

Novel higher-curvature variations of R^2 inflation

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based on 2011.13933
w/ Kwinten Fransen and Thomas Hertog

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The **early universe**: the closest we can get to Planck scale

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The early universe: the closest we can get to Planck scale

- **Inflationary cosmology** is the place to look for new UV physics
- **Our question:** could we observe modifications of gravity? In particular, **higher-derivative corrections**?
- **String Theory** predicts the appearance of these corrections near Planck scale

Higher-derivative corrections can produce inflation on their own: R^2 inflation

$$S = \frac{1}{16\pi G} \int d^4x \sqrt{|g|} \left[R + \frac{\alpha}{12} \ell^2 R^2 \right]$$

Simplified version of **Starobinsky's inflation**, based on the trace anomaly of matter fields

- Elegant model, containing only the metric
- Consistent with current experimental data on inflation

It is natural to assume the existence of additional higher-derivative corrections to the R^2 model

Problems:

- May become dominant over R^2
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In this talk I will consider recently identified higher-derivative Lagrangians which are suitable for cosmology and will study the effect on the R^2 model

Note: one may also consider higher-curvature corrections to inflaton-based models.

- 1 "GEOMETRIC" CURVATURE CORRECTIONS
- 2 SLOW-ROLL INFLATION IN THE GEOMETRIC- R^2 MODEL
- 3 HOLOGRAPHIC CONSTRAINTS
- 4 PRIMORDIAL FLUCTUATIONS
- 5 CONCLUSIONS

"GEOMETRIC" CURVATURE CORRECTIONS

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“GEOMETRIC” CURVATURE CORRECTIONS

Higher-derivative theories \Rightarrow **Ostrogradsky instability**

This usually makes them unsuitable for cosmology (unless perturbatively)

An exception: $f(R)$ gravity \rightarrow scalar-tensor theory [Sotiriou, Faraoni '08](#)

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Recently:

“Geometric” higher-derivative Lagrangians $\mathcal{L}(R_{\mu\nu\rho\sigma})$ [Arciniega, Edelstein, Jaime '18](#);
[Cisterna, Grandi, Oliva '18](#); [Arciniega, Bueno, PAC, Edelstein, Hennigar, Jaime '18](#)

- 2nd order Friedmann equations
- Linearized EOMs on FLRW background are of 2nd order in time derivatives
- Black hole solutions of the form $ds^2 = -f(r)dt^2 - dr^2/f(r) + dr^2 d\Omega_{(2)}^2$ (Generalized Quasi-topological gravity, see Angel Murcia's talk)

Change dynamics without introducing additional dof \rightarrow This is what you expect from an EFT

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At quartic order there are three independent densities

$$\mathcal{R}_{(4)} = \mathcal{R}_{(4)}^A + \nu\mathcal{R}_{(4)}^B + \gamma\mathcal{R}_{(4)}^C$$

- Only $\mathcal{R}_{(4)}^A$ contributes to the Friedmann equations
- $\mathcal{R}_{(4)}^B$ contributes to the linearized equations
- $\mathcal{R}_{(4)}^C$ is trivial for cosmology

Observation: $\forall n$ there is a unique way in which these theories modify Friedmann equations [Arciniega, Bueno, PAC, Edelstein, Hennigar, Jaime '18](#)

"GEOMETRIC" CURVATURE CORRECTIONS

$$\begin{aligned} \mathcal{R}_{(4)}^A = & \frac{3}{32} R^{\mu\nu\rho\sigma} R_{\mu\nu}{}^{\delta\gamma} R_{\delta\gamma}{}^{\chi\xi} R_{\rho\sigma\chi\xi} - \frac{1}{16} (R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma})^2 + \frac{1}{6} R R_{\mu}{}^{\rho}{}_{\nu}{}^{\sigma} R_{\rho}{}^{\delta}{}_{\sigma}{}^{\gamma} R_{\delta}{}^{\mu}{}_{\gamma}{}^{\nu} - \frac{3}{4} R^{\mu\nu} R^{\rho\sigma} R^{\delta\gamma}{}_{\mu\rho} R_{\delta\gamma\nu\sigma} \\ & + \frac{1}{16} R^2 R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} + \frac{1}{2} R R_{\mu\nu\rho\sigma} R^{\mu\rho} R^{\nu\sigma} - \frac{3}{4} R_{\mu}{}^{\nu} R_{\nu}{}^{\rho} R_{\rho}{}^{\sigma} R_{\sigma}{}^{\mu} + \frac{5}{8} (R_{\mu\nu} R^{\mu\nu})^2 + R R_{\mu}{}^{\nu} R_{\nu}{}^{\rho} R_{\rho}{}^{\mu} \\ & - \frac{7}{8} R^2 R_{\mu\nu} R^{\mu\nu} + \frac{3}{32} R^4, \end{aligned}$$

