

# The Gelfand-Graev character of $GL(n,q)$

Pedro Matos

Faculty of Sciences  
University of Lisbon

May 20, 2016

## Definition

Let  $G$  be a group and  $V$  a finite dimensional vector space over some field  $K$ . A  $K$ -**representation** of  $G$  over  $V$  is a homomorphism  $\rho : G \rightarrow GL(V)$ .

## Definition

$\rho : G \rightarrow GL(V)$  is said to be **equivalent** to  $\sigma : G \rightarrow GL(W)$  if there exists a  $K$ -isomorphism  $\varphi : V \rightarrow W$  such that:

$$\varphi(\rho(g)(v)) = \sigma(g)(\varphi(v)), \quad v \in V, g \in G$$

## Sum of representations

Given  $\rho : G \rightarrow GL(V)$  and  $\sigma : G \rightarrow GL(W)$ , then  $G$  has a natural  $K$ -representation

$$\begin{aligned}\rho \oplus \sigma : G &\rightarrow GL(V \oplus W) \\ g &\mapsto \rho(g) \oplus \sigma(g)\end{aligned}$$

where  $\rho(g) \oplus \sigma(g)(v, w) := (\rho(g)v, \sigma(g)w)$ .

## Remark

If  $W \leq V$  is  $G$ -invariant, then  $\rho|_W : G \rightarrow GL(W)$  is a  $K$ -representation of  $G$  over  $W$ , which we call a **subrepresentation** of  $\rho$ . It is called **irreducible** (and say that  $W$  is an **irreducible** subspace) if  $W$  has no other proper  $G$ -invariant subspaces besides  $\{0\}$ .

## Definition

$\rho : G \rightarrow GL(V)$  is **completely reducible** if every  $G$ -invariant subspace of  $V$  has a  $G$ -invariant complement. In particular  $V$  is a finite direct sum of irreducible subspaces.

## Maschke's Theorem

If  $G$  is finite and  $\text{char } K = 0$ , then any  $K$ -representation of  $G$  is completely reducible.

## Theorem

If  $G$  is finite,  $\text{char } K = 0$  and  $K$  is algebraically closed, then:

$$\#\{\text{iso classes of irr } K\text{-representations of } G\} = \#\{\text{conjugacy classes of } G\}$$

## Remark

Let  $\mathcal{B} = (v_1, \dots, v_n)$  be a basis for  $V$ , and suppose  $R(g)$  is the matrix of  $\rho(g)$  relative to  $\mathcal{B}$ . Then  $R : G \rightarrow GL_n(K)$ ,  $g \mapsto R(g)$  is a homomorphism, a **matrix representation of  $G$  of degree  $n$** .

## Definition

Given matrix representations  $T, T' : G \rightarrow GL_n(K)$ , we say that  $T$  is **equivalent** to  $S$  whenever there exists some  $S \in GL_n(K)$  such that:

$$T'(g) = ST(g)S^{-1}, \quad g \in G$$

## Definition

Suppose that a representation  $\rho$  affords a matrix representation  $R : G \rightarrow GL_n(K)$ . The **character** afforded by  $R$  is the function:

$$\chi_R : G \rightarrow K, \quad g \mapsto \text{Tr}(R(g))$$

## Remark

$\chi_R$  is a class function i.e.  $\chi_R(hgh^{-1}) = \chi_R(g)$ .

## Definition

We define the **character** afforded by  $\rho$  as the character  $\chi_\rho := \chi_R$  for some matrix representation  $R$  afforded by  $\rho$ . We refer to any of these as  **$K$ -characters of  $G$** .

## Proposition

*Isomorphic  $K$ -representations afford the same character. The converse holds if  $G$  is finite and  $\text{char } K = 0$ .*

## Definition

- if  $\rho$  irreducible, we say  $\chi_\rho$  is **irreducible**. Also,  $\text{Irr}_K(G)$  denotes the set of irreducible characters of  $G$ .
- If  $n = 1$ ,  $\chi_\rho : G \rightarrow K^\times$  is a homomorphism. We call these **linear characters** of  $G$ , and denote the set of these by  $\text{Lin}_K(G)$ .
- $\chi_\rho(1_G) = n$  is called the **degree** of  $\chi_\rho$ .

