

# Minimal surfaces in hyperbolic manifolds

André Neves



# Introduction

## Plan

- 1 Classical facts about geodesics and geometry of negatively curved 3-manifolds;

# Introduction

## Plan

- 1 Classical facts about geodesics and geometry of negatively curved 3-manifolds;
- 2 Minimal surface entropy (Calegari-Marques-Neves);

# Introduction

## Plan

- 1 Classical facts about geodesics and geometry of negatively curved 3-manifolds;
- 2 Minimal surface entropy (Calegari-Marques-Neves);
- 3 Average area ratio (Gromov);

# Introduction

## Plan

- 1 Classical facts about geodesics and geometry of negatively curved 3-manifolds;
- 2 Minimal surface entropy (Calegari-Marques-Neves);
- 3 Average area ratio (Gromov);
- 4 Some recent results relating both quantities and a positive answer to a conjecture of Gromov (with Lowe).

## Introduction

- $M^3 = \mathbb{H}^3/\Gamma$  closed manifold with negatively curved metric  $g$ .

## Introduction

- $M^3 = \mathbb{H}^3/\Gamma$  closed manifold with negatively curved metric  $g$ .

Geodesics are special in negative curvature because:

- closed geodesics are unique in each homotopy class of  $\pi_1(M)$ ;

## Introduction

- $M^3 = \mathbb{H}^3/\Gamma$  closed manifold with negatively curved metric  $g$ .

Geodesics are special in negative curvature because:

- closed geodesics are unique in each homotopy class of  $\pi_1(M)$ ;
- geodesics are trajectories for the geodesic flow which is Anosov.



## Introduction

- $M^3 = \mathbb{H}^3/\Gamma$  closed manifold with negatively curved metric  $g$ .

Geodesics are special in negative curvature because:

- closed geodesics are unique in each homotopy class of  $\pi_1(M)$ ;
- geodesics are trajectories for the geodesic flow which is Anosov.

From this one has that closed geodesics grow exponentially with their length, the set of closed geodesics is dense in unit tangent bundle, etc.

## Introduction

- $M^3 = \mathbb{H}^3/\Gamma$  closed manifold with negatively curved metric  $g$ .

Geodesics are special in negative curvature because:

- closed geodesics are unique in each homotopy class of  $\pi_1(M)$ ;
- geodesics are trajectories for the geodesic flow which is Anosov.

From this one has that closed geodesics grow exponentially with their length, the set of closed geodesics is dense in unit tangent bundle, etc.

Margulis showed in '69 that the limit below exists (called [topological entropy](#))

$$h(g) := \lim_{L \rightarrow \infty} \frac{\ln \#\{\text{length}_g(\gamma) \leq L : \gamma \text{ closed geodesic in } (M, g)\}}{L}.$$

## Introduction

- $M^3 = \mathbb{H}^3/\Gamma$  closed manifold with negatively curved metric  $g$ .

Geodesics are special in negative curvature because:

- closed geodesics are unique in each homotopy class of  $\pi_1(M)$ ;
- geodesics are trajectories for the geodesic flow which is Anosov.

From this one has that closed geodesics grow exponentially with their length, the set of closed geodesics is dense in unit tangent bundle, etc.

Margulis showed in '69 that the limit below exists (called [topological entropy](#))

$$h(g) := \lim_{L \rightarrow \infty} \frac{\ln \#\{\text{length}_g(\gamma) \leq L : \gamma \text{ closed geodesic in } (M, g)\}}{L}.$$

Manning showed in '79 that it is identical to the [volume entropy](#)

$$h_{\text{vol}}(g) := \lim_{R \rightarrow \infty} \frac{\ln \text{vol}_g(\tilde{B}_R(x))}{R}, \quad \text{where } x \in \tilde{M} = \text{universal cover of } M.$$

## Introduction

A particular case of Besson–Courtois–Gallot '95 implies:

“ $h_{\text{vol}}(g)^3 \text{vol}_g(M) \geq h_{\text{vol}}(g_{\text{hyp}})^3 \text{vol}_{g_{\text{hyp}}}(M)$  and equality holds iff  $g$  and  $g_{\text{hyp}}$  are isometric.”

