

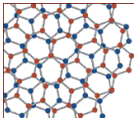
# A holographic view of symmetry – as shadow of topological order

Xiao-Gang Wen (MIT)

2021/04/26 QM<sup>3</sup>

Ji Wen arXiv:1912.13492

Kong Lan Wen Zhang Zheng arXiv:2003.08898; arXiv:2005.14178



Simons Collaboration on  
Ultra-Quantum Matter



# Three kinds of many-body quantum systems

- Three kinds of quantum matter:
  - (1) No low energy excitations (insulator, superconductor) → **trivial**
  - (2) A few low energy modes (superfluid, crystal) → **simple**
  - (3) Infinity low energy modes (Fermi liquids) → **complicated**
- **Topological orders** are “trivial” state of matter.  
*Since they are trivial, now we start to have a systematic understanding and classification of all topological orders.*
- **Next big question:** How to gain a systematic understanding and classification the “simple” gapless quantum states of matter (*which can be strongly correlated*)?
- **Simple:** a **finite** number of **linear** modes  $\omega \propto k$  (ie conformal field theory).
- **Complicated:** low energy effective field theory has **infinite** number of fields.

Wen arXiv:2101.08772

# 1+1D (quantum) transverse Ising model

- Strongly correlated gapless state in **transverse Ising model**

$$H_{\text{Is}} = - \sum_i (JZ_i Z_{i+1} - hX_i), \quad J, h > 0.$$

where  $X, Y, Z$  are Pauli matrices.

- The  $Z_2$  symmetry

$$U_{Z_2} = \prod_i X_i, \quad |\uparrow\rangle \leftrightarrow |\downarrow\rangle$$

- We will always restrict ourselves in the **symmetric sub-Hilbert space**

$$\mathcal{V}_{\text{symm}} = \{|\psi\rangle \mid U_{Z_2}|\psi\rangle = |\psi\rangle\}$$

which will be important later.

# 1+1D Ising critical point

– a strongly correlated “simple” gapless state

**Two ways to view the critical point** at  $h = J$

within such a symmetric sub-Hilbert space  $\mathcal{V}_{\text{symm}}$ :

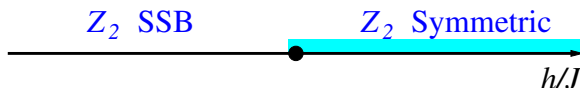
- From the symmetric state  $|\cdots \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \cdots\rangle$  at  $h \gg J$ . The excitations are even spin flips  $|\cdots \rightarrow \leftarrow \rightarrow \rightarrow \leftarrow \cdots\rangle$ .

- The spin flips, denoted as  $e$ , have the fusion rule

$$e \otimes e = \mathbf{1}, \quad (\mathbf{1} = \text{the null excitation})$$

The fusion rule implies the mod-2 conservation and the  $\mathbb{Z}_2$  symm.

- As we decrease  $h/J$ , we have more and more spin flips  $\rightarrow$  **condensation** of spin flips  $\rightarrow$  spontaneous  $\mathbb{Z}_2$  symmetry breaking.
- The transition point is the **Ising critical point**.



# 1+1D Ising critical point

- From the symmetry-breaking state in **symmetric subspace**  $\mathcal{V}_{\text{symm}}$ :  
 $|\cdots \uparrow\uparrow\uparrow\uparrow \cdots\rangle + |\cdots \downarrow\downarrow\downarrow\downarrow \cdots\rangle$  at  $h \ll J$ . The excitations are even domain walls  $|\cdots \uparrow\uparrow \downarrow\downarrow \uparrow\uparrow \cdots\rangle + |\cdots \downarrow\downarrow \uparrow\uparrow \downarrow\downarrow \cdots\rangle$
- The domain walls, denoted as  $m$ , have the fusion rule

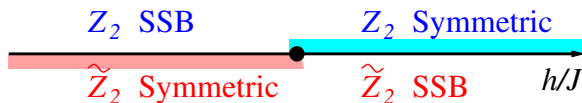
$$m \otimes m = \mathbf{1}, \quad (\mathbf{1} = \text{the null excitation})$$

The fusion rule implies a mod-2 conservation of domain walls, and a new  $\tilde{\mathbb{Z}}_2$  **dual symmetry**.

- As we increase  $h/J$ , we have more and more domain walls  $\rightarrow$  **condensation** of domain walls  $\rightarrow$  spontaneous  $\tilde{\mathbb{Z}}_2$  dual-symmetry breaking (*and restoration of the  $\mathbb{Z}_2$  symmetry*).
- The transition point is the same Ising critical point.



