# PERIODIC ORBITS OF NON-DEGENERATE LACUNARY CONTACT FORMS ON PREQUANTIZATION BUNDLES

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ABSTRACT. A non-degenerate contact form is lacunary if the indexes of every contractible periodic Reeb orbit have the same parity. To the best of our knowledge, every contact form with finitely many periodic orbits known so far is non-degenerate and lacunary. We show that every non-degenerate lacunary contact form on a suitable prequantization of a closed symplectic manifold B has precisely  $r_B$  contractible closed orbits, where  $r_B = \dim \mathcal{H}_*(B;\mathbb{Q})$ . Examples of such prequantizations include the standard contact sphere and the unit cosphere bundle of a compact rank one symmetric space (CROSS). We also consider some prequantizations of orbifolds, like lens spaces and the unit cosphere bundle of lens spaces, and obtain multiplicity results for these prequantizations.

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# 1. Introduction

1.1. Introduction and Main Results. Let  $(M^{2n+1}, \xi)$  be a closed co-oriented contact manifold. Let  $\alpha$  be a contact form supporting  $\xi$  (i.e. such that  $\ker \alpha = \xi$ ) and denote by  $R_{\alpha}$  the

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corresponding Reeb vector field uniquely characterized by the equations  $\iota_{R_{\alpha}}d\alpha=0$  and  $\alpha(R_{\alpha})=1$ . Reeb flows form a prominent class of Hamiltonian systems on regular energy levels. Indeed, if M is a contact type hypersurface in a symplectic manifold W and  $H:W\to\mathbb{R}$  is a Hamiltonian such that M is a regular energy level of H, then the Hamiltonian flow of H on M is a reparametrization of the Reeb flow. There are several important examples, including proper homogeneous Hamiltonians  $H:\mathbb{R}^{2n}\to\mathbb{R}$  and geodesic flows.

In this work, we will address the problem of the multiplicity of periodic orbits of Reeb flows, that is, the number of simple (i.e. non-iterated) periodic Reeb orbits. Two key tools to attack this problem are *(positive)* equivariant symplectic homology and linearized contact homology. The latter was introduced by Eliashberg, Givental and Hofer in their seminal paper [21]. The first was introduced by Viterbo [42] and developed by Bourgeois and Oancea [8, 9, 10, 11, 12]. As proved in [12], these homologies are isomorphic whenever linearized contact homology is well defined.

When the linearized contact homology (with rational coefficients) is unbounded, that is, the dimension of the corresponding vector spaces goes to infinity for some sequence of degrees  $k_i \to \infty$  we have that every contact form on M has infinitely many simple closed orbits [34]; cf. [39]. We say that this is the homologically unbounded case; see the survey [37].

Thus, in the multiplicity problem the interesting case is the homologically bounded one. This case is much more involved since, in this context, we do have examples of Reeb flows with finitely many simple periodic orbits. Let us consider a prequantization  $S^1$ -bundle  $(M^{2n+1}, \xi)$  of a closed integral symplectic manifold  $(B, \omega)$ . This can be considered as a prototypical example of a homologically bounded contact manifold. As a matter of fact, under suitable hypotheses on B, its positive equivariant symplectic homology is given by a sum of copies of the singular homology of the basis with a shift in the degree; see, for instance, [2, 3, 26, 27] and Section 2.2.

When  $\omega$  is aspherical, that is,  $\omega|_{\pi_2(B)} = 0$ , it was proved in [26, 27], under minor extra assumptions on M (probably just technical), that every contact form on M has infinitely simple closed orbits; see also [30]. If  $\omega$  is not aspherical the problem is more delicate since there are examples of contact forms with finitely many closed orbits. Indeed, the standard contact sphere  $S^{2n+1}$  is a prequantization of  $\mathbb{C}P^n$  and it has contact forms with precisely n+1 simple closed orbits given by the irrational ellipsoids. More generally, every prequantization of a closed symplectic manifold admitting a Hamiltonian circle action with isolated fixed points has a contact form with finitely many simple closed orbits, and all these symplectic manifolds are necessarily not aspherical. Thus, if  $\omega$  is not aspherical we have, in general, to obtain a, ideally sharp, lower bound for the number of simple closed orbits.

A result in this direction is the following theorem proved by Ginzburg, Gürel and Macarini [27]. In order to state it, we need some preliminary definitions. Given a closed Reeb orbit  $\gamma$ , let  $\mu(\gamma)$  and  $\hat{\mu}(\gamma)$  be its Conley-Zehnder index and mean index respectively. A contact form  $\alpha$  supporting  $\xi$  is index-positive (resp. index-negative) if the mean index  $\hat{\mu}(\gamma)$  is positive (resp. negative) for every contractible periodic orbit  $\gamma$  of  $\alpha$ . We say that  $\alpha$  is index-admissible if every contractible closed orbit  $\gamma$  of  $\alpha$  satisfies  $\mu(\gamma) > 3 - n$ . Given a symplectic manifold B, let

$$c_B := \inf\{k \in \mathbb{N} \mid \exists S \in \pi_2(B) \text{ with } \langle c_1(TB), S \rangle = k\}$$

be its minimal Chern number.

**Theorem 1.1** ([27]). Let  $(M^{2n+1}, \xi)$  be a prequantization  $S^1$ -bundle of a closed symplectic manifold  $(B, \omega)$  such that  $\omega|_{\pi_2(B)} \neq 0$ ,  $c_B > n/2$  and  $H_k(B; \mathbb{Q}) = 0$  for every odd k. Let  $\alpha$ 

be a non-degenerate contact form supporting  $\xi$  which is index-positive and has no contractible periodic orbits  $\gamma$  such that  $\mu(\gamma) = 0$  if n is odd or  $\mu(\gamma) \in \{0, \pm 1\}$  if n is even. Assume that one of the following two conditions holds:

- (F) M admits a strong symplectic filling  $(W,\Omega)$  such that  $\Omega|_{\pi_2(W)} = 0$  and  $c_1(TW)|_{\pi_2(W)} = 0$ , and the map  $\pi_1(M) \to \pi_1(W)$  induced by the inclusion is injective.
- (NF)  $c_1(\xi) = 0$ ,  $c_1(TB)|_{\pi_2(B)} = \lambda \omega|_{\pi_2(B)}$  for some  $\lambda > 0$  and  $\alpha$  is index-admissible.

Then  $\alpha$  carries at least  $r_B$  geometrically distinct contractible periodic orbits, where  $r_B := \dim H_*(B; \mathbb{Q})$ .

Remark 1.2. The theorem in [27] is actually a bit more general and relaxes the assumption that  $H_k(B; \mathbb{Q}) = 0$  for every odd k if  $c_B > n$ . However, in this case the lower bound obtained in [27] is no longer given by the total rank of the homology of B. To the best of our knowledge, every prequantization of a symplectic manifold B that admits a contact form with finitely many closed orbits known so far has the property that  $H_*(B; \mathbb{Q})$  is lacunary.

Remark 1.3. In the theorem in [27], under the assumption (F), the contact form is allowed to be index-negative instead of being index-positive. We do not state the theorem in [27] in its original form because its statement is somewhat technical and involved, and it is not necessary for the purposes of this work.

Remark 1.4. The condition that  $\Omega|_{\pi_2(W)} = 0$  in hypothesis (F) can be dropped and the condition that  $c_1(\xi) = 0$  in assumption (NF) can be relaxed to the condition that  $c_1(\xi)$  is torsion; see Remark 1.7.

Hypothesis (F) means that M admits a "nice" symplectic filling and the assumption (NF) does not require the existence of a filling at all, with the expense that the contact form has to be index-admissible. This last condition allows us to define the equivariant symplectic homology of M without a filling, using its symplectization; see Section 2.1.

Examples satisfying the hypotheses of the previous theorem include the standard contact sphere  $S^{2n+1}$  and the unit cosphere bundle of a compact rank one symmetric space (CROSS) with dimension bigger than two. More precisely, as already mentioned,  $S^{2n+1}$  is a prequantization of  $\mathbb{C}P^n$ , and its obvious filling in  $\mathbb{R}^{2n+2}$  clearly satisfies the hypotheses above. A connected Riemannian manifold N is a symmetric space if for every  $p \in N$  there exists an isometry  $f_p: N \to N$  such that  $f_p(p) = p$  and  $f_p \circ \exp_p(v) = \exp_p(-v)$  for every  $v \in T_pN$ . The rank of a symmetric space N is the maximal dimension of a flat totally geodesic submanifold in N. By the classification of symmetric spaces, a CROSS is given by one of the following manifolds:  $S^m$ ,  $\mathbb{R}P^m$ ,  $\mathbb{C}P^m$ ,  $\mathbb{H}P^m$  and  $CaP^2$ ; see [7] for details. Thus the filling of the unit cosphere bundle  $S^*N$  given by the unit codisk bundle  $D^*N$  in  $T^*N$  meets the condition (F) of the previous theorem whenever the (real) dimension of N is bigger than two. (In dimension two, we have that N is either  $S^2$  or  $\mathbb{R}P^2$  which are the only cases where the map  $\pi_1(S^*N) \to \pi_1(D^*N)$  is not injective. However, in these cases it is well known that every Reeb flow on  $S^*N$  has at least two simple closed orbits.)

Every CROSS N admits a metric such that all of its geodesics are periodic with the same minimal period; in other words, the geodesic flow generates a free circle action on  $S^*N$ . (To the best of our knowledge, a CROSS is the only known example so far of a closed Riemannian manifold admitting such a metric [7].) Thus the unit cosphere bundle  $S^*N$  is a prequantization of a closed symplectic manifold  $(B,\omega)$ . Moreover, a homological computation shows that  $H_k(B;\mathbb{Q}) = 0$  for every odd k; see [43, page 141]. In this case, the total rank  $r_B$  of  $H_*(B;\mathbb{Q})$  and the minimal Chern number  $c_B$  are given by Table 1.

| Prequantization                                | $r_B = \dim \mathcal{H}_*(B; \mathbb{Q})$ | $c_B$  |
|--|---|--------|
| $S^{2n+1}$                                     | n+1                                       | n+1    |
| $S^*S^2$ or $S^*\mathbb{R}P^2$                 | 2   | 2      |
| $S^*S^m$ or $S^*\mathbb{R}P^m$ with $m>2$ even | m   | m-1    |
| $S^*S^m$ or $S^*\mathbb{R}P^m$ with $m$ odd    | m+1                                       | m-1    |
| $S^*\mathbb{C}P^m$                             | m(m + 1)                                  | m      |
| $S^*\mathbb{H}P^m$                             | 2m(m+1)                                   | 2m + 1 |
| $S^*CaP^2$                                     | 24  | 11     |

Table 1. Some prequantizations and the corresponding  $r_B$  and  $c_B$ .

Hence, we have the following corollary, which was previously proved for the standard contact sphere by Duan, Liu, Long and Wang in [17] and for Finsler metrics on a simply connected CROSS by Duan, Long and Wang in [19].