## Introduction

A particular case of Besson–Courtois–Gallot '95 implies:

“ $h_{\text{vol}}(g)^3 \text{vol}_g(M) \geq h_{\text{vol}}(g_{\text{hyp}})^3 \text{vol}_{\text{hyp}}(M)$  and equality holds iff  $g$  and  $g_{\text{hyp}}$  are isometric.”

In particular, hyperbolic metrics on  $M$  are unique (Mostow rigidity) and can be recognized by only two numbers (volume and entropy).

## Introduction

A particular case of Besson–Courtois–Gallot '95 implies:

“ $h_{\text{vol}}(g)^3 \text{vol}_g(M) \geq h_{\text{vol}}(g_{\text{hyp}})^3 \text{vol}_{\text{hyp}}(M)$  and equality holds iff  $g$  and  $g_{\text{hyp}}$  are isometric.”

In particular, hyperbolic metrics on  $M$  are unique (Mostow rigidity) and can be recognized by only two numbers (volume and entropy).

Conjecture (Agol, Storm, and Thurston)

$h_{\text{vol}}(g) \leq 2 = h_{\text{vol}}(g_{\text{hyp}})$  if scalar curvature  $R(g)$  satisfies  $R(g) \geq -6 = R(g_{\text{hyp}})$ .

## Introduction

A particular case of Besson–Courtois–Gallot '95 implies:

“ $h_{\text{vol}}(g)^3 \text{vol}_g(M) \geq h_{\text{vol}}(g_{\text{hyp}})^3 \text{vol}_{\text{hyp}}(M)$  and equality holds iff  $g$  and  $g_{\text{hyp}}$  are isometric.”

In particular, hyperbolic metrics on  $M$  are unique (Mostow rigidity) and can be recognized by only two numbers (volume and entropy).

Conjecture (Agol, Storm, and Thurston)

$h_{\text{vol}}(g) \leq 2 = h_{\text{vol}}(g_{\text{hyp}})$  if scalar curvature  $R(g)$  satisfies  $R(g) \geq -6 = R(g_{\text{hyp}})$ .

Similar characterization for minimal surfaces of  $M^3$ ?

In contrast to geodesics, minimal surfaces in  $M$  aren't necessarily

- unique in their homotopy class,  $\pi_1$ -injective, or flow trajectories.

## Introduction

A particular case of Besson–Courtois–Gallot '95 implies:

“ $h_{\text{vol}}(g)^3 \text{vol}_g(M) \geq h_{\text{vol}}(g_{\text{hyp}})^3 \text{vol}_{\text{hyp}}(M)$  and equality holds iff  $g$  and  $g_{\text{hyp}}$  are isometric.”

In particular, hyperbolic metrics on  $M$  are unique (Mostow rigidity) and can be recognized by only two numbers (volume and entropy).

Conjecture (Agol, Storm, and Thurston)

$h_{\text{vol}}(g) \leq 2 = h_{\text{vol}}(g_{\text{hyp}})$  if scalar curvature  $R(g)$  satisfies  $R(g) \geq -6 = R(g_{\text{hyp}})$ .

Similar characterization for minimal surfaces of  $M^3$ ?

In contrast to geodesics, minimal surfaces in  $M$  aren't necessarily

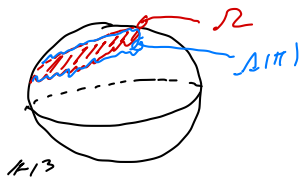
- unique in their homotopy class,  $\pi_1$ -injective, or flow trajectories.

So we need to restrict to a suitable subclass of minimal surfaces of  $(M^3, g)$ ...

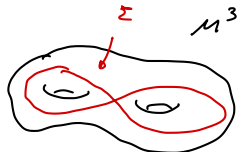


$M^3 = \mathbb{H}^3/\Gamma$  closed manifold.

- $\Pi :=$  homotopy class of  $\pi_1$ -injective surfaces in  $M$
- Given  $\Sigma \in \Pi$ ,  $\Sigma$  lifts to a disc  $\Omega \subset \mathbb{H}^3$



projection  
→



- (Bonahon, Thurston)  $\Lambda(\Pi) := \partial_\infty \mathbb{H}^3 \cap \bar{\Omega}$  is either a Jordan curve or  $\partial_\infty \mathbb{H}^3 \simeq S^2$ .

