

R^2 corrected AdS₂ holography

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[arXiv:2010.08761](https://arxiv.org/abs/2010.08761)

Iberian Strings 2021



Outline

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- ② Variational principle with R^2 terms and holographic renormalization
 - Variational principle
 - Holographic renormalization
- ③ Asymptotic symmetries and anomalous transformations
 - Residual gauge symmetries
 - Composite scalar field
 - 4D/5D \rightarrow 2D/3D comparison
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Main goal

Find the holographic dictionary of a specific subset of 4-derivative $\mathcal{N} = 2$ low energy effective actions of gravity in 4D.

Extremal static near horizon backgrounds factorize into $\text{AdS}_2 \times S^2$



Apply $\text{AdS}_2/\text{CFT}_1$ to compute degeneracy of ground states

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Constant scalar field: $\text{AdS}_3 \rightarrow \text{AdS}_2$

[Cvetič, Papadimitriou '16]

- holographic stress tensor vanishes identically
- dual operator to constant scalar field is non-trivial and transforms anomalously with Brown-Henneaux central charge
- microstates accounting for black hole entropy survive and should be related to the expectation value of the dual operator

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Theory

Four derivative, $\mathcal{N} = 2$ low energy effective actions in 4D obtained from CY_3 compactification of superstring theory

[Cardoso, de Wit, Mahapatra '07]

- Gravity coupled to Abelian gauge fields and scalars;
- Extremal static near horizon backgrounds;
- BPS configurations;
- 2D reduced theory encodes R^2 corrections and exhibits **EM duality**;
- Given in terms of symplectic functions.

2D effective action

4D metric $ds^2 = ds_2^2 + v_2 d\Omega^2 \implies R_4 = R_2 - 2/v_2$.

AdS₂ background $v_1 R_2 = 2$ with $v_1 \sim L^2$. Locally, use **FG gauge**:

$$ds_2^2 = dr^2 + h_{tt} dt^2, \quad \sqrt{-h} = \alpha(t) e^{r/\sqrt{v_1}} + \beta(t) e^{-r/\sqrt{v_1}}.$$

4D background supported by e^I, p^I, Y^I, Υ and holomorphic function $F(Y^I)$ homogeneous of degree 2. Incorporate 4-derivative terms W^2 by including Weyl multiplet into F and preserving its homogeneity

$$F(\lambda Y^I, \lambda^2 \Upsilon) = \lambda^2 F(Y^I, \Upsilon)$$

Legendre transform 2D Lagrangian w.r.t p^I to make EM duality manifest

$$H(e^I, f_I) = \mathcal{L}(e^I, p^I) + p^I f_I$$

2D effective action

$$\begin{aligned}
 H = & \frac{1}{4} (\sqrt{-h_2}/v_2)^{-1} (e^I, f_I) \begin{bmatrix} N_{IJ} + R_{IK} N^{KL} R_{LJ} & -2R_{IK} N^{KJ} \\ -2N^{IK} R_{KJ} & 4N^{IJ} \end{bmatrix} \begin{pmatrix} e^J \\ f_J \end{pmatrix} \\
 & + (e^I, f_I) \left[2i \begin{pmatrix} F_I - \bar{F}_I \\ -(Y^I - \bar{Y}^I) \end{pmatrix} + 4\Upsilon \begin{pmatrix} \bar{F}_{IK} N^{KL} F_{\Upsilon L} \\ -N^{IJ} F_{\Upsilon J} \end{pmatrix} + 4\bar{\Upsilon} \begin{pmatrix} F_{IK} N^{KL} \bar{F}_{\Upsilon L} \\ -N^{IJ} \bar{F}_{\Upsilon J} \end{pmatrix} \right] \\
 & + \frac{\sqrt{-h_2}}{v_2} \left\{ \frac{8i}{\sqrt{-\Upsilon}} (\bar{Y}^I F_I - Y^I \bar{F}_I) - 2i(\bar{Y}^I F_I - Y^I \bar{F}_I) \right. \\
 & \quad - 2i(\Upsilon F_{\Upsilon} - \bar{\Upsilon} \bar{F}_{\Upsilon}) + 8\Upsilon \bar{\Upsilon} \bar{F}_{\Upsilon I} N^{IJ} F_{\Upsilon J} \\
 & \quad + 2\Upsilon F_{\Upsilon I} N^{IJ} (F_J - \bar{F}_{JL} Y^L) + 2\bar{\Upsilon} \bar{F}_{\Upsilon I} N^{IJ} (\bar{F}_J - F_{JL} \bar{Y}^L) \\
 & \quad \left. + 2i(F_{\Upsilon} - \bar{F}_{\Upsilon}) (32 - 8\sqrt{-\Upsilon}) \right\} - \sqrt{-h_2} P(R_2)
 \end{aligned}$$