Corollary 1.5 ([27]). Let  $(M, \xi)$  be either the standard contact sphere  $S^{2n+1}$  or the unit cosphere bundle  $S^*N$  of a CROSS and let  $\alpha$  be a contact form supporting  $\xi$ . Assume that  $\alpha$  satisfies the conditions of the previous theorem. Then  $\alpha$  has at least  $r_B$  geometrically distinct periodic orbits, where  $r_B$  is given by Table 1.

Theorem 1.1 is sharp. Indeed, the prequantizations in the previous corollary admit non-degenerate contact forms with precisely  $r_B$  geometrically distinct periodic orbits. These contact forms are given by irrational ellipsoids and the Katok-Ziller Finsler metrics [43].

To the best of our knowledge, all the examples of Reeb flows with finitely many closed orbits known so far are non-degenerate. When the contact form is non-degenerate, under the index assumptions of Theorem 1.1, we have at least  $r_B$  closed orbits and, as noticed before, this lower bound is sharp. This raises the following hard question:

**Question:** Let  $(M, \xi)$  be a prequantization of a closed symplectic manifold B. Is it true that every contact form supporting  $\xi$  has at least  $r_B$  periodic orbits?

The answer is positive in the very particular case of  $M = S^3$  with the standard contact structure. It was proved independently by Cristofaro-Gardiner and Hutchings (in the general case of three dimensional manifolds) [14] and Ginzburg, Hein, Hryniewicz and Macarini [28]. In higher dimensions, there are several partial positive results under some hypotheses on the contact form, like index assumptions, convexity and symmetry; see the survey [37].

To the best of our knowledge, all the examples known so far of contact forms with finitely many closed orbits on prequantizations of a symplectic manifold B have precisely  $r_B$  periodic orbits. This raises the following even harder question:

**Question:** Let  $(M, \xi)$  be a prequantization of a closed symplectic manifold B. Is it true that every contact form supporting  $\xi$  has either  $r_B$  or infinitely many periodic orbits?

The answer is also positive in the case of  $M = S^3$  with the standard contact structure as was recently proved by Cristofaro-Gardiner, Hryniewicz, Hutchings and Liu [16]. In higher dimensions, it is a widely open and very difficult problem.

A periodic orbit is *elliptic* if every eigenvalue of its linearized Poincaré map has modulus one. All the examples of contact forms with finitely many closed orbits that we know so far are non-degenerate and have the property that *every periodic orbit is elliptic*. It is well known

that if a non-degenerate periodic orbit  $\gamma$  is elliptic then  $\mu(\gamma) = n \pmod{2}$ . In particular, these contacts forms are geometrically perfect, that is, the indexes of every periodic orbit in the same free homotopy class have the same parity. Another example of geometrically perfect contact forms are those with Anosov Reeb flows (e.g. the geodesic flow of a metric with negative sectional curvature) [38]. In these examples, every periodic orbit is hyperbolic. We do not know examples of geometrically perfect contact forms with elliptic and hyperbolic orbits.

We say that a non-degenerate contact form  $\alpha$  is lacunary if the indexes of every contractible periodic orbit have the same parity. In particular, if every periodic orbit of  $\alpha$  is elliptic then  $\alpha$  is lacunary. So, all the examples that we know so far of contact forms with finitely many closed orbits are lacunary. Now, we can state the main result of this work, which represents a small step towards the last question. In what follows, a simple contractible closed orbit is a contractible orbit which is not an iterate of another contractible orbit, although it can be the iterate of a non-contractible orbit.

**Theorem 1.6.** Let  $(M^{2n+1}, \xi)$  be a prequantization  $S^1$ -bundle of a closed symplectic manifold  $(B, \omega)$  such that  $\omega|_{\pi_2(B)} \neq 0$ ,  $c_B > n/2$  and  $H_k(B; \mathbb{Q}) = 0$  for every odd k. Assume that B is spherically positive monotone, that is,  $c_1(TB)|_{\pi_2(B)} = \lambda \omega|_{\pi_2(B)}$  for some  $\lambda > 0$ . Let  $\alpha$  be a non-degenerate lacunary contact form supporting  $\xi$ . Suppose that one of the following two conditions holds:

- (F) M admits a strong symplectic filling W such that  $c_1(TW)|_{\pi_2(W)} = 0$  and the map  $\pi_1(M) \to \pi_1(W)$  induced by the inclusion is injective.
- (NF)  $c_1(\xi)|_{H_2(M,\mathbb{Q})} = 0$  and  $\alpha$  is index-admissible.

Then  $\alpha$  has precisely  $r_B$  simple contractible closed orbits, where  $r_B = \dim H_*(B; \mathbb{Q})$ .

Remark 1.7. The hypothesis (F) in the previous theorem dropped the assumption that the symplectic form on W is aspherical in Theorem 1.1. This is possible due to the use of Novikov fields and an action filtration introduced by McLean and Ritter [41]. Furthermore, the hypothesis (NF) in the previous theorem is weaker than the assumption (NF) in Theorem 1.1: we allow  $c_1(\xi)$  to be torsion. See Section 2.2. Hypotheses (F) and (NF) in Theorem 1.1 can be weakened as in the hypotheses of Theorem 1.6; see Sections 2 and 6.

Remark 1.8. An example of a prequantization satisfying the conditions of Theorem 1.6 under the assumption (F) such that the symplectic form of the filling is not aspherical is given by the prequantization M of  $S^2 \times S^2 \times S^2$  endowed with the product symplectic form, where the symplectic form on  $S^2$  has area 1. One can check that M is simply connected and the filling W of M, given by a 4-ball bundle over  $S^2 \times S^2$ , has vanishing first Chern class. However, the symplectic form is not aspherical since the zero section  $S^2 \times S^2$  is a symplectic submanifold.

Remark 1.9. We have that  $c_1(\xi)|_{H_2(M,\mathbb{Q})} = 0$  if and only if B is monotone, that is,  $c_1(TB) = \lambda[\omega]$  in  $H^2(B;\mathbb{Q})$  for some  $\lambda \in \mathbb{R}$ .

As in Theorem 1.1, the hypothesis (F) means that M admits a "nice" symplectic filling and the assumption (NF) does not require the existence of a filling, with the expense that the contact form has to be index-admissible.

We have the following immediate consequences.

Corollary 1.10. Let  $(M^{2n+1}, \xi)$  be a prequantization  $S^1$ -bundle of a closed symplectic manifold  $(B, \omega)$  and  $\alpha$  a non-degenerate lacunary contact form supporting  $\xi$  as in the previous

theorem. Assume that  $\pi_1(M)$  is finite. Then  $\alpha$  has precisely  $r_B$  simple closed orbits, where  $r_B = \dim \mathcal{H}_*(B; \mathbb{Q})$ .

Indeed, when  $\pi_1(M)$  is finite, the set of simple contractible closed orbits is in bijection with the set of simple closed orbits.

Remark 1.11. One can define a Hamiltonian diffeomorphism of a symplectic manifold B as a pseudo-rotation if it has a finite and minimal number of periodic points, although the notion of the minimal number is ambiguous in general [25]. Based on our previous discussion, one is tempted to define a Reeb pseudo-rotation on a prequantization M as above as a Reeb flow with precisely  $r_B$  simple closed orbits. (Another definition, given in [13], is that the Reeb flow has finitely many simple closed orbits. By our previous discussion, these definitions should be equivalent although it is far from being known.) In this way, the previous corollary says that the Reeb flow of a non-degenerate lacunary contact form on M is a Reeb pseudo-rotation. A natural question is the converse of this result, that is, if a Reeb pseudo-rotation must be the Reeb flow of a non-degenerate lacunary contact form; see Section 7. It is true when  $M = S^3$  as proved in [15].

Corollary 1.12. Let  $(M^{2n+1}, \xi)$  be either the standard contact sphere  $S^{2n+1}$  or the unit cosphere bundle  $S^*N$  of a CROSS and let  $\alpha$  be a non-degenerate lacunary contact form supporting  $\xi$ . Then  $\alpha$  has precisely  $r_B$  simple closed orbits, where  $r_B$  is given by Table 1.

The last corollary improves results due to Duan-Liu-Ren [18] and Duan-Xie [20]. In the case of the standard contact sphere  $S^{2n+1}$ , it follows easily from Theorem 1.1 and [32, Theorem 1.5]. (Actually, we do not need to use Theorem 1.1: we just have to note that a non-degenerate lacunary contact form on  $S^{2n+1}$  is dynamically convex (i.e. every closed orbit has index at least n+2) and use the fact that a non-degenerate dynamically convex contact form on  $S^{2n+1}$  has at least n+1 simple closed orbits [3, 33]. On the other hand, by [32, Theorem 1.5] the number of simple periodic orbits of a non-degenerate lacunary contact form on  $S^{2n+1}$  is at most n+1.) As mentioned before, the unit disk bundle  $D^*N$  meets the assumption (F) of Theorem 1.6 whenever the dimension of N is bigger than two. If N is a surface then it is given by either  $S^2$  or  $\mathbb{R}P^2$  and in this case the result follows easily from Theorem 1.1 and [32, Theorem 1.5].

A consequence of the *proof* of Theorem 1.6 is the following result which provides more examples where our main result holds. Note that if the basis B admits a Hamiltonian action of the torus  $T^d$  then we have a lifted contact  $T^{d+1}$ -action on M; see Section 5. Given the action of a Lie group G on a manifold M and a vector field X on M invariant under this action, we say that a periodic orbit  $\gamma$  of X is symmetric if  $g(\text{Im}(\gamma)) = \text{Im}(\gamma)$  for every  $g \in G$ .

**Theorem 1.13.** Let  $(M^{2n+1}, \xi)$  be a prequantization  $S^1$ -bundle of a closed symplectic manifold  $(B, \omega)$  such that  $\omega|_{\pi_2(B)} \neq 0$ ,  $c_B > n/2$  and  $H_k(B; \mathbb{Q}) = 0$  for every odd k. Assume that B is spherically positive monotone, that is,  $c_1(TB)|_{\pi_2(B)} = \lambda \omega|_{\pi_2(B)}$  for some  $\lambda > 0$  and that M satisfies the hypothesis (F) of Theorem 1.6. Suppose that B admits a Hamiltonian  $T^d$ -action with isolated fixed points and consider the corresponding lifted  $T^{d+1}$ -action on M. Let G be a finite subgroup of  $T^{d+1}$  acting freely on M and let  $\overline{M} = M/G$  be the quotient. Then every non-degenerate lacunary contact form  $\alpha$  on  $\overline{M}$  has precisely  $r_B$  simple contractible closed orbits, where  $r_B = \dim H_*(B; \mathbb{Q})$ . Moreover, the lifts of these orbits to M are symmetric closed orbits of the lifted contact form  $\hat{\alpha}$  on M with respect to the G-action.