# Critical point has both symmetry & dual symmetry



- The critical point touches the  $\mathbb{Z}_2$  symmetric phase and touches the  $\tilde{\mathbb{Z}}_2$  dual-symmetric phase. It has no spin flip condensation, nor domain wall condensation.

Ji-Wen, arXiv:1912.13492

We say **the critical point has both  $\mathbb{Z}_2$  symmetry and  $\tilde{\mathbb{Z}}_2$  dual-symmetry**, almost by definition.



- Putting the two symmetries together, we say the critical point has a **categorical symmetry**  $\mathbb{Z}_2 \vee \tilde{\mathbb{Z}}_2$ , which means
  - (1) The system (ie Hamiltonian) has the categorical symmetry, and
  - (2) the categorical symmetry **is not spontaneously broken**.
- The  $\mathbb{Z}_2$ -symmetry and the dual  $\tilde{\mathbb{Z}}_2$ -symmetry are not independent. There is a “**mutual statistics**” between them.  
So **the categorical symmetry is not  $\mathbb{Z}_2 \times \tilde{\mathbb{Z}}_2$** .

# Patch symmetry transformation

To write down the transformations of symmetry and dual symmetry, **within** the symmetric subspace  $\mathcal{V}_{\min}$  and to see the “mutual statistics” between them, we introduce the **patch symmetry transformation**.

- Patch symmetry transformation for  $\mathbb{Z}_2$  symmetry is given by

$$U_{\mathbb{Z}_2}(i_1, i_2) = \prod_{i_1 \leq i \leq i_2} X_i$$

- The **symmetric local operators**  $O_i$  acting on several spins near site  $i$ :

$$O_i U_{\mathbb{Z}_2}(i_1, i_2) = U_{\mathbb{Z}_2}(i_1, i_2) O_i, \quad i \text{ far away from } i_1, i_2.$$

- The **symmetric Hamiltonian** (for example, the transverse Ising model) is a sum of symmetric local operators.

# Dual Ising model and dual symm. transformation

To write down dual symmetry transformation, we go to the dual representation of the transverse Ising model

$$X_i \rightarrow \tilde{X}_{i-\frac{1}{2}} \tilde{X}_{i+\frac{1}{2}}, \quad Z_i Z_{i+1} \rightarrow \tilde{Z}_{i+\frac{1}{2}},$$
$$\tilde{H}_{DW} = - \sum_i (J \tilde{Z}_{i+\frac{1}{2}} + h \tilde{X}_{i-\frac{1}{2}} \tilde{X}_{i+\frac{1}{2}})$$

The model and the dual model are equivalent within the symmetric sub-Hilbert spaces of each model.

- The  $\tilde{\mathbb{Z}}_2$  dual-symmetry transformation (the **patch operator**)

$$\tilde{U}_{\tilde{\mathbb{Z}}_2}(i_1, i_2) = \prod_{i_1 \leq i \leq i_2} \tilde{Z}_{i+\frac{1}{2}}$$

- The original  $\mathbb{Z}_2$  symmetry transformation (the patch operator)

$$U_{\mathbb{Z}_2}(i_1, i_2) = \tilde{X}_{i_1+\frac{1}{2}} \tilde{X}_{i_2+\frac{1}{2}}$$

The  $\mathbb{Z}_2$  patch symmetry transformation has a trivial bulk in the dual model  $\rightarrow$  **any local operators in the dual formulation are**



# Symmetry and dual symmetry in Ising model

- Transverse Ising model

$$H_{\text{Is}} = - \sum_i (J Z_i Z_{i+1} - h X_i), \quad J, h > 0.$$

$$U_{\mathbb{Z}_2}(i_1, i_2) = \prod_{i_1 \leq i \leq i_2} X_i, \quad \tilde{U}_{\tilde{\mathbb{Z}}_2}(i_1, i_2) = Z_{i_1} Z_{i_2}.$$

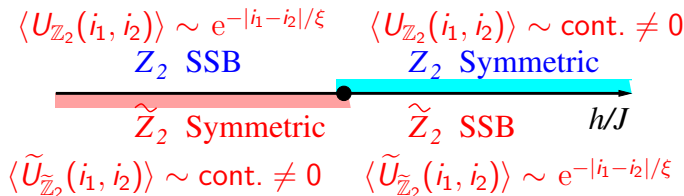
- Dual Ising model

$$\tilde{H}_{DW} = - \sum_i (J \tilde{Z}_{i+\frac{1}{2}} + h \tilde{X}_{i-\frac{1}{2}} \tilde{X}_{i+\frac{1}{2}})$$

$$U_{\mathbb{Z}_2}(i_1, i_2) = \tilde{X}_{i_1+\frac{1}{2}} \tilde{X}_{i_2+\frac{1}{2}}, \quad \tilde{U}_{\tilde{\mathbb{Z}}_2}(i_1, i_2) = \prod_{i_1 \leq i \leq i_2} \tilde{Z}_{i+\frac{1}{2}}$$