## Remarks

- $\rho \oplus \sigma \Rightarrow \chi_{\rho \oplus \sigma} = \chi_{\rho} + \chi_{\sigma}$ .
- If  $\rho$  is completely reducible, then  $\chi_{\rho}$  is a sum of irreducible characters.
- If  $G$  is finite,  $\text{car } K = 0$  and  $K$  is algebraically closed, then:

$$\#\text{Irr}_K(G) = \#\{\text{conjugacy classes of } G\}$$

- In the case above, let  $\text{Irr}_K(G) = \{\chi_1, \dots, \chi_t\}$ . Then any  $K$ -character  $\chi$  of  $G$  is of the form:

$$\chi = n_1\chi_1 + \dots + n_t\chi_t$$

where  $n_i \in \mathbb{N}_0$  is called the **multiplicity** of  $\chi_i$  in  $\chi$ . We say that  $\chi_i$  is a **component** of  $\chi$  if  $n_i \neq 0$ .



## Setting

$G$  a finite group,  $K = \mathbb{C}$ . Let  $\text{cl}(G)$  be the vector space of  $\mathbb{C}$ -valued class functions of  $G$ . It can be shown that:

- $\text{Irr}_K(G)$  is a basis for  $\text{cl}(G)$ .

- 

$$\langle \chi, \psi \rangle_G := \frac{1}{|G|} \sum_{g \in G} \chi(g) \overline{\psi(g)}$$

defines an inner product in  $\text{cl}(G)$  for which  $\text{Irr}_K(G)$  is an o.n. basis such that  $n_i = \langle \chi, \chi_i \rangle_G$ .

- Representation theory of finite matrix groups  $G$  as an interest of its own ( e.g. description of conjugacy classes of  $U(n, q)$  is known to be a wild problem).
- A possible strategy: construction of representations of  $G$  which has almost every irreducible components in its decomposition, with multiplicity at most one ("models"(Gelfand-Bernstein,1974)).
- Typical approach: induction (to  $G$ ) of certain linear characters from a given  $p$ -Sylow subgroup  $U$  (Gelfand-Graev (1962) used this approach for  $SL(n, q)$ ).
- Our case:  $G = GL(n, q)$  and  $U = U(n, q)$ .

- 1 Construction of the Gelfand-Graev character of  $GL(n,q)$
- 2 Some more Representation Theory
- 3 Multiplicity free Theorem
- 4 The greater framework: finite groups of Lie type

1 Construction of the Gelfand-Graev character of  $GL(n,q)$

2 Some more Representation Theory

3 Multiplicity free Theorem

4 The greater framework: finite groups of Lie type

## Remark

Let  $H$  be a group. For any  $\chi \in \text{Lin}(H)$ , we have  $[H, H] \subseteq \ker \chi$ .

$$\text{Lin}(H) \longleftrightarrow \text{Irr}(H/[H, H])$$

# Linear characters of $U$

$$\begin{pmatrix} 1 & a & \cdots & * & * \\ 0 & 1 & b & \cdots & * \\ 0 & 0 & 1 & \ddots & \vdots \\ \vdots & \vdots & \vdots & \ddots & c \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix} \begin{pmatrix} 1 & a' & \cdots & * & * \\ 0 & 1 & b' & \cdots & * \\ 0 & 0 & 1 & \ddots & \vdots \\ \vdots & \vdots & \vdots & \ddots & c' \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix} =$$
$$= \begin{pmatrix} 1 & a + a' & \cdots & * & * \\ 0 & 1 & b + b' & \cdots & * \\ 0 & 0 & 1 & \ddots & \vdots \\ \vdots & \vdots & \vdots & \ddots & c + c' \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix}$$