Remark 1.14. One can show that  $\dim \mathcal{H}_*(\overline{M}/S^1;\mathbb{Q}) = r_B$ , where the  $S^1$ -action on  $\overline{M}$  is the one induced by the obvious circle action on M, whose orbits are the fibers, which commutes with the G-action.

The main point in the previous theorem is that  $\overline{M}$  is not, in general, a prequantization of a symplectic manifold: it is the prequantization of a symplectic orbifold. (Indeed, the  $S^1$ -action on  $\overline{M}$  is not free in general: it is only locally free.) For instance, symplectic manifolds meeting the assumptions of the previous theorem are monotone toric closed symplectic manifolds B such that  $c_B > n/2$ . Take the particular case where  $B = \mathbb{C}P^n$  with the standard symplectic form  $\omega$  normalized so that  $\omega$  evaluated at a generator of  $H_2(B,\mathbb{Z}) \cong \mathbb{Z}$  is  $\pm 1$ . Then M is the standard contact sphere  $S^{2n+1}$  which of course has a filling W satisfying the hypotheses of the theorem. Clearly,  $\mathbb{C}P^n$  has a Hamiltonian  $T^n$ -action with isolated fixed points. The lifted  $T^{n+1}$ -action on  $S^{2n+1}$ , regarded as a subset of  $\mathbb{C}^{n+1}$ , is given by

$$(\theta_0, \dots, \theta_n) \cdot (z_0, \dots, z_n) \mapsto (e^{i\theta_0} z_0, \dots, e^{i\theta_n} z_n). \tag{1.1}$$

Given an integer  $p \ge 1$ , consider the  $\mathbb{Z}_p$ -action on  $S^{2n+1}$  generated by the map

$$\psi(z_0, \dots, z_n) = \left(e^{\frac{2\pi i \ell_0}{p}} z_0, e^{\frac{2\pi i \ell_1}{p}} z_1, \dots, e^{\frac{2\pi i \ell_n}{p}} z_n\right), \tag{1.2}$$

where  $\ell_0, \ldots, \ell_n$  are integers called the weights of the action. Such an action is free when the weights are coprime with p (that we will assume from now on) and in that case we have a lens space obtained as the quotient of  $S^{2n+1}$  by the action of  $\mathbb{Z}_p$ . We denote this lens space by  $L_p^{2n+1}(\ell_0, \ldots, \ell_n)$ . In general,  $L_p^{2n+1}(\ell_0, \ldots, \ell_n)$  is not a prequantization of a symplectic manifold: it is a prequantization of a weighted complex projective space.

**Corollary 1.15.** Every non-degenerate lacunary contact form on a lens space  $L_p^{2n+1}(\ell_0,\ldots,\ell_n)$  has precisely n+1 closed orbits. Moreover, the lifts of the corresponding contractible closed orbits are symmetric closed orbits on  $S^{2n+1}$ .

Another application of Theorem 1.13 is to unit cosphere bundles of lens spaces, which are also, in general, just prequantizations of orbifolds. Consider the CROSS given by the sphere  $S^m$  with the round metric. As mentioned before, the geodesic flow generates a free circle action on  $S^*S^m$ . Let B be the symplectic manifold given by the quotient  $S^*S^m/S^1$ . B is the Grassmannian of oriented two-planes  $G_2^+(\mathbb{R}^{m+1})$ . The linear action of SO(m+1) on  $\mathbb{R}^{m+1}$  naturally induces an action of SO(m+1) on B which is Hamiltonian. The group SO(m+1) also induces an isometric action on  $S^m$  and it turns out that the action on B is the one induced by the lifted action to  $S^*S^m$  (note that the action of SO(m+1) on  $S^*S^m$  sends geodesics to geodesics).

This group has a maximal torus T of dimension  $\lfloor \frac{m+1}{2} \rfloor$ . Suppose now that m=2d-1 is odd so that the dimension of T is d. The corresponding action of  $T^d$  on  $S^m \subset \mathbb{C}^d$  is given by rotations in each coordinate as in (1.1). The lifted action of  $T^d$  to  $S^*S^m$  coincides with the lift of the Hamiltonian  $T^d$ -action on B to  $S^*S^m$  which commutes with the  $S^1$ -action induced by the geodesic flow, generating the aforementioned contact action of  $T^{d+1}$  on  $S^*S^m$ . Given an integer  $p \geq 1$ , consider the  $\mathbb{Z}_p$ -action on  $S^m$  generated by the map  $\psi$  as in (1.2) with the weights  $\ell_0, \ldots, \ell_{d-1}$  coprime with p. The quotient  $S^m/\mathbb{Z}_p$  is the lens space  $L_p^m(\ell_0, \ldots, \ell_{d-1})$  and the quotient  $S^*S^m/\mathbb{Z}_p$  with respect to the lifted action is the unit cosphere bundle of this lens space. Therefore, we conclude the following corollary.

Corollary 1.16. Every non-degenerate lacunary contact form on the unit cosphere bundle of a lens space  $L_p^m(\ell_0, \ldots, \ell_{d-1})$  has precisely m+1 closed orbits. Moreover, the lifts of the corresponding contractible closed orbits are symmetric closed orbits on  $S^*S^m$ .

Remark 1.17. It follows from the previous discussion and the proof of Theorem 1.13, presented in Section 5, that a lens space admits a Finsler metric with finitely many simple closed orbits (more precisely, m+1 closed orbits if the lens space has dimension m); see Remark 5.4. The point here is that the  $\mathbb{Z}_p$ -action on  $S^*S^m$  is the lift of an action on  $S^m$  which is isometric with respect to the Katok-Ziller metric. Thus, a CROSS is not the only example of a closed manifold admitting a Finsler metric with finitely many simple closed orbits; cf. [43, Page 140].

Remark 1.18. Using the previous discussion and the proof of Theorem 1.13 one can obtain, inspecting the proof of Theorem 1.1, multiplicity results for lens spaces and unit cosphere bundles of lens spaces, extending Corollary 1.5. See Theorems 6.1 and 6.2 in Section 6.

Theorem 1.13 raises the question if Theorem 1.6 can be generalized to prequantizations of orbifolds. Morever, another natural question is if we can relax the assumption on the minimal Chern number  $c_B$ . We plan to work on it in a forthcoming paper.

- 1.2. Organization of the paper. The rest of this paper is organized as follows. Sections 2 and 3 furnish the necessary background for the proof of Theorem 1.6. More precisely, in Section 2.1 we briefly review several facts about positive equivariant symplectic homology. This homology is computed for suitable prequantization  $S^1$ -bundles in Section 2.2. The resonance relations for equivariant symplectic homology are presented in Section 2.3. Section 3 states the index recurrence theorem, which is a key tool in the proof of Theorem 1.6. The proofs of Theorems 1.6 and 1.13 are established in Sections 4 and 5 respectively. Section 6 presents results about the multiplicity of periodic Reeb orbits on lens spaces and their unit cosphere bundles, extending some results from [27]. Finally, Section 7 poses some questions concerning contact forms with finitely many closed orbits.
- 1.3. **Acknowledgments.** We are grateful to Viktor Ginzburg for useful comments on a preliminary version of this work.
  - 2. Equivariant symplectic homology
- 2.1. **Equivariant symplectic homology.** In this section we briefly recall several facts about positive equivariant symplectic homology, treating the subject from a slightly unconventional perspective, following [27].

Let first  $(M, \xi)$  be a closed contact manifold and  $(W, \Omega)$  be a strong symplectic filling of M with  $c_1(TW)|_{\pi_2(W)} = 0$ . Usually, we also ask that  $\Omega|_{\pi_2(W)} = 0$  but this condition can be dropped using the universal Novikov field

$$\Lambda = \left\{ \sum_{i=1}^{\infty} n_i T^{a_i} ; a_i \in \mathbb{R}, a_i \to \infty, n_i \in \mathbb{Q} \right\},\,$$

and an action filtration introduced by McLean and Ritter [41]; cf. [1, Section 2]. Let  $\alpha$  be a non-degenerate contact form on M supporting the contact structure  $\xi$ . Recall that a periodic orbit  $\gamma$  of  $\alpha$  is good if its index has the same parity of the index of the underlying simple closed orbit. Then the positive equivariant symplectic homology  $\mathrm{SH}_*^{S^1,+}(W)$  with coefficients in  $\Lambda$  is the homology of a complex  $\mathrm{CC}_*(\alpha)$  generated by the good closed Reeb orbits of  $\alpha$ ; see [24,

Proposition 3.3]. (More precisely, [24, Proposition 3.3] is proved assuming that  $\Omega|_{\pi_2(W)} = 0$  and using  $\mathbb{Q}$ -coefficients but its proof can be readily adapted to our context since it is purely algebraic; cf. [1, Section 2].) This complex is graded by the Conley–Zehnder index and filtered by the action. Furthermore, once we fix a free homotopy class of loops in W, the part of  $\mathrm{CC}_*(\alpha)$  generated by closed Reeb orbits in that class is a subcomplex. As a consequence, the entire complex  $\mathrm{CC}_*(\alpha)$  breaks down into a direct sum of such subcomplexes indexed by free homotopy classes of loops in W.

The differential in the complex  $CC_*(\alpha)$ , but not its homology, depends on several auxiliary choices, and the nature of the differential is not essential for our purposes. The complex  $CC_*(\alpha)$  is functorial in  $\alpha$  in the sense that a symplectic cobordism equipped with a suitable extra structure gives rise to a map of complexes. For the sake of brevity and to emphasize the obvious analogy with contact homology, we denote the homology of  $CC_*(\alpha)$  by  $HC_*(M)$  rather than  $SH_*^{S^1,+}(W)$ . The homology of the subcomplex  $CC_*^0(\alpha)$  formed by the orbits contractible in W will be denoted by  $HC_*^0(M)$ . However, it is worth keeping in mind that  $CC_*(\alpha)$  and hypothetically even the homology may depend on the choice of the filling W.

This description of the positive equivariant symplectic homology as the homology of  $CC_*(\alpha)$ is not quite standard, but it is most suitable for our purposes. (We refer the reader to [24] for more details and further references and to [8, 9, 10, 11, 12, 42] for the original construction of the equivariant symplectic homology.) To see why  $HC_*(M) := SH_*^{S^1,+}(W)$  can be obtained as the homology of a single complex generated by good closed Reeb orbits, let us first consider an admissible Hamiltonian H on the symplectic completion of W and focus on the orbits of Hwith positive action. Such orbits are in a one-to-one correspondence with closed Reeb orbits  $\gamma$  with action below a certain threshold T depending on the slope of H. The  $S^1$ -equivariant Floer homology of H is the homology of a Floer-type complex obtained from a non-degenerate parametrized perturbation of H; [11, 42]. This complex is filtered by the action. (Here we are using the action filtration introduced by McLean-Ritter [41].) The  $E^1$ -term of the resulting spectral sequence (over  $\Lambda$ ) is generated by the good Reeb orbits of  $\alpha$  with action below T. Now we can (canonically, once the generators are fixed) reassemble the differentials  $\partial_r$  into a single differential  $\partial$  on  $CC_*(H) := E^1_{*,*}$  in such a way the the homology of the resulting complex is  $E^{\infty} = \operatorname{HF}^{S^1,+}_*(H)$ . Roughly speaking,  $\partial = \partial_1 + \partial_2 + \ldots$ , where  $\partial_r$  is suitably "extended" from  $E^r$  to  $E^1$ . Moreover, this procedure respects the action filtration and is functorial with respect to continuation maps. Passing to the limit in H, we obtain the complex  $CC_*(\alpha)$  as the limit of the complexes  $CC_*(H)$ ; see [24, Sections 2.5 and 3] for further details.