- The two are equivalent within the symmetric sub Hilbert space.
- The patch operator for the dual  $\tilde{\mathbb{Z}}_2$ -symmetry creates a pair of  $\mathbb{Z}_2$ -symmetry charge (a pair of  $\mathbb{Z}_2$ -symmetry order parameter).  
The patch operator for the  $\mathbb{Z}_2$ -symmetry creates a pair of dual  $\tilde{\mathbb{Z}}_2$ -symmetry charge (a pair of dual  $\tilde{\mathbb{Z}}_2$ -symmetry order parameter)

# Relations between symmetry and dual symmetry



- At the critical point both  $\langle U_{\mathbb{Z}_2}(i_1, i_2) \rangle$  and  $\langle \tilde{U}_{\tilde{\mathbb{Z}}_2}(i_1, i_2) \rangle$  are not non-zero constants  $\rightarrow$  both  $e$  (spin flips) and  $m$  (domain walls) are not condensed.



- A gapped state must spontaneously break part of the categorical symmetry  $\mathbb{Z}_2 \vee \tilde{\mathbb{Z}}_2$  (for example,  $\mathbb{Z}_2$  or  $\tilde{\mathbb{Z}}_2$ ). A state with unbroken categorical symmetry must be gapless. Levin, arXiv:1903.09028
- The symmetry and the dual symmetry are not independent

$$U_{\mathbb{Z}_2}(i_1, i_2) \tilde{U}_{\tilde{\mathbb{Z}}_2}(j_1, j_2) = -\tilde{U}_{\tilde{\mathbb{Z}}_2}(j_1, j_2) U_{\mathbb{Z}_2}(i_1, i_2), \quad i_1 \ll j_1 \ll i_2 \ll j_2.$$

We say they have a **mutual  $\pi$  statistics**  $\rightarrow$  the above results.

# What is so special about gapless states

- The gapless states (the critical point) are very special.
  - either the low energy excitations become **non-interacting** (*via the renormalization group flow*)
  - or the interaction flow to a very **special form**, so that the low energy interaction is perfectly balanced not to open energy gap.
- Supporting this point of view, we often see a larger low-energy **emergent** symmetry in gapless state (which are not spontaneously broken).

The new insight: **the emergent symmetry may have non-trivial “mutual statistics”**.

- *What is so special about a gapless state?* The full emergent symmetry is a **categorical symmetry** with **non-trivial “mutual statistics”**. Such categorical symmetry may be the key to systematically understand (and might even classify) the strongly correlated simple gapless states (CFTs).

# Algebraic symmetry

The spontaneous- $\mathbb{Z}_2$ -symmetry-breaking critical point of 1+1D Ising model have a  $\mathbb{Z}_2 \vee \widetilde{\mathbb{Z}}_2$  categorical symmetry.

*What is the categorical symmetry for 1+1D spontaneous- $G$ -symmetry-breaking critical point?*

- The  $G$ -symmetry charges are  $G$ -representations  $R_q$ .  
The  $G$ -charge conservation is encoded in the fusion rule  $R_{q_1} \otimes R_{q_2} = \oplus_{q_3} N_{q_1 q_2}^{q_3} R_{q_3}$ . (eg  $\text{spin-}\frac{1}{2} \otimes \text{spin-}\frac{1}{2} = \text{spin-0} \oplus \text{spin-1}$ )  
(Mathematically, the  $G$ -charge conservation is described by a **fusion category**  $\mathcal{R}\text{ep}_G$ ).
- The dual  $\widetilde{G}$ -symmetry charges are  $G$ -SSB domain walls, label by  $g \in \widetilde{G}$  ( $|\cdots g_- g_- g_- \overbrace{g_- g_+}^{g_+ = g g_-} g_+ g_+ g_+ \cdots\rangle$ )  
The  $\widetilde{G}$ -charge conservation is encoded in the fusion rule  $g_1 \times g_2 = g_3$ , where  $g_3 = g_1 g_2$ . (Mathematically, the  $\widetilde{G}$ -charge conservation is described by a fusion category  $\mathcal{V}\text{ec}_G$ ).

