Reeb flows in dimension three with exactly two periodic orbits

Joint with Cristofaro-Gardiner, Hutchings and Liu

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Question. Can we understand a Reeb flow on a closed 3-manifold with precisely two periodic orbits?

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Reeb flows with precisely two periodic orbits the analogues in dimension three of pseudo-rotations of the 2-disk.

Definition

A pseudo-rotation of the closed disk is an area-preserving and orientation-preserving homeomorphism of the closed disk with precisely one interior periodic point.

Question. What can we say about the boundary rotation number of a pseudo-rotation?

Theorem (Franks) It is irrational!

Theorem (Franks)

Let the homeomorphism

 $f:\mathbb{R}/\mathbb{Z} imes(0,1]
ightarrow\mathbb{R}/\mathbb{Z} imes(0,1]$

preserve area and be isotopic to the identity.

If f has no interior periodic point then its boundary rotation number is irrational.

Proof.

Step 1.

Theorem (Franks)

Let f be an area- and orientation-preserving homeomorphism of $\mathbb{R}/\mathbb{Z} \times (0,1)$. If some lift \tilde{f} to $\mathbb{R} \times (0,1)$ has positively and negatively returning disks, then f has a fixed point.

Step 2.

Theorem (Franks)

 $M = S^2 \setminus \{k \text{ points}\}, k \ge 2, f : M \to M \text{ homeomorphism isotopic}$ to the identity preserving a Borel probability measure μ positive on open sets, with no atoms. If for some lift \tilde{f} to \tilde{M} we have $y \cdot \mu = 0$ for all $y \in H^1(M; \mathbb{R})$, then f has a fixed point.

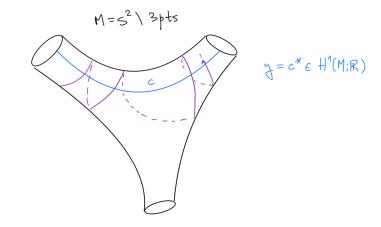
Irrational rotation numbers

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Irrational rotation numbers



Step 3.

Let \tilde{f} be a lift to $\mathbb{R} \times (0,1]$, and let $\rho \in \mathbb{R}$ be the boundary rotation number.

If ho=p/q then $g=\widetilde{f}^q-(p,0)$ has zero boundary rotation number.

If g satisfies hor \cdot area = 0, then apply Step 2 to get an interior fixed point of f^q .

If g satisfies hor $\cdot \text{area} \neq 0$, choose n/m between 0 and hor $\cdot \text{area}$. Then $g^m - (n, 0)$ has positively and negatively returning disks. Apply Step 1 to get an interior periodic point.

What is the analogous statement for Reeb flows?

Theorem (Cristofaro-Gardiner, H., Hutchings, Liu)

Let a Reeb flow on a closed 3-manifold have exactly two periodic orbits γ_1, γ_2 . Let $\rho(\gamma_j) \in \mathbb{R}/\mathbb{Z}$ be their rotation numbers.

Then these orbits are irrationally elliptic:

 $\rho(\gamma_1), \rho(\gamma_2) \notin \mathbb{Q}/\mathbb{Z}.$

In other words, the contact form is non-degenerate and $CZ(\gamma_i^n) = \text{odd}$ for all $n \ge 1$.

Corollary

Let M = closed 3-manifold, $\lambda =$ contact form on M.

Assume that λ has exactly two periodic Reeb orbits $\gamma_1,\gamma_2.$ Denote their primitive periods by

$$T_1, T_2 > 0$$

the contact volume by

$$\operatorname{vol}(\lambda) = \int_M \lambda \wedge d\lambda$$

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and the contact structure by $\xi = \ker \lambda$.

Then:

•
$$(M,\xi) \simeq (L(p,q),\xi_{\text{std}})$$
, for some p,q .

- γ₁, γ₂ are the core circles of a genus one Heegaard decomposition, hence are *p*-unknotted, link_Q(γ₁, γ₂) = 1/*p*. Moreover, sl_Q(γ_j) = −1/*p*.
- The Seifert rotation numbers ϕ_1, ϕ_2 are irrational.
- We have identities

$$\operatorname{vol}(\lambda) = pT_1T_2 = \frac{T_1^2}{\phi_1} = \frac{T_2^2}{\phi_2}$$

- \blacktriangleright λ is dynamically convex.
- Both γ_j span rational disk-like GSS, and Reeb dynamics can be described by a pseudo-rotation.