A remarkable observation by Bourgeois and Oancea in [12, Section 4.1.2] is that under suitable additional assumptions on the indices of closed Reeb orbits the positive equivariant symplectic homology is defined even when M does not have a symplectic filling. To be more precise, we assume that  $c_1(\xi)|_{\pi_2(M)} = 0$  and let  $\alpha$  be a non-degenerate contact form on M such that all of its closed contractible Reeb orbits have Conley–Zehnder index strictly greater than 3-n. Furthermore, under this assumption the proof of [24, Proposition 3.3] carries over essentially word-for-word, and hence again the positive equivariant symplectic homology of M can be described as the homology of a complex  $CC_*(\alpha)$  generated by good closed Reeb orbits of  $\alpha$ , graded by the Conley–Zehnder index and filtered by the action. The complex breaks down into the direct sum of subcomplexes indexed by free homotopy classes of loops in M. As in the fillable case, we will use the notation  $HC_*(M)$  and  $HC_*^0(M)$ . Note that in general, in spite of the notation, this homology has slightly different properties (and hypothetically could be different) from the homology defined via a filling. For instance, it has a decomposition by

the free homotopy classes of loops in M, but not in W as when M is fillable. (Intuitively, one can think of the resulting homology as defined by using a non-compact filling of M by the bounded part  $M \times (0, 1]$  of the symplectization of  $(M, \xi)$ .)

2.2. Equivariant symplectic homology of prequantizations. The next proposition, essentially taken from [27, Proposition 3.1], shows how to compute the equivariant symplectic homology of a suitable prequantization in terms of the homology of the basis. This computation will be crucial throughout this work.

**Proposition 2.1.** Let  $(M^{2n+1}, \xi)$  be a prequantization of a closed symplectic manifold  $(B, \omega)$  with  $\omega|_{\pi_2(B)} \neq 0$  and such that  $H_k(B; \mathbb{Q}) = 0$  for every odd k or  $c_B > n$ .

(a) Assume that M satisfies the hypothesis (F) of Theorem 1.6. Then, B is spherically monotone. When B is spherically positive monotone, the positive equivariant symplectic homology for contractible periodic orbits of M is given by

$$\mathrm{HC}^0_*(M) \cong \bigoplus_{m \in \mathbb{N}} \mathrm{H}_{*-2mc_B+n}(B; \Lambda).$$
 (2.1)

When B is spherically negative monotone, we have

$$\operatorname{HC}^0_*(M) \cong \bigoplus_{m \in \mathbb{N}} \operatorname{H}_{*+2mc_B - n}(B; \Lambda).$$
 (2.2)

In particular, in both cases the homology is independent of the choice of the filling W satisfying the hypothesis (F) of Theorem 1.6.

(b) Alternatively, assume that B is spherically positive monotone with  $c_B \ge 2$  and, as in the hypothesis (NF) of Theorem 1.6,  $c_1(\xi)|_{H_2(M,\mathbb{Q})} = 0$  and  $\alpha$  is a non-degenerate contact form on  $(M, \xi)$  such that all contractible closed Reeb orbits have index greater than 3-n. Then (2.1) also holds.

In other words, (2.1) asserts that  $HC^0_*(M)$  is obtained by taking an infinite number of copies of  $H_{*-n}(B;\Lambda)$  with grading shifted up by positive integer multiples of  $2c_B$  and adding up the resulting spaces. We emphasize that in Case (a) of the proposition  $HC^0_*(M)$  is the symplectic homology associated with the filling of M, while in Case (b) this is the "non-fillable" homology described above.

Remark 2.2. In this work, we do not need to consider the case that B is spherically negative monotone, but we included it in Proposition 2.1 for the sake of completeness.

Remark 2.3. Note that the requirement that  $c_B \ge 2$  from (b) is automatically satisfied in the setting of Theorem 1.6 as a consequence of the assumptions  $\omega|_{\pi_2(B)} \ne 0$  and  $c_B > n/2$ . Indeed,  $c_B \ge 2$  when n > 1 and for n = 1 we necessarily have  $B = S^2$  and hence  $c_B = 2$ .

Proof. First note that, by the universal coefficient theorem,  $H_*(B;\Lambda) \cong H_*(B;\mathbb{Q}) \otimes \Lambda$  since  $\Lambda$  is a field. Then the proof goes word-for-word the proof of [27, Proposition 3.1] using the Novikov field and the action filtration mentioned in the previous section, except in the proof of [27, Lemma 3.3], used in the proof of item (b), where it is assumed that  $c_1(\xi) = 0$  while here we are allowing  $c_1(\xi)$  to be torsion. This lemma establishes that a sufficiently small non-degenerate perturbation of the connection form is index-admissible, that is, every contractible periodic orbit  $\gamma$  satisfies  $\mu(\gamma) > 3 - n$ . The assumption in [27, Lemma 3.3] that  $c_1(\xi) = 0$  is used to ensure that the determinant line bundle  $\Lambda_{\mathbb{C}}^n \xi$  is trivial. When  $c_1(\xi)$  is torsion it is no longer true in general, but we have that  $(\Lambda_{\mathbb{C}}^n \xi)^{\otimes N}$  is a trivial line bundle, where N is the smallest positive integer such that  $Nc_1(\xi) = 0$ . Choose a trivialization  $\tau : (\Lambda_{\mathbb{C}}^n \xi)^{\otimes N} \to M \times \mathbb{C}$ 

which corresponds to a choice of a non-vanishing section  $\mathfrak s$  of  $(\Lambda_{\mathbb C}^n \xi)^{\otimes N}$ . The choice of this trivialization furnishes a unique way to symplectically trivialize  $\bigoplus_1^N \xi$  along periodic orbits of  $\alpha$  up to homotopy. As a matter of fact, given a periodic orbit  $\gamma$ , let  $\Phi: \gamma^* \bigoplus_1^N \xi \to S^1 \times \mathbb C^{nN}$  be a trivialization of  $\bigoplus_1^N \xi$  over  $\gamma$  as a Hermitian vector bundle such that its highest complex exterior power coincides with  $\tau$ . This condition fixes the homotopy class of  $\Phi$ : given any other such trivialization  $\Psi$  we have, for every  $t \in S^1$ , that  $\Phi_t \circ \Psi_t^{-1} : \mathbb C^{nN} \to \mathbb C^{nN}$  has complex determinant equal to one and therefore the Maslov index of the symplectic path  $t \mapsto \Phi_t \circ \Psi_t^{-1}$  vanishes, where  $\Phi_t := \pi_2 \circ \Phi|_{\gamma^* \bigoplus_1^N \xi(t)}$  and  $\Psi_t := \pi_2 \circ \Psi|_{\gamma^* \bigoplus_1^N \xi(t)}$  with  $\pi_2 : S^1 \times \mathbb C^{nN} \to \mathbb C^{nN}$  being the projection onto the second factor; cf. [5, 40]. Notice that this trivialization is closed under iterations, that is, the trivialization induced on  $\gamma^j$  coincides, up to homotopy, with the j-th iterate of the trivialization over  $\gamma$ .

Now, one can define the Conley-Zehnder index  $\mu(\gamma; \mathfrak{s})$  of a closed orbit  $\gamma$  in the following way. By the previous discussion,  $\mathfrak{s}$  induces a unique up to homotopy symplectic trivialization  $\Phi: \gamma^* \oplus_1^N \xi \to S^1 \times \mathbb{R}^{2nN}$ . Using this trivialization, the linearized Reeb flow gives the symplectic path

$$\Gamma(t) = \Phi_t \circ \bigoplus_{1}^{N} d\phi_{\alpha}^t(\gamma(0))|_{\xi} \circ \Phi_0^{-1},$$

where  $\phi_{\alpha}^{t}$  is the Reeb flow of  $\alpha$ . Then the Conley-Zehnder index is defined as

$$\mu(\gamma;\mathfrak{s}) = \frac{\mu(\Gamma)}{N}.$$

It turns out that if  $\gamma$  is contractible then this index is an integer and does not depend on the choice of  $\mathfrak{s}$  since the trivialization of the contact structure is homotopic to a trivialization over a capping disk; see [4, Section 3].

The previous discussion allows us to define the mean index for all finite segments of Reeb orbits, not necessarily closed; see, e.g., [22]. This index depends continuously on the initial condition and the contact form (in the  $C^2$ -topology), and for closed Reeb orbits it agrees with the standard mean index. In this way, it is easy to see that the proof of [27, Lemma 3.3] works verbatim under the assumption that  $c_1(\xi)$  is torsion.

2.3. Resonance relations. Let  $\gamma$  be an isolated (possibly degenerate) closed Reeb orbit and denote by  $HC_*(\gamma)$  its local equivariant symplectic homology; see [24, 34]. For a non-degenerate orbit  $\gamma$ , we have that

$$HC_*(\gamma) = \begin{cases} \Lambda & \text{if } * = \mu(\gamma) \text{ and } \gamma \text{ is good} \\ 0 & \text{otherwise.} \end{cases}$$

The Euler characteristic of  $\gamma$  is defined as

$$\chi(\gamma) = \sum_{m \in \mathbb{Z}} (-1)^m \dim HC_m(\gamma).$$

This sum is finite. When  $\gamma$  is non-degenerate

$$\chi(\gamma) = \begin{cases} (-1)^{\mu(\gamma)} & \text{if } \gamma \text{ is good} \\ 0 & \text{otherwise.} \end{cases}$$

The local mean Euler characteristic of  $\gamma$  is

$$\hat{\chi}(\gamma) = \lim_{j \to \infty} \frac{1}{j} \sum_{k=1}^{j} \chi(\gamma^k).$$

The limit above exists and is rational; see [23]. When  $\gamma$  is strongly non-degenerate, we have

$$\hat{\chi}(\gamma) = \begin{cases} (-1)^{\mu(\gamma)} & \text{if } \gamma^2 \text{ is good} \\ (-1)^{\mu(\gamma)}/2 & \text{if } \gamma^2 \text{ is bad.} \end{cases}$$

Assume now that  $\alpha$  is index-positive/index-negative and has finitely many distinct simple contractible closed orbits  $\gamma_1, \ldots, \gamma_r$ . This assumption ensures that the positive/negative mean Euler characteristic

$$\chi_{\pm}(M) := \lim_{j \to \infty} \frac{1}{j} \sum_{m=0}^{j} (-1)^m b_{\pm m}$$

is well defined, where  $b_m := \dim \mathrm{HC}^0_m(M)$  is the m-th Betti number; see [23]. The mean Euler characteristic is related to local equivariant symplectic homology via the resonance relation

$$\sum_{i=1}^{r} \frac{\hat{\chi}(\gamma_i)}{\hat{\mu}(\gamma_i)} = \chi_{\pm}(M), \tag{2.3}$$

proved in [29] in the non-degenerate case and in [34] in general. Here the right-hand side is  $\chi_+$  when  $\alpha$  is index-positive and  $\chi_-$  when  $\alpha$  is index-negative.

