Joint program with G. Canepa, A. S. Cattaneo, P. Mnev, N. Reshetikhin, M. Schiavina, Ö. Tetik, K. Wernli

Nima Moshayedi

University of Zurich UC Berkeley

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- 1 The BV-BFV formalism
- ② Globalization of split AKSZ theories
- 3 The Poisson Sigma Model and its globalization
- 4 Higher codimension

#### The BV-BFV formalism

The BV-BFV formalism

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- 1 a d-manifold  $\Sigma$  (a model for space-time),

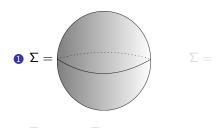
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- **3** an action functional  $S_{\Sigma}: F_{\Sigma} \to \mathbb{R}$

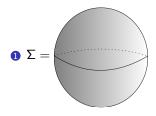
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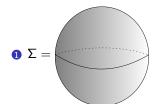
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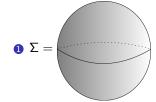
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The BV-BFV formalism 0000000000

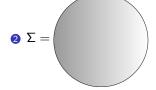


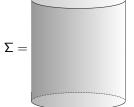


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#### Local functional

The BV-BFV formalism

Locality also tells us, roughly, that we can express e.g. the action as an integral:

$$S_{\Sigma}[\varphi] = \int_{\Sigma} \mathscr{L}(\varphi, \partial \varphi, \ldots), \qquad \varphi \in F_{\Sigma}$$

If  $\mathscr L$  is invariant under local transformation of a Lie group G, we say it has symmetry, and call it a gauge theory.

**Example**: For *electromagnetism* we have  $\mathscr{L} = -\frac{1}{4}F \wedge *F$  where  $F = \mathrm{d}A$  for some 1-form A. Then if we transform  $A \to A' = A + \mathrm{d}\lambda$  for some function  $\lambda$ , we get  $F' = \mathrm{d}A' = \mathrm{d}(A + \mathrm{d}\lambda) = \mathrm{d}A + \underbrace{\mathrm{d}\mathrm{d}\lambda}_{=0} = F$  and hence

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# For the quantum construction we are mainly interested in the *partition* function

$$Z_{\Sigma} = \int_{\varphi \in \mathcal{F}_{\Sigma}} e^{\frac{i}{\hbar} S_{\Sigma}[\varphi]} \mathscr{D}[\varphi].$$

One can make sense of this expression by considering the *perturbative* expansion in terms of Feynman graphs:

$$Z_{\Sigma} \underset{\hbar \to 0}{\approx} \sum_{\text{crit. pts. } \varphi_0 \text{ of } S_{\Sigma}} |\det \partial^2 S_{\Sigma}[\varphi_0]|^{-\frac{1}{2}} \sum_{\Gamma} \hbar^{k_{\Gamma}} \int_{C_{\Gamma}(\Sigma)} Z_{\Gamma},$$

where  $\underset{\hbar\to 0}{\approx}$  means in the  $\hbar\to 0$  asymptotic up to some prefactors,  $C_{\Gamma}(\Sigma)$  is a suitable configuration space of the vertex set of  $\Gamma$  in  $\Sigma$  and  $Z_{\Gamma}$  some differential form.

It can be constructed by the method of stationary phase expansion around critical points  $\varphi_0$  of  $S_{\Sigma}$ .



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#### Batalin-Vilkovisky formalism

To be able to perform the perturbative expansion (stationary phase expansion) we need to make sure that the critical points of  $S_{\Sigma}$  are all isolated, which is never the case for gauge theories.

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Thus, to compute  $Z_{\Sigma}$ , we need to replace the action  $S_{\Sigma}$  by another functional whose critical points are all isolated without changing the value of  $Z_{\Sigma}$ .

There are different methods for dealing with this issue (e.g. Faddeev–Popov, BRST).

We consider a gauge formalism developed by *Batalin* and *Vilkovisky* (BV formalism):

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$$(\mathcal{S}_{\Sigma},\mathcal{S}_{\Sigma})=0.$$

We call the triple  $(\mathcal{F}_{\Sigma}, \omega_{\Sigma}, \mathcal{S}_{\Sigma})$  a *BV theory*.