$$\frac{P(R_2)}{4i} = \frac{(\bar{Y}^I F_I - Y^I \bar{F}_I)}{\sqrt{-\Upsilon}} R_2 - \frac{(F_{\Upsilon} - \bar{F}_{\Upsilon})}{2} \left[8 v_2 R_2^2 - 32 R_2 - 4 R_2 \sqrt{-\Upsilon} \right]$$

Dynamical fields: $h_{ij}, A'_i, \tilde{A}_{iI}, Y^I, \Upsilon, v_2$

$$e^I \equiv F^I_{rt} = \partial_r A'_t - \partial_t A'_r, \quad f_I \equiv G_{rtI} = \partial_r \tilde{A}_{tI} - \partial_t \tilde{A}_{rI}.$$

Steps to take into account

- ① Metric in **FG gauge** and $A'_r = \tilde{A}_{rI} = 0$.
- ② Add counterterms to impose **Dirichlet boundary conditions** at $r \rightarrow \infty$.
 - Compatible with the symplectic structure on the space of solutions
 - Compatible with gauge symmetries of the symplectic variables **$\implies A_t$ requires special care**

[Papadimitriou '10]

[Cvetič, Papadimitriou '16]

[Castro, Larsen, Papadimitriou '18]

[Castro, Mühlmann '20]

Variational principle for A^I and \tilde{A}_I

$$\begin{pmatrix} \tilde{A}_{tI} \\ -A_t^I \end{pmatrix} = \sqrt{v_1} \frac{\alpha(t) e^{r/\sqrt{v_1}}}{\sqrt{-h_2}} \left(1 - \frac{\beta}{\alpha} e^{-2r/\sqrt{v_1}} \right) \begin{pmatrix} f_I \\ -e^I \end{pmatrix} + \begin{pmatrix} \tilde{\mu}_I(t) \\ -\mu^I(t) \end{pmatrix},$$

with $f_I, e^I \propto \sqrt{-h_2} \implies$ leading mode is the one $\propto \alpha(t)$.

For the canonical momenta we have $\pi_I = -q_I$ and $\tilde{\pi}^I = p^I$.

Add counterterms

$$- \int_{\partial M} dt \left(\pi_I A_t^I + \tilde{\pi}^I \tilde{A}_{tI} \right) + S' \left[\pi_I, \tilde{\pi}^I \right] + \int_{\partial M} dt \left(\pi_I A_t^{\text{ren}I} + \tilde{\pi}^I \tilde{A}_{tI}^{\text{ren}} \right),$$

inducing the canonical transformations

$$\begin{pmatrix} A_t^I \\ \pi_I \end{pmatrix} \rightarrow \begin{pmatrix} -\pi_I \\ A_t^{\text{ren}I} \end{pmatrix} = \begin{pmatrix} -\pi_I \\ A_t^I - \frac{\delta S'}{\delta \pi_I} \end{pmatrix}, \quad \begin{pmatrix} \tilde{A}_{tI} \\ \tilde{\pi}^I \end{pmatrix} \rightarrow \begin{pmatrix} -\tilde{\pi}^I \\ \tilde{A}_{tI}^{\text{ren}} \end{pmatrix} = \begin{pmatrix} -\tilde{\pi}^I \\ \tilde{A}_{tI} - \frac{\delta S'}{\delta \tilde{\pi}^I} \end{pmatrix}.$$