# Linear characters of $U$

$$\begin{pmatrix} 1 & a & \cdots & * & * \\ 0 & 1 & b & \cdots & * \\ 0 & 0 & 1 & \ddots & \vdots \\ \vdots & \vdots & \vdots & \ddots & c \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix}^{-1} = \begin{pmatrix} 1 & -a & \cdots & * & * \\ 0 & 1 & -b & \cdots & * \\ 0 & 0 & 1 & \ddots & \vdots \\ \vdots & \vdots & \vdots & \ddots & -c \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix}$$

General form of a commutator in  $U$ :

$$\begin{pmatrix} 1 & 0 & \cdots & * & * \\ 0 & 1 & 0 & \cdots & * \\ 0 & 0 & 1 & \ddots & \vdots \\ \vdots & \vdots & \vdots & \ddots & 0 \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix}$$



# Linear characters of $U$

$$U/[U, U] \simeq \underbrace{\mathbb{F}_q^+ \times \cdots \times \mathbb{F}_q^+}_{n-1}$$

$$\text{Lin}(U) \longleftrightarrow \text{Irr}(\underbrace{\mathbb{F}_q^+ \times \cdots \times \mathbb{F}_q^+}_{n-1})$$

# Linear characters of $U$

Fix a non trivial  $\theta \in \text{Irr}(\mathbb{F}_q^+)$ .

$$\begin{aligned}\chi_{(\alpha_1, \dots, \alpha_{n-1})} : U &\longrightarrow \mathbb{C}^\times \\ u &\longmapsto \theta \left( \sum_{i=1}^{n-1} \alpha_i u_{i,i+1} \right)\end{aligned}$$

$$\text{Lin}(U) = \{ \chi_{(\alpha_1, \dots, \alpha_{n-1})} \mid \alpha_i \in \mathbb{F}_q \}$$

# Action of $T$ on $\text{Lin}(U)$

## Remark

Let  $H$  be a group,  $K \leq H$  and  $\varphi \in \text{Irr}(K)$ . Then for each  $h \in H$ :

- $\varphi^h \in \text{Irr}(K^h)$  where  $K^h := h^{-1}Kh$ .
- $\text{Ind}_K^H \varphi = \text{Ind}_{K^h}^H (\varphi^h)$ .

## Remark

$T$  normalizes  $U$ . Hence  $T$  acts on  $\text{Lin}(U)$  by conjugation:

$$\chi^t(u) := \chi(tut^{-1}), \quad u \in U$$

## Action of $T$ on $\text{Lin}(U)$

$$\chi_{(\alpha_1, \dots, \alpha_{n-1})} \in \text{Lin}(U), \quad t = \text{diag}(t_1, \dots, t_n) \in T$$

## Action of $T$ on $\text{Lin}(U)$

$$\chi_{(\alpha_1, \dots, \alpha_{n-1})}^t = \chi_{(t_1 \alpha_1 (t_2)^{-1}, \dots, t_{n-1} \alpha_{n-1} (t_n)^{-1})}$$

# Action of $T$ on $\text{Lin}(U)$

## Action of $T$ on $\text{Lin}(U)$

$$\chi_{(\alpha_1, \dots, \alpha_{n-1})}^t = \chi_{(t_1 \alpha_1 (t_2)^{-1}, \dots, t_{n-1} \alpha_{n-1} (t_n)^{-1})}$$

## Definition

We say  $\chi_{(\alpha_1, \dots, \alpha_{n-1})} \in \text{Lin}(U)$  is **nondegenerate** if  $\alpha_i \neq 0$  for every  $i \in \{1, \dots, n-1\}$

## Proposition

$T$  acts transitively on the set of nondegenerate linear characters of  $U$ .

## Definition

Let  $\sigma \in \text{Lin}(U)$  nondegenerate. Then  $\Gamma := \text{Ind}_U^G \sigma$  is called the **Gelfand-Graev character** of  $G = \text{GL}(n, q)$ .