# Algebraic symmetry

- The dual  $\tilde{G}$ -symmetry is not described by a group.
  - In a dual model with degrees of freedom  $g_{ij} \in G$  living on the links, the ground state with no  $\tilde{G}$ -charge:  $|\{g_{ij} = 1\}\rangle = |\cdots 11111 \cdots\rangle$ .
  - A state with a  $\tilde{G}$ -charge:  $|\cdots 11g11 \cdots\rangle$ .
- A  $\tilde{G}$ -symmetry transformations:  $\tilde{U}_q = \text{Tr}(\prod_i R_q(g_{i,i+1}))$  are given by irreducible representations of  $G$ , labeled by  $q$
- $\tilde{U}_q$ 's do not form a group:  $\tilde{U}_{q_1} \otimes \tilde{U}_{q_2} = \sum_{q_3} N_{q_1 q_2}^{q_3} \tilde{U}_{q_3}$ .

The symmetry  $\tilde{G}$  is called **Algebraic symmetry**.

Kong, Lan, Wen, Zhang, Zheng; arXiv:2005.14178

It is also called **non-invertible defect line**

Chang Lin Shao Wang Yin, arXiv:1802.04445

or **fusion category symmetry**

Thorngren Wang, arXiv:1912.02817

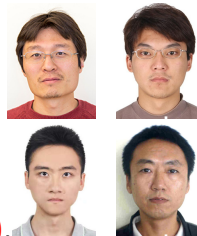
- The  $G$ -symmetry is described fusion category  $\text{Rep}_G$ . Algebraic  $\tilde{G}$ -symmetry is described fusion category  $\text{Vec}_G$ .

# Algebraic higher symmetry

- In  $n + 1$ D spacetime, the  $G$ -symmetry charges are point-like excitations carrying  $G$ -representations  $R_q$ .

Kong Lan Wen Zhang Zheng, arXiv:2005.14178

- The dual  $\tilde{G}$ -symmetry charges are  $n - 1$ -dimensional domain walls labeled by  $g \in G$ . The fusion of the  $n - 1$ -dimensional excitations gives rise to an **algebraic higher symmetry**, denoted as  $\tilde{G}^{(n-1)}$ . The transformation of the  $\tilde{G}^{(n-1)}$ -symmetry is given by Wilson line operators:  $\tilde{U}_q(S^1) = \text{Tr}(\prod_{\langle ij \rangle \in S^1} R_q(g_{ij}))$ .



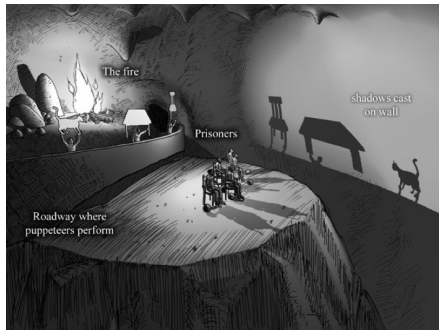
Ji Wen arXiv:1912.13492

- The SSB critical point of  $G$ -symmetry has the categorical symmetry  $G \vee \tilde{G}^{(n-1)}$  with non trivial “mutual non-Abelian statistics”. The critical point has gapless  $0$ -dimensional operators carrying  $G$ -symmetry charges and gapless  $n - 1$ -dimensional operators carrying  $\tilde{G}^{(n-1)}$ -symmetry charges.

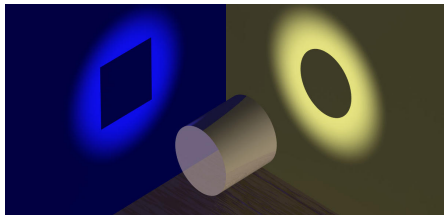


# Categorical symmetry lives in one higher dimension

To gain a deeper understanding of categorical symmetry  $G \vee \tilde{G}^{(n)}$ , such as their non-trivial “mutual (non-Abelian) statistics”, we realized that categorical symmetry actually lives in one higher dimension.



Categorical symmetry  
→ symmetry and dual symmetry



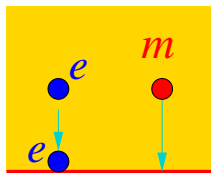
# Holographic theory of symmetry & dual symmetry

Consider a 2+1D  $\mathbb{Z}_2$  gauge theory, which have 4 kind of excitations

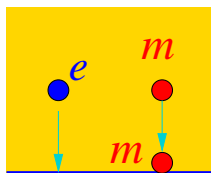
$\mathbf{1}$  (null excitations),  $e$  ( $\mathbb{Z}_2$ -charge, boson),  
 $m$  ( $\mathbb{Z}_2$ -flux, boson),  $f = e \otimes m$  (charge-flux, fermion).

