

# Adiabatic quantum transport

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Vancouver

Quantum Matter meets Math

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- ▷ The adiabatic principle
- ▷ Parallel transport
- ▷ An index for charge transport
- ▷ Local charge fluctuations
- ▷ Anyons

# Adiabatic principle

Evolution equation

$$\frac{d}{dt}\varphi(t) = L_p(\varphi(t)) \quad \varphi(0) = \varphi_0$$

for

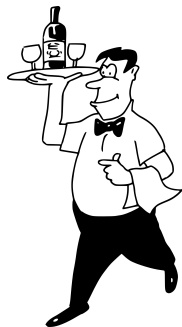
$$L : \mathcal{M} \times \mathcal{H} \rightarrow \mathcal{H}$$

where

- ▷  $\mathcal{H}$  is a Banach space
- ▷  $\mathcal{M}$  is the manifold of parameters

Stable fixpoints

$$\varphi(t) \rightarrow \varphi_p \quad (t \rightarrow \infty)$$



# Adiabatic principle

Driving

$$t \mapsto p_t$$

namely

$$\frac{d}{dt}\varphi(t) = L_{p_t}(\varphi(t)) \quad \varphi(0) = \varphi_{p_0}$$

Adiabatic principle:

If the driving is slow, the solution  $\varphi(t)$  shadows the instantaneous fixpoint  $\varphi_{p_t}$



# Thouless pump

In quantum mechanics:

- ▷  $\varphi(t) \in \mathcal{H}$ , a Hilbert space
- ▷ Schrödinger's equation

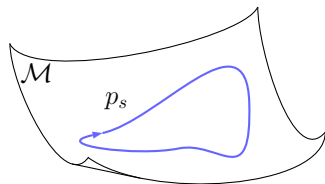
$$\frac{d}{dt}\varphi(t) = -iH_p\varphi(t)$$

for a linear  $H_p = H_p^*$ .

A quantum pump

- ▷ Normalized ground states  $\Omega_p \in \mathcal{H}$
- ▷ Spectral gap
- ▷ Closed smooth path

$$p : [0, 1] \rightarrow \mathcal{M} \quad p_0 = p_1$$



# Geometry

Adiabatic time

$$s = \epsilon t \quad 0 < \epsilon \ll 1$$

accordingly,

$$\epsilon \frac{d}{ds} \varphi_\epsilon(s) = -i H_{p_s} \varphi_\epsilon(s)$$

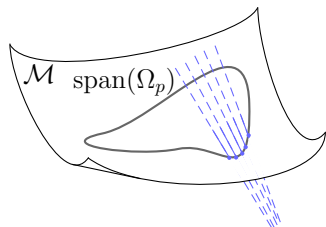
Adiabatic theorem (Kato,...)

$$\varphi_\epsilon(s) \rightarrow \Omega_{p_s} \quad (\epsilon \rightarrow 0)$$

The parallel transport in a **vector bundle**

$$s \mapsto \Omega_{p_s}$$

is geometric (Berry, Simon,...)



# Parallel transport & charge transport

Physical consequences:

- ▷ Quantum Hall effect

Hall conductance = adiabatic curvature

as a consequence (Thouless, Niu, Avron, Seiler,...)

Hall conductance  $\in \mathbb{Z}$

- ▷ For quantum pumps

$$2\pi \text{ Charge transport} = \oint_{\text{int}(p)} \text{Adiabatic curvature}$$

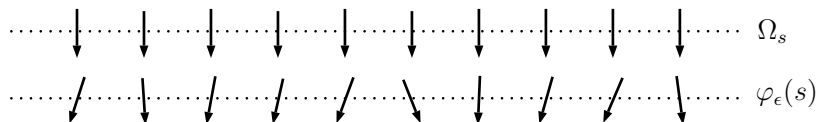
(..., Brouwer, Graf,...)

# Orthogonality catastrophe

Family of quantum systems – atoms in a crystal

$$\mathcal{H} = \otimes_{x \in \Lambda} \mathcal{H}^{\{x\}}, \quad \dim(\mathcal{H}^{\{x\}}) < \infty$$

After adiabatic evolution:



$$\langle \varphi_\epsilon(s), \Omega_s \rangle \rightarrow 0 \quad (|\Lambda| \rightarrow \infty)$$

Adiabatic theorem, quantized transport, in the **thermodynamic limit**?

$$\lim_{\epsilon \rightarrow 0} \lim_{|\Lambda| \rightarrow \infty}$$



## Local parallel transport

- ▷ Weak-\* topology: only expectation values
- ▷ Local parallel transport (Hastings,...)

$$\Omega_s = U_{\parallel}(s)\Omega_0$$

and

$$A_X \text{ supported in } X \quad \Rightarrow \quad U_{\parallel}(s)^* A_X U_{\parallel}(s) \text{ supported in } X$$