We are also interested in the Hamiltonian vector field  $Q_{\Sigma}$  of  $\mathcal{S}_{\Sigma}$  of degree +1 which, by definition, satisfies  $(Q_{\Sigma})^2=0$  (cohomological) and  $L_{Q_{\Sigma}}\omega_{\Sigma}=0$  (symplectic).

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In finite dimensions, one can canonically define a second order differential operator  $\Delta$  acting on half-densities on  $\mathcal{F}_{\Sigma}$  such that  $\Delta^2=0,$  called BV Laplacian.

#### Theorem (Batalin–Vilkovisky)

For half-densities f, g we have

- **1** if  $f = \Delta g$  (BV exact), then  $\int_{\mathcal{L}} f = 0$  for any Lagrangian submanifold  $\mathcal{L} \subset \mathcal{F}_{\Sigma}$ ,
- 2 if  $\Delta f = 0$  (BV closed), then  $\frac{d}{dt} \int_{\mathcal{L}_t} f = 0$  for any continuous family of Lagrangian submanifolds  $(\mathcal{L}_t)$ .

For the case of QFT, we want  $f=\mathrm{e}^{\frac{1}{\hbar}\mathcal{S}_{\Sigma}}\rho$ , where  $\rho$  is some non-vanishing,  $\Delta$ -closed reference half-density. Hence we want that the *QME* holds:

$$\Delta e^{\frac{i}{\hbar}S_{\Sigma}}\rho = 0 \iff (S_{\Sigma}, S_{\Sigma}) - 2i\hbar\Delta S_{\Sigma} = 0.$$



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# The BV formalism only works if $\Sigma$ is closed $(\partial \Sigma = \varnothing)$ .

We want to be able to deal with gauge theories in the case where  $\Sigma$  has

 $\Longrightarrow$  Use the BV-BFV formalism developed by Cattaneo–Mnev–Reshetikhir

It is a quantum gauge formalism which couples the *Batalin–Vilkovisky bulk theory* to the *Batalin–Fradkin–Vilkovisky boundary theory* compatible with cutting and gluing in the sense of Atiyah–Segal.

There we consider the quadruple  $(\mathcal{F}_{\partial\Sigma}^{\mathcal{O}}, \omega_{\partial\Sigma}^{\mathcal{O}} = \delta\alpha_{\partial\Sigma}^{\mathcal{O}}, Q_{\partial\Sigma}^{\mathcal{O}}, \mathcal{S}_{\partial\Sigma}^{\mathcal{O}})$  on the boundary  $\partial\Sigma$  which is connected to the bulk theory in a coherent way. We have:

- $\mathcal{F}_{\partial\Sigma}^{\partial}$  is a  $\mathbb{Z}$ -graded supermanifold,
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Choosing a polarization (involutive Lagrangian distribution)  $\mathcal{P}$  on  $\partial \Sigma$ , we assume a splitting

$$\mathcal{F}_{\Sigma} = \mathcal{B}_{\partial \Sigma} \times \mathcal{Y},$$

where  $\mathcal{B}_{\partial\Sigma}:=\mathcal{F}_{\partial\Sigma}^{\partial}/\mathcal{P}$  denotes the (smooth) leaf space for  $\mathcal{P}$ , and  $\mathcal{Y}$  a symplectic complement ( $\omega_{\Sigma}$  constant on  $\mathcal{B}_{\partial \Sigma}$ ).

$$Q_{\Sigma}(S_{\Sigma}) = \pi_{\Sigma}^{*}(2S_{\partial\Sigma}^{\partial} - \iota_{Q_{\partial\Sigma}^{\partial}}\alpha_{\partial\Sigma}^{\partial}) \Longleftrightarrow \frac{1}{2}\iota_{Q_{\Sigma}}\iota_{Q_{\Sigma}}\omega_{\Sigma} = \pi_{\Sigma}^{*}S_{\partial\Sigma}^{\partial}$$

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 $\bullet$  CME  $\Rightarrow$  modified CME:

$$Q_{\Sigma}(\mathcal{S}_{\Sigma}) = \pi_{\Sigma}^{*}(2\mathcal{S}_{\partial\Sigma}^{\partial} - \iota_{Q_{\partial\Sigma}^{\partial}}\alpha_{\partial\Sigma}^{\partial}) \Longleftrightarrow \frac{1}{2}\iota_{Q_{\Sigma}}\iota_{Q_{\Sigma}}\omega_{\Sigma} = \pi_{\Sigma}^{*}\mathcal{S}_{\partial\Sigma}^{\partial},$$

where  $\pi_{\Sigma} \colon \mathcal{F}_{\Sigma} \to \mathcal{F}_{\partial \Sigma}^{\partial}$  is a surjective submersion.