$$\begin{aligned} \mathcal{R}_{(4)}^B = & \frac{3}{16} R^{\mu\nu\rho\sigma} R_{\mu\nu}{}^{\delta\gamma} R_{\delta\gamma}{}^{\chi\xi} R_{\rho\sigma\chi\xi} - \frac{3}{64} (R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma})^2 - \frac{1}{4} R R_{\mu}{}^{\rho}{}_{\nu}{}^{\sigma} R_{\rho}{}^{\delta}{}_{\sigma}{}^{\gamma} R_{\delta}{}^{\mu}{}_{\gamma}{}^{\nu} - \frac{9}{4} R^{\mu\nu} R^{\rho\sigma} R^{\delta\gamma}{}_{\mu\rho} R_{\delta\gamma\nu\sigma} \\ & - \frac{3}{2} R^{\mu\nu} R_{\nu}{}^{\rho} R^{\sigma\delta\gamma}{}_{\mu} R_{\sigma\delta\gamma\rho} + \frac{3}{16} R^2 R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} + \frac{15}{4} R R_{\mu\nu\rho\sigma} R^{\mu\rho} R^{\nu\sigma} - \frac{15}{4} R_{\mu}{}^{\nu} R_{\nu}{}^{\rho} R_{\rho}{}^{\sigma} R_{\sigma}{}^{\mu} + \frac{21}{8} (R_{\mu\nu} R^{\mu\nu})^2 \\ & + 7 R R_{\mu}{}^{\nu} R_{\nu}{}^{\rho} R_{\rho}{}^{\mu} - 6 R^2 R_{\mu\nu} R^{\mu\nu} + \frac{47}{64} R^4, \end{aligned}$$

$$\begin{aligned} \mathcal{R}_{(4)}^C = & -4 R^{\mu\nu} R_{\nu}{}^{\rho} R^{\sigma\delta\gamma}{}_{\mu} R_{\sigma\delta\gamma\rho} + R_{\delta\gamma} R^{\delta\gamma} R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} + 4 R R_{\mu\nu\rho\sigma} R^{\mu\rho} R^{\nu\sigma} + \frac{16}{3} R R_{\mu}{}^{\nu} R_{\nu}{}^{\rho} R_{\rho}{}^{\mu} - 5 R^2 R_{\mu\nu} R^{\mu\nu} \\ & + \frac{2}{3} R^4. \end{aligned}$$

"GEOMETRIC" CURVATURE CORRECTIONS

We consider R^2 gravity enhanced with the geometric terms

$$S = \frac{1}{16\pi G} \int d^4x \sqrt{|g|} \left[R + \frac{\alpha}{12} \ell^2 R^2 + \sum_{n=3}^{\infty} \lambda_n \ell^{2n-2} \mathcal{R}_{(n)} \right]$$

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This can be recast as a scalar-tensor theory

$$S = \frac{1}{16\pi G} \int d^4x \sqrt{|g|} \left[R(1 + 2\alpha\ell^2\psi) - 12\alpha\ell^2\psi^2 + \sum_{n=3}^{\infty} \lambda_n \ell^{2n-2} \mathcal{R}_{(n)} \right]$$

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Comments

- The R^2 and $\mathcal{R}_{(n)}$ terms are of different nature and may appear at different scales
- We often have in mind $\lambda_n \ll \alpha$
- One may consider more general setups, *i.e.* adding R^n terms as well
- The geometric terms also fit well with standard single-field models [Edelstein, Vázquez, Vilar-López '20](#); [Edelstein, Mann, Vázquez, Vilar-López '20](#)

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SLOW-ROLL INFLATION IN THE GEOMETRIC- R^2 MODEL

Consider a flat cosmological FLRW ansatz

$$ds^2 = -dt^2 + a(t)^2 (dx^2 + dy^2 + dz^2)$$

and $\psi(t)$. Inserting this in the EOMs we obtain

$$F(H^2) = 2\alpha\ell^2 \left[\psi(\psi - H^2) - H\dot{\psi} \right]$$

$$\dot{H}F'(H^2) = -\alpha\ell^2 \left[\ddot{\psi} - H\dot{\psi} + 2\dot{H}\psi \right]$$

$$6\dot{H} + 12H^2 - 12\psi = 0$$

where $H = \dot{a}/a$, $\dot{\psi} = d\psi/dt$, etc, and F is the function defined as

$$F(x) = x + \ell^{-2} \sum_{n=3}^{\infty} (-1)^n \lambda_n (\ell^2 x)^n$$

Observation: this is surprisingly similar to the EOMs in stringy duality-invariant cosmology at all orders in α' [Hohm, Zwiebach '19](#)