$e$  and  $m$  have mutual  $\pi$  statistics between them.

- The  $\mathbb{Z}_2$  gauge theory has a gapped boundary induced by  $m$  condensation on the boundary. The boundary excitations are described by even number of  $e$  particles with fusion  $e \otimes e = \mathbf{1}$ .  
→ the  $\mathbb{Z}_2$ -symmetric phase ( $\tilde{\mathbb{Z}}_2$  symm. broken).



- The  $\mathbb{Z}_2$  gauge theory has another gapped boundary induced by  $e$  condensation. The boundary excitations are described by even number of  $m$  particles with fusion  $m \otimes m = \mathbf{1}$ .  
→ the  $\mathbb{Z}_2$  symm. broken phase ( $\tilde{\mathbb{Z}}_2$  symmetric).





# Holographic theory of symmetry & dual symmetry

The transition from one gapped boundary ( $m$  condensed) to another gapped boundary ( $e$  condensed)  $\rightarrow$  a gapless critical point – the  $\mathbb{Z}_2$  spontaneous symm. breaking critical point.

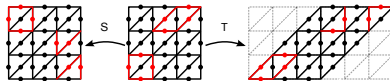
- The  $\mathbb{Z}_2$  symmetry-breaking critical point is a gapless boundary of a 2+1D  $\mathbb{Z}_2$  gauge theory, that has no  $m$  condensation, nor  $e$  condensation (ie has the full  $\mathbb{Z}_2 \vee \tilde{\mathbb{Z}}_2$  categorical symmetry).
- **Categorical symm. = topol. order in one higher dimension**  
 $1+1D \mathbb{Z}_2 \vee \tilde{\mathbb{Z}}_2$  categorical symmetry = 2+1D  $\mathbb{Z}_2$  gauge theory.  
In the bulk,  $e \times e = \mathbf{1} \rightarrow \mathbb{Z}_2$  symm.  $m \times m = \mathbf{1} \rightarrow \tilde{\mathbb{Z}}_2$  dual symm.
- A low energy effective field have emergent symmetries, and anomalies. Within the symmetric sub-Hilbert space, we may view all those emergent symmetries as **non-invertible gravitational anomalies**, since  $\mathcal{V}_{\text{symm}} \neq \otimes_i \mathcal{V}_i$ . Ji Wen, arXiv:1905.13279  
**Gravitational anomaly = topological order in one higher dimension = Categorical symmetry** . Wen, arXiv:1303.1803



# How categorical symmetry determine gapless state

In other words, how topological order in one higher dimension determine its gapless boundary.

- What is the data to describe 2+1D topological order?
- What is the data to describe 1+1D gapless state?
- How the two data are related?



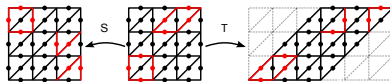
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**Universal wavefunction overlap**

→  $S, T$  matrix → the data for 2+1D topological order.

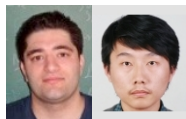


- Consider the degenerate ground states  $|\Psi_\alpha\rangle$  on torus  $T^2$  for a topo. order. Consider two maps,  $\hat{S} = 90^\circ$  rotation and  $\hat{T} =$  Dehn twist.

**The  $S, T$ -matrix from the overlap**

$$\hat{S} : \int \prod_i dx_i dy_i \Psi_\alpha^* (\{x_i, y_i\}) \Psi_\beta (\{y_i, -x_i\}) = e^{-\text{Area}/\xi_S^2} S_{\alpha,\beta}$$

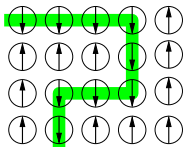
$$\hat{T} : \int \prod_i dx_i dy_i \Psi_\alpha^* (\{x_i, y_i\}) \Psi_\beta (\{x_i + y_i, y_i\}) = e^{-\text{Area}/\xi_T^2} T_{\alpha,\beta}$$



Heidar-Wen arXiv:1401.0518, He-Heidar-Wen arXiv:1401.5557

# Computing universal wave function overlap

- A topological order of  $\mathbb{Z}_2$  gauge theory  $\Psi(\text{loop}) = 1$  (equal weight superposition of all spin-down loops).
- Another topological order  $\Psi(\text{loop}) = (-1)^{\# \text{ of loops}}$  → The double semion topo. order described by mutual  $U^2(1)$  CS theory



- For the  $\mathbb{Z}_2$  topological order:

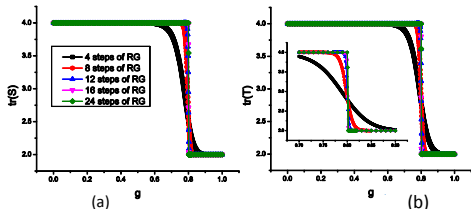
$$\Psi_1(\text{loop}) = g^{\text{string-length}}$$

$$\Psi_2(\text{loop}) = (-1)^{W_x} g^{\text{str-len}}$$

$$\Psi_3(\text{loop}) = (-1)^{W_y} g^{\text{str-len}}$$

$$\Psi_4(\text{loop}) = (-1)^{W_x + W_y} g^{\text{str-len}}$$

- $g < 0.8$  small-loop phase  
 $|\Psi_\alpha\rangle$  are the same state
- $g > 0.8$  large-loop phase  
 $|\Psi_\alpha\rangle$  are four diff. states
- For the double semion topo. order:



(a)  $g$  (b)  $g$

---

$g=0.802$

$$S = \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{pmatrix} T = \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{pmatrix} S = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} T = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

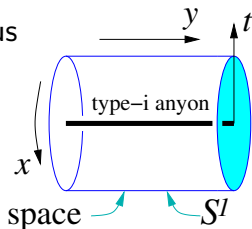
(c)

$$S = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, T = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix}$$

# How to understand different degenerate ground states on torus?

- A spacetime evolution  $M^3$ , that produces a torus  $T^2 = \partial M^3$ , produces a ground state on  $T^2$ .
- Different spacetime evolutions  $M^3$ 's, that produce the same torus  $T^2 = \partial M^3$ , may produce different degenerate ground states.
- In particular, the embedded worldline of different types of anyon gives rise to different spacetime evolutions and different degenerate ground states  $|\Psi_i\rangle$  on torus.
- This gives rise to an orthogonal basis of the the ground state subspace, the anyon basis  $|\Psi_i\rangle$ , labeled by anyon types  $i$ .
- Under the modular transformations  $S, T$  they transform as

$$|\Psi_i\rangle \rightarrow S_{ij}|\Psi_j\rangle, \quad |\Psi_i\rangle \rightarrow T_{ij}|\Psi_j\rangle$$



# What is the data to describe 1+1D gapless states (gapless edge of topo. order)

Ji & Wen arXiv:1905.13279



- A powerful data to describe 1+1D gapless state of a lattice model is the partition function

$Z(i\beta + \delta x) = \text{Tr} e^{-\beta H + i\delta x P}$ , which is **modular invariant**

$T : Z(\tau) = Z(\tau + 1), \quad S : Z(\tau) = Z(-1/\tau).$

- 1+1D lattice system  $\rightarrow$  anomaly free theory

- Boundary of 2+1D topological order

$\rightarrow$  1+1D anomalous theory, Wen arXiv:1303.1803

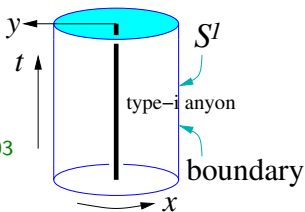
which has several partition functions  $Z_i(\tau)$

labeled by the anyon types  $i$  of the 2+1D bulk topo.

order.  $Z_i(\tau)$  is **not modular invariant but modular covariant**:

$T^{\text{bdry}} : Z_i(\tau + 1) = T_{ij}^{\text{bdry}} Z_j(\tau), \quad S^{\text{bdry}} : Z_i(-1/\tau) = S_{ij}^{\text{bdry}} Z_j(\tau).$

The  $S^{\text{bdry}}, T^{\text{bdry}}$  is the data that characterize the gapless boundary.



# The relation between the data for bulk topo. order and the data for its gapless boundary

**The relation is very simple:**  $S = S^{\text{bdry}}$ ,  $T = T^{\text{bdry}}$

- 2+1D  $\mathbb{Z}_2$  topological order (ie  $\mathbb{Z}_2$  gauge theory) has 4 types of anyons  $1, e, m, f$ . It is characterized by data

$$T = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, \quad S = \frac{1}{2} \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 1 & -1 \\ 1 & -1 & -1 & 1 \end{pmatrix}$$

- Its gapless boundary has a 4-component partition function  $Z_1(\tau)$ ,  $Z_e(\tau)$ ,  $Z_m(\tau)$ , and  $Z_f(\tau)$  that satisfy (where  $i, j = 1, e, m, f$ ):  
 $T^{\text{bdry}} : Z_i(\tau + 1) = T_{ij} Z_j(\tau)$ ,  $S^{\text{bdry}} : Z_i(-1/\tau) = S_{ij} Z_j(\tau)$ ,
- **Partition function for 1+1D gapless (CFT) state has a form**  
 $Z_i(\tau, \bar{\tau}) = \sum_{\alpha, \beta} \bar{\chi}_\alpha(\bar{\tau}) M_i^{\alpha\beta} \chi_\beta(\tau)$ ,  $\chi_\alpha(\tau) =$  conformal characters