$$(\hbar^2 \Delta_{\mathcal{Y}} + \Omega_{\partial \Sigma}) Z_{\Sigma} = 0$$

BFV boundary operator:

•  $\Omega_{\partial\Sigma}$  is fully described in terms of integrals on  $\partial C_{\Gamma}(\Sigma)$ .

Choosing a polarization (involutive Lagrangian distribution)  $\mathcal{P}$  on  $\partial \Sigma$ , we assume a splitting

$$\mathcal{F}_{\Sigma} = \mathcal{B}_{\partial \Sigma} \times \mathcal{Y},$$

where  $\mathcal{B}_{\partial\Sigma}:=\mathcal{F}_{\partial\Sigma}^{\partial}/\mathcal{P}$  denotes the (smooth) leaf space for  $\mathcal{P}$ , and  $\mathcal{Y}$  a symplectic complement ( $\omega_{\Sigma}$  constant on  $\mathcal{B}_{\partial\Sigma}$ ).

 $\bullet$  CME  $\Rightarrow$  modified CME:

$$Q_{\Sigma}(\mathcal{S}_{\Sigma}) = \pi_{\Sigma}^{*}(2\mathcal{S}_{\partial\Sigma}^{\partial} - \iota_{Q_{\partial\Sigma}^{\partial}}\alpha_{\partial\Sigma}^{\partial}) \Longleftrightarrow \frac{1}{2}\iota_{Q_{\Sigma}}\iota_{Q_{\Sigma}}\omega_{\Sigma} = \pi_{\Sigma}^{*}\mathcal{S}_{\partial\Sigma}^{\partial},$$

where  $\pi_{\Sigma} \colon \mathcal{F}_{\Sigma} \to \mathcal{F}_{\partial \Sigma}^{\partial}$  is a surjective submersion.

 $\bigcirc$  QME  $\Rightarrow$  modified QME:

$$(\hbar^2 \Delta_{\mathcal{Y}} + \Omega_{\partial \Sigma}) Z_{\Sigma} = 0.$$

BFV boundary operator.

 $\Omega_{\partial\Sigma}$  = ordered standard quantization of  $\mathcal{S}_{\partial\Sigma}^{\partial}$ .

Moreover,  $(\Omega_{\partial \Sigma})^2 = 0$ .

# Globalization of split AKSZ theories

Globalization of split AKSZ theories

# AKSZ (*Alexandrov–Kontsevich–Schwarz–Zaboronsky*) theories are a particular type of BV theories.

Fix the following data

- a d-manifold  $\Sigma$ ,
- an exact Hamiltonian dg symplectic manifold (M, ω = dα, Θ) of degree d - 1,
- ullet a BV space of fields  $\mathcal{F}_{\Sigma} = \mathsf{Map}(\mathcal{T}[1]\Sigma, \mathcal{M})$

Using  $\omega$ , one can construct a BV symplectic form  $\omega_{\Sigma}$  on  $\mathcal{F}_{\Sigma}$  which is locally given by

$$\omega_{\Sigma} = \int_{\Sigma} \omega_{\mu\nu}(\Phi) \delta \Phi^{\mu} \wedge \delta \Phi^{\nu}.$$

Moreover, we can construct a BV action by  $S_{\Sigma}$  on  $\mathcal{F}_{\Sigma}$  which is locally given by

$$S_{\Sigma}[\Phi] = \int_{\Sigma} \alpha_{\mu}(\Phi) d_{\Sigma} \Phi^{\mu} + \int_{\Sigma} \Theta(\Phi).$$

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The BV-BFV formalism

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#### Definition (Split AKSZ theory)

We say that an AKSZ theory is *split* if  $\mathcal{M} = T^*[d-1]M$  for some graded manifold M.