- 1 Construction of the Gelfand-Graev character of  $GL(n,q)$
- 2 Some more Representation Theory
- 3 Multiplicity free Theorem
- 4 The greater framework: finite groups of Lie type

## Recall

Let  $A$  be a f.d.  $K$ -algebra and  $V$  an  $A$ -module. Then  $V$  affords a  $K$ -algebra homomorphism  $\rho : A \rightarrow \text{End}_K(V)$  i.e. a **representation** of  $A$  over  $V$ . Fixing a  $K$ -basis  $\mathcal{B} = \{v_1, \dots, v_n\}$  for  $V$ , we get a **matrix representation**  $\rho : A \rightarrow M_n(K)$ .

## Definition

Let  $V$  be an  $A$ -module, and consider the representation

$$\rho : A \rightarrow \text{End}_K(V), \quad a \mapsto a_L$$

where  $a_L(v) := a \cdot v$ . Suppose it affords some matrix representation  $R : A \rightarrow M_n(K)$ . The **character** afforded by  $V$  is the  $K$ -linear map

$$\chi_M : A \rightarrow K, \quad a \mapsto \text{Tr}(R(a))$$



# Study of $KG$ -modules

## Group algebra

Define the **group algebra**  $KG$  of  $G$  as the ring of formal  $K$ -linear combinations  $\sum_{g \in G} \alpha(g)g$ ,  $\alpha(g) \in K$ . Then  $KG$  is a  $K$ -algebra with basis  $G$ .

## Equivalent study of $KG$ -modules

- Any representation of  $G$  extends linearly to a representation of  $KG$ . Conversely, any representation of  $KG$  restricts to a representation of  $G$  ( $K$ -rep of  $G \leftrightarrow KG$ -modules).
- Subrepresentations  $\leftrightarrow$  submodules.
- Completely reducible rep of  $G \leftrightarrow$  semisimple  $KG$ -modules.
- Irr representations of  $G \leftrightarrow$  simple  $KG$ -modules.
- Characters of  $KG \leftrightarrow K$ -characters of  $G$ .

## Definition

A ring  $R$  with unity is **semisimple** if  $R$  is a finite direct sum of minimal left ideals i.e. if  ${}_R R$  is a semisimple module.

## Proposition

- $R$  is a semisimple ring iff every f.d.  $R$ -module is semisimple.
- If  $R$  is semisimple, then every simple  $R$ -module is isomorphic to some minimal left ideal of  $R$ .
- If  $R$  semisimple, then left ideals of  $R$  are generated by idempotent elements.

May as well fix a finite set  $\{M_1, \dots, M_t\}$  of representatives of the iso classes of simple  $R$ -modules.

$$R = \mathcal{L}_1 \oplus \cdots \oplus \mathcal{L}_t$$
$$\mathcal{B}_i := \sum_{\mathcal{L}_j \cong_R M_i} \mathcal{L}_j, \quad R = \mathcal{B}_1 \oplus \cdots \oplus \mathcal{B}_t$$

## Wedderburn-Artin Structure Theorem

*If  $R$  is a semisimple ring, then there exist unique  $n_1, \dots, n_t \in \mathbb{N}$  and division rings  $D_1, \dots, D_t$  such that:*

$$R \cong M_{n_1}(D_1) \times \cdots \times M_{n_t}(D_t)$$

Each  $n_i$  corresponds to the number of times  $M_i$  "appears" in the decomposition of  $KG$  i.e. number of minimal left ideals iso to  $M_i$ .

## Maschke's Theorem

*If  $G$  is finite and  $\text{char } K = 0$ , then  $KG$  is semisimple.*

## Theorem

*In the above conditions, if  $K$  is also algebraically closed then:*

$$KG \cong M_{n_1}(K) \times \cdots \times M_{n_t}(K)$$

## Remark

Since  $KG$  is semisimple, irreducible  $K$ -representations of  $G$  are afforded by minimal left ideals of  $KG$ , hence are related to certain idempotents of  $KG$ .

## Goal

Given  $H \leq G$  and a representation  $\rho$  of  $H$  over  $V$ , want to build from it a new representation of  $G$  over some new vector space.

