

# Groups of area preserving homeomorphisms of surfaces and their simplicity

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May 17<sup>th</sup>, 2022

Geometry seminar, Lisbon

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$\text{Homeo}_0(S^m, \text{vol})$  = volume preserving homeos of  $S^m$ .

Theorem (Fathi, 80) This group is simple for  $n \geq 3$   
↳ no non-trivial proper normal subgroup?

What about:  $\mathcal{G} = \text{Homeo}_0(S^2, \text{area})$

Remark: all the cousins of  $\mathcal{G}$  are simple!

- $\text{Homeo}_0(S^m)$  (Ulam, von Neumann)
- $\text{Diff}_c^\infty(S^m)$  (Epstein, Herman, Mather 70's)

- $\text{Diff}_0(S^n, \text{Vol})$ ,  $n \geq 3$  (Thurston 70's)
- $\text{Homeo}_0(S^n, \text{Vol})$ ,  $n \geq 3$  (Banyaga 78)
- $\text{Homeo}_0(S^2, \text{Vol})$ ,  $n = 2$  (Fathi 80)

Theorem (CG-H-S, 20)  $\mathcal{G}$  is not simple.

On other surfaces, Fathi constructed the mass flow homomorphism  $\rho: \text{Homeo}_0(\Sigma, \text{area}) \rightarrow H_1(\Sigma)/\Gamma$

Theorem (CG-H-M-S-S, 21) For every compact surface (possibly with boundary),  $\ker \rho$  is not simple.

Remark: ideas from the 70's (Epstein, Thurston) yield:

- every normal subgroup of  $\mathcal{G}$  contains  $[\mathcal{G}, \mathcal{G}]$

Thus:  $[\mathcal{G}, \mathcal{G}] \neq \mathcal{G}$

subgroup generated by commutators

- Moreover:  $[\mathcal{G}, \mathcal{G}]$  simple

Remark. The first th. uses Periodic Floer homology (PFH), due to Hutchings.

- The second th. uses another variant of Floer theory (constructions uses ideas from Heegaard Floer homology of Ozsváth - Szabó)

→ less sophisticated.

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## Commutator length and quasimorphisms

Let  $G$  perfect group ( $[G, G] = G$ )

Commutator length:  $g \in G$

$$cl(g) = \inf \left\{ k \mid g \text{ is a product of } k \text{ commutators} \right\}$$

(Important object in geometric group theory)

## Quasimorphisms

$\mu: G \rightarrow \mathbb{R}$  is called a quasimorphism if

$$\exists D \geq 0, \forall f, g \in G, |\mu(fg) - \mu(f) - \mu(g)| \leq D$$

$\mu$  is homogeneous if  $\mu(f^n) = n\mu(f)$ .

Remark: if  $\mu$  q.m., then  $\tilde{\mu}(f) = \lim_{k \rightarrow \infty} \frac{1}{k} \mu(f^k)$  is a h.g.m.

Exercise: Let  $\mu$  be a h.q.m.

Then  $cl(g) \geq \frac{1}{D} \mu(g)$

Examples:

- Many examples on finite type groups  
(e.g. Brooks quasi-morphisms)
- Poincaré rotation number on  $\widetilde{\text{Homeo}}_0(S^1)$
- Entov - Polterovich (2003) on  $\text{Diff}(\Sigma, \text{area})$
- Gambardella - Ghys (2003) on  $\text{Homeo}(\Sigma, \text{area})$   
for  $\Sigma \neq S^2$

Th (Tsuboi 2006)  $cl \leq 1$  on  $\text{Homeo}_0(S^2)$   
 $\implies$  No quasimorphism!

$$\mathcal{G} = \text{Homeo}_0(S^2, \text{area}) ?$$

Theorem (G.H.-P.S.S., 21) The space of h.q.m on  $\mathcal{G}$   
| is  $\infty$ -dimensional.

Corollary:  $cl$  is not bounded on  $[\mathcal{G}, \mathcal{G}]$ .

in fact we show: for every  $C^0$ -neighborhood  
of  $\text{id}$ ,  $\text{scl}$  is unbounded.