#### 3. Index recurrence

A crucial ingredient in the proof of Theorem 1.6 is the following combinatorial result addressing the index behavior under iterations taken from [27, Theorem 4.1]. This result can also be deduced from the so-called enhanced common index jump theorem due to Duan, Long and Wang [19]; see also [35, 36].

**Theorem 3.1** ([27]). Let  $\Phi_1, \ldots, \Phi_r$  be a finite collection of strongly non-degenerate elements of  $\widetilde{\mathrm{Sp}}(2n)$  with  $\widehat{\mu}(\Phi_i) > 0$  for all i. Then for any  $\eta > 0$  and any  $\ell_0 \in \mathbb{N}$ , there exist two integer sequences  $d_j^{\pm} \to \infty$  and two sequences of integer vectors  $\vec{k}_j^{\pm} = (k_{1j}^{\pm}, \ldots, k_{rj}^{\pm})$  with all components going to infinity as  $j \to \infty$ , such that for all i and j, and all  $\ell \in \mathbb{Z}$  in the range  $1 \leq |\ell| \leq \ell_0$ , we have

- (i)  $|\hat{\mu}(\Phi_i^{k_{ij}^{\pm}}) d_j^{\pm}| < \eta$  with the equality  $\hat{\mu}(\Phi_i^{k_{ij}^{\pm}}) = \mu(\Phi_i^{k_{ij}^{\pm}}) = d_j^{\pm}$  whenever  $\Phi_i(1)$  is hyperbolic,
- (ii)  $\mu(\Phi_i^{k_{ij}^{\pm}+\ell}) = d_i^{\pm} + \mu(\Phi_i^{\ell}), \text{ and }$
- (iii)  $\mu(\Phi_i^{k_{ij}^-}) d_j^- = -(\mu(\Phi_i^{k_{ij}^+}) d_j^+).$

Furthermore, for any  $N \in \mathbb{N}$  we can make all  $d_j^{\pm}$  and  $k_{ij}^{\pm}$  divisible by N.

### 4. Proof of Theorem 1.6

4.1. Outline of the proof. First of all, let us give an idea of the proof of Theorem 1.6 in the case where n is odd. The idea for even n is similar, and the detailed proofs in both cases are in Section 4.2.

The fact that the contact form  $\alpha$  is non-degenerate and lacunary implies that every contractible periodic orbit of  $\alpha$  is good and the differential in  $CC^0_*(\alpha)$  vanishes, where  $CC^0_*(\alpha)$  is the subcomplex of  $CC_*(\alpha)$  formed by the contractible closed orbits of  $\alpha$ . Using this, the computation of  $HC^0_*(M)$  given by (2.1) and a combinatorial lemma (Lemma 4.2) we can show

that  $\alpha$  has finitely many simple contractible closed orbits. The idea is that, since every contractible orbit contributes to  $HC^0_*(M)$ , the rank of  $HC^0_*(M)$  grows with respect to the number of simple contractible orbits, getting a contradiction if we have infinitely many orbits. This part of the proof does not depend on the parity of n.

So we have finitely many simple contractible orbits  $\{\gamma_1, \ldots, \gamma_r\}$  and we have to show that  $r = r_B$ . Since the differential in  $\mathrm{CC}^0_*(\alpha)$  vanishes, we have, using (2.1), that every contractible orbit  $\gamma$  satisfies  $\mu(\gamma) = n \pmod 2$ ,  $\mu(\gamma) \geqslant k_{\min} := \min\{k \in \mathbb{Z}; \mathrm{HC}^0_k(M) \neq 0\} \geqslant 1$  and  $\hat{\mu}(\gamma) > 0$ . Using this and Theorem 3.1, we find integers  $d, k_1, \ldots, k_r$  such that  $d = 2sc_B$  for some  $s \in \mathbb{N}$ ,

$$\mu(\gamma_i^{k_i-\ell}) \leqslant d-1 \quad \forall 1 \leqslant \ell < k_i$$

and

$$\mu(\gamma_i^{k_i+\ell}) \geqslant d+1 \quad \forall \ell \geqslant 1.$$

This implies that

$$\sum_{m=k_{\min}}^{d} c_m = \sum_{i=1}^{r} \#\{1 \leqslant j \leqslant k_i; \ \mu(\gamma_i^j) \leqslant d\}$$

$$= \sum_{i=1}^{r} k_i - \sum_{i=1}^{r} \#\{1 \leqslant j \leqslant k_i; \ \mu(\gamma_i^j) > d\}$$

$$= sr_B - r_+,$$

where  $c_m$  is the m-th Morse type number, defined as the number of contractible periodic orbits (simple or not) with index  $m, r_+ := \#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) > d\}$  and the equation  $\sum_{i=1}^r k_i = sr_B$  follows from Lemma 4.5 which is proved using the resonance relations mentioned in Section 2.3. This equation says that the truncated mean Euler characteristic  $\sum_{m=k_{\min}}^d c_m$  (note that  $c_m = 0$  if m is even) equals  $sr_B$  up to the correction term  $r_+$ .

On the other hand, let  $b_m$  be the m-th Betti number. We have, by the fact that the differential vanishes and (2.1),

$$\sum_{m=k_{\min}}^{d} c_m = \sum_{m=k_{\min}}^{d} b_m$$
$$= sr_B - \sum_{i=0}^{n-1} \dim \mathcal{H}_i(B; \mathbb{Q})$$
$$= sr_B - r_B/2,$$

implying that  $r_+ = r_B/2$ . It furnishes at least  $r_B/2$  contractible simple orbits. Note that in the second and third equations we used the fact that n is odd and in the second equation we used the hypothesis that  $c_B > n/2$ .

Repeating the above argument and applying again Theorem 3.1, we can find integers  $d', k'_1, \ldots, k'_r$  such that

$$r_{-} := \#\{1 \le i \le r; \ \mu(\gamma_i^{k_i'}) > d'\} = r_B/2.$$

Now the point is that these integers, by property (iii) of Theorem 3.1, satisfy

$$\#\{1 \leqslant i \leqslant r; \ \mu(\gamma_i^{k_i'}) > d'\} = \#\{1 \leqslant i \leqslant r; \ \mu(\gamma_i^{k_i}) < d\},\$$

giving more distinct  $r_B/2$  contractible simple orbits.

Finally, to prove that  $r = r_B$ , we note that

$$\#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) < d\} + \#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) > d\} = r_B.$$

But  $d = 2sc_B$  is even which implies that  $\mu(\gamma_i^{k_i}) \neq d$  since  $\mu(\gamma_i^{k_i}) = n \pmod{2}$  is odd. Therefore,

$$\#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) < d \text{ or } \mu(\gamma_i^{k_i}) > d\} = r.$$

4.2. **Proof of the theorem.** As explained in Section 2.1, under the assumptions of the theorem, we have the positive equivariant symplectic homology of contractible orbits  $HC^0_*(M)$  given by (2.1). Note that if M does not admit a "nice" filling, in the sense of assumption (F), we assume that our non-degenerate lacunary contact form  $\alpha$  is index-admissible.

By the discussion in the same section,  $HC^0_*(M)$  is the homology of a chain complex  $CC^0_*(\alpha)$  generated by the good contractible closed orbits of  $\alpha$ . Since  $\alpha$  is lacunary, every periodic orbit is good and the differential in  $CC^0_*(\alpha)$  vanishes. Therefore,

$$\mathrm{HC}_k^0(M) \cong \mathrm{CC}_k^0(\alpha) \cong \bigoplus_{\gamma \in \mathscr{P}^0(\alpha); \, \mu(\gamma) = k} \Lambda$$
 (4.1)

for every  $k \in \mathbb{Z}$ , where  $\mathscr{P}^0(\alpha)$  is the set of (not necessarily simple) contractible closed orbits of  $\alpha$ . In other words, every contractible closed orbit of  $\alpha$  contributes to the positive equivariant symplectic homology.

Define

$$k_{\min} := \min\{k \in \mathbb{Z}; \operatorname{HC}_k^0(M) \neq 0\}.$$

By (2.1) and our hypotheses,

$$k_{\min} = 2c_B - n \geqslant 1.$$

In what follows, we will prove Theorem 1.6. For a clear exposition, we will break down the proof in claims and steps.

Remark 4.1. As the reader can easily check, the only point in the proof below that uses that M is a prequantization is the computation of  $HC^0_*(M)$  given by (2.1). The proof works verbatim for any closed contact manifold M (under the assumption (F) or that  $\alpha$  is indexadmissible) whenever we have (2.1) with  $c_B > n/2$ . It will be crucial in the proof of Theorem 1.13 established in Section 5.

### Claim 1. $\alpha$ has finitely many simple contractible closed orbits

This part of the proof follows from the work of Gürel [32, Theorem 1.5]. For the sake of completeness, we will reproduce her argument. Define

$$b = \limsup_{k \to \infty} \sum_{i=0}^{2n} \dim HC^0_{k+i}(M).$$

By (2.1), b is a finite integer.

The key point is the following combinatorial lemma proved in [32]:

**Lemma 4.2.** [32, Lemma 3.2] Assume that  $\alpha$  has a collection of m geometrically distinct contractible periodic orbits  $\gamma_1, \ldots, \gamma_m$ . Then for every sufficiently small  $\epsilon > 0$ , there exist infinitely many distinct intervals I of length  $2n + \epsilon$  such that for some positive integers  $k_1 \geq 1, \ldots, k_m \geq 1$  (depending on the interval), the iterated orbits  $\gamma_1^{k_1}, \ldots, \gamma_m^{k_m}$  all have indexes in the interval I.

Since  $k_{\min} \ge 1$ , it follows from (4.1) and the previous lemma that  $\alpha$  has at most b simple contractible closed orbits.

Remark 4.3. Note that the dimension of M in [32] is 2n-1 and here is 2n+1.

Remark 4.4. When M is the standard contact sphere  $S^{2n+1}$  we have  $b = r_B = n+1$ . However, there are several examples where  $b > r_B$ : for instance, when  $M = S^*S^m$  we have  $r_B = m$  when m is even (resp.  $r_B = m+1$  when m is odd) and b = m+2 when m is even (resp. b = m+3 when m is odd).