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Proof.

- Hutchings-Taubes ⇒ M is a lens space, γ₁, γ₂ are the core circles of a genus one Heegaard decomposition, link_Q(γ₁, γ₂) = 1/p where p = |π₁(M)|. Both γ₁, γ₂ are p-unknotted.
 Each γ_j has a unique lift γ_j to M̃ = S³, there are exactly two λ̃-Reeb orbits, link(γ̃₁, γ̃₂) = 1, both are unknotted.
- ► The contact form $\tilde{\lambda}$ on $\tilde{M} = S^3$ has no hyperbolic orbits. Hofer-Wysocki-Zehnder $\Rightarrow (\tilde{M}, \tilde{\xi})$ is tight. Honda $\Rightarrow (M, \xi) = (L(p, q), \xi_{std})$ (some q).

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Hofer-Wysocki-Zehnder ⇒ one of the lifted orbits, say γ˜₁, has sl(γ˜₁) = −1 and CZ(γ˜₁) = 3. In particular, 0 < pφ₁ < 1.
 From the identities p²φ₁φ₂ = 1 ⇒ pφ₂ > 1.
 H.-Salomão ⇒ sl(γ˜₂) = −1 ⇒ CZ(γ˜₂) ≥ 5, hence dynamical convexity.
 In particular sl_Q(γ₁) = sl_Q(γ₂) = −1/p.

H.-Licata-Salomão \Rightarrow both γ_1, γ_2 span rational disk-like GSS. Return maps extend to closed disk and are conjugated (by a homeomorphism) to a pseudo-rotation.

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The proof is based on Hutchings' ECH.

 $(M, \xi) =$ closed contact 3-manifold $\Gamma \in H_1(M)$

 $ECH_*(\xi, \Gamma)$ is a vector space over $\mathbb{Z}/2\mathbb{Z}$ graded by $\mathbb{Z}/d\mathbb{Z}$ where *d* is the divisibility of $c_1(\xi) + 2PD(\Gamma)$.

If λ is a non-degenerate contact form, $\xi = \ker \lambda$, and J is an admissible almost complex structure on $\mathbb{R} \times M$, then the chain complex $ECC_*(\lambda, \Gamma)$ is generated by orbit sets

 $\alpha = \{(\alpha_i, m_i)\}$ $m_i \in \mathbb{N}$ α_i is a (prime) closed Reeb orbit

satisfying

$$\alpha_i$$
 hyperbolic \Rightarrow $m_i = 1$.

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There is a degree -1 differential

$$\delta_J : ECC_*(\lambda, \Gamma) \to ECC_{*-1}(\lambda, \Gamma)$$

defined by declaring that $\langle \delta_J \alpha, \beta \rangle$ is a $\mathbb{Z}/2\mathbb{Z}$ count of *J*-holomorphic curves on asymptotic to α at its positive ends, to β at its negative ends, with ECH index 1.

If
$$\alpha = \{(\alpha_i, m_i)\}, \beta = \{(\beta_j, n_j)\}$$
 and Z is a 2-chain satisfying $\partial Z = \sum_i m_i \alpha_i - \sum_j n_j \beta_j$ then

$$I(\alpha,\beta,Z) = c_{\tau}(Z) + Q_{\tau}(Z) + CZ'(\alpha) - CZ'(\beta)$$

where

$$c_{z}(z) = \text{winding} \# \text{ of } \tau \text{ w.r.t. global triv. of } z_{z}$$

$$Q_{z}(z) = \text{int}(z, z_{z})$$

$$1 \xrightarrow{R \alpha \quad \alpha_{z}} z_{z}$$

$$Q_{z}(z) = \text{int}(z, z_{z})$$

$$0 \xrightarrow{R \alpha \quad \alpha_{z}} z_{z}$$

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$$\delta_{J}\alpha = \sum_{\beta} \#_2 \{ C \in \mathcal{M}(\alpha, \beta) \mid I(\alpha, \beta, C) = 1 \} \beta$$

where $\mathcal{M}(\alpha, \beta)$ is a space whose elements are certain weighted collections of holomorphic curves, asymptotic to α/β at positive/negative ends.