(not true for  $\Sigma_{g \geq 1}$  by Bowden - Heusler - Webb 2019)  
 $\hookrightarrow$  stable commutator length

## Ideas for the proof of non-simplicity

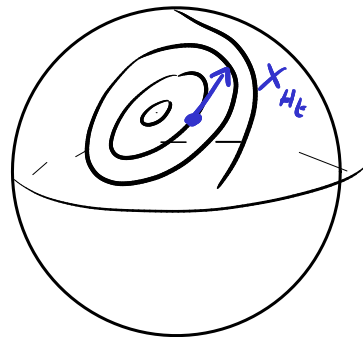
Fact 1 Area preserving diffeos of  $S^2$  are Hamiltonian.

i.e. constructed via the recipe:

$$H = (H_t)_{t \in [0,1]}: S^2 \longrightarrow \mathbb{R} \quad \text{Hamiltonian}$$

$$\rightsquigarrow X_{H_t} = \text{Rot}_{\frac{t}{2}} \nabla H_t \quad \text{Hamiltonian vector field}$$

$$\rightsquigarrow \text{flow } \underline{\underline{\phi_H^t}}$$



$$\text{Diff}(S^2, \text{area}) = \text{Ham}(S^2)$$

$$\cong \{ \phi_H^1 \mid H: [0,1] \times S^2 \longrightarrow \mathbb{R} \}$$

Fact 2:  $\text{Homeo}_0(S^2, \text{area}) = C^0$ -closure of  $\text{Ham}(S^2)$

## Finite energy homeomorphisms

Def:  $\psi \in \mathcal{G}$  is a **finite energy homeomorphism**

if  $\exists H_i$  such that:

$$\bullet \phi_{H_i}^1 \xrightarrow[i \rightarrow \infty]{C^0} \psi$$

$$\bullet \|H_i\| = \int_0^1 (\max H_{i,t} - \min H_{i,t}) dt \quad \text{bounded}$$

"Hofer energy of  $H_i$ "

Denote  $\mathcal{F} = \{ \psi \text{ finite energy} \}$

(This def is a variant of a def of Oh-Müller 2006)

Prop:  $\mathcal{F} \triangleleft \mathcal{G}$

Proof: If  $\psi = \lim \phi_{H_i}^{\uparrow}$  and  $\psi' = \lim \phi_{H'_i}^{\uparrow}$

then  $\psi \circ \psi' = \lim \phi_{H_i}^{\uparrow} \circ \phi_{H'_i}^{\uparrow}$

$$= \lim \phi_{H_i \# H'_i}^{\uparrow}$$

where  $H_i \# H'_i := H_i \cup (\phi_{H_i}^{\downarrow})^{-1}(H'_i)$  □

≠ ?

### Infinite twists

$$S^2, \quad \omega = \frac{1}{4\pi} d\theta \wedge dz$$

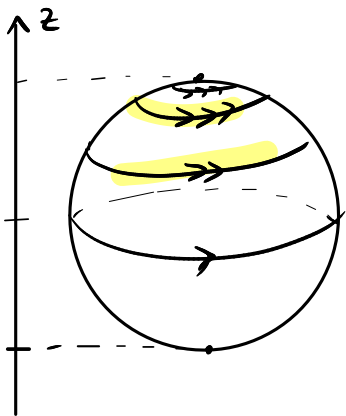
$$H(\theta, z) = \frac{1}{2} h(z), \quad h: [-1, 1] \rightarrow \mathbb{R}$$

$h(z) = 0$  for  $z \leq 0$ .

$$z := \phi_H^{\uparrow}(\theta, z) = (\theta + h'(z), z)$$

extends to an element of  $\mathcal{G}$

Lemma If  $\int_{-1}^1 h = \infty$ , then  $z \notin \mathcal{F}$   
 "infinite twists"



Tool: A Lagrangian Floer homology on symmetric products CG-H- $\Pi$ -SS

inspired by previous works by Osváth-Szabó  
and Polterovich-Shelukhin

Floer homology

Let  $(\Pi, \omega)$  symplectic manifold

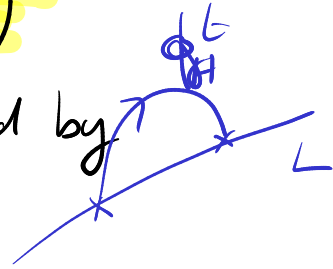
Let  $L \subset \Pi$  Lagrangian submanifold  
( $\dim L = \frac{1}{2} \dim \Pi$ ,  $\omega|_L = 0$ )

Assume  $L$  is connected and monotone  
( $\exists \lambda, \forall u \in \pi_2(\Pi, L), \omega(u) = \lambda \mu(u)$ )

Let  $H$  Hamiltonian on  $\Pi$  s.t.  $\phi_H^{-1}(L) \cap L$ .