## Claim 2. $\alpha$ has precisely $r_B$ simple contractible closed orbits

This is the more involved part of the proof. In what follows, we will use some ideas from [20] and [27]. We will also use the aforementioned fact that, by the universal coefficient theorem,  $H_*(B; \Lambda) \cong H_*(B; \mathbb{Q}) \otimes \Lambda$  since  $\Lambda$  is a field, which implies that  $\dim H_k(B; \Lambda) = \dim H_k(B; \mathbb{Q})$  for every k.

By the first claim, we have finitely many simple contractible closed orbits  $\{\gamma_1, \ldots, \gamma_r\}$ . By (4.1), (2.1) and our hypothesis that  $H_k(B; \mathbb{Q}) = 0$  for every odd k, we conclude that

$$\mu(\gamma_i^j) = n \pmod{2} \tag{4.2}$$

for every  $i \in \{1, ..., r\}$  and  $j \in \mathbb{N}$ . In particular, every periodic orbit is good. It also follows from (4.1) and (2.1) that

$$\hat{\mu}(\gamma_i) > 0 \quad \forall i \tag{4.3}$$

and

$$\mu(\gamma_i^j) \geqslant k_{\min} \geqslant 1 \quad \forall i, j.$$
 (4.4)

The inequality (4.3) holds because, since  $|j\hat{\mu}(\gamma_i) - \mu(\gamma_i^j)| < n$  for every j, if we have some  $\gamma_i$  such that  $\hat{\mu}(\gamma_i) \leq 0$  then we have either that  $\mathrm{HC}_k^0(M)$  is non-trivial in arbitrarily large negative degrees k (if  $\hat{\mu}(\gamma_i) < 0$ ) or  $\mathrm{HC}_k^0(M)$  has infinite dimension in some degree  $k \in (-n, n)$  (if  $\hat{\mu}(\gamma_i) = 0$ ) and both situations are impossible by (2.1).

By (4.3) we have that

$$\ell_0 := \max_{1 \leq i \leq r} \{ \min\{k_0 \in \mathbb{N}; \ \mu(\gamma_i^{k+\ell}) \geqslant \mu(\gamma_i^k) \ \forall k \geqslant 1 \text{ and } \forall \ell \geqslant k_0 \} \}$$

is well defined, i.e., the minima are finite (it follows from the aforementioned fact that  $|j\hat{\mu}(\gamma_i) - \mu(\gamma_i^j)| < n$  for every j). By Theorem 3.1, given  $N \in \mathbb{N}$ ,  $\eta > 0$  and  $\ell_0$  as above we have two sequences of integer vectors  $(d_j^{\pm}, k_{1j}^{\pm}, \dots, k_{rj}^{\pm})$  satisfying conditions (i), (ii) and (iii) and such that all  $d_j^{\pm}, k_{1j}^{\pm}, \dots, k_{rj}^{\pm}$  are divisible by N. We will only need one such vector from each sequence. Hence set

$$(d, k_1, \dots, k_r) := (d_1^+, k_{11}^+, \dots, k_{r1}^+) \text{ and } (d', k_1', \dots, k_r') := (d_1^-, k_{11}^-, \dots, k_{r1}^-).$$
 (4.5)

The following lemma is one of the key steps in the proof and it is proved using the resonance relations mentioned in Section 2.3; cf. [3, Sublemma 5.2] and [27, Lemma 5.1].

**Lemma 4.5.** The numbers N and  $\eta$  can be chosen such that  $d = 2sc_B$  for some  $s \in \mathbb{N}$  and

$$\sum_{i=1}^{r} k_i = sr_B.$$

The same holds for  $d', k'_1, \ldots, k'_r$ .

*Proof.* Let N be any positive integer multiple of  $2c_B$  so that  $d = 2sc_B$  for some  $s \in \mathbb{N}$ . It is easy to see from (2.1) that

$$\chi_{+}(M) = (-1)^n \frac{r_B}{2c_B},$$

Now, take  $\eta$  sufficiently small such that  $\eta \sum_{i=1}^{r} \frac{1}{\hat{\mu}(\gamma_i)} < 1$ . Using the resonance relation (2.3) and (4.2), we conclude that

$$d \cdot \chi_{+}(M) = (-1)^{n} \sum_{i=1}^{r} \frac{d}{\hat{\mu}(\gamma_{i})}$$

$$= (-1)^{n} \sum_{i=1}^{r} k_{i} + (-1)^{n} \sum_{i=1}^{r} \frac{(d - k_{i}\hat{\mu}(\gamma_{i}))}{\hat{\mu}(\gamma_{i})}$$

$$= (-1)^{n} \sum_{i=1}^{r} k_{i} + (-1)^{n} \sum_{i=1}^{r} \frac{(d - \hat{\mu}(\gamma_{i}^{k_{i}}))}{\hat{\mu}(\gamma_{i})},$$

where in the last equation we used the homogeneity of the mean index, that is,  $\hat{\mu}(\gamma_i^j) = j\hat{\mu}(\gamma_i)$  for every j. By property (i) of Theorem 3.1 and the condition on  $\eta$ ,

$$\left| \sum_{i=1}^{r} \frac{(d - \hat{\mu}(\gamma_i^{k_i}))}{\hat{\mu}(\gamma_i)} \right| < \eta \sum_{i=1}^{r} \frac{1}{\hat{\mu}(\gamma_i)} < 1.$$

Note that by our choice of N the numbers  $d \cdot \chi_+(M)$  and  $k_i$  for all i are integers. Therefore,

$$d \cdot \chi_{+}(M) = (-1)^{n} \sum_{i=1}^{r} k_{i}.$$

Obviously, the same argument works for  $d', k'_1, \ldots, k'_r$ .

Let us now break down the proof of Claim 2 into two cases, according to the parity of n.

# Case 1. n is odd

We will split the proof in this case in three steps.

#### Step 1. $\alpha$ has at least $r_B/2$ simple contractible closed orbits

By property (ii) of Theorem 3.1 and (4.4),

$$\mu(\gamma_i^{k_i-\ell}) = d - \mu(\gamma_i^{\ell}) \le d - 1, \quad \forall 1 \le \ell \le \ell_0,$$
  
$$\mu(\gamma_i^{k_i+\ell}) = d + \mu(\gamma_i^{\ell}) \ge d + 1, \quad \forall 1 \le \ell \le \ell_0,$$

Choosing N big enough, we can assume that  $\ell_0 + 2 \leq \min_{1 \leq i \leq r} k_i$ . By the definition of  $\ell_0$ , item (ii) of Theorem 3.1 and (4.4), we have, for all  $\ell_0 + 1 \leq \ell < k_i$ ,

$$\mu(\gamma_i^{\overbrace{k_i-\ell}^{\geqslant 1}})\leqslant \mu(\gamma_i^{\overbrace{k_i-\ell}^{\geqslant 1}}+\overbrace{\ell-1}^{\geqslant \ell_0})=\mu(\gamma_i^{k_i-1})=d-\mu(\gamma_i)\leqslant d-1$$

and, for all  $\ell \ge \ell_0 + 1$ ,

$$\mu(\gamma_i^{k_i+\ell}) = \mu(\gamma_i^{\overbrace{k_i+1}^{\geqslant 1}} + \overbrace{\ell-1}^{\geqslant \ell_0}) \geqslant \mu(\gamma_i^{k_i+1}) = d + \mu(\gamma_i) \geqslant d+1.$$

Thus, we have

$$\mu(\gamma_i^{k_i - \ell}) \le d - 1 \quad \forall 1 \le \ell < k_i \tag{4.6}$$

and

$$\mu(\gamma_i^{k_i+\ell}) \geqslant d+1 \quad \forall \ell \geqslant 1. \tag{4.7}$$

Let  $c_m = \#\{\gamma \in \mathscr{P}^0(\alpha); \ \mu(\gamma) = m\}$  be the m-th Morse type number and  $b_m = \dim \mathrm{HC}^0_m(M)$  the m-th Betti number (which, by (4.1), coincide). Note that  $c_m$  and  $b_m$  vanish if m is even (recall that n is odd). By (4.7), no periodic orbit  $\gamma_i^{k_i+\ell}$ ,  $\ell \geqslant 1$ , contributes to  $\sum_{m=k_{\min}}^d c_m$ . Hence,

$$\sum_{m=k_{\min}}^{d} c_m = \sum_{i=1}^{r} \#\{1 \leqslant j \leqslant k_i; \ \mu(\gamma_i^j) \leqslant d\}$$

$$= \sum_{i=1}^{r} k_i - \sum_{i=1}^{r} \#\{1 \leqslant j \leqslant k_i; \ \mu(\gamma_i^j) > d\}$$

$$= sr_B - r_+,$$

where  $r_+ = \#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) > d\}$  and the last equation follows from Lemma 4.5 and (4.6).

On the other hand, by (2.1) and the hypothesis that  $c_B > n/2$ , we have that

$$\sum_{m=k_{\min}}^{d} b_m = sr_B - \sum_{i=0}^{n-1} \dim \mathcal{H}_i(B; \mathbb{Q})$$
$$= sr_B - r_B/2,$$

where in the first equality we used the fact that n is odd and the last equality holds by Poincaré duality and using the facts that n is odd and the homology of B is lacunary.

But, by (4.1),

$$\sum_{m=k_{\min}}^{d} b_m = \sum_{m=k_{\min}}^{d} c_m$$

which implies that

$$r_{+} = r_{B}/2$$
.

# Step 2. Existence of other $r_B/2$ simple contractible closed orbits

Applying the argument of the last step for the integer vector  $(d', k_1^-, \ldots, k_r^-)$  in (4.5) provided by Theorem 3.1, we get

$$r_{-} := \#\{1 \le i \le r; \ \mu(\gamma_i^{k_i'}) > d'\} = r_B/2.$$

But, by property (iii) of Theorem 3.1, we have that

$$\#\{1 \le i \le r; \ \mu(\gamma_i^{k_i'}) > d'\} = \#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) < d\}.$$

#### Step 3. Existence of precisely $r_B$ simple contractible closed orbits

By steps 1 and 2,

$$\#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) < d\} + \#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) > d\} = r_B.$$

But  $d = 2sc_B$  is even which implies that  $\mu(\gamma_i^{k_i}) \neq d$  since  $\mu(\gamma_i^{k_i}) = n \pmod{2}$  is odd. Therefore,

$$\#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) < d \text{ or } \mu(\gamma_i^{k_i}) > d\} = r.$$

#### Case 2. n is even

We will split the proof in this case in four steps. It is similar to the argument in the case that n is odd although a bit more intricate.