Boundary action

$$\begin{aligned}
 S_{\partial} = \int_{\partial M} dt \sqrt{-\gamma} & \left\{ 2F'(R_2)K + \frac{64i}{\sqrt{v_1}} (F_{\Upsilon} - \bar{F}_{\Upsilon}) \left(v_2 R_2 - \frac{\sqrt{-\Upsilon}}{4} \right) \right. \\
 & + \frac{1}{4\sqrt{v_1}} (\pi_I, \tilde{\pi}^I) \begin{bmatrix} 4N^{IJ} & 2N^{IK}R_{KJ} \\ 2R_{IK}N^{KJ} & N_{IJ} + R_{IK}N^{KL}R_{LJ} \end{bmatrix} \begin{pmatrix} \pi_J \\ \tilde{\pi}^J \end{pmatrix} \\
 & + \frac{4}{\sqrt{v_1}} \Re \left[(Y^I - 2i\Upsilon F_{\Upsilon J} N^{JI}, F_I - 2i\Upsilon F_{\Upsilon L} N^{LK} \bar{F}_{KI}) \right] \begin{pmatrix} \pi_I \\ \tilde{\pi}^I \end{pmatrix} \\
 & - \frac{i(\bar{Y}^I F_I - Y^I \bar{F}_I)}{\sqrt{v_1}} \left(2 + \frac{8}{\sqrt{-\Upsilon}} \right) + \frac{8}{\sqrt{v_1}} \Upsilon \bar{\Upsilon} F_{\Upsilon J} N^{JI} \bar{F}_{\Upsilon I} \\
 & \left. - \frac{2}{\sqrt{v_1}} [\Upsilon F_{\Upsilon J} N^{JI} (F_I - \bar{F}_{IK} Y^K) + \text{h.c.}] \right\}
 \end{aligned}$$

Solution to the equations of motion

2D metric: $ds_2^2 = dr^2 + h_{tt}dt^2$

$$h_{tt} = - \left(\alpha(t)e^{r/\sqrt{v_1}} + \beta(t)e^{-r/\sqrt{v_1}} \right)^2$$

- $v_2 R_2 = 2$ on-shell $\implies v_2 = v_1$
- focus on class of solutions which includes BPS black hole solutions:

$$Y^I - \bar{Y}^I = ip^I, \quad F_I - \bar{F}_I = iq_I, \quad \Upsilon = -64, \quad \frac{i(\bar{Y}^I F_I - Y^I \bar{F}_I)}{v_2} = G_4^{-1}$$

These imply

$$\begin{pmatrix} f_I \\ e^I \end{pmatrix} = \frac{\sqrt{-h_2}}{v_2} \begin{pmatrix} F_I + \bar{F}_I \\ Y^I + \bar{Y}^I \end{pmatrix}.$$

On-shell variation of the renormalized action

Renormalized action: $S_{\text{ren}} = I_{\text{bulk}} + I_{\text{ct}}$

$$\delta S_{\text{ren}} = \int_{\partial M} dt \left(\pi_{\text{ren}}^{tt} \delta h_{tt} + \pi_l \delta A_t^{\text{ren}l} + \tilde{\pi}^l \delta \tilde{A}_{t l}^{\text{ren}} + \pi_{v_2}^{\text{ren}} \delta v_2 \right)$$

- Consider variations in the **space of asymptotic solutions**

$$\delta h_{tt} = e^{2r/\sqrt{v_1}} \delta(-\alpha^2), \quad \delta A_t^{\text{ren}l} = \delta \mu^l, \quad \delta \tilde{A}_{t l}^{\text{ren}} = \delta \tilde{\mu}_l$$

- Add a source $\nu(t)$ for the irrelevant operator dual to v_2

$$\delta v_2 = e^{r/\sqrt{v_1}} \delta \nu$$

$Y^l \propto v_2$ and $\Upsilon \propto v_2^2 \implies \pi_{v_2}^{\text{ren}}$ has contributions from these terms.

