Computational Imaging: Reconciling **Physical** and **Learned** Models

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People with no idea about AI saying it will take over the world:

My Neural Network:







...

Result

50D

750

1000





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Prast: Focus on hardware for image formation



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 $\Rightarrow \mathbf{s} \approx \mathbf{H}^{-1} \mathbf{y} \approx \mathbf{H}^{-1} \mathbf{y}$

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Past: Focus on hardware for image formation



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■ The easy scenario scenario

y = Hs + r





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Past: Focus on hardware for image formation



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Inversepselpteobtein soio-bitagingging

Lineaking and problem: generate y from $x_{HS} + H_{S} + n$



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Backprojectionection(proorsmonteion) $\approx \mathbf{H}^T \mathbf{y} \mathbf{H}^T \mathbf{y}$



Source: Michael Unser

The vast majority of imaging problems can be formulated as inverse problems

| iations |
|--------------------------------|
| cone beam |
| ld, confocal, t sheet |
| construction, oidal patters |
| th time-of-flight |
| r nonuniform g in k-space |
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| aphy or gating erometry |
| |











Longer data collection leads to **better images**, but it implies more **discomfort for patients**







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Liu *et al.*, "RARE: Image Reconstruction using Deep Priors Learned without Ground Truth," *IEEE J. Sel. Topics Signal Process.*, October 2020



Example: Tomographic imaging forms a single volumetric image from multiple projections



Example: Tomographic imaging forms a single volumetric image from multiple projections



but also **higher x-ray radiation dose**





Example: Tomographic imaging forms a single volumetric image from multiple projections



More projections lead to **better images**, but also **higher x-ray radiation dose**













Challenge #1: Acquisition is too slow for some applications Due to sequential and indirect acquisition of data





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Challenge #2: Reconstructed images contain artifacts: Due to undersampling, model mismatch, and noise





Challenge #1: Acquisition is too slow for some applications Due to sequential and indirect acquisition of data

Challenge #2: Reconstructed images contain artifacts: Due to undersampling, model mismatch, and noise

Challenge #3: High computational/memory requirements: Due to large volumes of data to process



Outline for the rest of the talk

- Regularization by Artifact Removal (RARE) Integrating physical models and learned deep priors
- Efficient model-based deep learning (SGD-Net)
 Approximating physical layers for complexity gains



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Example: Train a deep neural net to remove artifacts from an image





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Question: What are some advantages of this approach?



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Advantage #1: Very easy to implement and deploy

Use existing deep learning frameworks and architectures



Example: Train a deep neural net to remove artifacts from an image



Question: What are some advantages of this approach?

Advantage #1: Very easy to implement and deploy

Advantage #2: Very fast at test time

Simple pass through CNN (seconds) vs. optimization (hours)



Example: Train a deep neural net to remove artifacts from an image



Question: What are some advantages of this approach?

Advantage #1: Very easy to implement and deploy

Advantage #2: Very fast at test time

Advantage #3: No need to explicitly model anything

Everything is learned automatically from data



Example: Train a deep neural net to remove artifacts from an image



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Example: Train a deep neural net to remove artifacts from an image



Question: What are some **limitations** of this approach?



Example: Train a deep neural net to remove artifacts from an image



Question: What are some limitations of this approach?

Limitation #1: Does not allow for efficient **model adaptation** One must **retrain** the model, which is computationally expensive!



Example: Train a deep neural net to remove artifacts from an image



Question: What are some limitations of this approach?

Limitation #1: Does not allow for efficient model adaptation

Limitation #2: Needs ground truth for training the CNN

Limits applicability to some important imaging problems



Example: Train a deep neural net to remove artifacts from an image



Question: What are some limitations of this approach?

Limitation #1: Does not allow for efficient model adaptation

Limitation #2: Needs ground truth for training the CNN

Limitation #3: Does not exploit known physical models Why re-learn something we know?



Example: Train a deep neural net to remove artifacts from an image



Question: What are some limitations of this approach?

Limitation #1: Does not allow for efficient model adaptation

Limitation #2: Needs ground truth for training the CNN

Limitation #3: Does not exploit known physical models



Idea: Address limitations by combining model-based optimization and deep learning



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Idea: Pre-train an artifact removing CNN on a dataset of images





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Combine the CNN with the **physical-model of the instrument**

Example data fit: $g_{\phi}(x) = \frac{1}{2} \|y - H_{\phi}x\|_2^2$ Includes the physical model of the imaging instrument

The **data-fidelity term** measures the distance between the actual measurements and predicted ones!