# Step 1. Existence of $(r_B - \dim H_n(B; \mathbb{Q}))/2$ simple contractible closed orbits

By (4.2) and (4.4) we have that  $\mu(\gamma_i^j) \ge 2$  for every i and j. Arguing as in the case that n is odd, we find integers  $d, k_1, \ldots, k_r$  such that

$$\mu(\gamma_i^{k_i - \ell}) \le d - 2 \quad \forall 1 \le \ell < k_i \tag{4.8}$$

and

$$\mu(\gamma_i^{k_i+\ell}) \geqslant d+2 \quad \forall \ell \geqslant 1. \tag{4.9}$$

Thus, no  $\gamma_i^{k_i+\ell}$ ,  $\ell \ge 1$ , contributes to  $\sum_{m=k_{min}}^{d+1} c_m$ . Hence,

$$\sum_{m=k_{\min}}^{d+1} c_m = \sum_{i=1}^r \#\{1 \leqslant j \leqslant k_i; \ \mu(\gamma_i^j) \leqslant d+1\}$$

$$= \sum_{i=1}^r k_i - \sum_{i=1}^r \#\{1 \leqslant j \leqslant k_i; \ \mu(\gamma_i^j) > d+1\}$$

$$= sr_B - r_+,$$

where  $r_+ := \#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) > d+1\}$  and the last equation follows from Lemma 4.5 and (4.8).

On the other hand, by (2.1) and the hypothesis that  $c_B > n/2$ , we have that

$$\sum_{m=k_{\min}}^{d+1} b_m = sr_B - \sum_{i=0}^{n-2} \dim \mathcal{H}_i(B; \mathbb{Q})$$
$$= sr_B - (r_B - \dim \mathcal{H}_n(B; \mathbb{Q}))/2,$$

where the last equality holds by Poincaré duality and using the facts that n is even and the homology of B is lacunary.

But, by (4.1),

$$\sum_{m=k_{\min}}^{d+1} b_m = \sum_{m=k_{\min}}^{d+1} c_m$$

which implies that

$$r_+ = (r_B - \dim H_n(B; \mathbb{Q}))/2.$$

# Step 2. Existence of other $(r_B - \dim H_n(B; \mathbb{Q}))/2$ simple contractible closed orbits

Applying the argument of the last step for the integer vector  $(d', k_1^-, \ldots, k_r^-)$  in (4.5) provided by Theorem 3.1, we get

$$r_{-} := \#\{1 \le i \le r; \ \mu(\gamma_i^{k_i'}) > d' + 1\} = (r_B - \dim H_i(B; \mathbb{Q}))/2.$$

But, by property (iii) of Theorem 3.1, we have that

$$\#\{1 \le i \le r; \ \mu(\gamma_i^{k_i'}) > d' + 1\} = \#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) < d - 1\}.$$

# Step 3. Existence of more $\dim H_n(B;\mathbb{Q})$ simple contractible closed orbits

By (4.8) and (4.9), the only iterate that can contribute to  $\mathrm{HC}_d^0(M)$  is  $\gamma_i^{k_i}$ . But all the orbits  $\gamma_i$  obtained in steps 1 and 2 satisfy either  $\mu(\gamma_i^{k_i}) > d+1$  or  $\mu(\gamma_i^{k_i}) < d-1$ . Thus, we need at least  $\dim \mathrm{HC}_d^0(M) = \dim \mathrm{H}_n(B;\mathbb{Q})$  new simple contractible closed orbits (the equality  $\dim \mathrm{HC}_d^0(M) = \dim \mathrm{H}_n(B;\mathbb{Q})$  holds by (2.1) and the hypothesis that  $c_B > n/2$ ).

# Step 4. Existence of precisely $r_B$ simple contractible closed orbits

By step 3,

$$\#\{1 \leqslant i \leqslant r; \ \mu(\gamma_i^{k_i}) = d\} = \dim \mathcal{H}_n(B; \mathbb{Q}).$$

By steps 1 and 2,

$$\#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) < d-1\} + \#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) > d+1\} = r_B - \dim H_n(B; \mathbb{Q}).$$

But notice that d is even and  $d \pm 1$  are odd. Since  $\mu(\gamma_i^{k_i})$  is even, it cannot be equal to  $d \pm 1$ . Therefore,

$$\#\{1 \le i \le r; \ \mu(\gamma_i^{k_i}) < d-1 \text{ or } \mu(\gamma_i^{k_i}) > d+1 \text{ or } \mu(\gamma_i^{k_i}) = d\} = r.$$

# 5. Proof of Theorem 1.13

Let us first prove that  $\alpha$  has precisely  $r_B$  contractible closed orbits. First of all, note that  $\overline{M}$  does not need to have a symplectic filling. Thus, we will argue as in the proof of Theorem 1.6 under the assumption (NF), but for this we have to show that  $\alpha$  is index-admissible. Let  $\hat{\alpha}$  be the lift of  $\alpha$  to M.

**Lemma 5.1.** Let  $\mathscr{P}^0(\hat{\alpha})$  and  $\mathscr{P}^0(\alpha)$  be the set of (not necessarily simple) contractible closed orbits of  $\hat{\alpha}$  and  $\alpha$  respectively. Then there exists a map  $\psi : \mathscr{P}^0(\hat{\alpha}) \to \mathscr{P}^0(\alpha)$  such that  $\mu(\hat{\gamma}) = \mu(\psi(\hat{\gamma}))$ . Moreover, this map has an inverse on the right  $\rho : \mathscr{P}^0(\alpha) \to \mathscr{P}^0(\hat{\alpha})$ .

Proof. Let  $\hat{\gamma}$  be a contractible closed orbit of  $\hat{\alpha}$  and  $\tau: M \to \overline{M}$  be the quotient projection. Define  $\psi(\hat{\gamma}) = \tau \circ \hat{\gamma}$ . Clearly, this is a contractible closed orbit of  $\alpha$  with the same index. To construct  $\rho$ , let  $\gamma$  be a contractible closed orbit of  $\alpha$  and choose a point  $x_0 \in \tau^{-1}(\gamma(0))$ . Since  $\tau: M \to \overline{M}$  is a finite covering and  $\gamma$  is contractible, we have that  $\gamma$  admits a closed lift  $\hat{\gamma}$  such that  $\hat{\gamma}(0) = x_0$ . This lift is also contractible because given a capping disk f of  $\gamma$  (i.e. a continuous map  $f: D^2 \to \overline{M}$  such that  $f|_{\partial D^2} = \gamma$ ) we have that f admits a lift  $\hat{f}$  to M such that  $\hat{f}|_{\partial D^2} = \hat{\gamma}$ . Define  $\rho(\gamma) = \hat{\gamma}$ . Then  $\psi(\rho(\gamma)) = \psi(\hat{\gamma}) = \gamma$  as desired.

It follows from the first statement of the previous lemma that  $\hat{\alpha}$  is lacunary. Since M has a filling satisfying the assumption (F) of Theorem 1.6, we can consider the positive equivariant symplectic homology of M. As explained in Section 2.1, this is the homology of the complex  $CC_*^0(\hat{\alpha})$  generated by the contractible good orbits of  $\hat{\alpha}$ . Since  $\hat{\alpha}$  is lacunary, every periodic orbit is good and the differential of  $CC_*^0(\hat{\alpha})$  vanishes. Therefore,

$$\mu(\hat{\gamma}) \geqslant k_{\min} = \min\{k \in \mathbb{Z}; \ \mathrm{HC}_k^0(M) \neq 0\}$$

for every contractible closed orbit  $\hat{\gamma}$  of  $\hat{\alpha}$ . But, by (2.1),

$$\mathrm{HC}^0_*(M) \cong \bigoplus_{m \in \mathbb{N}} \mathrm{H}_{*-2mc_B+n}(B;\Lambda)$$

which implies that  $k_{\min} = 2c_B - n$ . We claim that  $k_{\min} > 3 - n$ . Indeed, since  $c_B > n/2$ ,  $k_{\min} > n - n \geqslant 3 - n$  if  $n \geqslant 3$ . If n = 2,  $c_B > 1 \implies 2c_B > 2 \implies 2c_B \geqslant 4 \implies k_{\min} \geqslant 2 > 3 - n$ . If n = 1, then  $B = S^2$  which implies that  $c_B = 2 \implies k_{\min} = 3 > 3 - n$ . Hence,  $\hat{\alpha}$  is index-admissible and so is  $\alpha$ , since the map  $\psi$  in Lemma 5.1 is surjective.

Thus, we can argue as in Theorem 1.6 under the assumption (NF). As mentioned in Remark 4.1, the assumption that M is a prequantization in Theorem 1.6 is needed only to achieve the isomorphism (2.1). Thus, it is enough to show that

$$\mathrm{HC}^0_*(\overline{M}) \cong \bigoplus_{m \in \mathbb{N}} \mathrm{H}_{*-2mc_B+n}(B; \Lambda).$$
 (5.1)

To prove (5.1), we will construct a non-degenerate, index-admissible and lacunary contact form  $\eta$  on  $\overline{M}$  such that

$$CC^0_*(\eta) \cong \bigoplus_{m \in \mathbb{N}} H_{*-2mc_B+n}(B; \Lambda)$$
 (5.2)

which readily implies (5.1).

Remark 5.2. Let  $\bar{\xi} = \tau_* \xi$  be the contact structure on  $\overline{M}$ , where  $\tau : M \to \overline{M}$ , as before, is the quotient projection. To define the equivariant symplectic homology of contractible orbits  $\mathrm{HC}^0_*(\overline{M})$  using the symplectization of  $\overline{M}$  (with an integral grading) it is enough to have that  $c_1(\bar{\xi})|_{\pi_2(\overline{M})} = 0$ : we do not need that  $c_1(\bar{\xi})|_{H_2(\overline{M};\mathbb{Q})} = 0$  as in assumption (NF). Indeed, this assumption is needed in Theorem 1.6 in order to compute  $\mathrm{HC}^0_*(\overline{M})$  in item (b) of Theorem 2.1. Here, we do not need this since we compute  $\mathrm{HC}^0_*(\overline{M})$  directly from the construction of  $\eta$ . The condition  $c_1(\bar{\xi})|_{\pi_2(\overline{M})} = 0$  holds because, by the assumption that  $(M, \xi)$  satisfies (F), we have that  $c_1(\xi)|_{\pi_2(M)} = 0$  which is equivalent to the condition  $c_1(\bar{\xi})|_{\pi_2(\overline{M})} = 0$  since the induced map  $\tau_\# : \pi_2(M) \to \pi_2(\overline{M})$  is an isomorphism.

Consider the Hamiltonian  $T^d$ -action on B. Let  $\{X_1, \ldots, X_d\}$  be a basis of the Lie algebra of  $T^d$  such that the corresponding 1-parameter subgroups are circles. Denote by  $\varphi_t^i$  the action on B of the circle corresponding to  $X_i$ . Without fear of ambiguity, we will denote by  $X_i$  the Hamiltonian vector field of  $\varphi_t^i$  and let  $H_i: B \to \mathbb{R}$  be the Hamiltonian function that we will assume, without loss of generality, to be a positive function.

**Lemma 5.3.** There exists a compact 1-parameter subgroup of  $T^d$  whose corresponding circle action on B has the property that its fixed point set coincides with the fixed point set of the  $T^d$ -action.