There is a degree -2 map in homology defined by a chain map

$$U_J lpha = \sum_eta \#_2 \{ \mathcal{C} \in \mathcal{M}(lpha,eta) ext{ through } \mathrm{pt} \mid I(lpha,eta,\mathcal{C}) = 2 \} \ eta$$

where pt is a point not in a closed Reeb orbit. It is called the U-map.

 $\sigma \in \textit{ECH}(\xi, \Gamma)$ λ non-degenerate contact form, $\xi = \ker \lambda$

$$c(\sigma, \lambda) = \inf \left\{ a > 0 \middle| \begin{array}{l} \sigma \text{ can be represented by} \\ a \text{ cycle made of orbit sets} \\ \text{with action } \leq a \end{array} \right\}$$

If λ is degenerate then

$$c(\sigma,\lambda) = \lim_{\substack{\lambda' \to \lambda \\ \lambda' \text{ non-deg.}}} c(\sigma,\lambda')$$

Image: A mathematical states and a mathem

Theorem (Cristofaro-Gardiner, Hutchings, Ramos) Let M = closed 3-manifold, λ contact form on M, $\xi = \ker \lambda$. If $c_1(\xi) + 2PD(\Gamma)$ is torsion for some $\Gamma \in H_1(M)$ then $\exists \{\sigma_k\}_{k \in \mathbb{N}} \subset ECH(\xi, \Gamma)$ such that

$$U\sigma_{k+1} = \sigma_k$$
 $\frac{c(\sigma_k, \lambda)^2}{2k} \to \operatorname{vol}(\lambda).$

Theorem (Cristofaro-Gardiner, Mazzucchelli) If $c(\sigma_k, \lambda) = c(\sigma_{k+1}, \lambda)$ for some k, then λ is BESSE.

Corollary

If λ has exactly two periodic Reeb orbits then their periods are incommensurable.

From now on λ is a contact form on a closed 3-manifold M with exactly two periodic Reeb orbits γ_1, γ_2 with periods T_1, T_2 . The contact structure is $\xi = \ker \lambda$.

Lemma

 $c_1(\xi)$ is torsion in $H^2(M)$, γ_1, γ_2 are torsion in $H_1(M)$.

Proof. Choose $\Gamma \in H_1(M)$ such that $c_1(\xi) + 2\text{PD}(\Gamma)$ is torsion. Let $\{\sigma_k\}$ be a U-sequence in $ECH(\xi, \Gamma)$. Then $c(\sigma_k, \lambda) = m_{1,k}T_1 + m_{2,k}T_2$ and $\Gamma = m_{1,k}[\gamma_1] + m_{2,k}[\gamma_2]$. Hence the kernel of $(m_1, m_2) \mapsto m_1[\gamma_1] + m_2[\gamma_2]$ has rank at least equal to 1. If this rank is 1 then $c(\sigma_k, \lambda)$ is increasing and contained in an arithmetic sequence, hence grows at least linearly, in contradiction to the ECH-asymptotics.

Hence we can take $\Gamma = 0$ and still have *U*-sequences $\{\sigma_k\}$ satisfying the ECH-asymptotics, since $c_1(\xi) + 0 = c_1(\xi)$ is torsion.

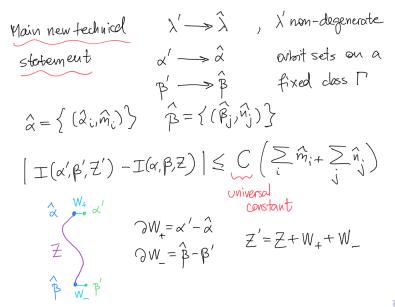
The advantage is that there is a "simple" absolute grading on the chain complex $ECC_*(\lambda', 0)$, λ' non-degenerate, given by