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- $\omega_{\Sigma} = \int_{\Sigma} \sum_{i} \delta \mathbf{P}_{i} \wedge \delta \mathbf{X}^{i}$ ,
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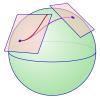
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# Formal geometry

Since we are looking at maps to some target manifold  $\mathcal{M}$ , we want to work with coordinates in a formal neighborhood at each point  $x \in \mathcal{M}$ . Moreover, we want to pass from one coordinate chart to another by changing the base point ⇒ need a connection to formulate a covariant setting.

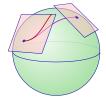


- Gelfand–Fuks.



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Moreover, we want to pass from one coordinate chart to another by changing the base point  $\Rightarrow$  need a connection to formulate a covariant setting.



We will use notions of formal geometry developed by

- Gelfand–Fuks,
- Gelfand–Kazhdan,
- Bott.



# Formal geometry

We consider a map  $\phi \colon T\mathcal{M} \to \mathcal{M}$ ,  $(x,y) \mapsto \phi_x(y)$ , for  $y \in T_x\mathcal{M}$  such that

- $\phi_{x}(0) = 0$ ,
- $d_v \phi_x(0) = id_{T_x \mathcal{M}}$ .

$$\sigma \mapsto \Xi(\sigma), \quad \Xi(\sigma)(x) := \operatorname{Taylor}_{y}(\phi_{x}^{-1})_{*}\sigma.$$

$$d_{x}(\phi^{*}f) = df \circ d_{x}\phi, \qquad d_{y}(\phi^{*}f) = df \circ d_{y}\phi,$$

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We use  $\phi$  to map tensor fields (functions, multivector fields, differential forms) on  $\mathcal{M}$  to formal tensor fields in the vertical fibers parametrized by the base:

$$\sigma \mapsto \Xi(\sigma), \quad \Xi(\sigma)(x) := \operatorname{Taylor}_{\gamma}(\phi_{x}^{-1})_{*}\sigma.$$

In case of a function we have

$$d_x(\phi^*f) = df \circ d_x\phi, \qquad d_y(\phi^*f) = df \circ d_y\phi,$$

so, assuming  $\mathrm{d}_v\phi$  is invertible, we get

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# Grothendieck connection

Hence, if  $\sigma = \phi^* f$  and R is the vector field on the fiber induced by the formal map  $\phi$  as before, we get

$$(\mathrm{d}_x + R)\sigma = 0.$$

$$\mathrm{d}_{\mathsf{x}}\sigma+\mathsf{L}_{\mathsf{R}}\sigma=0.$$

$$H_{D_{G}}^{k} = \begin{cases} \Xi(C^{\infty}(\mathcal{M})) \cong C^{\infty}(\mathcal{M}), & k = 0\\ 0, & k > 0 \end{cases}$$

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### differential Classical Master Equation

Consider again an AKSZ theory with source  $\Sigma$  and target  $\mathcal{M}$ .

$$\widetilde{\mathcal{S}}_{\Sigma} := \int_{\Sigma} \sum_{i} \widehat{\boldsymbol{P}}_{i} \wedge d_{\Sigma} \widehat{\boldsymbol{X}}^{i} + \int_{\Sigma} \Xi(\Theta)(\widehat{\boldsymbol{P}}, \widehat{\boldsymbol{X}}) + \int_{\Sigma} \sum_{k} R^{k} \wedge \widehat{\boldsymbol{P}}_{k},$$

where  $(\widehat{\boldsymbol{P}},\widehat{\boldsymbol{X}})$  denotes a supermap  $T[1]\Sigma \to T_x \mathcal{M}$  and  $R^k$  is the 1-form

$$\mathrm{d}_{\mathsf{x}}\tilde{\mathcal{S}}_{\Sigma} + \frac{1}{2}(\tilde{\mathcal{S}}_{\Sigma}, \tilde{\mathcal{S}}_{\Sigma}) = 0.$$

- CME.
- flatness of  $D_G$ .
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Consider again an AKSZ theory with source  $\Sigma$  and target  $\mathcal{M}$ .