Solving the modular covariant condition

→ Several possible gapless boundaries:

- Ising CFT boundary (minimal model (3, 4)):  $c = \bar{c} = \frac{1}{2}$

$$\begin{pmatrix} Z_1(\tau, \bar{\tau}) \\ Z_e(\tau, \bar{\tau}) \\ Z_m(\tau, \bar{\tau}) \\ Z_f(\tau, \bar{\tau}) \end{pmatrix} = \begin{pmatrix} |\chi_0^{\text{Is}}(\tau)|^2 + |\chi_{\frac{1}{2}}^{\text{Is}}(\tau)|^2 \\ |\chi_{\frac{1}{16}}^{\text{Is}}(\tau)|^2 \\ |\chi_{\frac{1}{16}}^{\text{Is}}(\tau)|^2 \\ \chi_0^{\text{Is}}(\tau) \bar{\chi}_{\frac{1}{2}}^{\text{Is}}(\bar{\tau}) + \chi_{\frac{1}{2}}^{\text{Is}}(\tau) \bar{\chi}_0^{\text{Is}}(\bar{\tau}) \end{pmatrix},$$

$Z_1(\tau, \bar{\tau}) = |\chi_0^{\text{Is}}(\tau)|^2 + |\chi_{\frac{1}{2}}^{\text{Is}}(\tau)|^2 \rightarrow$  all the critical exponents.

Most stable  $\rightarrow$  critical point of  $\mathbb{Z}_2$  symmetry breaking.

- Minimal model (4, 5) CFT boundary:  $c = \bar{c} = \frac{7}{10}$

$$\begin{pmatrix} Z_1 \\ Z_e \\ Z_m \\ Z_f \end{pmatrix} = \begin{pmatrix} |\chi_0^{m4}|^2 + |\chi_{\frac{1}{10}}^{m4}|^2 + |\chi_{\frac{3}{5}}^{m4}|^2 + |\chi_{\frac{3}{2}}^{m4}|^2 \\ |\chi_{\frac{7}{16}}^{m4}|^2 + |\chi_{\frac{3}{80}}^{m4}|^2 \\ |\chi_{\frac{7}{16}}^{m4}|^2 + |\chi_{\frac{3}{80}}^{m4}|^2 \\ \chi_0^{m4} \bar{\chi}_{\frac{3}{2}}^{m4} + \chi_{\frac{1}{10}}^{m4} \bar{\chi}_{\frac{3}{5}}^{m4} + \chi_{\frac{3}{5}}^{m4} \bar{\chi}_{\frac{1}{10}}^{m4} + \chi_{\frac{3}{2}}^{m4} \bar{\chi}_0^{m4} \end{pmatrix}$$

Less stable  $\rightarrow$  multi-critical point in  $\mathbb{Z}_2$  symmetric model



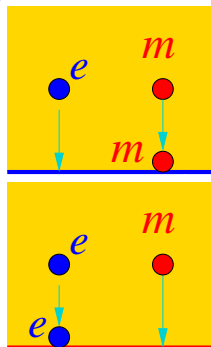
# Emergent maximal categorical symmetry

We have seen that the categorical symmetry (ie  $2+1 \mathbb{Z} - 2$  gauge theory) does not unique determine the critical points, via the modular convariant condition:

$$T : Z_i(\tau + 1) = T_{ij} Z_j(\tau), \quad S : Z_i(-1/\tau) = S_{ij} Z_j(\tau),$$

But we still hope categorical symmetry fully characterize simple gapless states, and uniquely determine the simple gapless states.

- This can be achieved by introducing a notion of **maximal categorical symmetry** – the categorical symmetry of the maximal emergent symmetry.
- The  $\mathbb{Z}_2$  symmetry (and the associated categorical symmetry  $\mathbb{Z}_2 \vee \tilde{\mathbb{Z}}_2$ ) discussed above is a lattice (high energy) symmetry. The Ising critical point has a larger emergent symmetry  $\mathbb{Z}_2 \times \mathbb{Z}_2^{em}$ , where  $\mathbb{Z}_2^{em}$  is the  $e$ - $m$  exchange symmetry (ie self-dual symmetry).