$$I(\alpha') = I(\alpha', \emptyset, Z) \qquad (\partial Z = \alpha').$$

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Even if
$$\hat{\lambda}$$
 is a degenerate contact form,
if $\hat{\alpha} = \{(\hat{\alpha}_{i}, \hat{m}_{i})\}$ are orbit sets for $\hat{\lambda}$
 $\hat{\beta} = \{(\hat{\beta}_{j}, \hat{n}_{j})\}$ satisfying $\sum_{i} \hat{m}_{i} [\hat{\alpha}_{i}] = \sum_{i} \hat{n}_{i} [\hat{\beta}_{i}]$
then define
 $I(\hat{\alpha}_{i}, \hat{\beta}_{i}, Z) = c_{T}(Z) + Q_{T}(Z) + \sum_{i} \sum_{l=1}^{m_{i}} (Z_{T}(\hat{\alpha}_{i}^{l}))$
Choose a preferred definition
of (Z) such that
 $I(Z(deg.orbit) - (Z(von-deg.pert)) \leq vonv. cte.$

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$$\begin{aligned} & = \left\{ (x_1, m_1), (x_2, m_2) \right\} & \neq 2 \text{ 2-cycle} \\ & I(\alpha) = c_{\tau}(\mathcal{Z}) + Q_{\tau}(\mathcal{Z}) + C\mathcal{Z}_{\tau}^{\dagger}(\alpha) & \partial\mathcal{Z}=m_1 \delta_1 + m_2 \delta_2 \\ & \mathcal{J}_1, \mathcal{J}_2 \quad \text{torsion} \implies \exists x \in \mathcal{M} \quad | x \delta_1 = x \delta_2 = O \text{ in } \overset{H}{H}(h) \\ & D_1, D_2 \quad 2-\text{chains} \quad | \partial D_1 = x \delta_1, \quad \partial D_2 = x \delta_2 \\ & x^2 Q_{\tau}(\mathcal{Z}) = Q_{\tau}(x \mathcal{Z}) = Q_{\tau}(m_1 D_1 + m_2 D_2) \\ & = m_1^2 Q_{\tau}(D_1) + m_2^2 Q_{\tau}(D_2) + 2m_1 m_2 Q_{\tau}(D_1, D_2) \\ & = m_1^2 sl^{\tau}(x \delta_1) + m_2^2 sl^{\tau}(x \delta_2) + 2m_1 m_2 \quad link_2(x \delta_1, x \delta_2) \\ & \xrightarrow{\chi^2 sl^{\tau}(\chi_1)} & \xrightarrow{\chi^2 sl^{\tau}(\chi_2)} & \xrightarrow{\chi^2 link_2(\chi_1, \chi_2)} \\ & \Rightarrow Q_{\tau}(\mathcal{Z}) = m_1^2 sl^{\tau}(\chi_n) + m_2^2 sl^{\tau}(\chi_n) + m_2^2 sl^{\tau}(\chi_2) + 2m_1 m_2 \quad link_2(x \delta_1, \chi_2) \\ & \Rightarrow Q_{\tau}(\mathcal{Z}) = m_1^2 sl^{\tau}(\chi_n) + m_2^2 s$$

$$\begin{aligned} (Z_{t}^{T}(\omega) &= \sum_{j=1}^{2} \sum_{\ell=1}^{M_{j}} (Z_{t}^{T}(\mathcal{S}_{j}^{\ell})) = \sum_{j=1}^{2} (m_{j}^{2} + m_{j}^{2}) \theta_{j_{1}\tau} \\ &= 2l \theta_{j_{1}\tau} + O(1) + O(m_{1} + m_{2}) \\ e(-1,0,1) \\ I(\alpha) &= (c_{\tau}(\mathcal{Z}) + \sum_{j=1}^{2} m_{j} \theta_{j_{1}\tau_{1}}) = O(m_{1} + m_{2}) \\ &= (c_{\tau}(\mathcal{Z}) + \sum_{j=1}^{2} m_{j} \theta_{j_{1}\tau_{1}}) \\ &+ m_{1}^{2} (\theta_{1,\tau} + sl_{0}^{\tau}(\mathcal{S}_{1})) + m_{2}^{2} (\theta_{2,\tau} + sl_{0}^{\tau}(\mathcal{S}_{2})) \\ &+ 2m_{1}m_{2} liuk_{0} (\mathcal{S}_{1}, \mathcal{S}_{2}) \\ &+ O(m_{1} + m_{2}) \\ & \text{wiveral cte.} \end{aligned}$$