Define the corresponding formal global action:

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### modified differential Quantum Master Equation

If we put everything together, on the quantum level, we get the following theorem:

#### Theorem (Cattaneo–M.–Wernli)

For the global state  $\tilde{Z}_{\Sigma}$  given by the quantization of a formal global split AKSZ theory, the modified differential QME (mdQME) holds:

$$\nabla_{\rm G} \tilde{Z}_{\Sigma} = \left( {\rm d}_x - {\rm i} \hbar \Delta_{\mathcal{Y}} + \frac{{\rm i}}{\hbar} \Omega_{\partial \Sigma} \right) \tilde{Z}_{\Sigma} = 0. \label{eq:delta_delta_energy}$$

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We call  $\nabla_{G}$  the quantum Grothendieck BFV operator (qGBFV operator). Can be also regarded as a connection on the total state space  $\mathcal{H}_{tot} = | \cdot |_{\mathcal{X}} \mathcal{H}_{\mathcal{X}}$ .

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Moreover, we get:

#### Theorem (Cattaneo–M.–Wernli)

The qGBFV operator  $\nabla_{\rm G}$  squares to zero  $((\nabla_{\rm G})^2 = 0)$ .

### Our construction depends on the choice of:

- a formal map  $\phi$ ,
- representatives of residual fields (low energy fields),
- a propagator.

We can show that  $\tilde{Z}_{\Sigma}$  changes in a controlled way under change of data.

$$\begin{split} \frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0} &\Omega_t = \mathrm{d}_{\mathsf{x}} \tau + [\Omega_{t=0}, \tau], \quad \tau \in \Gamma(\mathsf{End}(\mathcal{H}_{tot})) \\ \frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0} &\tilde{Z}_t = \nabla_{\mathrm{G}} (\tilde{Z}_{t=0} \bullet \rho) - \tau \tilde{Z}_{t=0}, \quad \rho \in \Gamma(\mathcal{H}_{tot}). \end{split}$$

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The BV-BFV formalism

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### The Poisson Sigma Model and its globalization

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The Poisson Sigma Model is an example of a 2-dimensional split AKSZ theory with:

- $\mathcal{M} = T^*[1]M$  for a Poisson manifold  $(M, \pi)$ ,
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$$S_{\Sigma} = \int_{\Sigma} \sum_{i} \eta_{i} \wedge d_{\Sigma} \mathbf{X}^{i} + \frac{1}{2} \int_{\Sigma} \sum_{ij} \pi^{ij}(\mathbf{X}) \eta_{i} \wedge \eta_{j},$$

where  $(\eta, X)$ :  $T[1]\Sigma \to T^*[1]M$  are superfields.

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$$(\eta, X) \colon T\Sigma \to T^*M.$$

The Poisson Sigma Model is an example of a 2-dimensional split AKSZ theory with:

- $\mathcal{M} = T^*[1]M$  for a Poisson manifold  $(M, \pi)$ ,
- BV action functional given by

$$\mathcal{S}_{\Sigma} = \int_{\Sigma} \sum_{i} \boldsymbol{\eta}_{i} \wedge \mathrm{d}_{\Sigma} \boldsymbol{X}^{i} + \frac{1}{2} \int_{\Sigma} \sum_{ij} \pi^{ij}(\boldsymbol{X}) \boldsymbol{\eta}_{i} \wedge \boldsymbol{\eta}_{j},$$

where  $(\eta, \mathbf{X}) \colon T[1]\Sigma \to T^*[1]M$  are superfields.

The classical PSM action  $S_{\Sigma}$  has the same form as the BV action where superfields  $(\eta, X)$  are replaced by vector bundle maps

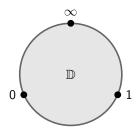
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### Kontsevich's star product using the PSM

Kontsevich's star product is induced by the PSM on the disk  $\mathbb D$  with boundary condition  $\eta = 0$  (*Cattaneo–Felder*):

$$f\star g(x)=\int_{X(\infty)=x}f(X(0))g(X(1))e^{\frac{i}{\hbar}S_{\mathbb{D}}},\quad f,g\in C^{\infty}(U),\quad U\subset\mathbb{R}^{n}.$$

where  $0, 1, \infty$  are cyclically ordered points on the boundary of the disk.



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We give an approach where the globalization is directly contained in the field-theoretic construction.

One starts with the Grothendieck connection  $D_{\rm G}$  and uses Kontsevich's formality map  $\mathcal{U}$  to quantize it to a derivation  $\mathcal{D}_{\mathrm{G}} = \mathcal{D}_{\mathrm{G}} + \mathcal{O}(\hbar)$ (deformed Grothendieck connection).