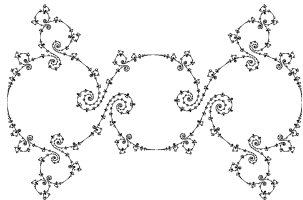


FIGURE 10. A pattern of identifications of a circle, here represented as the equator, whose quotient space is topologically a sphere. This defines, topologically, a sphere-filling curve.

$M^3 = \mathbb{H}/\Gamma$  closed manifold.

- $\Pi$  := homotopy class of  $\pi_1$ -injective surfaces in  $M$
- $\mathcal{S}_\varepsilon(M) := \{\text{homotopy class } \Pi \text{ where } \dim_H(\Lambda(\Pi)) \leq 1 + \varepsilon\}$

$M^3 = \mathbb{H}/\Gamma$  closed manifold.

- $\Pi$  := homotopy class of  $\pi_1$ -injective surfaces in  $M$
- $\mathcal{S}_\varepsilon(M) := \{\text{homotopy class } \Pi \text{ where } \dim_H(\Lambda(\Pi)) \leq 1 + \varepsilon\}$

(Bowen, '79):  $\Pi \in \mathcal{S}_0(M) \implies \Pi$  contains totally geodesic surface (for  $g_{hyp}$ ).

Such  $\Pi$  are special but there are many lattices  $\Gamma$  for which  $\mathcal{S}_0(M) = \emptyset$ .

$M^3 = \mathbb{H}/\Gamma$  closed manifold.

- $\Pi$  := homotopy class of  $\pi_1$ -injective surfaces in  $M$
- $\mathcal{S}_\varepsilon(M) := \{\text{homotopy class } \Pi \text{ where } \dim_H(\Lambda(\Pi)) \leq 1 + \varepsilon\}$

(Bowen, '79):  $\Pi \in \mathcal{S}_0(M) \implies \Pi$  contains totally geodesic surface (for  $g_{hyp}$ ).

Such  $\Pi$  are special but there are many lattices  $\Gamma$  for which  $\mathcal{S}_0(M) = \emptyset$ .

Why  $\mathcal{S}_\varepsilon(M)$ ?

- Khan-Markovic showed that  $\mathcal{S}_\varepsilon(M)$  has roughly  $h^{2h}$  elements with genus  $\leq h$  if  $\varepsilon > 0$ . In particular it is non-empty.
- (Uhlenbeck '83, Seppi '16): If  $\varepsilon \ll 1$ , minimal surfaces in  $\Pi \in \mathcal{S}_\varepsilon$  with respect to  $g_{hyp}$  are unique in their homotopy class.

## Minimal surface entropy.

- $\mathcal{S}_\varepsilon(M) := \{\text{homotopy class } \Pi \text{ where } \dim_H(\Lambda(\Pi)) \leq 1 + \varepsilon\}$
- Schoen-Yau shows the existence of  $\Sigma_g(\Pi) \in \Pi$  an area-minimizing surface

$$\text{area}_g(\Pi) := \text{area}(\Sigma_g(\Pi)) = \inf\{\text{area}_g(\Sigma) : \Sigma \in \Pi\}$$

## Minimal surface entropy.

- $S_\varepsilon(M) := \{\text{homotopy class } \Pi \text{ where } \dim_H(\Lambda(\Pi)) \leq 1 + \varepsilon\}$
- Schoen-Yau shows the existence of  $\Sigma_g(\Pi) \in \Pi$  an area-minimizing surface

$$\text{area}_g(\Pi) := \text{area}(\Sigma_g(\Pi)) = \inf\{\text{area}_g(\Sigma) : \Sigma \in \Pi\}$$

- Consider the minimal surface entropy

$$E(g) := \lim_{\varepsilon \rightarrow 0} \limsup_{L \rightarrow \infty} \frac{\ln \#\{\text{area}_g(\Pi) \leq 4\pi(L-1) : \Pi \in S_\varepsilon(M)\}}{L \ln L}.$$