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$$\begin{split} & \varsigma_{k} \quad \bigcup \text{ECH}(\xi_{1}, \sigma) \\ & c\left(\varsigma_{k_{1}}\lambda\right) = m_{1,k}T_{1} + m_{2,k}T_{2} \\ & \alpha_{k} = \left\{\left(\chi_{1}, m_{1,k}\right), \left(\chi_{2}, m_{2,k}\right)\right\} \qquad \lambda' \xrightarrow{C^{\infty}} \lambda \\ & c\left(\varsigma_{k_{1}}\lambda'\right) = \sqrt{\left(\alpha_{k}'\right)} \longrightarrow m_{1,k}T_{1} + m_{2,k}T_{2} = \sqrt{\left(\alpha_{k}\right)} \\ & \alpha_{k}' \longrightarrow \alpha_{k} \qquad \text{as} \qquad 1 - \text{currents} \\ & m_{1,k}^{2}\phi_{1} + 2m_{1,k}m_{2,k}\bigcup \left(\zeta_{1},\zeta_{1}\right) + m_{2,k}^{2}\phi_{2} = \left(\alpha_{k}\right) + O\left(m_{1,k} + m_{2,k}\right) \\ & = I\left(\alpha_{k}'\right) + O\left(m_{1,k} + m_{2,k}\right) = 2k + O\left(m_{1,k} + m_{2,k}\right) \\ & \text{ECH coymptotics:} \quad m_{1,k}^{2}T_{1}^{2} + 2m_{1,k}m_{2,k}T_{1}T_{2} + m_{2,k}^{2}T_{2}^{2} \\ & = 2k \operatorname{vol}(\lambda) + \Theta(k) \end{split}$$

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$$\begin{array}{l} (\underline{a_{1}d_{1}}\underline{k}) = (\underline{v}a_{1}(k), \underline{v}a_{1}, \underline{v}a_{1},$$

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(ouchision:
$$\begin{split} & \underset{\mathbf{M}_{1|k}}{\overset{2}{\left(\operatorname{vol}(\mathcal{W})\varphi_{1}-T_{1}^{2}\right)+2m_{4,k}m_{2,k}}}\left(\operatorname{vol}(\mathcal{W})\operatorname{link}_{0}\left(\delta_{1},\delta_{2}\right)-T_{1}T_{2}\right) \\ & + \underset{\mathbf{M}_{2,k}}{\overset{2}{\left(\operatorname{vol}(\mathcal{W})\varphi_{2}-T_{2}^{2}\right)}=\underbrace{O\left(m_{4,k}+m_{2,k}\right)}_{\text{cte. depending only}} \\ & \underset{\mathbf{M}_{2,k}}{\overset{2}{\left(\operatorname{vol}(\mathcal{W})\varphi_{2}-T_{2}^{2}\right)} \\ & \underset{\mathbf{M}_{2,k}}{\overset{2}{\left(\operatorname{vol}(\mathcal{W})\varphi_{2}-T_{2}^{2}\right)}} \\ & \underset{\mathbf{M}_{2,k}}{\overset{2}{\left(\operatorname{vol}(\mathcal{W})\varphi_{2}-T_{2}^{2}\right)} \\ & \underset{\mathbf{M}_{2,k}}{\overset{2}{\left(\operatorname{vol}(\mathcal{W})\varphi_{2}-T_{2}^{2}\right)}} \\ & \underset{\mathbf{M}_{2,k}}{\overset{2}$$
Quadratic form on (m_{1}, m_{2}) ECH asymptotics: [m1,K:m2,K] has co-many accumulation pts. in RP1 $\Longrightarrow \text{Bundrafic form vanishes} \Longrightarrow \begin{cases} vol(b) \phi_{1} = T_{2}^{2} \\ vol(b) \phi_{2} = T_{2}^{2} \end{cases}$ val() linka(Val 2)=T.T. $\implies \frac{\phi_1}{\phi_2} = \left(\frac{T_1}{T_2}\right)^2 \notin \mathbb{Q} \quad , \quad \phi_1 \phi_2 = \frac{T_1^2 T_2^2}{\mathsf{vol}(\mathsf{S})^2} = \mathcal{L}_{\mathsf{vol}} (\mathsf{S}, \mathsf{S}) \in \mathbb{Q}$ $\implies \phi_1, \phi_2 \notin \mathbb{R} \implies \mathfrak{r}_1, \mathfrak{r}_2$ irradionally elliptic