Idea: Pre-train an artifact removing CNN on a dataset of images



Combine the CNN with the physical-model of the instrument

Question: How an we use information from both the data-fidelity term and the CNN?

Example data fit: $g_{oldsymbol{\phi}}(oldsymbol{x}) = rac{1}{2} \|oldsymbol{y} - oldsymbol{H}_{oldsymbol{\phi}} oldsymbol{x}\|_2^2$

 $\phi =$ parameters of the measurement model heta = parameters of the CNN



Idea: Pre-train an artifact removing CNN on a dataset of images



RARE #1: Based on **Regularization by Denoising (RED)**

$$\boldsymbol{x}^t \leftarrow \boldsymbol{x}^{t-1} - \gamma \mathsf{G}(\boldsymbol{x}^{t-1})$$

"gradient" descent

$$\mathsf{G}(\boldsymbol{x}) \coloneqq
abla g_{\boldsymbol{\phi}}(\boldsymbol{x}) + rac{ au(\boldsymbol{x} - \mathsf{R}_{\boldsymbol{ heta}}(\boldsymbol{x}))}{ au(\boldsymbol{x} - \mathsf{R}_{\boldsymbol{ heta}}(\boldsymbol{x}))}$$

improvereduce imagedata fitartifacts

Example data fit: $g_{oldsymbol{\phi}}(oldsymbol{x}) = rac{1}{2} \|oldsymbol{y} - oldsymbol{H}_{oldsymbol{\phi}} oldsymbol{x}\|_2^2$

Romano *et al.*, "The Little Engine That Could: Regularization by Denoising," *SIAM J. Imaging Sci.*, vol. 10, no. 4, 2017



Idea: Pre-train an artifact removing CNN on a dataset of images



RARE #1: Based on Regularization by Denoising (RED)

 $\boldsymbol{x}^t \leftarrow \boldsymbol{x}^{t-1} - \gamma \mathsf{G}(\boldsymbol{x}^{t-1}) \qquad \qquad \mathsf{G}(\boldsymbol{x}) \coloneqq \nabla g_{\boldsymbol{\phi}}(\boldsymbol{x}) + \tau(\boldsymbol{x} - \mathsf{R}_{\boldsymbol{\theta}}(\boldsymbol{x}))$

RARE #2: Based on Plug-and-Play Priors (PnP)

 $oldsymbol{x}^t \leftarrow \mathsf{R}_{oldsymbol{ heta}}(oldsymbol{z}^t) ~~ egin{array}{c} ext{reduce image} \ ext{artifacts} \ ext{artifacts} \end{array}$

Venkatakrishnan *et al.*, "Plug-and-Play Priors for Model Based Reconstruction," *Proc. IEEE GlobalSIP*, pp. 945-948, December 2013.

Kamilov *et al.*, "A Plug-and-Play Priors Approach for Solving Nonlinear Imaging Inverse Problems," *IEEE Signal Process. Lett.*, 2017



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abla g_{oldsymbol{\phi}}(oldsymbol{x}^{t-1})$$

RARE leverages **DL-priors** while controlling **fidelity to data!**





Suppose there exists a vector that satisfies

 $x^* \in \operatorname{\mathsf{Zer}}(
abla g) \cap \operatorname{\mathsf{Fix}}(\mathsf{R})$

$$\mathsf{Zer}(\nabla g) = \{ \boldsymbol{x} \in \mathbb{R}^n : \nabla g(\boldsymbol{x}) = \boldsymbol{0} \} \qquad \mathsf{Fix}(\mathsf{R}) = \{ \boldsymbol{x} \in \mathbb{R}^n : \boldsymbol{x} = \mathsf{R}(\boldsymbol{x}) \}$$



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Question: How can we interpret such a vector?



Suppose there exists a vector that satisfies

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abla g) \cap \mathsf{Fix}(\mathsf{R})$

$$\mathsf{Zer}(\nabla g) = \{ \boldsymbol{x} \in \mathbb{R}^n : \nabla g(\boldsymbol{x}) = \boldsymbol{0} \}$$

Consistent with the measurements

 $\mathsf{Fix}(\mathsf{R}) = \{ \boldsymbol{x} \in \mathbb{R}^n : \boldsymbol{x} = \mathsf{R}(\boldsymbol{x}) \}$

Artifact-free according to the prior



Suppose there exists a vector that satisfies

 $\boldsymbol{x}^* \in \mathsf{Zer}(\nabla g) \cap \mathsf{Fix}(\mathsf{R})$

Consider the following assumptions

Assumption 1. The function g is convex and L-Lipschitz continuous.

Assumption 2. The AR operator R is a contraction.