$\implies$  Floer homology  $HF(H; L)$

(chain complex formally generated by  
orbits of  $H$  from  $L$  to  $L$ )



Symmetric Product

Let  $d \geq 1$

$$\text{Sym}^d S^2 = S^2 \times \dots \times S^2 / \underbrace{S_d}_{\text{symmetric group}}$$

Fact:  $\text{Sym}^d S^2 \simeq \mathbb{C}P^d$   
 ↗ homeomorphism  
 smooth in the complement  
 of  $\Delta = \{(z_1, \dots, z_d) \mid z_i = z_j\}$

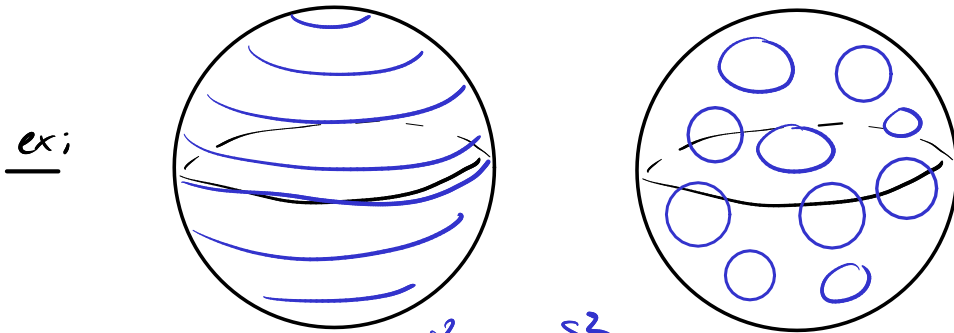
•  $\omega$  area form on  $S^2$

$\omega \oplus \dots \oplus \omega$  symplectic form on  $S^2 \times \dots \times S^2$   
 induces a form  $\text{Sym}^d \omega$  in  $\text{Sym}^d S^2$  (singular along  $\Delta$ )

•  $H$  Hamiltonian on  $S^2$

$H_E(x_1) + \dots + H_E(x_d)$  induces  $\text{Sym}^d H$  on  $\text{Sym}^d S^2$   
 (singular along  $\Delta$ )

•  $\underline{L} = L_1 \cup \dots \cup L_d$  disjoint simple curves in  $S^2$



$L_1 \times \dots \times L_d \subset S^2 \times \dots \times S^2$  induces smooth Lagrangian  
torus  $\text{Sym} \underline{L}$  in  $(\mathbb{C}P^d, \text{Sym}^d \omega)$

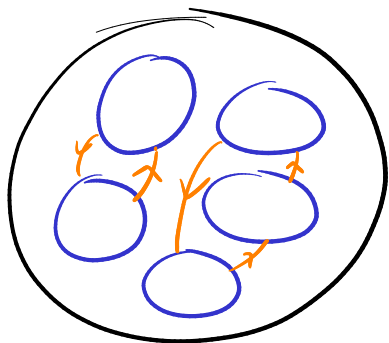
For every neighborhood  $V$  of  $\Delta$ , one can smooth  
 out  $\text{Sym}^d \omega$  in  $V$  (Penz)  $\rightsquigarrow \omega_V$   
 Also smooth  $\text{Sym}^d H$  out  $\rightsquigarrow H_V$



Theorem Assume the connected components of  $S^2 \setminus \underline{L}$  all have the same area  $\frac{1}{d+1}$ . Then  $\text{Sym } \underline{L}$  is monotone in  $(\mathbb{C}P^d, \omega_V)$ .  
 Moreover  $\text{HF}(H_V, \text{Sym } \underline{L}, \omega_V)$  is well-defined non trivial and does not depend on the smoothings

Denote it  $\ell(H, \underline{L})$

Generators are collections of Hamiltonian chords



By a min-max idea we extract "spectral invariants"  
 (Viterbo, Oh, Leclercq-Zapol'sky)

$$\rightsquigarrow \ell(H, \underline{L}) \in \mathbb{R}$$

Prop (i)  $|\ell(H, \underline{L}) - \ell(H, \underline{L}')| \leq \|H\|$   
 (Hofer energy)

$$(ii) \quad \frac{1}{d} \sum_{i=1}^d \int_0^1 \min_{L_i} H_t dt \leq \ell(H, \underline{L}) \leq \frac{1}{d} \sum_{i=1}^d \int_0^1 \max_{L_i} H_t dt$$