SLOW-ROLL INFLATION IN THE GEOMETRIC- R^2 MODEL

Slow-roll inflation

$$\epsilon = \frac{1}{H} \frac{dH}{dN}, \quad dN = -Hdt$$

When $\epsilon \ll 1$ we have

$$\frac{d\psi}{dN} \approx \frac{2F(\psi)}{3\alpha\ell^2\psi}, \quad \epsilon \approx \frac{F(\psi)}{3\alpha\ell^2\psi^2}$$

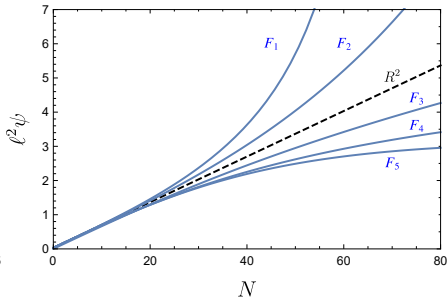
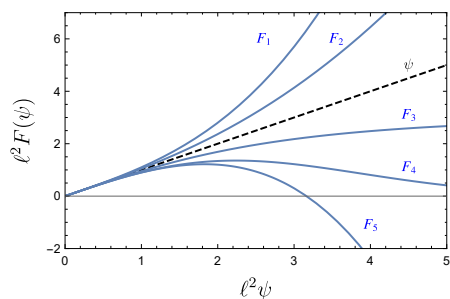
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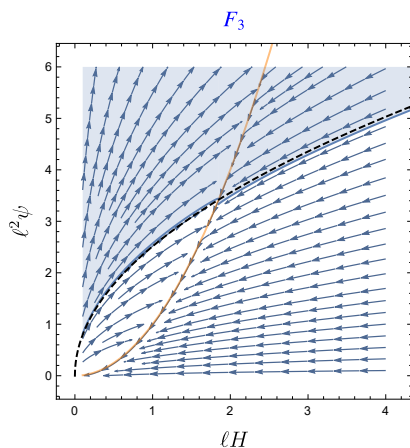
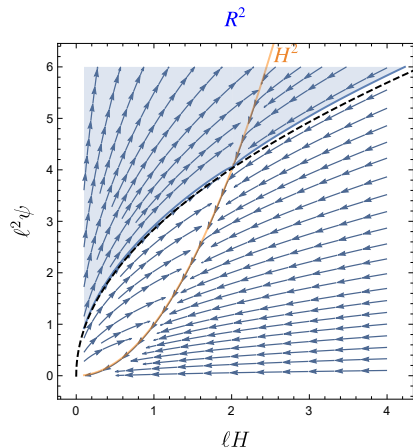
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SLOW-ROLL INFLATION IN THE GEOMETRIC- R^2 MODEL

Attractor towards inflation

$$\frac{d\psi}{dN} = \frac{1}{H^2} \left[-\psi(\psi - H^2) + \frac{1}{2\alpha\ell^2} F(H^2) \right], \quad \frac{dH}{dN} = -\frac{2}{H}(\psi - H^2)$$



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$$\langle T_{ab}(x) T_{cd}(0) \rangle_{\mathbb{R}^3} = \frac{C_T}{|x|^6} \mathcal{I}_{ac,bd}(x), \quad C_T = C_T^E (F'(-\chi) + 2\alpha\ell^2\psi)$$

where $R_{\mu\nu\rho\sigma} = -2\chi g_{\mu[\rho} g_{\sigma]\nu}$

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$$F'(H^2) + 2\alpha\ell^2 H^2 > 0$$

This is indeed a meaningful constraint on dS: $G_{\text{eff}} = G(F'(H^2) + 2\alpha\ell^2 H^2)^{-1}$
The constraint is necessary to avoid $G_{\text{eff}} < 0$ and negative-energy gravitons!