Definition 1. We say that an operator S is λ -Lipschitz continuous if $\|S(x) - S(y)\|_2 \leq \lambda \|x - y\|_2$, for all $x, y \in \mathbb{R}^n$.



Suppose there exists a vector that satisfies

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Consider the following assumptions

Assumption 1. The function g is convex and L-Lipschitz continuous.

Assumption 2. The AR operator R is a contraction.

Theorem 1. Both variants of RARE (based on PnP and RED) converge to a vector in $Zer(\nabla g) \cap Fix(R) = Fix(T) = Zer(G)$, where $T = R(I - \gamma \nabla g)$.

PnP and RED are equivalent under the assumptions above!



Artifact2Artifact (A2A) is a technique for training CNN priors for RARE without ground truth



Artifact2Artifact (A2A) is a technique for training CNN priors for RARE without ground truth

Consider multiple independent views for each object (**examples**: radial lines in MRI, projections in CT)



Artifact2Artifact (A2A) uses two independent acquisitions of the same object as training labels for the AR prior!

Application: Reconstructing 10 motion phases from a 1 minute free-breathing MRI scan











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- Regularization by Artifact Removal (RARE) Integrating physical models and learned deep priors
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 Approximating physical layers for complexity gains





Wu *et al.*, "SIMBA: Scalable Inversion in Optical Tomography using Deep Denoising Priors," *IEEE J. Sel. Topics Signal Process.*, October 2020

Sun *et al.*, "A Provably Convergent Asynchronous Block Parallel Stochastic Method using Deep Denoising Priors," *Proc. ICLR*, May 2021



Traditional PnP/RED algorithms are use all the data at every iteration, which **significantly limits their scalability**



Large measurement challenge: 10²–10⁶ measurements, each with 10⁶ pixels

Large image challenge: 3D (space), 4D (space, time), 5D (space, time, spectrum) images with 10⁶–10¹² voxels



Traditional PnP/RED algorithms are use all the data at every iteration, which significantly limits their scalability

Batch data-fidelity:
$$g(\boldsymbol{x}) = \frac{1}{L} \sum_{\ell=1}^{L} g_{\ell}(\boldsymbol{x})$$

Complexity grows with the # of measurements

Example term:
$$g_{\ell}(\boldsymbol{x}) = \frac{1}{2} \|\boldsymbol{y}_{\ell} - \boldsymbol{H}_{\ell} \boldsymbol{x}\|_{2}^{2}$$
 $\boldsymbol{y} = \begin{bmatrix} \boldsymbol{y} & \boldsymbol{y} \\ \boldsymbol{y}_{1} & \boldsymbol{y} \end{bmatrix} \quad \boldsymbol{x} = \begin{bmatrix} \boldsymbol{y} \\ \boldsymbol{y}_{L} \end{bmatrix}$



Traditional PnP/RED algorithms are use all the data at every iteration, which significantly limits their scalability

SIMBA uses only a subset of variables at a time which makes it scalable to datasets that are too large for batch processing





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Batch data-fidelity:
$$g(\boldsymbol{x}) = \frac{1}{L} \sum_{\ell=1}^{L} g_{\ell}(\boldsymbol{x})$$
 Contained the

Complexity grows with the # of measurements

Online data-fidelity:
$$\widehat{g}(\boldsymbol{x}) = \frac{1}{B} \sum_{b=1}^{B} g_{\ell_b}(\boldsymbol{x})$$

Complexity independent from the # of measurements

Example term:
$$g_\ell(\boldsymbol{x}) = \frac{1}{2} \| \boldsymbol{y}_\ell - \boldsymbol{H}_\ell \boldsymbol{x} \|_2^2$$



Traditional PnP/RED algorithms are use all the data at every iteration, which significantly limits their scalability

SIMBA uses only a subset of variables at a time which makes it scalable to datasets that are too large for batch processing

RARESIMBAseasonly B << L
measurements per iteration
$$\nabla g(\boldsymbol{x}^{k-1}) \leftarrow \text{FullGradient}(\boldsymbol{x}^{k-1})$$
 $\widehat{\nabla}g(\boldsymbol{x}^{k-1}) \leftarrow \text{MinibatchGradient}(\boldsymbol{x}^{k-1})$ $G(\boldsymbol{x}^{k-1}) \leftarrow \nabla g(\boldsymbol{x}^{k-1}) + \tau(\boldsymbol{x}^{k-1} - \mathsf{R}_{\theta}(\boldsymbol{x}^{k-1}))$ $\widehat{\nabla}g(\boldsymbol{x}^{k-1}) \leftarrow \widehat{\nabla}g(\boldsymbol{x}^{k-1}) + \tau(\boldsymbol{x}^{k-1} - \mathsf{R}_{\theta}(\boldsymbol{x}^{k-1}))$ $\boldsymbol{x}^k \leftarrow \boldsymbol{x}^{k-1} - \gamma \mathsf{G}(\boldsymbol{x}^{k-1})$ $\boldsymbol{x}^k \leftarrow \boldsymbol{x}^{k-1} - \gamma \mathsf{G}(\boldsymbol{x}^{k-1})$ Example term: $g_{\ell}(\boldsymbol{x}) = \frac{1}{2} ||\boldsymbol{y}_{\ell} - \boldsymbol{H}_{\ell}\boldsymbol{x}||_2^2$


