

Smoothability of non normal stable
Gorenstein Godeaux surfaces

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* I wish!!

S is a canonical surface: projective, complex, ^{irred}irred, has finitely many RDPs (rational double points) i.e. singularities obtained contracting A_n $n \geq 1$, D_n $n \geq 4$, E_6, E_7, E_8 configuration of (-2) curves in a smooth surf \tilde{S} .
Ex A_n $S = \{xy = z^{n+1}\} \subseteq \mathbb{A}^3$ $\tilde{S} = \text{closure of } T_\varphi$

$$\varphi: S \dashrightarrow (\mathbb{P}^1)^n \quad \varphi(x, y, z) = \left(\frac{x}{z}, \frac{x}{z^2}, \dots, \frac{x}{z^n} \right) = \left(\frac{z^n}{y}, \dots, \frac{z}{y} \right)$$

S is a (canonical) surface of general type if

$$\exists S \hookrightarrow \mathbb{P}^N = \mathbb{P}_{\mathbb{C}}^N \quad \text{s.t.} \quad \mathcal{O}_{\mathbb{P}}(1)|_S \cong \omega_S^{\otimes k} \quad \text{for some } k \geq 1$$

ω_S dual sheaf unique l.b. on S s.t. $\omega_S|_{\text{non-sing locus } S_0} \cong \Omega_{S_0}^2$

RDPs are Gorenstein i.e. ω_S is a line bdl.

Studying moduli = studying families.

A family of proj surfaces over a base B is a flat proj mor $\pi: S_B \rightarrow B$ s.t. $\forall b \in B$ $\pi^{-1}(b)$ is a surface.

Flatness is an alg version of continuity; in particular the discrete invariants of a surface of gen type

$$p_g(S) := \dim H^0(S, \omega_S) \quad q(S) := \dim H^1(S, \mathcal{O}_S) \quad K_S^2$$

are loc constant in the fibers. $p_g \geq 0, q \geq 0, K_S^2 \geq 1$.

Def A Godeaux surface is a surf of gen type with

$$p_g = q = 0, \quad K_S^2 = 1.$$

Ex Let $f(x, y, z, w)$ be a deg 5 homog poly. $X = Z(f) \in \mathbb{P}^3$

π_1 (Then $\pi_1(X) = \{1\}$ $K_X^2 = 5$ $q(X) = 0$ $p_g(X) = 4$ gen by x, y, z, w .)

Let $G = \mu_5$ acting on \mathbb{P}^3 via $t(x, y, z, w) = (tx, t^2y, t^3z, t^4w)$.

If X is smooth, G -invariant and G acts without

fix points then $S := X/G$ is a Godeaux surface

with $\pi_1(S) = G$.

Thm A Godeaux surface S must have $\pi_1(S) \cong \mathbb{Z}/a\mathbb{Z}$ with

$a \in \{1, 2, 3, 4, 5\}$. We can classify case $a \in \{3, 4, 5\}$.

Def (CHEATING) The moduli space M_g of surfaces of general type with fixed K^2 , P_g and q is a quasiproj var together with, for any family of srgt $\pi: S_B \rightarrow B$, with given invariants, a morphism $B \xrightarrow{\alpha_\pi} M$ s.t.

(1) it commutes with pullback

$$\begin{array}{ccc}
 S_{\tilde{B}} & \longrightarrow & S_B \\
 \tilde{\pi} \downarrow & \square & \downarrow \pi \\
 \tilde{B} & \xrightarrow{\varphi} & B
 \end{array}
 \Rightarrow
 \begin{array}{ccc}
 \tilde{B} & \xrightarrow{\varphi} & B \\
 \alpha_{\tilde{\pi}} \downarrow & & \downarrow \alpha_\pi \\
 & & M
 \end{array}
 \text{ commutes.}$$

(2) The case $B = \text{point}$ induces a map

$$\left\{ \begin{array}{l} \text{isom } d \\ \text{of srgt with fix inv} \end{array} \right\} \longrightarrow M \text{ which is bij.}$$

M is a quasiproj sch, unique up to cen-isom.

CHEATING We pretend that $\exists S_M \rightarrow M$ universal

family s.t.

for every family cartesian diagram

$$S_B \xrightarrow{\pi} B$$

$$\begin{array}{ccc} S_B & \longrightarrow & S_M \\ \pi \downarrow & \lrcorner & \downarrow \\ B & \xrightarrow{\alpha_\pi} & M \end{array}$$

True if (i) look at surface with no nontrivial autom. or (ii) we replace M by an algebraic stack.

Thus For Godeaux surfaces, $M = \bigsqcup_{a=1}^5 M_a$ where M_a corresponds to surfaces with $\pi_1 = \mathbb{Z}/a\mathbb{Z}$.

M_3, M_4, M_5 have been explicitly described by M-Reid they are irred and smooth of exp dim 8.