$$\begin{aligned} \hat{\alpha} &= \left\{ \begin{array}{l} (\hat{\alpha}_{i}, \hat{m}_{i}) \right\} \quad \text{ohit set for ctt. form } \hat{\lambda} \\ \lambda' \stackrel{\mathcal{C}}{\longrightarrow} \hat{\lambda} \\ \lambda' \stackrel{\mathcal{C}}{\longrightarrow} \hat{\lambda} \\ \alpha' \quad \text{onoit set for } \lambda', \quad \alpha' \longrightarrow \hat{\alpha} \\ \alpha' \quad \text{splits into} \quad \alpha'_{i} &= \text{ohit set on small tub.} \\ \text{where } \mathcal{T}_{i} \quad \text{of } \hat{\alpha}_{i} \\ W \quad 2 \text{-cycle in } \prod_{i} \mathcal{O}_{i} \quad \text{s.th.} \quad \partial W &= \alpha' - \hat{\alpha} \\ \text{then } \mathbb{I} \left(\alpha', \hat{\alpha}, W \right) &= c_{\mathcal{C}}(W) + \mathcal{Q}_{\mathcal{T}}(W) + \left(\mathcal{Z}_{\mathcal{T}}^{\mathsf{T}}(\omega) \cdot \mathcal{Z}_{\mathcal{T}}^{\mathsf{T}} \right) \\ C_{\text{on escore } \mathcal{T}} \\ C_{\text{on escore } \mathcal{T}} \\ \text{triv. on } \prod_{i} \mathcal{O}_{i} \\ &= \sum_{i} \text{writhe}_{\mathcal{T}}(\alpha'_{i}) \\ \mathcal{Z}_{i} \left(\mathcal{Z}_{\mathcal{T}}^{\mathsf{T}}(\alpha'_{i}) - \left($$

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at in a "weighted brevel with mistands" Fring FL braids $\alpha'_{i} = \bigcup_{l=1}^{L} \overline{\zeta}_{l}$ 3, with no strands and multiplicity Ne. $\geq M_{\ell} N_{\ell} = M_{\ell}$ $N_{e}N_{e}$ link $(3_{e}, 3_{a}) + \sum_{n}^{2} N_{e}^{2}$ writhe (3_{e}) writhe $t(a_i^r) = \sum_{i=1}^{n}$ $\lambda_{j} \hat{\lambda} = 1$ ⇒ In | nen ¥l $\exists a_i \in \mathbb{Z} \mid vot_{\mathbb{Z}}(\hat{a}_i) = \frac{a_i}{n}$ Bongert

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+ Extra work => all 3, are (n,a) [not donc in the pouper since it can be avoided writhe $t(a_i) = \sum_{k \neq k} a_k N_k N_k + \sum_{k \neq k} N_k^2 a(n-1)$ $CZ_{\tau}^{\mathrm{I}}(\hat{\lambda}_{ij}\hat{m}_{i}) = \frac{\alpha}{n} \left(\hat{m}_{i}^{2} + \hat{m}_{i} \right) + O\left(\hat{m}_{i} \right)$ $= \dots = an \sum_{l} N_{l}^{2} + an \sum_{l \neq \hat{l}} N_{l} N_{k} + a \sum_{l} N_{l} + O(\hat{m})$ $CZ_{1}^{\mathcal{I}}(a_{i}^{\prime}) = a\left(\overline{Z}, N_{\ell}^{2} + N_{\ell}\right) + O\left(\overline{Z}, N_{\ell}\right)$

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Question: Is a smooth pseudo-rotation with Diophantine boundary rotation number smoothly conjugated to a rigid rotation?

Question (Hofer): If a contact form on S^3 has exactly two periodic Reeb orbits, and one of the rotation numbers (equivalently both) of these orbits is Diophantine, then is it strictly contactomorphic to the boundary of an irrational ellipsoid?

Thank you!