- ▷ Higher order perturbation theory to control times of order  $\epsilon^{-1}$

**Theorem.** [B-De Roeck-Fraas]

If  $s \mapsto H_s$  is smooth, then *uniformly in the volume*

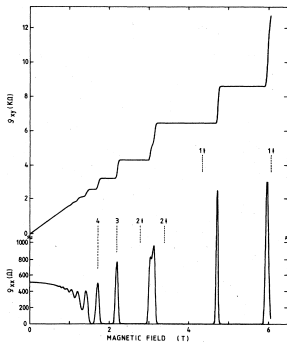
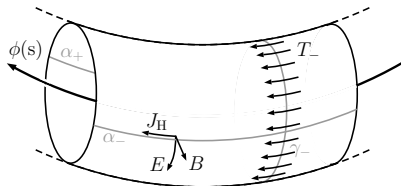
$$|\langle \varphi_{\epsilon}(s), A_X \varphi_{\epsilon}(s) \rangle - \langle \Omega_s, A_X \Omega_s \rangle| \leq C \|A_X\| |X|^2 \epsilon$$

see also Monaco-Teufel (fermions), Henheik-Teufel (infinite volume)

# More on the Hall effect

## Quantized conductance:

A Hall setting:



v. Klitzing–Dorda–Pepper (1980)

$$\sigma_H = \frac{J_H}{E} = n \frac{e^2}{h}, \quad n \in \mathbb{Z}$$

# Finite volume setting

Flux Hamiltonians

$$H_\phi, P_\phi \quad \text{s.t.} \quad H_0 = H_{2\pi}$$

$U(1)$ -charge

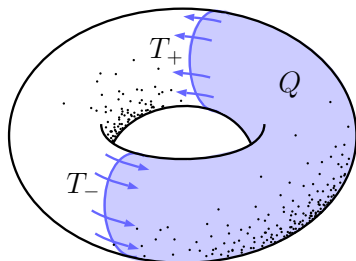
$$Q_Z = \sum_{x \in Z} q_x \quad \text{Spec}(q_x) \subset \mathbb{Z}$$

Parallel transport  $U_\parallel$  over cycle  $\phi = 0 \rightarrow \phi = 2\pi$

$$U_\parallel P U_\parallel^* = P$$

Local charge conservation

$$T = U_\parallel^* Q U_\parallel - Q = T_- + T_+$$



**Theorem.** [B-Bols-De Roeck-Fraas]

Let  $p = \text{Rank}(P)$ . Then

$$(i) \quad \text{Ind}_P(U_{\parallel}) = \text{Tr}(P(U_{\parallel}^*QU_{\parallel} - Q)_-) \in \mathbb{Z}_{(\mathcal{O}(L^{-\infty}))}$$

$$(ii) \quad 2\pi\sigma_{\text{H}} = p^{-1}\text{Tr}(P(U_{\parallel}^*QU_{\parallel} - Q)_-) + \mathcal{O}(L^{-\infty})$$

- ▷ Interacting electrons
- ▷ (i) holds for any adiabatic quantum pump
- ▷ In fact, (i) holds for any local  $U$  such that  $[P, U] = 0$
- ▷ Fractional Hall conductance

# Noninteracting fermions

- ▷ Unique ground state  $\Omega_F$ , the Fock vacuum (filled Fermi sea):

$$P = |\Omega_F\rangle\langle\Omega_F|$$

- ▷ Hence  $p = 1$ : integer charge transport
- ▷ Index of projections (1d)

$$\begin{aligned}\text{Ind}_P(U) &= \langle\Omega_F, (\Gamma(u)^* d\Gamma(q)\Gamma(u) - d\Gamma(q))\Omega_F\rangle \\ &= \text{tr}(p_F(u^*qu - q)) \\ &= \text{tr}(u^*(p_Fqp_F)u - (p_Fqp_F))\end{aligned}$$

for  $p_F$  the Fermi projection

- ▷ Charge:  $q = \chi_{[0,\infty)}$ , and

$$p_Fqp_F = \text{projection} + \text{compact}$$

## Linear response

Charge transport is driven (Faraday's law) by

$$E = -(\text{flux change}) = -\epsilon\phi'(s)$$

Linear response is **exact**:

**Theorem.** [B-De Roeck-Lange-Fraas]

For the *Schrödinger propagator*  $U_\epsilon$

$$p^{-1}\text{Tr}(P(U_\epsilon^*QU_\epsilon - Q)_-) = 2\pi\sigma_H + \mathcal{O}(\epsilon^\infty)$$

see also Klein-Seiler

# Block diagonalization

The linear map on  $\mathcal{A}$

$$A \mapsto \mathcal{I}(A) := \widehat{W}(-\text{ad}_H)(A) = \int_{-\infty}^{\infty} W(t)e^{itH} A e^{-itH} dt$$

for  $W \in L^1(\mathbb{R}; \mathbb{R}) \cap L^\infty(\mathbb{R}; \mathbb{R})$  such that

$$\widehat{W}(\xi) = -i\xi^{-1} \quad (|\xi| > \text{gap})$$

is the **inverse of  $-i\text{ad}_H$**

$$O - \mathcal{I}(i[O, H]) = 0$$

whenever  $O = PO(1 - P) + (1 - P)OP$

$$\begin{pmatrix} POP & POP^\perp \\ P^\perp OP & P^\perp OP^\perp \end{pmatrix}$$