On-shell variation in terms of the sources

$$\delta S_{\text{ren}} = \int_{\partial M} dt \left(\underbrace{\pi_{\text{ren}}^{tt} \delta h_{tt}}_{\hat{\pi}^{tt} \delta(-\alpha^2)} + \underbrace{\pi_I \delta A_t^{\text{ren} I}}_{\hat{\pi}_I \delta \mu^I} + \underbrace{\tilde{\pi}^I \delta \tilde{A}_{tI}^{\text{ren}}}_{\hat{\tilde{\pi}}^I \delta \tilde{\mu}_I} + \underbrace{\pi_{\nu_2}^{\text{ren}} \delta \nu_2}_{\hat{\pi}_{\nu_2} \delta \nu} \right).$$

$$\hat{\pi}^{tt} = 0, \quad \hat{\pi}_I = -\frac{q_I}{\alpha}, \quad \hat{\tilde{\pi}}^I = \frac{p^I}{\alpha}, \quad \hat{\pi}_{\nu_2} = -\frac{2}{G_4 \sqrt{v_1}} \frac{\beta}{\alpha},$$

with $G_4^{-1} = i(\bar{Y}^I F_I - Y^I \bar{F}_I) / v_2$. Renormalized action written in terms of the sources

$$S_{\text{ren}} = \int dt \left(-\frac{2}{G_4 \sqrt{v_1}} \beta \nu - q_I \mu^I + p^I \tilde{\mu}_I + \mathcal{O}(v^2) \right).$$

- Obtained result similar to the one in [Cvetič, Papadimitriou '16];
- Functional form of result does not depend on R^2 corrections.

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Residual gauge symmetries

PBH diffeos $(\xi^i \partial_i)$ + gauge transformations $(\Lambda^I, \tilde{\Lambda}_I)$ preserving

$$\underbrace{\mathcal{L}_\xi h_{rr} = \mathcal{L}_\xi h_{rt} = 0}_{\text{FG gauge}} \quad \underbrace{\mathcal{L}_\xi A'_r + \partial_r \Lambda^I = 0}_{A'_r=0} \quad \underbrace{\mathcal{L}_\xi \tilde{A}_{rI} + \partial_r \tilde{\Lambda}_I = 0}_{\tilde{A}_{rI}=0}$$

Solution:

$$\xi^t = \varepsilon(t) + \partial_t \sigma(t) \int_r^\infty h^{tt}(r', t) dr', \quad \xi^r = \sigma(t),$$

$$\Lambda^I = \varphi^I(t) - \partial_t \sigma(t) \int_r^\infty h^{tt}(r', t) A'_t(r', t) dr',$$

$$\tilde{\Lambda}_I = \tilde{\varphi}_I(t) - \partial_t \sigma(t) \int_r^\infty h^{tt}(r', t) \tilde{A}_{tI}(r', t) dr'.$$

- $\varepsilon(t) \rightarrow$ generator of boundary diffeos
- $\sigma(t) \rightarrow$ generator of boundary Weyl transformations
- $\varphi^I(t), \tilde{\varphi}_I(t) \rightarrow$ generators of residual boundary $U(1)$ transformations

Asymptotic symmetries

PBH acting on the sources α , μ^I , $\tilde{\mu}_I$, ν :

$$\delta_{\text{PBH}}\alpha = \frac{\sigma}{\sqrt{v_1}} \alpha + \partial_t(\varepsilon \alpha)$$

$$\delta_{\text{PBH}}\nu = \varepsilon \partial_t \nu + \frac{\sigma}{\sqrt{v_1}} \nu$$

$$\delta_{\text{PBH}}\mu^I = \partial_t(\varepsilon \mu^I + \varphi^I)$$

$$\delta_{\text{PBH}}\tilde{\mu}_I = \partial_t(\varepsilon \tilde{\mu}_I + \tilde{\varphi}_I)$$

$$\delta_{\text{PBH}}\beta = \partial_t(\varepsilon \beta) - \frac{\sigma}{\sqrt{v_1}} \beta - \frac{\sqrt{v_1}}{2} \partial_t \left(\frac{\partial_t \sigma}{\alpha} \right)$$