- A larger emergent symmetry  $\mathbb{Z}_2 \times \mathbb{Z}_2^{em} \rightarrow$  a larger **maximal categorical symmetry** = 2+1D double-Ising topological order.
- Ising critical point = gapless boundary of the 2+1D double-Ising topological order.
- The boundary of the 2+1D double-Ising topological order is described by a 9-component partition function, since the double-Ising topological order has 9-types of topological excitations.
- For the *gapless* boundary (ie the Ising critical point), the 9-component partition function is given by

Chen, Jian, Kong, You, Zheng, arXiv:1903.12334

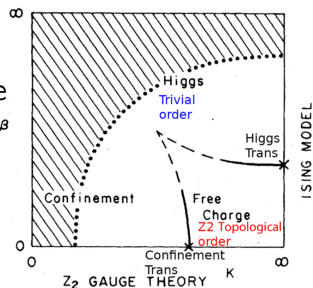
$$Z_{hh'}(\tau, \bar{\tau}) = \chi_h(\tau) \bar{\chi}_{h'}(\bar{\tau}), \quad h, h' = 0, \frac{1}{2}, \frac{1}{16}.$$

- We propose that **the (emergent) maximal categorical symmetry fully characterize simple gapless states, and uniquely determine the simple gapless states**

Ji-Wen, arXiv:1912.13492, Kong, Lan, Wen, Zhang, Zheng; arXiv:2005.14178

# Apply categorical symm. to 3+1D $Z_2$ gauge theory

- Transitions from  $Z_2$  topological order ( $Z_2$  gauge theory) to trivial product state:
  - Higgs transition induced by  $Z_2$  point-charge condensation. (*same as 3+1D Ising trans*) <sup>$\beta$</sup>   
The Ising CFT has a  $Z_2$  symmetry.
  - Confinement transition induced by  $Z_2$  flux-string condensation. The transition is first order. If we fine tune to make it a critical point, we get a confinement CFT with a  $Z^{(1)}$  symmetry.



Fradkin-Shenker, PRD **19** 3682 (79)



- *The Ising CFT =?= The confinement CFT*  
They might have the same emergent symmetries.

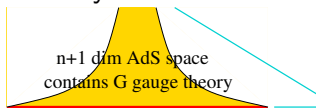
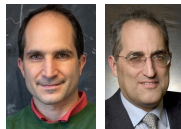
- The Ising CFT has categorical symmetry  $Z_2 \vee Z_2^{(2)}$   
The confinement CFT has categorical symmetry  $Z_2^{(1)} \vee Z_2^{(1)}$

Ji-Wen arXiv:1912.13492

# Apply categorical symmetry to AdS/CFT duality

Maldacena arXiv:hep-th/9711200; Witten arXiv:hep-th/9802150

- **Witten:** “for gauge theory, suppose the AdS theory has a gauge group  $G$ , [...] Then in the scenario of [13], the group  $G$  is a global symmetry group of the conformal field theory on the boundary.”



Pure  $G$  gauge theory w/ charge in  $n+1$  dim. space

Pure  $G$  gauge theory w/ charge in  $n+1$  dim. space

Pure  $G$  gauge theory w/ charge in  $n+1$  dim. AdS space

CFT in  $n$  dim space contains  $G$  symm.  $G$  symm. breaking trans. CFT in  $n$  dim. with  $G \vee G^{(n-1)}$  categorical symmetry

$G$  symm. breaking trans. CFT in  $n$  dim. with  $G \vee G^{(n-1)}$  categorical symmetry

- $G$ -symm.-breaking-transition CFT has a categorical symm. described by the  $G$ -gauge theory in one higher dimension, *which uniquely determines the bulk theory*  $\rightarrow$  A proposal: Pure  $G$ -gauge theory (w/ charge fluc. & gravity) in  $(n+1)$ -dim. AdS space = CFT at the  $G$ -symm.-breaking-transition in  $n$ -dim. space, not other CFT's with  $G$ -symmetry.



Ji-Wen arXiv:1912.13492

# Summary

- A **gapless state** is very special, and has a lot of emergent symmetries. The full (?) emergent symmetry is the **maximal categorical symmetry**
  - Categorical symmetry = Topological order in one higher dimension = (non-invertible) gravitational anomaly
  - Maximal categorical symmetry (ie topological order in one higher dimension) may largely determines a gapless state.
- We can classify all **gapped liquid phases** in systems with a **categorical symmetry**. Such a classification includes
  - Spontaneous symmetry breaking states.
  - SETs with algebraic higher symmetry
  - SPTs with algebraic higher symmetry
  - Gauge the algebraic higher symmetry
  - Anomalous algebraic higher symmetry

Ji Wen arXiv:1912.13492



Kong Lan Wen Zhang Zheng arXiv:2005.14178