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This is known for these theories in AdS [Bueno, PAC, Ruipérez '18](#); [Bueno, PAC, Hennigar, Penas, Ruipérez '20](#)

$$t_4 = -\frac{210\chi F''(-\chi)}{F'(-\chi) + 2\alpha\ell^2\psi}$$

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We translate the holographic bound to dS

$$-4 \leq \frac{210H^2 F''(H^2)}{F'(H^2) + 2\alpha\ell^2 H^2} \leq 4$$

We conjecture this is a physically meaningful bound in the bulk dS theory

HOLOGRAPHIC CONSTRAINTS

Inflation = quasi-de Sitter phase

These constraints must be satisfied $\forall H$ during inflation \rightarrow at least $N \leq 60$

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Constraints on the cubic term $F(H^2) = H^2 - \lambda_3 \ell^4 H^6$

$$C_T > 0 \quad \Rightarrow \quad \frac{\lambda_3}{\alpha^2} < 1$$

$$-4 \leq t_4 \leq 4 \quad \Rightarrow \quad -1.5 \cdot 10^{-4} \leq \frac{\lambda_3}{\alpha^2} \leq 1.7 \cdot 10^{-4}$$

Constraints on the quartic term $F(H^2) = H^2 + \lambda_4 \ell^6 H^8$

$$C_T > 0 \quad \Rightarrow \quad \frac{\lambda_4}{\alpha^3} > -\frac{8}{27}$$

$$-4 \leq t_4 \leq 4 \quad \Rightarrow \quad -2.1 \cdot 10^{-6} \leq \frac{\lambda_4}{\alpha^3} \leq 1.9 \cdot 10^{-6}$$

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- The study of perturbations is facilitated by the 2nd order linearized equations
⇒ no additional modes or ghosts
- We work perturbatively in λ_3 and λ_4
- Expansion parameters: $\frac{1}{N}$, $\frac{\lambda_3 N^2}{\alpha^2}$, $\frac{\lambda_4 N^3}{\alpha^3}$

POWER SPECTRA AT SUPER-HUBBLE SCALES

$$\mathcal{P}_T(k) = r A_S^2 \left(\frac{k}{aH} \right)^{n_T}, \quad \mathcal{P}_S(k) = A_S^2 \left(\frac{k}{aH} \right)^{n_s-1}$$

$$n_T \approx -\frac{3}{2N^2} - \frac{10\lambda_3}{9\alpha^2} + \frac{2\lambda_4 N}{\alpha^3}$$

$$n_s \approx 1 - \frac{2}{N} - \frac{32\lambda_3 N}{27\alpha^2} + \frac{4\lambda_4 N^2}{3\alpha^3}$$

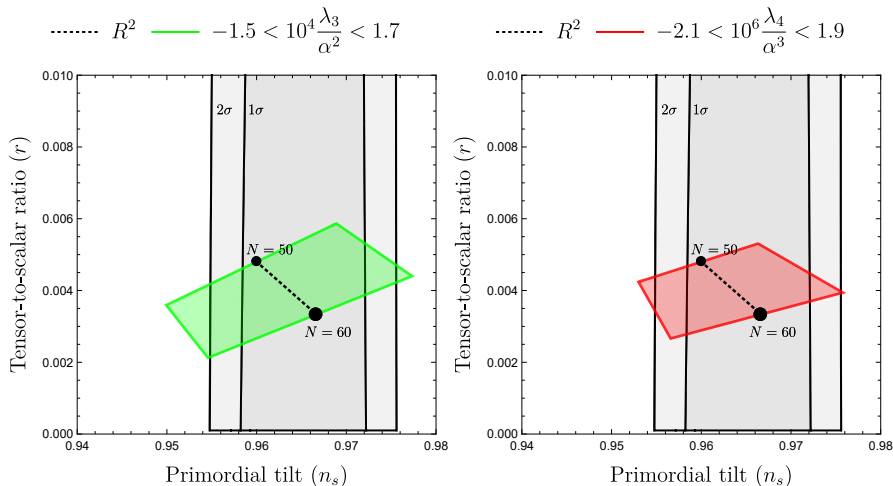
$$r \approx \frac{12}{N^2} - \frac{64\lambda_3}{9\alpha^2} + \frac{16\lambda_4 N}{3\alpha^3}$$

Note that

$$\frac{r}{n_T} = -8 + \frac{32N^2\lambda_3}{3\alpha^2} - \frac{128N^3\lambda_4}{9\alpha^3} \neq -8$$

⇒ distinguishes these theories from standard single-field models

Comparison with experimental bounds [Planck '18](#)



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- New set of higher-derivative corrections to R^2 inflation
- These models give rise to a well-behaved cosmological evolution, with an attractor towards inflation
- We have constrained the parameters of these models applying AdS/CFT methods. Important to understand the meaning of these constraints on dS
- Predictions for n_s are within current experimental bounds
- Future experiments [LiteBIRD, CORE, PIXIE] will tell if r is close to the R^2 prediction $r \sim 10^{-3}$. Will we observe deviations as well?

Future work

- How to distinguish this model from others?
- More precise characterization of the pheno (running of n_s , reheating, non-Gaussianities)
- More general higher-derivative models (EFT?)

Thank you for your attention

Experimental vs holographic bounds on (λ_3, λ_4) 