SIMBA decomposes a large-scale imaging problem into a sequence of partial updates

Traditional PnP/RED algorithms are use all the data at every iteration, which significantly limits their scalability

SIMBA uses only a subset of variables at a time which makes it scalable to datasets that are too large for batch processing

Theorem 1. Run SIMBA for $t \ge 1$ iterations under Assumptions 1-3 using a fixed step-size $0 < \gamma \le 1/(L+2\tau)$ and a fixed minibatch size B = t. Then, we have

$$\mathbb{E}\left[\frac{1}{t}\sum_{k=1}^{t} \|\mathsf{G}(\boldsymbol{x}^{k-1})\|_{2}^{2}\right] \leq \frac{C}{\sqrt{t}},$$

where C > 0 is a constant.

This convergence behavior is similar to that of SGD

Wu *et al.*, "SIMBA: Scalable Inversion in Optical Tomography using Deep Denoising Priors," *IEEE J. Sel. Topics Signal Process.*, October 2020



SIMBA leads to faster image reconstruction when using several processing cores



SIMBA leads to faster image reconstruction when using several processing cores



Accelerations of up to 8.4x for image reconstruction in compressive sensing

Sun *et al.*, "A Provably Convergent Asynchronous Block Parallel Stochastic Method using Deep Denoising Priors," *Proc. ICLR*, May 2021



SIMBA leads to better image quality when combined with deep priors



Significant improvements in sectioning capability in **Intensity Diffraction Tomography (IDT)**

Wu *et al.*, "SIMBA: Scalable Inversion in Optical Tomography using Deep Denoising Priors," *IEEE J. Sel. Topics Signal Process.*, October 2020



SIMBA is as good as full RARE





An **"optimal"** artifact-removal CNN can be designed by unfolding truncated RARE and training it end-to-end



Limitation: Computational and GPU-memory complexities of data-consistency layers scale with the number of projections!



An "optimal" artifact-removal CNN can be designed by unfolding truncated RARE and training it end-to-end

SGD-Net improves scalability of training and testing by directly unfolding SIMBA that processes data in minibatches





An "optimal" artifact-removal CNN can be designed by unfolding truncated RARE and training it end-to-end



Training accelerations of up to 2x for the same image quality in IDT and CT!



SGD-Net can significantly reduce the training time and the usage of GPU-memory



| Metric | ic SNR | | | SSIM | | | | Sizo | Timo | |
|-----------------------|--------|-------|-------|-------|-------|-------|-------------|-------------------|---------------|--|
| Input-SNR (dB) | 20-5 | 20 | 20+5 | 20-5 | 20 | 20+5 | #Iterations | Model/Measurement | CPU/GPU | |
| TV | 24.26 | 24.31 | 24.39 | 0.887 | 0.890 | 0.891 | 250 | ——/1.01 GB | 87.58s/10.66s | |
| U-Net | 24.27 | 24.33 | 24.35 | 0.887 | 0.889 | 0.889 | _ | 118.2 MB/ | 0.925s/0.012s | |
| ISTA-Net ⁺ | 24.39 | 24.41 | 24.47 | 0.889 | 0.890 | 0.890 | 12 | 6.90 MB/1.01 GB | 18.36s/0.402s | |
| RED-DnCNN | 24.54 | 24.61 | 24.67 | 0.890 | 0.892 | 0.893 | 220 | 2.29 MB/1.01 GB | 197.5s/4.144s | |
| SGD-Net (40) | 24.84 | 24.94 | 24.96 | 0.896 | 0.899 | 0.901 | 8 | 29.6 MB/0.17 GB | 7.443s/0.322s | |
| SGD-Net (120) | 24.87 | 24.93 | 24.94 | 0.898 | 0.899 | 0.900 | 8 | 29.6 MB/0.51 GB | 16.51s/0.617s | |
| U-RED | 24.89 | 24.93 | 24.94 | 0.898 | 0.899 | 0.900 | 8 | 29.6 MB/1.01 GB | 31.23s/0.943s | |

Intensity Diffraction Tomography (IDT) with 240 measurements!