## Minimal surface entropy.

- $S_\varepsilon(M) := \{\text{homotopy class } \Pi \text{ where } \dim_H(\Lambda(\Pi)) \leq 1 + \varepsilon\}$
- Schoen-Yau shows the existence of  $\Sigma_g(\Pi) \in \Pi$  an area-minimizing surface

$$\text{area}_g(\Pi) := \text{area}(\Sigma_g(\Pi)) = \inf\{\text{area}_g(\Sigma) : \Sigma \in \Pi\}$$

- Consider the minimal surface entropy

$$E(g) := \lim_{\varepsilon \rightarrow 0} \limsup_{L \rightarrow \infty} \frac{\ln \#\{\text{area}_g(\Pi) \leq 4\pi(L-1) : \Pi \in S_\varepsilon(M)\}}{L \ln L}.$$

## Theorem (Calegari–Marques–N.)

If  $g$  has sectional curvature  $\leq -1$  then

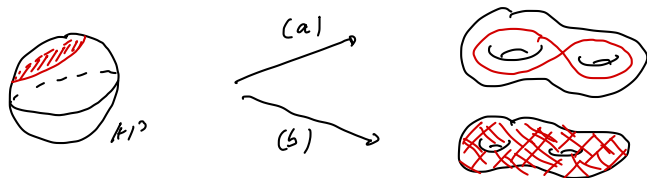
$$E(g) \geq 2 = E(g_{\text{hyp}}) \text{ with equality } \iff g \stackrel{\text{isometric}}{=} g_{\text{hyp}}.$$

- To prove the  $\iff$  statement we need to use a classification theorem in homogenous dynamics.

## Ratner-Shah classification.

(Ratner, Shah, '91) Totally geodesic discs in  $M^3 = \mathbb{H}^3 \setminus \Gamma$  either

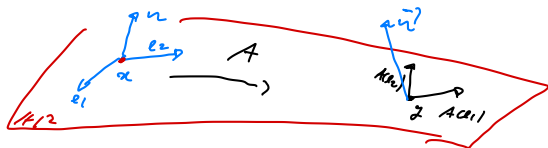
(a) cover a closed surface or (b) are dense in the Grassmanian of 2-planes of  $M$ .



Set  $F(M)$  = frame bundle of  $M^3$ . There is an action of  $PSL(2, \mathbb{R}) = \text{Isom}^+(\mathbb{H}^2)$  on  $F(M)$ .

$A \in PSL(2, \mathbb{R})$

$p = (x, \{e_1, e_2, \nu\})$   
 $\in F(M)$



A closed surface in  $M$  induces a probability measure on  $F(M)$ .

(Ratner, '91) Let  $\mu$  be an ergodic  $PSL(2, \mathbb{R})$ -invariant measure on  $F(M)$ . Then either  $\mu$  is the homogeneous measure on  $F(M)$  or is supported on some closed totally geodesic surface.



## Minimal surface entropy.

The general principle started by Calegari–Marques–Neves is to use Ratner's classification theorem to understand minimal surfaces in negatively curved manifolds.

### Theorem (Lowe–N.)

*Assume  $R(g) \geq -6$ . Then  $E(g) \leq 2 = E(g_{hyp})$  and equality holds if and only if  $g$  is isometric to  $g_{hyp}$ .*

## Minimal surface entropy.

The general principle started by Calegari–Marques–Neves is to use Ratner's classification theorem to understand minimal surfaces in negatively curved manifolds.

### Theorem (Lowe–N.)

Assume  $R(g) \geq -6$ . Then  $E(g) \leq 2 = E(g_{\text{hyp}})$  and equality holds if and only if  $g$  is isometric to  $g_{\text{hyp}}$ .

1: Run (unnormalized) Ricci flow  $(g_t)_{t \geq 0}$  where  $g_t \rightarrow g_0$  and  $R(g_t) \geq -6$  all  $t \geq 0$

2: If  $E(g) > E(g_0) \Rightarrow \exists \delta > 0, \exists \tau_m \in S_{\tau}^k(M)$  so that  $\text{area}_g(\tau_m) \leq (1-\delta) \text{area}_{g_0}(\tau_m)$  all  $m \in \mathbb{N}$ .