Asymptotic symmetries at $\nu = 0$: $\delta\alpha = \delta\mu^I = \delta\tilde{\mu}_I = 0$

$$\varepsilon = \frac{\zeta(t)}{\alpha}$$

$$\sigma = -\sqrt{v_1} \frac{\partial_t \zeta}{\alpha}$$

$$\varphi^I = -\varepsilon \mu^I + k^I$$

$$\tilde{\varphi}_I = -\varepsilon \tilde{\mu}_I + \tilde{k}_I$$

Symmetry algebra: $\text{Witt} \oplus u(1)^{2n}$

Define $dx^+ = \alpha dt \implies \sigma = -\sqrt{v_1} \partial_+ \zeta$

$$\underbrace{\delta_{\text{sym}} \beta = \alpha \partial_+ \left(\frac{\zeta}{\alpha} \beta \right) + \partial_+ \zeta \beta + \frac{\alpha v_1}{2} \partial_+^3 \zeta, \quad \hat{\pi}_{v_2} = -\frac{2}{G_4 \sqrt{v_1}} \frac{\beta}{\alpha}}_{}$$

$$\delta_{\text{sym}} \hat{\pi}_{v_2} = \zeta \partial_+ \hat{\pi}_{v_2} + 2 \partial_+ \zeta \hat{\pi}_{v_2} - \frac{\sqrt{v_1}}{G_4} \partial_+^3 \zeta,$$

Anomalous transformation with

$$c \sim \frac{\sqrt{v_1}}{G_4} = \frac{\sqrt{v_1}}{2\sqrt{-h_2}} \left(p^l f_l - q_l e^l \right)$$

Composite scalar field

$$F(\lambda Y, \lambda^2 \Upsilon) = \lambda^2 F(Y, \Upsilon), \quad \frac{8i}{v_2 \sqrt{-\Upsilon}} \left(\bar{Y}^I F_I - Y^I \bar{F}_I \right) = G_4^{-1}.$$

Consider a composite scalar field $\hat{\Omega}$ with asymptotic variation

$$\delta_{\hat{\Omega}} = e^{r/\sqrt{v_1}} \delta \Omega \mathcal{D}, \quad \mathcal{D} \equiv Y^I \partial_{Y^I} + 2\Upsilon \partial_{\Upsilon} + \text{h.c.}$$

S_{ren} transforms as

$$\delta S_{\text{ren}} = \int_{\partial M} dt \alpha \hat{\Pi} \delta \Omega,$$

with $\hat{\Pi} = Y^I \hat{\Pi}_I + 2\Upsilon \hat{\Pi}_{\Upsilon} + \text{h.c.}$

$$\hat{\Pi}_I = \lim_{r \rightarrow \infty} \left(\frac{e^{2r/\sqrt{v_1}}}{\sqrt{-\gamma}} \frac{\delta S_{\text{ren}}}{\delta Y^I} \right), \quad \hat{\Pi}_{\Upsilon} = \lim_{r \rightarrow \infty} \left(\frac{e^{2r/\sqrt{v_1}}}{\sqrt{-\gamma}} \frac{\delta S_{\text{ren}}}{\delta \Upsilon} \right).$$

Composite scalar field

Non-trivial contribution comes from $S_{\text{GHY}} \propto P'(R_2)$ and we find $\mathcal{D}P'(R_2) = P'(R_2)$ on-shell. Consequently

$$\hat{\Pi} = -\frac{4}{\sqrt{v_1}} P'(R_2) \frac{\beta}{\alpha}, \quad \delta_{\text{sym}} \hat{\Pi} = \zeta \partial_+ \hat{\Pi} + 2\partial_+ \zeta \hat{\Pi} - 2\sqrt{v_1} P'(R_2) \partial_+^3 \zeta.$$

Setting $\alpha = 1$ and $\beta = 0$ (4D BPS solution)

$$\delta_{\text{sym}} \hat{\Pi} = -\sqrt{v_1} 2P'(R_2) \partial_t^3 \varepsilon.$$

4D BPS entropy $S = 2\pi P'(R_2)$ [Cardoso, de Wit, Mohaupt '99] Viewing the action as a combination of

$$\underbrace{z^A = \frac{Y^A}{Y^0}, \quad \frac{\Upsilon}{Y^0{}^2}}_{\text{invariant under } \mathcal{D}}, \quad \Upsilon (Y^0)^2 \implies \tilde{\Omega} \sim \ln \left[\Upsilon (Y^0)^2 \right]$$

4D \rightarrow 5D \rightarrow 3D

Restrict to 4D solutions with charges (q_0, p^A) with $A = 1, \dots, n$, i.e. no p^0 charge! Interpret A_0 gauge field as KK field.

