{O}(n)$  is sufficient to predict all Pauli observables for quantum ML (based on a simple quantum algorithm).
- Two level protocol:
- Estimate  $|\operatorname{Tr}(P\rho)|^2 P \otimes P$  commutes for all pair of P, so we can simultaneously measure  $(P \otimes P)$  on  $\rho \otimes \rho$ . And note that  $\operatorname{Tr}((P \otimes P)(\rho \otimes \rho)) = \operatorname{Tr}(P\rho)^2$ .
- Estimate  $sign(Tr(P\rho))$  Only consider P with  $|Tr(P\rho)| > \varepsilon/2$ . Perform coherent majority vote on n copies of  $\rho$ . This will not disturb the state much because the outcome happens with very high probability.

### Worst-case prediction error

Numerical experiments that achieve exponential advantage.



### Conclusion

- A fundamental limit on quantum advantage in data efficiency for achieving average-case prediction error.
- An exponential separation between classical and quantum ML setting for achieving worst-case prediction error.