3: the measures  $\mu_{m,t} := \frac{1}{|\text{area}_g(\tau_m)|} \int_{\tau_m} dA_{g_t} \xrightarrow{m \rightarrow \infty} \mu_t$  measure on  $F(M)$

4:  $\mu_t(1) = \lim_{m \rightarrow \infty} \text{area}_{g_t}(\tau_m) / \text{area}_{g_0}(\tau_m) \Rightarrow \mu_0(1) \leq 1-\delta$

ODE comparison  $(*) R(g_t) \geq -6 \Rightarrow \mu_t(1) \in 1 - \delta e^{-t}$

5:  $g_t = g_0 + h e^{-t} + o(e^{-t})$  and  $\mu_t(1) = 1 + \mu_{\infty}(h) e^{-t} + o(e^{-t})$

6:  $\mu_{\infty}$  is  $\text{PSL}(2, \mathbb{R})$ -invariant  $\Rightarrow$  Ratner's classification shows

$\mu_{\infty}(h) = 0 \Rightarrow \mu_t(1) = 1 + o(e^{-t})$ . Contradiction!

## Average area ratio

$M^3 = \mathbb{H}^3/\Gamma$  with metric  $g$ . Gromov defined in '91 the **average area ratio** as

$$A(g/g_{hyp}) = \frac{1}{\text{vol}_{hyp}(Gr_2(M))} \int_{Gr_2(M)} \frac{|\tau|_g}{|\tau|_{hyp}} dV_{hyp}(\tau).$$

## Average area ratio

$M^3 = \mathbb{H}^3/\Gamma$  with metric  $g$ . Gromov defined in '91 the **average area ratio** as

$$A(g/g_{hyp}) = \frac{1}{\text{vol}_{hyp}(Gr_2(M))} \int_{Gr_2(M)} \frac{|\tau|_g}{|\tau|_{hyp}} dV_{hyp}(\tau).$$

**Motivation:** In the same way that the unit tangent bundle of  $M$  has a foliation where each leaf is a geodesic,  $Gr_2(M)$  admits a foliation  $\mathcal{L}$  where each leaf corresponds to a totally geodesic plane of  $M$ .

## Average area ratio

$M^3 = \mathbb{H}^3/\Gamma$  with metric  $g$ . Gromov defined in '91 the **average area ratio** as

$$A(g/g_{hyp}) = \frac{1}{\text{vol}_{hyp}(Gr_2(M))} \int_{Gr_2(M)} \frac{|\tau|_g}{|\tau|_{hyp}} dV_{hyp}(\tau).$$

**Motivation:** In the same way that the unit tangent bundle of  $M$  has a foliation where each leaf is a geodesic,  $Gr_2(M)$  admits a foliation  $\mathcal{L}$  where each leaf corresponds to a totally geodesic plane of  $M$ .

A metric  $g$  induces a measure on  $\mathcal{L}$  and we have

$$A(g/g_{hyp}) = \frac{\text{vol}_g(\mathcal{L})}{\text{vol}_{hyp}(\mathcal{L})}.$$

## Average area ratio

$M^3 = \mathbb{H}^3/\Gamma$  with metric  $g$ . Gromov defined in '91 the **average area ratio** as

$$A(g/g_{hyp}) = \frac{1}{\text{vol}_{hyp}(Gr_2(M))} \int_{Gr_2(M)} \frac{|\tau|_g}{|\tau|_{hyp}} dV_{hyp}(\tau).$$

**Motivation:** In the same way that the unit tangent bundle of  $M$  has a foliation where each leaf is a geodesic,  $Gr_2(M)$  admits a foliation  $\mathcal{L}$  where each leaf corresponds to a totally geodesic plane of  $M$ .

A metric  $g$  induces a measure on  $\mathcal{L}$  and we have

$$A(g/g_{hyp}) = \frac{\text{vol}_g(\mathcal{L})}{\text{vol}_{hyp}(\mathcal{L})}.$$

- Gromov showed in the same paper that if  $R(g) \geq -6$  then  $A(g/g_{hyp}) \geq 1/3$ .