SGD-Net reduces complexity of model-based deep learning, while offering comparable or better imaging quality



SGD-Net is competitive in terms of image quality with some of the best deep learning methods

| | Metric | Method | | | | | | | | |
|-------|--------|----------|-------|-----------------|---------------|---------------------------|------------------|------------------|--------|--|
| Views | | FBP | TV | U-Net | RED- DnCNN | ISTA- Net ⁺ | SGD- Net (30) | SGD- Net (60) | U-RED | |
| 90 | SNR | 17.56 | 30.09 | 31.17 | 31.93 | 32.01 | 32.76 | 32.88 | 32.87 | |
| | SSIM | 0.362 | 0.924 | 0.930 | 0.935 | 0.934 | 0.942 | 0.943 | 0.943 | |
| 120 | SNR | 20.03 | 31.23 | 32.54 | 33.13 | 33.17 | 33.91 | 33.95 | 34.01 | |
| | SSIM | 0.449 | 0.929 | 0.936 | 0.941 | 0.940 | 0.948 | 0.949 | 0.950 | |
| 180 | SNR | 23.19 | 32.97 | 34.04 | 34.49 | 34.61 | 35.44 | 35.46 | 35.46 | |
| | CCIM | 11 0 592 | 0 0/0 | 0.048 | 0.950 | 0.951 | 0.957 | 0.958 | 0.958 | |
| | | | | $\overline{1s}$ | 460.3s | 15.95s | 11.56s | 13.31s | 20.72s | |
| | | | | 78 | 5 1778 | 0331s | 0.2698 | 0.278s | 0.3258 | |



Sparse-view Computerized Tomography (CT)

del-based deep learning,
 etter imaging quality



SGD-Net is competitive in terms of image quality with some of the best deep learning methods



ISTA-Net+







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One more thing: How does RARE compare with the methods based on using **generative models** as priors?





Recovery Analysis for Plug-and-Play Priors using the Restricted Eigenvalue Condition

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J. Liu, S. Asif, B. Wohlberg, and U. S. Kamilov, "Recovery Analysis for Plug-and-Play Priors using the Restricted Eigenvalue Condition", arXiv:2106.03668, 2021



Ground truth



RED (denoising)



PULSE

PnP (denoising)

34.99





Table 3: Average PSNR (dB) values for several algorithms on test images from CelebA HQ.

- 1. AR is better than AWGN (expected!)
- 2. RED is nearly equivalent to PnP (somehow surprisi
- 3. PnP (AR) is competitive with PULSE and ILO (surpri

| CS Ratio Method | 10% | 20% | 30% | 40% | 50% |
|--------------------|-------|-------|-------|-------|-------|
| TV | 32.13 | 35.24 | 37.41 | 39.35 | 41.29 |
| PULSE [34] | 27.45 | 29.98 | 33.06 | 34.25 | 34.77 |
| ILO [35] | 36.15 | 40.98 | 43.46 | 47.89 | 48.21 |
| RED (denoising) | 35.46 | 41.59 | 45.65 | 48.13 | 52.17 |
| PnP (denoising) | 35.61 | 41.51 | 45.71 | 48.05 | 52.24 |
| PnP (AR) | 39.19 | 44.20 | 48.66 | 51.32 | 53.89 |





Ground truth

PULSE



RED (denoising)





Table 3: Average PSNR (dB) values for several algorithms on test images from CelebA HQ.

CS Ratio 10% 50% 20% 30% 40% Method TV 32.13 35.24 37.41 39.35 41.29 **PULSE [34]** 27.45 33.06 34.77 29.98 34.25 ILO [35] 36.15 40.98 43.46 47.89 48.21 **RED** (denoising) 35.46 41.59 48.13 52.17 45.65 PnP (denoising) 35.61 41.51 45.71 48.05 52.24 PnP (AR) 39.19 44.20 48.66 51.32 53.89







- AR is better than AWGN (expected!) 1.
- RED is nearly equivalent to PnP (somehow surprising!) 2.
- 3. PnP (AR) is competitive with PULSE and ILO (surprising!)



To conclude

- We increasingly rely on deep learning for characterizing complex high-dimensional statistical distributions
- RARE is a theoretically sound algorithm that combines an artifact removing CNN with data consistency
- SGD-Net is a model-based network that uses minibatches to reduce complexity of data-consistency layers

Computational Imaging Group (CIG) at WashU focuses on algorithms and math for imaging



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