# Block diagonalization

- ▷ Generator of **parallel transport**

$$P' = \mathcal{I}(i[P', H]) = i\mathcal{I}([H', P]) = i[\mathcal{I}(H'), P]$$

- ▷ For **any** operator  $A$

$$\bar{A} = A - \mathcal{I}(i[A, H])$$

is block diagonal

$$[\bar{A}, P] = 0$$

- ▷ **Locality**

$$\text{supp}(B) = X \implies \text{supp}(\mathcal{I}(B)) \sim X$$

(because  $|W(t)| = \mathcal{O}(|t|^{-\infty})$ )



# Charge fluctuations

## Charge conservation

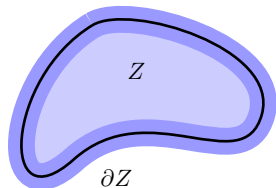
$$\text{supp}([H, Q_Z]) = \partial Z$$

Then,

▷  $K_{\partial Z} = \mathcal{I}([H, Q_Z])$  is supported on  $\partial Z$

▷  $\bar{Q}_Z = Q - K_{\partial Z}$  is so that

$$[P, \bar{Q}_Z] = 0$$



## Wilson loops

$$\begin{aligned} e^{-2\pi i \bar{Q}_Z} &= e^{-2\pi i (Q_{\partial Z} - K_{\partial Z})} e^{-2\pi i (Q_Z - Q_{\partial Z})} \\ &= e^{-2\pi i (Q_{\partial Z} - K_{\partial Z})} \in \mathcal{A}_{\partial Z} \end{aligned}$$

since  $\text{Spec}(Q_Z - Q_{\partial Z}) \subset \mathbb{Z}$ .

## Loops and boundaries

For half-space  $Q$

$$V = e^{-2\pi i \bar{Q}} = e^{-2\pi i \bar{Q}_-} e^{-2\pi i \bar{Q}_+} = V_- V_+$$

With the gap

$$PV_- V_+ P = PV_- PV_+ P$$

and hence

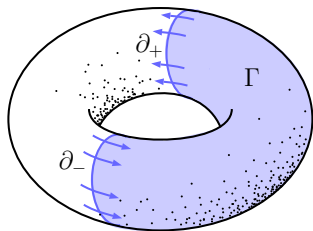
$$[P, V_{\pm}] = 0$$

but  $[P, \bar{Q}_-] \neq 0$ .

Importantly

$$\partial_- \cup \partial_+ = \partial \Gamma$$

but  $\partial_-$  is not a boundary



# Loops and boundaries

If

$$P\bar{Q}_Z P \propto P$$

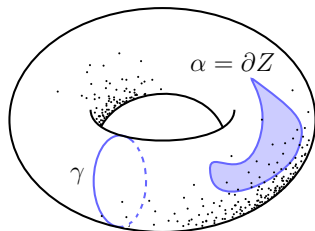
then

- ▷  $\alpha$  is a boundary

$$V_\alpha P = P e^{2\pi i \bar{Q}_Z} P = e^{2\pi i P \bar{Q}_Z} P \propto P$$

$V_\alpha$  acts trivially on  $\text{Ran}P$

- ▷  $\gamma$  is not a boundary,  
 $V_\gamma$  may act **nontrivially** on  $\text{Ran}P$

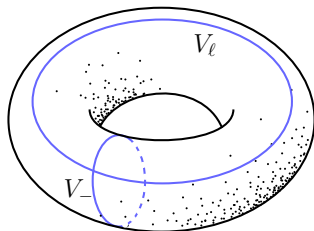


# Algebra of loops

The index theorem can be phrased as

$$V_\ell^* V_- V_\ell V_-^* P = e^{2\pi i \frac{q}{p}} P$$

Rational **rotation algebra**

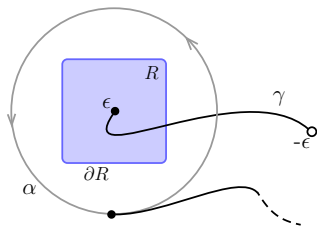


# Charged anyons

For an **open** path  $\gamma$

$$\varphi = V_\gamma \Omega \quad (\Omega = P\Omega)$$

is a state of a pair of excitations



▷ **Fractional** charge (Laughlin, Saminadayar, Reznikov,...)

$$\langle \varphi, Q_R \varphi \rangle - \langle \Omega, Q_R \Omega \rangle = \langle \Omega, (V_\gamma^* Q_R V_\gamma - Q_R) \Omega \rangle = \frac{q}{p}$$

▷ Berry phase, aka **Braiding** (... , Wen, Fröhlich,...)

$$V_\alpha \varphi = V_\gamma (V_\gamma^* V_\alpha V_\gamma V_\alpha^*) \Omega = e^{2\pi i \frac{q}{p}} \varphi$$