Not known Are M_1, M_2 smooth? Are they irred?

We know loc. dim at each point ≥ 8 , but don't know it.

Thus (Kollár-Shepherd Barron; Alexeev) each mod space M

has a natural (proj) compactification \bar{M} corresponding to families of stable surfaces.

(Franciosi - Pardini - Soehnke) classify non-normal Gorenstein stable Godeaux surfaces.

Q (Referee of FPS) are these surfaces in the closure of M inside \bar{M} ? I.e. are they smoothable?

Def A stable surface S is smoothable if \exists $\pi: S_B \rightarrow B$ family with B nonsingular connected curve and $b_0 \in B$ s.t. $S_{b_0} \cong S$.

Q (FFP) How does \bar{M} look like near such surfaces?

Theorem Assume S is a quasismooth nonnormal Gorenstein stable Godeaux surface. Then \bar{M} is

(1) S is smoothable

(2) \bar{M} is nonsingular of dim 8 at $[S]$.

Rem This is good, but also bad 😊

Def A surface S is quasismooth if its only singularities are

(1) a double curve Y (looks like $\{xy=0\} \subseteq \mathbb{A}^3$)

(2) pinch points $(uv^2=w^2 \in \mathbb{A}^3)$ \rightarrow analytically or étale locally

Such S has nonsingular normalization $\tilde{S} \xrightarrow{\varepsilon} S$

and $\tilde{Y} = \varepsilon^{-1}(Y)$ is a nonsing curve in \tilde{S}

$\tilde{Y} \rightarrow Y$ is a double cover branched over pinch pts.

Rem Conversely, given \tilde{S} nonsing surf, $\tilde{Y} \subseteq \tilde{S}$ nonsing curve, $i: \tilde{Y} \rightarrow Y$ involution, $Y := \tilde{Y}/i$. We can glue

to get a pushout diagram

$$\tilde{Y} \hookrightarrow \tilde{S}$$

$$\downarrow \quad \downarrow \varepsilon$$

$$Y \hookrightarrow S$$

with S quasismooth

ε normalization

Y double curve.

$$i: \tilde{B} \rightarrow \tilde{B}$$

$$i(x) = -x$$

Exercise

$$\tilde{A} = \mathbb{C}[x, y]$$

$$\tilde{B} = \mathbb{C}[x] = \tilde{A}/(y)$$

$B = (\tilde{B})^{\text{inv}} = \mathbb{C}[u] \subseteq \tilde{B} = \mathbb{C}[x, y] \times^2$ Define $A := \pi^{-1}(B) \subseteq \tilde{A}$.

$$A \longrightarrow B$$

$$u = x^2$$

$$\downarrow$$

$$\downarrow$$

$$\tilde{A} \xrightarrow{\pi} \tilde{B}$$

Show that $A = \mathbb{C}[u, v, w]$

$$uv^2 = w^2$$

where $v = y$ $w = xy$.

Sketch of proof

(1) We compute T_S, T_S^1 for a stable G.G. S in terms of the gluing data where $T_S^1 := \text{Ext}^1(\Omega_S, \mathcal{O}_S)$ is an inv sheaf on $\text{Sing}(S)$.

(in general for all quasismooth varieties)

(2) Show that for a s.G.G. S , T_S^1 is given by global sections, $H^1(T_S^1) = H^2(T_S) = 0$.

(3) use inf def th $\Rightarrow \bar{M}$ is smooth of exp dim $[S]$ at $[S]$.

(4) use [Tziolas] to show S is formally smoothable.
 i.e. for $B_n := \text{Spec } \mathbb{C}[t]/t^{n+1}$ there exist families

$$\begin{array}{ccccccc}
 S = S_0 & \longleftrightarrow & S_1 & \longleftrightarrow & S_2 & \longrightarrow & \dots \\
 \downarrow & \square & \downarrow & \square & \downarrow & \dots & \\
 \text{Spec } \mathbb{C} = B_0 & \longleftrightarrow & B_1 & \longleftrightarrow & B_2 & \longrightarrow & \dots
 \end{array} \quad (T)$$

such that if there is a family $S_B \xrightarrow{\pi} B$
 with B a smooth curve, $b_0 \in B$, t loc. coord
 for B at b_0 inducing (T) with $B_n = \mathcal{O}_{B, b_0}/(t^{n+1})$
 then the general fiber of π is nonsingular.

(5) use [Nobile] to show that since S is Gorenstein
 and stable such a smooth curve B exists.

Possible future work

- (i) For each S , find $a \in \{1, \dots, 5\}$ s.t. $[S] \in \text{closure of } M_a$.
(unique because \bar{M} is housing at $[S]$).
- (ii) extend result to all other stable Gorenstein Godeaux
in $[F \# S]$. Work in progress.
(meaningful case: gluing \tilde{F} nodal curve)