Can lift to solutions of 5D $\mathcal{N} = 2$ SUGRA with 4-derivative corrections and near-horizon $AdS_3 \times S^2$ [Castro, Davis, Kraus, Larsen '07]

- 1 rewrite reduced theory in units of $k_2^2 \equiv G_4 B^{-2}$;
- 2 Perform lift to 3D [Cvetič, Papadimitriou '16] ;
- 3 Compare with AdS_3 results of [Castro, Davis, Kraus, Larsen '07].

Define $v_2 = e^{-\psi} B^2$, $ds_2^2 = e^{-\psi} d\tilde{s}_2^2$

$$\rightarrow \mathcal{O}_\psi = -\hat{\pi}_\psi = -\frac{2}{B} \left[\frac{1}{k_2^2} + 16i (F_\Upsilon - \bar{F}_\Upsilon) \sqrt{-\Upsilon} \right] \frac{\beta}{\alpha}$$

\Rightarrow Anomalous variation modified by R^2 corrections

From the 5D point of view

$$ds_5^2 = \underbrace{d\tilde{s}_2^2 + B^2 d\Omega_2^2}_{e^\psi (ds_2^2 + v_2 d\Omega_2^2)} + e^{-2\psi} (dx^5 - A^0)^2$$

4D theory:

$$F(Y, \Upsilon) = -\frac{1}{6} \frac{C_{ABC} Y^A Y^B Y^C}{Y^0} - \frac{1}{24} \frac{1}{64} c_{2A} \frac{Y^A}{Y^0} \Upsilon,$$

with C_{ABC} , c_{2A} constants associated with CY3 data. Take $q_0 > 0$ and $p^A < 0$ and use [\[Castro, Davis, Kraus, Larsen '07\]](#)

$$\frac{c_L}{6} = p_L^3 = \frac{1}{6} (C \cdot p^3 + c_2 \cdot p) > 0, \quad \frac{c_R}{6} = p_R^3 = \frac{1}{6} \left(C \cdot p^3 + \frac{1}{2} c_2 \cdot p \right) > 0$$

From [Castro, Davis, Kraus, Larsen '07] we have

$$B e^\psi \frac{\mathcal{S}_{\text{Wald}}}{\pi} = \sqrt{2 G_4} p_L^3$$

Using [Cvetič, Papadimitriou '16], 2D CFT stress tensor τ_{++} contains term $\propto \mathcal{O}_\psi$:

$$\delta\tau_{++} = 2\tau_{++} \partial_+ \zeta + \zeta \partial_+ \tau_{++} - \underbrace{\frac{k_2^2}{k_3^2} \sqrt{2 G_4} p_L^3}_{=\frac{c}{24\pi}} \partial_+^3 \zeta$$

Since $k_3^2 = 2\pi R_5 k_2^2$

$$\frac{c}{24\pi} = \frac{\sqrt{2 G_4}}{12\pi R_5} c_L$$

- CFT_1 naturally embeds in chiral half of CFT_2 .
- For τ_{--} only L_0^R survives for constant dilaton $\rightarrow u(1)$ algebra

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Summary and Outlook

- 1 Obtained renormalized variational principle for constant scalar fields;
- 2 Identified the expectation value of irrelevant operators dual to scalar fields. Using asymptotic symmetries we found
 - Composite operator with $c \sim \mathcal{S}$;
 - Under a lift $\text{AdS}_2 \rightarrow \text{AdS}_3$, \mathcal{O}_ψ has $c \propto c_L$ of AdS_3 .
- 3 Hints that holographic dual of 2D QG encodes data that is embedded in chiral half of 2D CFT.

Outlook

- 1 Study near-BPS BHs in $n\text{AdS}_2/n\text{CFT}_1$
- 2 Investigate $\text{AdS}_2 \leftrightarrow \text{AdS}_3$ embedding in presence of R^2 terms