## Definition

Let  $H \leq G$  and suppose  $V$  is a (left)  $KH$ -module. We define the **induced module**  $V^G := KG \otimes_{KH} V$  (module multiplication is given by  $g \cdot (z \otimes v) := (g \cdot z) \otimes v$ ). If  $V$  affords the character  $\chi$  of  $H$ , then we denote by  $\text{Ind}_H^G(\chi)$  the character of  $G$  afforded by  $V^G$ , which is called the **induced character** of  $\chi$  from  $H$  to  $G$ .

## Remarks

Let  $H \leq G$ . If  $e \in KG$  is idempotent such that  $KHe$  affords a character  $\chi$  of  $H$ , then:

- $KG \otimes_{KH} KHe \cong_{KG} KGe$  affords  $\text{Ind}_H^G(\chi)$ .
- $eKGe$  is a ring which does not depend (up to iso) on the idempotent that affords  $\chi$  (**Hecke algebra** of  $\chi$ ).
- If  $KG$  is semisimple, it can be shown that  $eKGe$  is also semisimple.

## Theorem

Assume  $G$  finite,  $\text{char } K = 0$  and  $K$  algebraically closed. Let  $\text{Irr}_K(G) = \{\chi_1 \cdots \chi_t\}$ . Furthermore, let  $H \leq G$ ,  $\psi$  a  $K$ -character of  $H$  and  $\mathcal{H}$  its Hecke algebra. Then:

- $\chi_i|_{\mathcal{H}} \neq 0$  if and only if  $\langle \text{Ind}_H^G(\psi), \chi_i \rangle_G \neq 0$ .
- The map  $\chi \mapsto \chi|_{\mathcal{H}}$  is a bijection between irreducible characters of  $G$  s.t.  $\langle \text{Ind}_H^G(\psi), \chi \rangle_G \neq 0$  and irreducible characters of  $\mathcal{H}$ .

## Key remark

$$\mathcal{H} \cong M_{n_1}(K) \times \cdots \times M_{n_t}(K)$$

- 1 Construction of the Gelfand-Graev character of  $GL(n,q)$
- 2 Some more Representation Theory
- 3 Multiplicity free Theorem**
- 4 The greater framework: finite groups of Lie type



## Theorem

$\Gamma$  is multiplicity free i.e. its irreducible components appear with multiplicity at most one.

# Proof of the multiplicity free Theorem

## Setting

- Fix  $\sigma \in \text{Lin}(U)$  nondegenerate.
- Take an idempotent  $e \in \mathbb{C}U$  such that  $\mathbb{C}Ue$  affords  $\sigma$ .
- $\mathbb{C}Ge$  affords  $\Gamma$ .
- The Hecke algebra of  $\sigma$  is  $\mathcal{H} = e\mathbb{C}Ge$ .

$$\mathcal{H} \cong M_{n_1}(\mathbb{C}) \times \cdots \times M_{n_t}(\mathbb{C})$$

Hence just need to show that  $H$  is commutative!

# Proof of the multiplicity free Theorem

## Lemma

Let  $N \leq G := GL(n, q)$  be the subgroup of monomial matrices, and  $B \leq GL(n, q)$  the subgroup of upper triangular matrices. Then:

- $B = U \rtimes T$ .
- $G = BNB = UNU$ .

$$ege \in \mathcal{H} \Rightarrow ege = eunu'e = \sigma(u)\sigma(u')ene \quad u, u' \in U, n \in N$$

## Conclusion

$$\mathcal{H} = \langle \{ene \mid n \in N\} \rangle_{\mathbb{C}}$$

## Lemma

$\mathcal{H}$  is commutative if there exists a linear isomorphism  $\psi : \mathbb{C}G \rightarrow \mathbb{C}G$  satisfying the following conditions:

1.  $\forall a, b \in \mathbb{C}G, \psi(ab) = \psi(b)\psi(a)$ .
2.  $\psi(U) = U$ .
3.  $\forall u \in U, \sigma(\psi(u)) = \sigma(u)$ .
4.  $\psi(n) = n$  for all  $n \in N$  such that  $\text{ene} \neq 0$ .