*Proof.* Since M is closed, this action has finitely many isotropy subgroups  $H_1, \ldots, H_m$ . At most one of these subgroups has dimension d, say  $H_m$ . Since the codimension of all other stabilizers  $H_1, \ldots, H_{m-1}$  is positive, we can find a compact 1-parameter subgroup of  $T^d$  which is not contained in any  $H_i$  with  $1 \le i < m$ . This is the desired subgroup.

Thus, we can assume, without loss of generality, that  $X_1$  generates a circle action whose fixed points coincide with the fixed points of the  $T^d$ -action. Let  $\beta$  be the connection form on M and consider the contact forms

$$\eta_i = \beta/\hat{H}_i$$

where  $\hat{H}_i = H_i \circ \pi$  with  $\pi : M \to B$  being the quotient projection. We have that the Reeb vector field of  $\eta_i$  is given by

$$R_i = \hat{H}_i R_\beta + X_i^h$$

where  $R_{\beta}$  is the Reeb flow of  $\beta$  (that generates the circle action of the prequantization M whose orbits are the fibers) and  $X_i^h$  is the horizontal lift of  $X_i$ ; see [6, Lemma 3.4]. The Reeb flow of  $R_i$  generates a circle action [6, Proposition 3.6] and commutes with the Reeb flow of  $R_{\beta}$  [6, Lemma 3.8]. We also have that  $[R_i, R_j] = 0$  for every i and j. As a matter of fact,

$$[R_{i}, R_{j}] = [\hat{H}_{i}R_{\beta} + X_{i}^{h}, \hat{H}_{j}R_{\beta} + X_{j}^{h}]$$

$$= [\hat{H}_{i}R_{\beta}, \hat{H}_{j}R_{\beta}] + [\hat{H}_{i}R_{\beta}, X_{j}^{h}] + [X_{i}^{h}, \hat{H}_{j}R_{\beta}] + [X_{i}^{h}, X_{j}^{h}]$$

$$= [X_{i}^{h}, X_{j}^{h}]$$

$$= [X_{i}, X_{j}]^{h} + \omega(X_{i}, X_{j})R_{\beta}$$

$$= 0.$$

where  $[\hat{H}_i R_{\beta}, \hat{H}_j R_{\beta}] = 0$  because  $\hat{H}_i$  and  $\hat{H}_j$  are invariant by the flow of  $R_{\beta}$ ,  $[\hat{H}_i R_{\beta}, X_j^h] = 0$  (and similarly  $[X_i^h, \hat{H}_j R_{\beta}] = 0$ ) since  $[R_{\beta}, X_j^h] = 0$  (see [6, Lemma 3.8]) and  $X_j^h(\hat{H}_i) = X_j(H_i) = \{H_j, H_i\} = 0$ ,  $[X_i, X_j]^h = 0$  is the horizontal lift of  $[X_i, X_j]$ , and  $\omega(X_i, X_j) = 0$  because  $X_i$  and  $X_j$  commute.

Thus,  $R_1, \ldots, R_{d+1}$  generate a contact  $T^{d+1}$ -action on M, where  $R_{d+1} := R_{\beta}$ . We claim that this action preserves  $\eta_i$  for every i. Indeed, let  $g \in T^{d+1}$ . Since this action preserves the contact structure  $\xi = \ker \beta$  we have that  $g^*\eta_i = f\eta_i$  for some function f. To conclude that  $f \equiv 1$  it is enough to show that  $g^*\eta_i(R_i) = 1$ . But  $g_*(R_i) = R_i$  because  $g = \phi_{t_1}^1 \circ \cdots \circ \phi_{t_{d+1}}^{d+1}$  for some  $(t_1, \ldots, t_{d+1}) \in T^{d+1}$ , where  $\phi_t^j$  is the flow of  $R_j$ , and  $d\phi_t^j(R_i) = R_i$  for every j and t since  $R_1, \ldots, R_{d+1}$  commute.

Now, let  $\epsilon > 0$  be an irrational number and define  $\eta_1^{\epsilon} = \beta/(\hat{H}_i + \epsilon)$  so that the Reeb vector field of  $\eta_1^{\epsilon}$  is  $R_1^{\epsilon} = R_1 + \epsilon R_{d+1}$ . By the previous discussion, we have that the  $T^{d+1}$ -action preserves  $\eta_1^{\epsilon}$  as well: given  $g \in T^{d+1}$  we have that  $g^*\eta_1^{\epsilon} = f\eta_1^{\epsilon}$  for some function f and  $g_*(R_1^{\epsilon}) = R_1^{\epsilon}$  which implies that  $f \equiv 1$ . Consequently,  $\eta_1^{\epsilon}$  induces a contact form  $\eta$  on  $\overline{M}$ . We claim that  $\eta$  is the desired contact form satisfying (5.2).

As a matter of fact, note that the contractible periodic orbits of  $\eta_1^{\epsilon}$  and  $\eta$  are precisely the contractible iterations of the fibers over the fixed points of the torus action on B (see [6, Proposition 3.9]) and that all these orbits are elliptic. Therefore,  $\eta_1^{\epsilon}$  and  $\eta$  are lacunary. It is easy to see that they are also non-degenerate. Moreover, we have that the map  $\psi: \mathscr{P}^0(\eta_1^{\epsilon}) \to \mathscr{P}^0(\eta)$  furnished by Lemma 5.1 is a bijection. Indeed, since the contractible periodic orbits of  $\eta_1^{\epsilon}$  are precisely the contractible iterations of the fibers over the fixed points of the torus action on B, we have that the images of theses orbits coincide with the corresponding images of the orbits of the  $T^{d+1}$ -action and consequently the closed orbits of  $\eta_1^{\epsilon}$  are symmetric with respect to the G-action. It easily follows from this that  $\psi$  is injective. Therefore,

$$CC^0_*(\eta_1^{\epsilon}) \cong CC^0_*(\eta).$$

But, since  $\eta_1^{\epsilon}$  is lacunary, the differential in  $CC_*^0(\eta_1^{\epsilon})$  vanishes and consequently, by (2.1),

$$\operatorname{CC}^0_*(\eta_1^{\epsilon}) \cong \operatorname{HC}^0_*(M) \cong \bigoplus_{m \in \mathbb{N}} H_{*-2mc_B+n}(B; \Lambda),$$
 (5.3)

proving (5.2).

Remark 5.4. When M is the unit cosphere bundle of a CROSS N with the flow of  $R_{\beta}$  being the (periodic) geodesic flow, the contact form  $\eta_1^{\epsilon}$  can be chosen such that it is induced by a Finsler metric. In this way, we get the Katok-Ziller Finsler metrics [43]. When the action of G on  $S^*N$  is the lift of a free G-action on N then  $\eta$  is a contact form on  $S^*(N/G)$  also induced by a Finsler metric on N/G.

Finally, let us prove that the lifts of the contractible closed orbits of  $\alpha$  to M are symmetric. Let  $\{\gamma_1,\ldots,\gamma_{r_B}\}$  be the set of simple contractible orbits of  $\alpha$  and  $\{\hat{\gamma}_1,\ldots,\hat{\gamma}_{r_B}\}$  be lifts of these orbits, which are contractible closed orbits of the lifted contact form  $\hat{\alpha}$  on M. These lifts are also simple contractible orbits. By Theorem 1.6,  $\hat{\alpha}$  has precisely  $r_B$  simple contractible closed orbits. If one of the orbits  $\hat{\gamma}_i$  is not symmetric, we would have some  $g \in G$  such that  $\mathrm{Im}(\hat{\gamma}_i) \neq \mathrm{Im}(g(\hat{\gamma}_i))$  and consequently we would have more than  $r_B$  simple contractible closed orbits of  $\hat{\alpha}$ , a contradiction.

# 6. Multiplicity of periodic orbits for lens spaces and their unit cosphere bundles

As in Theorem 1.6 (see Remark 4.1), the only point in the proof of Theorem 1.1 in [27] that uses that M is a prequantization is to achieve the isomorphism (2.1) with  $c_B > n/2$  (when B is spherically positive monotone). As proved in the previous section, this isomorphism holds for some prequantizations of orbifolds as in Theorem 1.13. In particular, as explained in the introduction, this isomorphism holds for lens spaces and their unit cosphere bundles. Therefore, we can conclude the following results.

**Theorem 6.1.** Let  $\alpha$  be a non-degenerate contact form on  $L_p^{2n+1}(\ell_0,\ldots,\ell_n)$  which is indexpositive, index-admissible and has no contractible periodic orbits  $\gamma$  such that  $\mu(\gamma) = 0$  if n is odd or  $\mu(\gamma) \in \{0,\pm 1\}$  if n is even. Then  $\alpha$  carries at least n+1 geometrically distinct contractible periodic orbits.

**Theorem 6.2.** Let  $\alpha$  be a non-degenerate contact form on  $S^*L_p^m(\ell_0,\ldots,\ell_{d-1})$  which is indexpositive and has no contractible periodic orbits  $\gamma$  such that  $\mu(\gamma) \in \{0,\pm 1\}$ . Then  $\alpha$  carries at least m+1 geometrically distinct contractible periodic orbits.

Note that in Theorem 6.1 we assume that  $\alpha$  is index-admissible because a lens space does not admit, in general, a filling satisfying the assumption (F) of Theorem 1.6. This hypothesis is not necessary in Theorem 6.2 because  $S^*L_p^m(\ell_0,\ldots,\ell_{d-1})$  satisfies this assumption. Moreover, note that the dimension of  $S^*L_p^m(\ell_0,\ldots,\ell_{d-1})$  is 2m-1=2(m-1)+1 with m-1 even since m is odd.

Remark 6.3. Using symplectic homology of contact manifolds with orbifold fillings, developed in [31], it is possible that the assumption in Theorem 6.1 that  $\alpha$  is index-admissible can be dropped.

#### 7. Final questions

To finish this work, let us pose some final questions. To the best of our knowledge, all the examples known so far of contact manifolds admitting a contact form with finitely many simple periodic orbits are prequantizations of orbifolds.

**Question:** Is there an example of a contact manifold admitting a contact form with finitely many simple periodic orbits that is not a prequantization of an orbifold?

The answer is negative in dimension three if we assume that the first Chern class of the contact structure is torsion [15, 16].

As mentioned in the introduction, all the examples that we know so far of contact forms with finitely many closed orbits are non-degenerate and have only elliptic closed orbits. This raises the following natural question.

**Question:** Is there an example of a contact form with finitely many closed orbits possessing non-elliptic orbits?

The answer is also negative in dimension three if we assume that the first Chern class of the contact structure is torsion [15, 16].

Another natural problem, related to the previous question, that arises from our results is the following:

**Question:** Is it true that a contact form with finitely many closed orbits is non-degenerate and lacunary?

If it is true, we would conclude that every contact form on a prequantization M with finite fundamental group satisfying the assumptions of Theorem 1.6 and admitting a nice filling, in the sense of assumption (F), has either  $r_B$  or infinitely many closed orbits. It is true in dimension three if we assume that the first Chern class of the contact structure is torsion [15, 16].

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