One can check that  $\mathcal{D}_G$  is not a differential. In fact, we get

$$(\mathcal{D}_{\mathrm{G}})^2 = [F, ]_{\star},$$

$$F=\sum_{n=0}^{\infty}\frac{\hbar^{n+2}}{(n+2)!}\mathcal{U}_{n+2}(R,R,\Xi(\pi),\ldots,\Xi(\pi))\in O(\hbar^2).$$

$$\mathcal{D}_{\mathrm{G}}\gamma + \frac{1}{2}\gamma \star \gamma = F.$$

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There exists a 1-form  $\gamma$  on M with values in  $\widehat{\mathrm{Sym}}(T^*M)\llbracket\hbar\rrbracket$  such that

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As before, we can use the formal global action for the PSM:

$$\tilde{\mathcal{S}}_{\Sigma} = \int_{\Sigma} \sum_{i} \widehat{\boldsymbol{\eta}}_{i} \wedge \mathrm{d}_{\Sigma} \widehat{\boldsymbol{X}}^{i} + \frac{1}{2} \int_{\Sigma} \sum_{ij} \Xi(\pi)^{ij} (\widehat{\boldsymbol{X}}) \widehat{\boldsymbol{\eta}}_{i} \wedge \widehat{\boldsymbol{\eta}}_{j} + \int_{\Sigma} \sum_{k} R^{k} \wedge \widehat{\boldsymbol{\eta}}_{k}.$$

The difference is that on some boundary components  $\partial_0^\ell \Sigma \subset \partial \Sigma$  we can have additional boundary conditions  $\hat{\eta} = 0$  instead of a polarization.

⇒ failure of the general method.

#### Proposition (Cattaneo–M.–Wernli

$$\nabla_{\mathrm{G}} \tilde{Z}_{\Sigma} = \exp \left( \frac{\mathrm{i}}{\hbar} \int_{\partial_{0} \Sigma := |\cdot|_{0} \partial_{0}^{\beta} \Sigma} F \right) \tilde{Z}_{\Sigma}$$

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Let  $\tilde{Z}_{\Sigma}^{\gamma}$  be the quantization of the twisted theory  $\tilde{S}_{\Sigma}^{\gamma}$  and let  $\tilde{\nabla}_{G}^{\gamma}$  be a twisted version of the qGBFV operator. Then a twisted version of the mdQMF holds:

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This is important for the quantization of the *relational symplectic* groupoid (RSG).

⇒ Can construct a global version of Kontsevich's star product, using the BV-BFV formalism by gluing the disk out of *canonical relations*:

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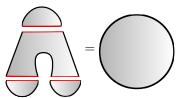
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For codimension k theories, we speak of  $BV-BF^kV$ .

The classical theory is easily formulated by iteration of the previous process, i.e. we consider  $\mathcal{F}^{\partial^k}$ ,  $\mathcal{S}^{\partial^k}$ ,  $\omega^{\partial^k}$ ,  $\mathcal{Q}^{\partial^k}$ , etc.

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The mCME in codimension k is then

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#### The Quantum case is much more difficult.

We would like to have (up to coefficients) something like

$$(\Delta + \Omega_{\partial} + \Omega_{\partial\partial} + \ldots + \Omega_{\partial^k})Z = 0.$$

Not clear what the above equation should mean. The objects of the categories assigned to higher codimensions ( $\geq 2$ ) are not explicitly constructed. Before, i.e. in the codimension 1 case, it was the category of chain complexes Ch (usually the category of vector spaces in the setting of TQFTs). Denote by  $\mathrm{Alg}_{\mathbb{P}_k}^{\mathbb{E}_d}(\mathsf{Ch})$  the category of  $\mathbb{P}_k$ -algebras over  $\mathbb{E}_d$ -algebras in chain complexes Ch and by  $\mathrm{dgCat}_{(\infty,k)}$  the category of differential graded  $(\infty,k)$ -categories. Then the deformation quantization part gives something like  $\mathrm{Alg}_{\mathbb{BD}_k}^{\mathbb{E}_{n-k}}(\mathsf{Ch})$  acting on  $\mathrm{dgCat}_{(\infty,k-1)}$  coming from the geometric quantization part. In particular, we have something like

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