# Proof of the multiplicity free Theorem

$$e = \frac{1}{|U|} \sum_{u \in U} \sigma(u^{-1})u$$

$$\begin{aligned} \psi(e) &= \psi \left( \frac{1}{|U|} \sum_{u \in U} \sigma(u^{-1})u \right) = \frac{1}{|U|} \sum_{u \in U} \sigma(u^{-1})\psi(u) = \\ &= \frac{1}{|U|} \sum_{u \in U} \sigma(\psi^{-1}(u^{-1}))u = \frac{1}{|U|} \sum_{u \in U} \sigma(u^{-1})u = e \end{aligned}$$

# Proof of the multiplicity free Theorem

$$ene \neq 0, \psi(ene) = \psi(e)\psi(n)\psi(e) = ene$$

## Conclusion

$$\psi|_{\mathcal{H}} = id_{\mathcal{H}}$$

$$a, b \in \mathcal{H}, ab = \psi(ab) = \psi(b)\psi(a) = ba$$

## Involution of $G$

$$\begin{aligned}\gamma : G &\rightarrow G \\ g &\mapsto n_0(g^{-1})^T n_0\end{aligned}$$

where

$$n_0 = \begin{pmatrix} 0 & \cdots & 1 \\ \vdots & \ddots & \vdots \\ 1 & \cdots & 0 \end{pmatrix}$$

## Remark

The map:

$$\begin{aligned}\tilde{\sigma} : U &\rightarrow \mathbb{C}^\times \\ u &\mapsto \sigma(\gamma(u^{-1}))\end{aligned}$$

is also a nondegenerate linear character of  $U$ .

Since  $T$  acts transitively on nondegenerate linear characters of  $U$ , choose  $t \in T$  such that  $\tilde{\sigma} = \sigma^t$ .



# Proof of the multiplicity free Theorem

$$\begin{aligned}\sigma(\psi(u)) &= \sigma(t\gamma(u^{-1})t^{-1}) = \\ &= \sigma^t(\gamma(u^{-1})) = \tilde{\sigma}(\gamma(u^{-1})) = \\ &= \sigma(\gamma(\gamma(u^{-1})^{-1})) = \sigma(u)\end{aligned}$$

- 1 Construction of the Gelfand-Graev character of  $GL(n,q)$
- 2 Some more Representation Theory
- 3 Multiplicity free Theorem
- 4 The greater framework: finite groups of Lie type

## Remarks

For our proof the following facts were essential:

1.  $B = U \rtimes T$  and  $G = BNB$ .
2. Existence of  $T$  and its transitive action on  $\text{Lin}(U)$ .
3. Existence of a certain involution of  $GL(n, q)$ .
4. (although not seen) Facts on representation theory of  $S_n$ .

## Connected reductive group "counterparts"

1. Existence of a  $BN$ -pair ( $B$  Borel subgroup,  $N$  normalizer of a maximal torus  $T \subset B$ ,  $U$  the unipotent radical of  $B$ ).
2.  $Z(G)$  connected implies "good" action of  $T$  over simple root subgroups of  $G$ .
3. Dynkin diagram automorphism and related opposition graph automorphism of  $G$ .
4. Representation theory of Weyl group  $W = N/T$  and respective action on  $\text{Hom}(T, K^\times)$ .

1. I. M. Gelfand, M. I. Graev, *Construction of irreducible representations of simple algebraic groups over a finite field*, Dokl. Akad. Nauk SSSR, 147 (1962).
2. R. Steinberg, *Lectures on Chevalley Groups*, Yale University, 1967.
3. J. A. Green, *Discrete series characters for  $GL(n, q)$* , Algebras and Representation Theory, 02 (1999), pp. 61-82.
4. C. W. Curtis, I. Reiner, *Representation theory of finite groups and associative algebras*, John Wiley and Sons Inc, 1962.
5. C. W. Curtis, I. Reiner, *Methods of Representation Theory, Vol. I. With applications to finite groups and orders*, John Wiley, New York, 1981.
6. R. W. Carter, *Finite groups of Lie type: conjugacy classes and complex characters*, John Wiley and Sons Inc, 1993.