## Average area ratio

$M^3 = \mathbb{H}^3/\Gamma$  with metric  $g$ . Gromov defined in '91 the **average area ratio** as

$$A(g/g_{hyp}) = \frac{1}{\text{vol}_{hyp}(Gr_2(M))} \int_{Gr_2(M)} \frac{|\tau|_g}{|\tau|_{hyp}} dV_{hyp}(\tau).$$

**Motivation:** In the same way that the unit tangent bundle of  $M$  has a foliation where each leaf is a geodesic,  $Gr_2(M)$  admits a foliation  $\mathcal{L}$  where each leaf corresponds to a totally geodesic plane of  $M$ .

A metric  $g$  induces a measure on  $\mathcal{L}$  and we have

$$A(g/g_{hyp}) = \frac{\text{vol}_g(\mathcal{L})}{\text{vol}_{hyp}(\mathcal{L})}.$$

- Gromov showed in the same paper that if  $R(g) \geq -6$  then  $A(g/g_{hyp}) \geq 1/3$ .
- He conjectured that if  $R(g) \geq -6$  then  $A(g/g_{hyp}) \geq 1$ .

Average area ratio  $\mathcal{K}abuc : I(g/g_{hyp}) = \frac{1}{|T^1M|_{hyp}} \int_{T^1M} \sqrt{g(\tau, \tau)} dVol(\tau, \sigma)$

$M^3 = \mathbb{H}^3/\Gamma$  with metric  $g$ . Gromov defined in '91 the **average area ratio** as

$$A(g/g_{hyp}) = \frac{1}{\text{vol}_{hyp}(Gr_2(M))} \int_{Gr_2(M)} \frac{|\tau|_g}{|\tau|_{hyp}} dV_{hyp}(\tau).$$

**Motivation:** In the same way that the unit tangent bundle of  $M$  has a foliation where each leaf is a geodesic,  $Gr_2(M)$  admits a foliation  $\mathcal{L}$  where each leaf corresponds to a totally geodesic plane of  $M$ .

A metric  $g$  induces a measure on  $\mathcal{L}$  and we have

$$A(g/g_{hyp}) = \frac{\text{vol}_g(\mathcal{L})}{\text{vol}_{hyp}(\mathcal{L})}.$$

- Gromov showed in the same paper that if  $R(g) \geq -6$  then  $A(g/g_{hyp}) \geq 1/3$ .
- He conjectured that if  $R(g) \geq -6$  then  $A(g/g_{hyp}) \geq 1$ .
- Schoen conjectured that if  $R(g) \geq -6$  then  $\text{vol}_g(M)/\text{vol}_{hyp}(M) \geq 1$  and this was proven by Perelman using Ricci flow.



## Average area ratio

### Theorem (Lowe–N.)

*For every metric  $g$  we have  $E(g)A(g/g_{hyp}) \geq 2$  and equality if and only if  $g = g_{hyp}$ .*

## Average area ratio

### Theorem (Lowe–N.)

*For every metric  $g$  we have  $E(g)A(g/g_{hyp}) \geq 2$  and equality if and only if  $g = g_{hyp}$ .*

### Corollary

*If  $R(g) \geq -6$  then  $A(g/g_{hyp}) \geq 1$  and equality holds if and only if  $g = g_{hyp}$ .*

*For instance, if  $g \geq g_{hyp}$  and  $R(g) \geq -6$  then  $g = g_{hyp}$*

**Proof:** If  $R(g) \geq -6$  then  $E(g) \leq 2$  and so  $A(g/g_{hyp}) \geq 2/E(g) \geq 1$ .

## Average area ratio

### Theorem (Lowe–N.)

*For every metric  $g$  we have  $E(g)A(g/g_{hyp}) \geq 2$  and equality if and only if  $g = g_{hyp}$ .*

### Corollary

*If  $R(g) \geq -6$  then  $A(g/g_{hyp}) \geq 1$  and equality holds if and only if  $g = g_{hyp}$ .*

*For instance, if  $g \geq g_{hyp}$  and  $R(g) \geq -6$  then  $g = g_{hyp}$*

**Proof:** If  $R(g) \geq -6$  then  $E(g) \leq 2$  and so  $A(g/g_{hyp}) \geq 2/E(g) \geq 1$ .

### Proof of Theorem:

1:

2:

3:

4:

Thank you!