(in particular, if  $H=0$  on  $\underline{L}$ , then  $\ell(H, \underline{L})=0$ )

$$\text{Let } \eta_{\underline{L}, \underline{L}'}^{(H)} := \ell(H, \underline{L}) - \ell(H, \underline{L}')$$

key property

Prop  $\eta_{\underline{L}, \underline{L}'}(H)$  depends only on  $\phi_H^{-1}$

and  $\eta_{\underline{L}, \underline{L}'} : \text{Ham}(S^2) \longrightarrow \mathbb{R}$  is

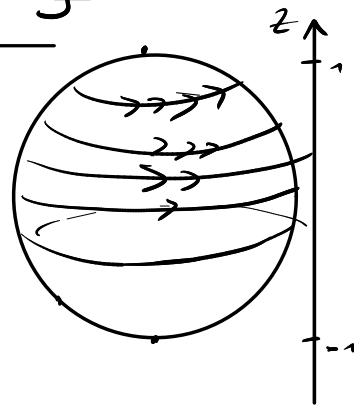
$C^0$ -continuous and extends to  $\mathcal{G}$ .

By (i), if  $\phi \in \mathcal{F}$ , then  $|\eta_{\underline{L}, \underline{L}'}(\phi)| < C_0 k$   
independently of  $\underline{L}, \underline{L}'$

Proof that the infinite twist  $\mathcal{Z} \notin \mathcal{F}$

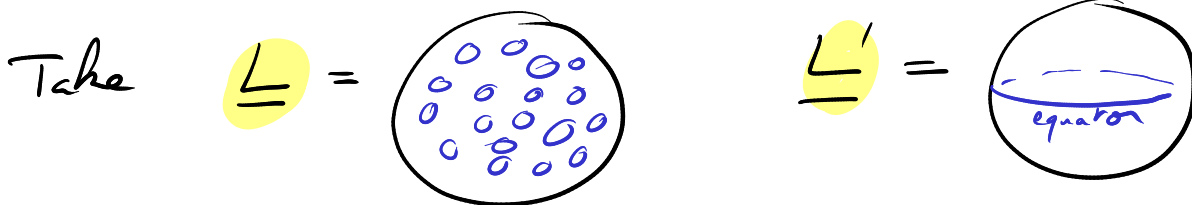
Recall:  $\mathcal{Z}(0, z) = (0 + h'(z), z)$

generated by  $H = \frac{1}{2} h(z)$   
with  $\int_1^\infty h = \infty$



We can write  $\mathcal{Z} = \lim_{C^0} \phi_{H_i}^{-1}$  where  $H_i = \frac{1}{2} h_i(z)$ ,  
smooth

$\int H_i \rightarrow \infty$  and  $h_i(z) = 0$  for  $z \leq 0$ .



Then:  $\eta_{\underline{L}, \underline{L}'}(z) \underset{C^0 \text{ continuity}}{\approx} \eta_{\underline{L}, \underline{L}'}(\phi_{H_i}^{-1}) \stackrel{(i)}{=} \ell(H_i, \underline{L}) \stackrel{(ii)}{\approx} \int H_i \approx \infty$   
Thus  $\mathcal{Z} \notin \mathcal{F}$   $\square$

## Constructing quasimorphisms

Let  $\underline{L}, \underline{L}'$  be configurations with  $d \neq d'$  comp.

$$\text{Define } \mu_{d,d'}(\phi) = \lim_{k \rightarrow \infty} \frac{1}{k} \eta_{\underline{L}, \underline{L}'}(\phi^k)$$

Theorem (CG-H-M-SS)  $\mu_{d,d'}$  is a quasimorphism on  $\text{Ham}(S^2)$ . It does not depend on  $\underline{L}, \underline{L}'$ .  
It is  $C^0$ -continuous and extends to  $\mathcal{G}$ .

Idea: Recall:

$$\eta_{\underline{L}, \underline{L}'}(\phi_H^k) = \ell(H, \underline{L}) - \ell(H, \underline{L}')$$

$$\ell(H, \underline{L}) = \ell(\text{Sym}^d H, \text{Sym} \underline{L})$$

$\subseteq \mathbb{C}P^d$

General fact: lag spectral invariants  $\leq$  Ham. spectral invariants

$$\text{so } \ell(H, \underline{L}) \leq c(\text{Sym}^d H)$$

Fact (Entov Polterovich): in  $\mathbb{C}P^d$ ,  $c$  is a quasimorphism.

$\implies$  allows to conclude that the homogenization of  $\ell$  is a q.m.

$$\text{In fact: } \mu_{d,d'}(\phi) = \mu_{EP}(\text{Sym}^d \phi) - \mu_{EP}(\text{Sym}^{d'} \phi)$$

Obrigado!